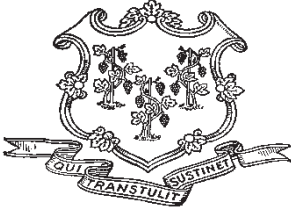


STATE OF CONNECTICUT  
DEPARTMENT OF HOUSING



CONTRACT AMENDMENT

Contractor: Housing Authority of the City of Danbury  
Contractor Address: 2 Mill Ridge Rd, Danbury, CT 06811  
Contract Number: 13DOH0801BM  
Amendment Number: 4  
Amount as Amended: \$348,427.00  
Contract Term as Amended: 10/1/2012 - 6/30/2017

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The contract between **Housing Authority of the City of Danbury** (*the "Contractor"*) and the **Department Of Housing** (*the "Agency"*), which was last executed by the parties on effective date: 03/12/13 and previously amended on 06/19/13 and 06/22/15 is hereby amended as follows:

1. The contract is amended because the total maximum amount payable under this contract has decreased by **(\$5,547.00) from \$353,974.00 to \$ 348,427.00** due to a rescission of 5.75% in SFY 2017 State funding.
2. Part I, Section E. 9 of Amendment #3 shall be deleted in its entirety.
3. Part I, Section(s) G.1.d. of Amendment #3 shall be deleted in its entirety.
4. Part I, Section(s) G. 1.g. of Amendment #3 shall be deleted and the following is substituted in lieu thereof:
  - g. Contractor shall use reasonable efforts to ensure that Clients complete a client satisfaction survey **[for each separate program]** provided by the Contractor. A summary of these surveys will be presented to the Board of Directors and approved in the Board minutes. These minutes will be reviewed during the Department's annual visit.
5. Part I, Section H.1. of Amendment #3, shall be deleted and the following is substituted in lieu thereof:
  1. **Payment Provisions.** The Agency agrees to pay for the services provided and as described under this contract up to a maximum amount not to exceed **\$348,427.00**, for the entire contract period.

6. The budget, Part I, Section H. 5.a. on page 9 of Amendment #3 is hereby deleted and the following is substituted in lieu thereof:

Effective Date: **8/15/2016**

CONTRACT NUMBER: **13DOH0801BM - \$348,427.00**  
 CONTRACT PERIOD: **10/01/2012 through 06/30/2017**  
 ST FISCAL YR (SFY): **2017**  
 PROVIDER: **Housing Authority City of Danbury**  
 Approved by: **Berryja**

4000 INCOME		TLP - State	Total Income
Program Funding Period:		07/01/2016 through 06/30/2017	
4100 CONTRACT FUNDING	SID	\$ 69,013	\$ 69,013
4101 State Funds	17038-1300903	\$ 69,013	\$ 69,013
<b>TOTAL INCOME</b>		<b>\$ 69,013</b>	<b>\$ 69,013</b>
5000 DIRECT EXPENSES		TLP - State	Total Expenses
5100 SALARIES		\$ 14,901	\$ 14,901
5101 Staff Salaries & Wages		\$ 14,901	\$ 14,901
5200 FRINGE BENEFITS		\$ 5,215	\$ 5,215
5600 FACILITIES		\$ 35,645	\$ 35,645
5601 Rent and Real Estate Taxes		\$ 19,585	\$ 19,585
5602 Security		\$ 660	\$ 660
5603 Maintenance & Repair - Facility and Plant		\$ 1,400	\$ 1,400
5604 Utilities		\$ 14,000	\$ 14,000
5800 OTHER EXPENSES		\$ 4,540	\$ 4,540
5801 Communications		\$ 936	\$ 936
5802 Insurance		\$ 3,604	\$ 3,604
<b>TOTAL DIRECT EXPENSES</b>		<b>\$ 60,301</b>	<b>\$ 60,301</b>
7000 INDIRECT EXPENSES		TLP - State	Total Expenses
7100 ADMINISTRATIVE & GENERAL		\$ 8,712	\$ 8,712
7111 Staff Salaries & Wages		\$ 6,694	\$ 6,694
7120 Fringe Benefits		\$ 2,018	\$ 2,018
<b>TOTAL INDIRECT EXPENSES</b>		<b>\$ 8,712</b>	<b>\$ 8,712</b>
<b>TOTAL EXPENSES</b>		<b>\$ 69,013</b>	<b>\$ 69,013</b>
INCOME/EXPENSE SUMMARY		TLP - State	Total
TOTAL INCOME		\$ 69,013	\$ 69,013
TOTAL EXPENSES		\$ 69,013	\$ 69,013
<b>EXCESS/(SHORTAGE)</b>		<b>\$ -</b>	<b>\$ -</b>

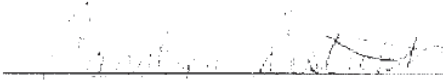
7. Part I, Section(s) I. 2.f. of Amendment #3 is hereby deleted and the following is substituted in lieu thereof:
  - a. No budget revisions proposed by the Contractor may be submitted later than 45 calendar days **prior** to the end of each contract year during the contract period, except that the Department may entertain, at any time, a budget revision for the purpose of increasing funds solely for the audit of the Program. The final financial report will show all category overruns. Costs incurred after the end of the budget period will be disallowed except those which the Department has expressly approved in writing and in advance.
  
8. All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

**SIGNATURES AND APPROVALS**

13DOH0801BM A4

IN WITNESS WHEREOF, the parties have executed this Contract amendment by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

**CONTRACTOR - HOUSING AUTHORITY OF THE CITY OF DANBURY**

  
\_\_\_\_\_  
CAROLYN SISTRUNK, *Executive Director*

01/10/17  
Date

**DEPARTMENT OF HOUSING**

  
\_\_\_\_\_  
EVONNE M. KLEIN, *Commissioner*

1/13/17  
Date

**CONNECTICUT ATTORNEY GENERAL**

*Approved as to form:*

Part I of this Contract having been reviewed and approved by the OAG, it is exempt from review pursuant a Memorandum of Agreement between the Agency and the OAG.

# NONDISCRIMINATION CERTIFICATION

January, 2016

Amendments to the Connecticut General Statutes Sections *4a-60 and 4a-60a* have been adopted. As a result of these amendments, the corresponding certifications have been revised as described below.

**Directions: Please complete ONE of the following forms:**

III. *For ENTITIES (defined as corporations, limited liability companies, or partnerships) contracting with the State with contracts valued \$50,000 or more during any year of the contract period:*

**Form C (Affidavit by Entity)** is a certification through a **sworn affidavit (it must be notarized)** to be completed if the entity **already has in place** a nondiscrimination policy that complies with C.G.S. 4a-60 and 4a-60a *as amended*.

**Form D (New Resolution by Entity)** is a certification to be signed by an officer of the entity's governing body if the entity **is newly adopting by corporate/board resolution** a nondiscrimination policy that complies with C.G.S. 4a-60 and 4a-60a *as amended*.



**STATE OF CONNECTICUT**  
**NONDISCRIMINATION CERTIFICATION – Affidavit**  
**By Entity**  
**For Contracts Valued at \$50,000 or More**

*Documentation in the form of an affidavit signed under penalty of false statement by a chief executive officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended*

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**INSTRUCTIONS:**

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

**AFFIDAVIT:**

I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of an oath.

I am **Executive Director of Housing Authority of the City of Danbury**, an entity duly formed and existing under the laws of the State of Connecticut. I certify that I am authorized to execute and deliver this affidavit on behalf of Housing Authority of the City of Danbury and that Housing Authority of the City of Danbury has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended.

\_\_\_\_\_  
**Carolyn Sistrunk**

Sworn and subscribed to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
**Commissioner of the Superior Court/  
Notary Public**

\_\_\_\_\_  
**Commission Expiration Date**



**STATE OF CONNECTICUT**  
**NONDISCRIMINATION CERTIFICATION – New Resolution**  
**By Entity**  
**For Contracts Valued at \$50,000 or More**

*Documentation in the form of a corporate, company, or partnership policy adopted by resolution of the board of directors, shareholders, managers, members or other governing body of a contractor that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended*

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**INSTRUCTIONS:**

For use by an entity (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at \$50,000 or more for any year of the contract. Complete all sections of the form. Submit to the awarding State agency prior to contract execution.

**CERTIFICATION OF RESOLUTION:**

I, **Carolyn Sistrunk, Executive Director of Housing Authority of the City of Danbury**, an entity duly formed and existing under the laws of the State of Connecticut, certify that the following is a true and correct copy of a resolution adopted on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ by the governing body of Housing Authority of the City of Danbury, in accordance with all of its documents of governance and management and the laws of the State of Connecticut and further certify that such resolution has not been modified or revoked, and is in full force and effect.

RESOLVED: That the policies of **Housing Authority of the City of Danbury** comply with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended.

The undersigned has executed this certificate this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
Month Year

\_\_\_\_\_  
**Carolyn Sistrunk**

\_\_\_\_\_  
**Date**



**STATE OF CONNECTICUT  
GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION**

*Written or electronic certification to accompany a State contract with a value of \$50,000 or more in a calendar or fiscal year, pursuant to C.G.S. §§ 4-250, 4-252(c), and C.G.S. §9-612(g)(2); and Governor Dannel P. Malloy’s Executive Order 49.*

**INSTRUCTIONS:**

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filed certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

- CHECK ONE:**        Initial Certification                          12 Month Anniversary Update (Multi-year contracts only.)
- Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update.

**GIFT CERTIFICATION:**

As used in this certification, the following terms have the meaning set forth below:

- 1) “Contract” means that contract between the State of Connecticut (and/or one or more of its agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, “Execution Date” means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, “Execution Date” means the date this certification is signed by the Contractor;
- 3) “Contractor” means the person, firm or corporation named as the contractor below;
- 4) “Applicable Public Official or State Employee” means any public official or state employee described in C.G.S. §4-252(c)(1)(i) or (ii);
- 5) **“Gift”** has the same meaning given that term in C.G.S. § 4-250(1);
- 6) “Principals or Key Personnel” means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.



**CAMPAIGN CONTRIBUTION CERTIFICATION:**

I further certify that, on or after December 31, 2006, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(g)(1), has made any **campaign contributions** to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(g)(2)(A). I further certify that **all lawful campaign contributions** that have been made on or after December 31, 2006 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(g)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

**Lawful Campaign Contributions to Candidates for Statewide Public Office:**

<u>Contribution</u>	<u>Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

**Lawful Campaign Contributions to Candidates for the General Assembly:**

<u>Contribution</u>	<u>Date</u>	<u>Name of Contributor</u>	<u>Recipient</u>	<u>Value</u>	<u>Description</u>

Sworn as true to the best of my knowledge and belief, subject to the penalties of false statement

**Housing Authority of the City of Danbury**  
Printed Contractor Name

\_\_\_\_\_  
**Carolyn Sistrunk, Executive Director**

Subscribed and acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_.

\_\_\_\_\_  
**Commissioner of the Superior Court or Notary Public**



