

Grantee: Connecticut - DOH

Grant: B-13-DS-09-0001

July 1, 2015 thru September 30, 2015 Performance Report



Grant Number:

B-13-DS-09-0001

Obligation Date:**Award Date:****Grantee Name:**

Connecticut - DOH

Contract End Date:**Review by HUD:**

Submitted - Await for Review

Grant Award Amount:

\$159,279,000.00

Grant Status:

Active

QPR Contact:

Hermia Delaire

LOCCS Authorized Amount:

\$65,000,000.00

Estimated PI/RL Funds:**Total Budget:**

\$159,279,000.00

Disasters:

Declaration Number

No Disasters Found

Narratives

Disaster Damage:

Hurricane Sandy resulted in extraordinary destruction all along the Connecticut coastline, both as a result of high winds, storm surge in excess of 11 feet in some locations due to the astronomical high tide, as well as 6 to 10 foot waves on top of the surge. According to the United States Army Corp of Engineers, water levels at the Stamford Hurricane Barrier exceeded all recorded storms, which date back to 1893. Preliminary data indicates Hurricane Sandy resulted in flooding close to, or at, the one hundred year storm level from East Haven to Greenwich. A review of state records indicates that in a significant portion of the State's coastal area, Hurricane Sandy exceeded the 1938 Hurricane, becoming the most severe storm in Connecticut history.

Connecticut sustained severe coastal flooding and damaging winds. Along the coast, tropical storm force winds combined with high tides and a full moon, resulting in tidal stacking and significant wave heights. Entire neighborhoods became inundated with storm waters. Residents who did not heed evacuation orders were trapped in their homes and had to be evacuated. Local fire departments performed a total of 144 rescues, while the Connecticut National Guard supported 73 missions, including 6 life-saving rescue efforts.

There were six fatalities in Connecticut as a result of the storm, including an Easton Fire Lieutenant, killed by a falling tree at the height of the storm while performing response operations. Telecommunications across the State were crippled by the storm. Cellular transmission sites were disabled or damaged and communications and cable companies brought in hundreds of generators in order to address critical issues such as the loss of 911 dispatch networks. Flooding and power outages caused raw sewage discharges at treatment plants and pumping stations in seven cities, contaminating flood waters. Bridgeport officials said 15 to 20 million gallons of partially treated sewage from two plants were discharged into the Long Island Sound.

Many essential services were affected by this storm. Airports were either closed entirely or were reduced to limited service. The Metro North New Haven Line, Amtrak Intercity and Shore Line East commuter rails were all shut down. On October 31, when New York Harbor was closed to all shipping traffic, fuel barges could not supply fuel terminals in New Haven and Bridgeport. Fuel supply was also impeded as hundreds of gas stations were closed due to the power outages. The State's Department of Consumer Protection reported that at the peak of Hurricane Sandy's impact on the fuel distribution system, 866 out of 1,493 gas stations (i.e. over 50%) were without power and residents were unable to obtain gas, or waited in long lines at the few open stations. Residents not only from Connecticut but from heavily affected communities in bordering New York State, such as Port Chester, Rye and White Plains, came to Connecticut in search of fuel, placing a heavy demand on an already low fuel supply.

Recovery Needs:

Owner Occupied Housing (Rehabilitation and Mitigation) - Data from FEMA, SBA, DOI, and the surveys submitted to the State by municipalities and public housing authorities indicate that approximately 38,200 homes were damaged by Hurricane Sandy in the Fairfield, New Haven, Middlesex and New London Counties. The total value of losses exceeded \$288 million. After calculating insured losses, FEMA and SBA financial assistance and other benefits, the remaining unmet need is estimated to be between \$47 and \$57 million representing approximately 7,400 units. This range of estimated unmet housing needs anticipates that as the recovery process proceeds, additional unmet needs may present themselves, including, for example, damages not previously known or estimated, increased mitigation needs, and the consideration of code compliance.

Multifamily Housing (Rehabilitation, New Construction & Mitigation) - Approximately 1,298 multifamily LMI units were directly affected by Hurricane Sandy. Of these 1,298 units, 483 units are not in a flood plain and only require rehabilitation at an estimated aggregate cost of \$1.63 million (all of which is an unmet need). The remaining 815 units are in the flood plain and require either rehabilitation and mitigation or demolition and new construction at one or more other sites, at an estimated aggregate cost of \$240 million, and an unmet need of \$148 million. The unmet need estimate in this housing sector does not include construction of over 100 units of new affordable multifamily units that are needed in the CDBG-DR Program eligible areas. New affordable units, including net new units affordable to households at low and very low-income levels is critical to



minimize the impact of Hurricane Sandy on individuals and families that are homeless or at risk of becoming homeless and a key component to the long term recovery of the State.

Fair Housing - Affirmatively furthering fair housing and Equal Opportunity compliance will be achieved by a Fair Housing Action Plan that complies with DOH's guidelines/policies, including but not limited to:

1. Affirmatively furthering fair housing through established affirmative marketing policies.
 - a. Affirmative marketing efforts for the CDBG-DR funding include the following: An Affirmative Marketing Plan, based on the U.S. Department of Housing and Urban Development (HUD) regulations that outline the policies and procedures for housing activities to affirmatively market units financed through the Multifamily and Owner Occupied (Rehabilitation/Reconstruction) Programs including dissemination of information, technical assistance to applicants, project management, reporting requirements, and project review.
2. Ensuring that eligible persons from all racial, ethnic, national origin, religious, familial status, the disabled, "special needs," gender groups, and/or other populations least likely to apply, are:
 - a. Fully informed of vacant units available for rent.
 - b. Encouraged to apply for rehabilitation and/or rent.
 - c. Given the opportunity to rent the unit of their choice.
 - d. Given the opportunity to rehabilitate their primary residence, which sustained damages due to disasters and/or its after-effect/informable opportunity

Recovery Needs:

es and supporting requirements via counselors, printed and electronic materials, publications, direct contact, workshops/seminars, and through the placement of flyers/posters in public facilities.

4. The prioritization of housing and economic development activities and other planning activities that affect racial, ethnic and low-income concentrations to promote the availability of affordable housing in low-poverty, non-minority areas.

Infrastructure and Public Facilities - In response to the State's request for information regarding unmet infrastructure needs, local governments identified at least thirty-four infrastructure projects with unmet needs totaling approximately \$21.4 million. There are a number of infrastructure projects that have the potential to affect the health, safety and welfare of the local community and are therefore urgent priorities. The allocation of \$4 million of the funding for infrastructure projects will focus on unmet needs that are urgent in nature and can be immediately addressed, including water and sewer repairs (\$1 million); municipal-owned utility repairs (\$1.1 million); and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds. For public facilities, the State requested unmet needs data; local governments identified at least eighty-eight projects with unmet needs totaling approximately \$34.5 million. Based on a preliminary review of the public building repair projects identified by local governments, many are ready to be undertaken immediately at a total cost of approximately \$1.5 million. Additional funds (\$700,000) will be used to initiate architectural, engineering, environmental and other preconstruction activities for the public facility projects to be undertaken with future allocations of funds.

Economic Revitalization - In response to the State's request for unmet needs data, local governments identified at least twelve structural repair projects for businesses totaling \$5.3 million and an additional \$5 million of repair or replacement of equipment. In total, the unmet need for economic revitalization identified by local governments is currently estimated at \$10.3 million. A wide range of businesses were impacted with the greatest concentration of monetary damages in Other Amusement and Recreational Facilities (\$1.3 million); Hotels of various types (\$1 million) and Restaurants of various types (\$1 million). Many of the impacted businesses were either on or close to the shoreline, including a high percentage in the 100-year flood plain. A range of businesses were impacted, with the greatest concentration of costs in Plumbing, Heating, and Air-Conditioning Contractors (\$400,000); and Restaurants of various types (\$310,000).

Planning - The State recognizes that future storms may severely damage homes, businesses, infrastructure and public facilities in municipalities throughout Connecticut. It is essential that our infrastructure and public facilities be hardened in anticipation of these events. In addition, a significant and necessary investment has been made in preparation for the receipt and distribution of the Funding. Accordingly, the State will utilize a portion of these Planning funds to offset the costs incurred to develop

Recovery Needs:

p the proposed programs and activities through which the Funding will be administered.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$70,000,000.00
Total Budget	\$0.00	\$70,000,000.00
Total Obligated	\$6,147,567.50	\$24,210,052.69
Total Funds Drawdown	(\$449,723.12)	\$17,612,762.07
Program Funds Drawdown	(\$449,723.12)	\$17,612,762.07
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$6,026,565.63	\$24,089,050.75
Match Contributed	\$0.00	\$0.00



Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		65.77%
Overall Benefit Percentage (Actual)		72.79%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$23,891,850.00	\$0.00
Limit on Admin/Planning	\$31,855,800.00	\$3,018,029.01
Limit on State Admin	\$7,963,950.00	\$2,232,840.62

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$79,639,500.00	\$41,698,484.10

Overall Progress Narrative:

The State of Connecticut, Department of Housing (DOH) as the grantee of Community Development Block Grant Disaster Recovery (CDBG-DR) program allocated to the State of Connecticut by the U.S. Department of Housing and Urban Development (HUD) for the Superstorm Sandy recovery has prepared this quarterly report addressing the funding expenditures through the end of the third quarter of 2015.

The State of Connecticut has allocated CDBG-DR funds to six (6) major program areas: Owner Occupied Housing, Multifamily Housing, Economic Revitalization, Infrastructure, planning and public facilities. Additional information on these programs is available in the Activities section of this quarterly performance report.

Owner Occupied Housing Recovery:

The State of Connecticut continues to implement a program that protects the homeowners, taxpayers and the State from fraud, waste and abuse - we are not simply cutting checks. We are working to ensure that the work that is done to restore the property of our citizens is done properly, consistent with good quality work and materials, while ensuring that those homeowners who are displaced from their homes receive the priority assistance they need. All four eligible counties have each had noteworthy progress on their activities. See the individual activity sections for details.

Multi-Family Housing

Bridgeport - Crescent Crossings Phase 1A – The contract was executed on July 30, 2015. The developer began construction activity on 93 units of low/moderate income housing in the month of September. These new units are replacing 33 housing units that were damaged or destroyed by Superstorm Sandy at the Marina Village, a low/moderate income housing development in the 100 year flood plain. The new units are outside of the 100 year flood plain. Other funders for this project include HOME Funds, CT Housing Finance Authority (CHFA), CitiBank, and the Bridgeport Housing Authority. Additional phases are planned.

Stamford - Summer Place – This project replaces 48 public housing units for individuals 55 years of age and older and is located outside of the flood plain. The Request for Release of Funds was approved by HUD on May 5, 2015. A closing has been scheduled for the end of October 2015. Construction will begin in early November 2015. The construction term is approximately 24 months.

Norwalk – Washington Village – The first phase of the Washington Village project will construct 80 units, 40 of which are public housing replacement units. This project is located within the 100 year flood plain



The CT Department of Energy and Environmental Protection (DEEP) approved the Flood Management Certification Exemption on April 30, 2015. The Friends of Ryan Park an intervener has appealed DEEP's final decision. The appeal is currently under review at the New Britain Superior Court and a decision should be made during the first quarter of 2016.

Scattered Sites – Seven projects are in design development phase. Six (6) projects have been placed out to bid among prequalified General Contractors and three (3) projects are currently under construction.

Economic Revitalization:

The State of Connecticut operates a Small Business Express Program that provides loans and grants to small business to spur job creation and growth. In the aftermath of Superstorm Sandy, many small business owners capitalized on existing resources available immediately after the storm thus inhibiting the interest in the CDBG-DR Economic Revitalization program.

Since the program begun accepting applications on September 27, 2013, a total of fourteen (14) applications were submitted for assistance. The Department of Housing has provided financial assistance to four (4) small businesses with a total grant award of \$ \$194,000. The four small business who were funded under this grant are: Charles Island Oyster Farm, Milford - \$50,000; Inn at Fairfield Beach, Fairfield - \$ 44,000; Lennys Indian Head Inn, Branford - \$ 50,000; and Main Enterprises, Stratford - \$50,000.

Public Facilities:

The State of Connecticut primary goal in allocating a portion of the funding for the rehabilitation of public facilities is to restore a suitable living environment in disaster impacted areas by rehabilitating or reconstructing existing public facilities, including those public facilities that primarily serve low to moderate income persons. The State also intends to make repairs in a manner that supports energy conservation/efficiency objectives and responsible growth as well as transit-oriented development. Under the first tranche of funding the State of Connecticut allocated a total of \$2,200,000 for the rehabilitation or reconstruction of public facilities. Although public facilities funds have not been drawn all funds have been fully committed to assisting two municipalities damaged by Superstorm Sandy. Below is an update on the Public Facilities projects.

Fairfield – Penfield Pavilion Repair – The 8 Step Early Notice of Activities in a 100 Year Flood Plan was published on June 11, 2015. The town has finalized the project scope during the 3rd qtr. of 2015. The town continues to work on the ERR and a Request for Release of Funds should be submitted to HUD during the first quarter of 2016.

Milford – Gulf Beach Breakwater Reconstruction – ERR completed. The Request for Release of Funds will be submitted to HUD during the fourth quarter. An Assistance Agreement will be executed either late in the fourth quarter or early in the first quarter of 2016.

Planning:

Under the first and second tranches of funding the State of Connecticut allocated a total of \$9,029,000 to assist in the planning processes associated with improving the resiliency of infrastructure, public facilities, and providing mitigation activities. In the first tranche ten (10) planning projects were approved, committing the entire \$2,029,000 first tranche funds. The projects awarded funding under the First Tranche of funds are listed below with third quarter updates.

Branford – Coastal Resilience Branford, Milford, Madison

Selected their consultant after receiving RFP responses and interviewing 3 of the firms. The contract with the selected consultant, Malone & McBroom, Inc. was executed on August 10, 2015. A data collection kick-off meeting was held with the City of Milford, on September 4, 2015. A data collection kick-off meeting was held with the Town of Branford on September 11, 2015. The data collection kick-off meeting will be held with Madison in October.

Initial public information meetings in each municipality are planned for the fourth quarter 2015.

GIS files for Milford and Branford were obtained and FEMA repetitive loss properties were aped in each municipality. The consultant has continued to coordinate with the “regional framework for coastal resilience” funded by the National Fish and Wildlife Foundation (NFWF), administered by South Central Regional Council of Governments (SCRCOG). Numerous individual coastal resilience projects from Branford, Madison and Milford were entered into a project database and turned over to SCRCOG and the Nature Conservancy in early September. Information collected from the Branford and Milford meetings reference above were added to the database.

Branford – Shoreline Shellfish

The experimental venturi-powered suction intake was tested on a variety of seafloor sediment types varying in density, viscosity and cohesiveness. All measures of flow rate, volume, pressure and lift exceeded nominal values. Based on expanded expectations for the venturi system's power and sediment handling capacities, additional applications with high environmental utility, as referred to above, will be

proposed. Work will continue with the design, fabrication and testing of an apparatus to control the venturi intake's depth, lateral, and extension; and the design of venturi discharge fittings for connection to geotextile tube intake ports. During the third quarter the focus was on installation of multiple gauges and sensors for data acquisition during system testing and evaluation. The goal was to develop a controllable system suitable for seafloor sediment removal of all types regardless of density, viscosity or cohesiveness.

Third Quarter Progress: Submission of a provisional patent application to the U.S. PTO titled: "System and Method for Marine Sediment Reuse to Fill Geotextile Tubes in situ" and addition of a third engineering consultant, Grant Crawford, Ph.D., P.E. professor of mechanical engineering, Department of Engineering, Quinnipiac University.

Bridgeport – Marina Village Redevelopment
In partnership with the City of Bridgeport and with the City's Housing Authority, this planning project will participate in the Community Engagement events being developed for this area which is being studied for the Bridgeport Resilience Rebuild by Design Project. Bridgeport Community Renewal Associates (BCRA) is in the process of planning, engineering and community outreach which will pave the way for the demolition of the existing, uninhabitable housing units damaged or destroyed by Superstorm Sandy and located in Phase 1, and the planning for the new construction of sustainable housing on appropriate portions of the Marina property. Anticipated demolition is during the fourth quarter. This redevelopment for the City of Bridgeport will address the shortcomings of the original development by correcting infrastructure issues and using state of the art design, construction, management and financial practices.

New Haven – River Street Bulkhead Design

From among the proposals received in response to the City's Request for Proposals (RFP), The City contracted with Roberge Associates Coastal Engineers (RACE) for design of bulkheads for the River Street shoreline and measures to protect the land within the flood zone from flood damage (the "Project").

City staff met three times with the contractor, twice on site, to discuss facilitation of data collection and plans for shoreline stabilization and flood prevention. The contractor began site exploration, including completion of a bathymetric survey and a soil boring plan. Data collection should be completed during the fourth quarter.

New Haven - Hill Neighborhood & Union Avenue Storm Drainage Improvements – The City issued a Request for Proposal (RFP) and selected an Engineering consultant from nine respondents to complete the Downtown Stormwater Modeling Project (the Project). The Project is intended to address the current and future impacts of sea level rise, storm surges, and other events in part associated with climate change.

Earlier studies had created an EPA Existing Storm Water Management Model (SWMM) model for a 580 acre site including major storm sewer lines, pump stations, and combined and storm sewer overflows. Later in a follow-up assessment, the cost effectiveness of the original drainage study was investigated and alternative concepts studied. The Consultant (CDM Smith) selected for the CDBG-DR study will provide engineering services to build upon the previous effort through hydraulic model refinement and analysis and development of solutions to flooding. The intent is to quantify the extent of flooding under existing and future scenarios and develop innovative solutions to alleviate flooding in the problem areas identified.

The Consultant will perform the following tasks:

Task 1: Update (SWMM)

Task 2: Storm Sewer System Flow Monitoring

Task 3: Perform Analyses of Storm Sewer System Capacity using SWMM

Task 4: Design Solutions for Flood Alleviation

New Haven - Long Wharf Flood Protection – During the second quarter, the City advertised with an RFP for engineering services to include preparation of a hydrologic model, analysis of flood protection alternatives, and design of flood protection measures. During the third quarter, on July 28th, responses were received. Applicants were reviewed, interviews conducted and a contractor is in the process of being executed. Community outreach and a Notice to Proceed are anticipated to be issued during the fourth quarter.

New Haven – Mill River District Shoreline Analysis – The City completed its review of the RFP responses. Four firms were short-listed and interviewed. RT Group was selected and awarded the project with work beginning in the fourth quarter.

Related economic development activities getting underway in the Mill River District include the expansion of the vacant Grand Lighting Company to enable Bender Plumbing to acquire the building and expand its operation. The former CT Transit garage and DOT contractor staging yard being redeveloped by URBANE

and Digital Surgeons of new Haven to create a 100,000 sq.ft campus for tech-businesses and start-ups. This project will include a trail and boat launch along the adjacent Mill River. A former power plant, the English Station, will be remediated so that a purposed Spanish utility company acquisition by Iberdrola can move forward through the regulatory process.

New London - Bank Street Planning - GEI Consultants, Inc., Glastonbury, Ct was selected and has completed approximately 50% of the design work. Included a summary of their progress:

Task 1: Design Bank Street & Lower Blinman Street Drainage Improvements

Assessments and recommendations completed; preliminary drainage layouts and base mapping completed.

Task 2: Design Pump Station Improvements - Phase I

Researched various options for pump impeller inspection and flow test in consideration of sediment removal.

Task 3: Design New Connection to 72" Conduit under Bank Street

Site inspection and field surveying completed; preliminary drainage layouts developed.

Task 4: Subsurface Utility Investigation

Performed mark-out of full project area and coordinated utility work with surveyor for inclusion into base maps.

Task 5: Assistance with Sediment Removal Operation at Pump Station

Assembled technical information and performed sediment sampling at the Shaw Cove Pump Station for future sediment removal in order to perform pump station analysis; evaluated permitting options for sediment removal and considered removal methods.

Task 6: Additional Pump "Station Enhancement & Upgrades

Performed field testing and completed Report on pump Station Megger Testing.

Stratford – Coastal Resilience – Project consultant was hired and overall, the project is 40.8 % completed:

Task 1: Review of Existing Programs, Plans, and Capabilities

Task 2: Regional Framework for Coastal Resilience

Task 3: Data Collection

Near Completion:

Task 4: Vulnerability and Risk Assessment

In Progress:

Task 5: Review of Adaptation Options

Task 6: Selection of Sandy-Impacted Neighborhoods for Neighborhood Plans

Task 7: Preparation of Coastal Resilience Plan Document

Task 8: Implementation Plan and Process

In the second tranche the state allocated \$7,000,000 for planning activities. As of the third quarter, we have issued soft letters of commitment for seven (7) projects and executed a contract for one (1) project. The total funds obligated to date for these projects are \$3,205,450. The projects that have received commitments under this second tranche of funds are:

1 - Department of Energy and Environmental Protection, Develop tools to assess the vulnerability of infrastructure - \$1,205,450

2 - Department of Public Health, Statewide public drinking water vulnerability assessment & resilience plan - \$600,000

3 - Town of Fairfield, Pine Creek Dike Expansion - \$300,000

4 - Town of Fairfield, Fairfield Beach Engineered Design - \$75,000

5 - Town of Fairfield, Riverside Drive Coastal resiliency - \$200,000

6 - Town of Milford, Crescent Beach Study - \$225,000

7 - Town of Milford, Gulf Street & Welch's Point Road Study - \$275,000

8 - Town of Milford, Walnut & Wildemere Beach Study - \$325,000

On September 30, 2015, the Department of Housing released a Notice of Funding Availability directed to eligible applicants seeking assistance for planning activities associated with improved resiliency of infrastructure and public facilities. The amount of funding to be made available under this NOFA is approximately \$4,000,000. The minimum amount of funding that can be applied for is \$100,000. There is no maximum amount. The deadline to receive applications is November 20, 2015.

Infrastructure:

Under the first and second tranche of funding the State of Connecticut allocated a total of \$34,000,000 for the repair or replacement of infrastructure and to adding resiliency measures to the existing infrastructures. All CDBGDR infrastructure funds have been fully committed for twenty-six (26) projects

in eleven (11) municipalities impacted by Superstorm Sandy. The Infrastructure Project Assistance Agreements have been reviewed by HUD and emphasizes a strong interest in the procurement process; using green strategies and materials; and the Section 3 requirements. Once all the environmental requirements from HUD, NEPA and DEEP are met, the Infrastructure Grant Assistance Agreements will be sent to Grantees. We anticipate that a majority of Infrastructure agreements will be executed during the third and fourth quarters. During the Second Quarter, the Economic and Community Development Agents have been working closely with the municipalities, state agencies, HUD and DEEP to ensure that all environmental requirements are met. Below is a summary of the active infrastructure projects with updates for the third quarter. .

New Haven - Brewery Square Bulkhead Rehabilitation –

The Final Notice and Public Explanation of Proposed Activities in the 100 Year Floodplain was published in May. A comment was received, reviewed and responded to. The proposed project qualifies for a category exclusion under HUD regulations 24 CFR Part 58. A draft Assistance Agreement has been sent, and will be finalized as soon as the RROF has been approved. ERR and permitting completed. Requested the release of funds from HUD. Anticipate fully executed Assistance Agreement and going out to bid to be completed in the fourth quarter.

New Haven - East Shore Erosion Control

The City of New Haven is completing its work on the National Environmental Policy Act (NEPA) including completing the Statutory Checklist, Environmental Assessment and the Environmental Review Record. The design for the project has been completed. Once the environmental review and the CT Department of Energy and Environmental Protection complete its review and issue approvals, the Public Notices will be issued. Part of Funding in Tranche 1 and Remainder of Funding in Tranche 2. Creating an Environmental Review Record.

West Haven – Beach Street & First Avenue Reconstruction

The City of West Haven has developed design drawings for the elevation of a section of the intersection of Beach Street and First Avenue in order to provide an evacuation route for a neighborhood and to provide protection for a Waste Water Treatment Plant. The CT Department of Housing has sent a formal request to the US Fish and Wildlife asking for a concurrence of its finding that this project qualifies for an “Exception to Limitations on Expenditures” under 16 USC 350(a) (3) and 16 USC 3505(a) (2) (6) because DOH has determined that the proposed project may be located in the Coastal Barrier Resource System (CBRS) which US Fish and Wildlife oversees. Trench (2) Project continues from Tranche 1 Infrastructure and is located in Coastal Barrier Resource System (CBRS). Sent request for Concurrence to US Fish & Wildlife for our finding of Exceptions to Limitations on Expenditures in the CBRS to make repairs; and add resilience; and restore part of the coastline. Awaiting Response.

Fairfield – Pine Creek Culvert Upgrade – The 8 Step Early Notice of Activities in a 100 Year Flood Plan was published June 11, 2015. The Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015. An Assistance Agreement will be executed during the 1st qtr. of 2016.

Fairfield W.P.C.F. Outfall Pipe - Creating an Environmental Review Record (ERR). Early Notice of Activities in a 100 Year Flood Plan was published June 11, 2015

Milford – Morningside Revetment Reconstruction - ERR completed. A Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015. An Assistance Agreement will be executed during the 4th qtr. of 2015.

Bridgeport – Crescent Crossings, LLC – Phase 1B

Completed NEPA Process and Requested and DEEP Flood Management Certification Exemption. Which was approved in June. Requested Release of Funds from HUD which was approved. Anticipated Assistance Agreement closing in the third quarter, in early August, and construction to begin soon after. This project will provide critical infrastructure for the planned low/moderate income housing planned in Phase 1B following Phase 1A which is anticipated to begin construction in August 2015. (Infrastructure for Housing Development) - Completed Environmental Review and Permitting. Anticipate Assistance Agreement in 10.2015 and construction to begin shortly after

Bridgeport – Essential Generators –The Bridgeport Generators are located outside of the 100 Year Flood Plain and qualify as an exempt activity. An Assistance Agreement with the Town of Bridgeport will be executed in the 3rd quarter of 2015 with construction anticipated in the 4th quarter of 2015.

Bridgeport - Yellow Bridge Resiliency – DOT

A Memorandum of Understanding (MOU) has been drafted. Anticipate MOU will be fully executed in the third quarter. The Environmental Review Record is in process and expect completion in July 2015. DEEP permits have been issued. Expect to Request Release of Funds in August 2015. MOU Drafted. Continuing ERR and design. Anticipate fully executed MOU by 11.2015.

East Haven – Hemingway and Coe Avenue Reconstruction

The Statutory Checklist and Environmental Assessment have been completed. The 8 Step Process is underway. Anticipate the Public Notices to be published, the CT DEEP permits to be issued, a Release of Funds to be requested and the Assistance Agreement to be fully executed in the third quarter. The project will alleviate flooding this critical intersection in East Haven. Completing Environmental Review Record – Anticipate publishing Early Notice of Activities in a 100 Year Flood Plain in 11.2015.

Fairfield – Penfield Beach Resiliency Improvements

The Early Notice was published on May 18, 2015 for proposed activities in a 100 year floodplain with comments due by June 1st. The project will retrofit the timber bulkhead at Penfield Beach to provide coastal resiliency and help to mitigate future damage in storm events. The 8 Step Early Notice of Activities in a 100 Year Flood Plan was published June 11, 2015. A Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015. An Assistance Agreement will be executed during the 1st qtr. of 2016.

Fairfield – Wastewater Treatment Plant Resiliency

The Early Notice was published on May 18, 2015 for proposed activities in a 100 year floodplain with comments due by June 1st. The project intends to create an earthen berm around the Wastewater Treatment Plant and related critical facilities for protection in future flood events. The 8 Step Early Notice of Activities in a 100 Year Flood Plan was published on June 11, 2015. A Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015. An Assistance Agreement will be executed during the 1st qtr. of 2016

Fairfield – Water Pollution Control Micro-Grid – DEEP

The Early Notice was published on May 18, 2015 for proposed activities in a 100 year floodplain with comments due by June 1st. The micro grid will be powered by gases produced at the Wastewater Treatment Plant and will supply power to the plant on a daily basis. The 8 Step Early Notice of Activities in a 100 Year Flood Plan was published on June 11, 2015. The Micro- Grid project is being aggregated with the WWTP Resiliency Project above.

Milford – Essential Generators

The Milford Generator project is located outside of the 100 Year Flood Plain and qualifies as an exempt activity. Replacement generator projects have been determined to be an exempt activity under NEPA. An Assistance Agreement will be executed during the 4th qtr. of 2015. Construction starting up in the 4th quarter of 2015.

Milford - Calf Pen Meadow/Beachland Avenue Resiliency

The Early Notice for Proposed Activity in a 100 Year Flood Plain is scheduled to be published on July 9, 2015. The goal of this project is to reduce flooding during periods of heavy rain and storm events. The proposed project will include raising the roadway along Beachland Avenue by 1.5 to 2.0 feet and installing a self-regulating tide gate. The 8 Step Early Notice of Activities in the 100 Year Flood Plain was published on June 9, 2015. A Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015. An Assistance Agreement will be executed during the 1st qtr. of 2016.

Milford – Bayview Beach Area Flooding Control

The Early Notice for Proposed Activity in a 100 Year Flood Plain is scheduled for publication on July 9, 2015. The project goal is to reduce flooding during heavy rain and storm events. The proposed project will redesign the drainage system by adding storage capacity of excess water below the ground. The 8 Step Early Notice of Activities in the 100 year Flood Plain was published on June 9, 2015. A Request for the Release of Funds will be submitted to HUD during the the 4th qtr. of 2015. An Assistance Agreement will be executed by the 1st qtr. of 2016

Milford – Milford Point Road Flooding Control

The Early Notice for Proposed Activity in a 100 Year Flood Plain is scheduled for publication on July 9, 2015. The proposed project will raise the road surface between 1.5-2 feet above the daily high tide elevation and a reduction in pavement will be analyzed. – The 8 Step Early Notice of Activities in the 100 Year Flood Plain was published on June 8, 2015. A Request for the Release of Funds will be submitted to HUD in the fourth quarter of 2015. An Assistance Agreement will be executed by the 1st qtr of 2016.

New Haven – East Shore Erosion Control – Part 2 - A continuation from Infrastructure Tranche 1.

The City of New Haven is completing its work on the National Environmental Policy Act (NEPA) including completing the Statutory Checklist, Environmental Assessment and the Environmental Review Record. The design for the project has been completed. nce the NEPA Process is completed and the CT Department of Energy and Environmental Protection issues approvals, the Public Notices will be issued expected in the third quarter.

New Haven – Union Avenue Mitigation and Resiliency

Currently planning is underway that relates to NEPA and DEEP requirements for this project through a

related Planning Grant provided in Tranche 1. This project is a key part of a larger City initiative to prevent flooding in the area around Union Train Station. Developing Environmental Review Record

New London – Drainage Improvements – Pequot avenue Outfall

The City of New London has hired an environmental firm to assist with the preparation of the 8 Step process and the NEPA Environmental statutory checklist. The project involves relocating the outfall away from the recreational beach area to improve the health and safety of residents and to reduce flooding along Pequot Avenue, a major evacuation route. Consultant hired. ERR nearing completion. Anticipate publishing Early Notice of Activities in a 100 Year Flood Plain in 11.2015

Norwalk – Elevation of Raymond and Day Streets

The City of Norwalk has hired an environmental firm to assist with the preparation of the 8 Step Process and NEPA Environmental Statutory Checklist. The publication of the Early Notice will take place during the 3rd quarter of 2015. The project involves raising the intersection of Raymond and Day streets to above the 100 Year Flood Plain to allow for dry egress from the new Washington Village housing project.

Norwalk – Washington Village Redevelopment - FMC exemption- intervenor has appealed DEEP's decision. A ruling the new Britain Superior Court should be made during the 1st qtr. of 2016.

Norwalk – Route 136 Bridge Resiliency – DOT

A Memorandum of Understanding (MOU) has been drafted. Anticipate MOU will be fully executed in the fourth quarter. The Environmental Review Record is in process and expect completion in July 2015. DEEP permits have been issued. Expect to Request Release of Funds in September 2015. MOU Drafted. Continuing ERR and design. Anticipate fully executed MOU by 11.2015.

Old Lyme – Sheffield Brook Outfall Resiliency

The Old Colony Beach Club Association has hired an environmental engineer to assist with the preparation of the 8 Step Process and the NEPA Environmental Statutory Checklist. The project includes enlarging a culvert and outfall pipe to improve drainage. Early Notice published of Activities in a 100 Year Flood Plan was published July 9, 2015. A Request for Release of Funds will be submitted to HUD during the 4th qtr. of 2015.

Stamford – Generator Upgrades

The Stamford Generator projects are located outside of the 100 Year Flood Plain and qualifies as an exempt activity. Replacement generators not in the flood plain have been determined to be an exempt activity. An Assistance Agreement will be executed by the 1st qtr. of 2016.

Stratford – Bunnell High School Generator

The Stratford Generator project is located outside of the 100 Year Flood Plain and qualifies as an exempt activity. Replacement generators not in the flood plain have been determined to be an exempt activity. An Assistance Agreement will be executed during the 4th qtr. of 2015.

West Haven – Old Field Creek Dredging

Because the residents living in the Old Field Creek area, a low-lying flood zone, have suffered damage from both Hurricane Irene and Superstorm Sandy, the City of West Haven included their houses into a project of the Natural Resources Conservation Service (NRCS-USDA) buyback program for houses with West Haven retaining the land as open space. The discovery of lead in the creek sediment – most likely from a pre-civil war buckle factory - disqualified the properties from being accepted into this floodplain easement project and also disqualified the project from receiving any funding for dredging. The Old Field Creek Dredging Project will remove contaminated sediment from the Old Field Creek making it possible for the National Resources Conservation Service (NRCS-USDA), another federal agency, to purchase the houses creating a wildlife conservation area and improving the natural ecosystem by adding these properties into the NRCS program. The CT Department of Housing has sent a formal request to the US Fish and Wildlife asking for a concurrence of its finding that this project qualifies for an "Exception to Limitations on Expenditures" under 16 USC 3505(a)(2)(6) because DOH has determined that the proposed project may be located in the Coastal Barrier Resource System (CBRS) which US Fish and Wildlife oversees. Exceptions to Limitations on Expenditures in the CBRS to make repairs; and add resilience; and restore part of the coastline. Awaiting Response.

West Haven – Beach Street Reconstruction – A continuation from Tranche 1

The City of West Haven has developed design drawings for the elevation of a section of the intersection of Beach Street and First Avenue in order to provide an evacuation route for a neighborhood and to provide protection for a Waste Water Treatment Plant. The CT Department of Housing has sent a formal request to the US Fish and Wildlife asking for a concurrence of its finding that this project qualifies for an "Exception to Limitations on Expenditures" under 16 USC 350(a) (3) because DOH has determined that the proposed project may be located in the Coastal Barrier Resource System (CBRS) which US Fish and Wildlife oversees. The City of West Haven has developed design drawings for the elevation of a section of the intersection of Beach Street and First Avenue in order to provide an evacuation route for a



neighborhood and to provide protection for a Waste Water Treatment Plant. The CT Department of Housing has sent a formal request to the US Fish and Wildlife asking for a concurrence of its finding that this project qualifies for an “Exception to Limitations on Expenditures” under 16 USC 350(a) (3) because DOH has determined that the proposed project may be located in the Coastal Barrier Resource System (CBRS) which US Fish and Wildlife oversees.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
R1-T1-Administration, R1-T1-Administration	\$0.00	\$500,000.00	\$500,000.00
R1-T1-Economic Revitalization, R1-T1-Economic	\$0.00	\$194,000.00	\$194,000.00
R1-T1-Homeowner Housing, R1-T1-Homeowner Housing	\$0.00	\$13,256,000.00	\$13,256,000.00
R1-T1-Multi-Family, R1-T1-Multi-Family	\$45,347.29	\$450,000.00	\$450,000.00
R1-T1-Planning, R1-T1-Planning	\$79,239.07	\$600,000.00	\$600,000.00
R2-T2-Administration, R2-T2-Administration	\$0.00	\$500,000.00	\$500,000.00
R2-T2-Homeowner Housing, R2-T2-Homeowner Housing	\$1,093,404.41	\$11,500,000.00	\$2,735,860.80
R2-T2-Infrastructure, R2-T2-Infrastructure	\$37,812.98	\$3,000,000.00	\$37,812.98
R3-T1-Administration, R3-T1-Administration	\$319,885.12	\$1,967,500.00	\$1,232,840.62
R3-T1-Homeowner Housing, R3-T1-Homeowner Housing	(\$2,274,473.24)	\$17,000,000.00	(\$2,142,813.58)
R3-T1-Infrastructure, R3-T1-Infrastructure	\$0.00	\$4,000,000.00	\$0.00
R3-T1-Multi-Family, R3-T1-Multi-Family	\$63,872.86	\$10,000,000.00	\$63,872.86
R3-T1-Planning, R3-T1-Planning	\$185,188.39	\$1,029,000.00	\$185,188.39
R3-T1-Public Facilities, R3-T1-Public Facilities	\$0.00	\$1,003,500.00	\$0.00
R4-T3-Owner Occupied, R4-T3-Owner Occupied	\$0.00	\$3,000,000.00	\$0.00
R4-T3-Rebuild by Design, R4-T3-Rebuild by Design	\$0.00	\$2,000,000.00	\$0.00



Activities

Project # / Title: R1-T1-Administration / R1-T1-Administration

Grantee Activity Number: R1-T1-Administration -29502-500001

Activity Title: R1-T1-Administration -29502-500001

Activity Category:

Administration

Activity Status:

Under Way

Project Number:

R1-T1-Administration

Project Title:

R1-T1-Administration

Projected Start Date:

08/22/2013

Projected End Date:

08/22/2015

Benefit Type:

()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

STATE OF CONNECTICUT

Overall

Jul 1 thru Sep 30, 2015

To Date

Total Projected Budget from All Sources

N/A

\$500,000.00

Total Budget

\$0.00

\$500,000.00

Total Obligated

\$0.00

\$500,000.00

Total Funds Drawdown

\$0.00

\$500,000.00

Program Funds Drawdown

\$0.00

\$500,000.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$500,000.00

STATE OF CONNECTICUT

\$0.00

\$500,000.00

Match Contributed

\$0.00

\$0.00

Activity Description:

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated manner, to assist them in repairing their homes, small businesses, infrastructure and public facilities, so that they can get their lives back in order and get the local economy back in business.

Location Description:

Grant Administration activities to implement the CDBG-DR program in areas impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:



This activity represents the allocation of funds for administrative salaries and program development. In the third quarter, there were a total of 16 employees at the Department of Housing administering the CDBG-DR program.

All Allocation #1 First Tranche - Administrative funds have been fully expended.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-T1-Economic Revitalization / R1-T1-Economic

Grantee Activity Number: R1-T1-Economic Revitalization-29522-2066100

Activity Title: R1-T1-Economic Revitalization-29522-2066100

Activity Category:

Econ. development or recovery activity that creates/retains

Project Number:

R1-T1-Economic Revitalization

Projected Start Date:

08/22/2013

Benefit Type:

Direct (Person)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R1-T1-Economic Revitalization

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT



Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$194,000.00
Total Budget	\$0.00	\$194,000.00
Total Obligated	\$0.00	\$194,000.00
Total Funds Drawdown	\$0.00	\$194,000.00
Program Funds Drawdown	\$0.00	\$194,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$194,000.00
STATE OF CONNECTICUT	\$0.00	\$194,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The Economic Revitalization Program was established to provide assistance to eligible small businesses that suffered direct physical damage as a result of Superstorm Sandy with the financial resources needed to continue the rebuilding process. Grants may be provided to small businesses, including non-profit organizations for capital expenditures to repair or replace needed equipment, lost inventory, rehabilitation, reconstruction of facilities that were damaged/destroyed or to provide working capital needed as a direct result of the storm while promoting job retention and job creation.

Location Description:

The Economic Revitalization Program is designed to meet the needs of small businesses in the communities most impacted by Superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation. Priority has been given to the small business located in the Fairfield and New Haven Counties.

Activity Progress Narrative:

The State of Connecticut operates a Small Business Express Program that provides loans and grants to small business to spur job creation and growth. In the aftermath of Superstorm Sandy, many small business owners capitalized on existing resources available immediately after the storm thus inhibiting the interest in the CDBG-DR Economic Revitalization program.

Since the program begun accepting applications on September 27, 2013, a total of fourteen (14) applications were submitted for assistance. The Department of Housing has provided financial assistance to four (4) small businesses with a total grant award of \$ \$194,000. The four small business who were funded under this grant are:

- (1) Charles Island Oyster Farm, Milford - \$50,000;
- (2) Inn at Fairfield Beach, Fairfield - \$ 44,000;
- (3) Lennys Indian Head Inn, Branford - \$ 50,000; and
- (4) Main Enterprises, Stratford - \$50,000.

All available Economic Revitalization funds have been fully expended under this grant.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	0	0	0	0/0	0/0	3/3	0.00



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-T1-Homeowner Housing / R1-T1-Homeowner Housing

Grantee Activity Number: R1-T1-OORR-RH-LM-29501-2066103

Activity Title: R1-T1-OORR-Rehab-Low/Mod

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-T1-Homeowner Housing

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-T1-Homeowner Housing

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jul 1 thru Sep 30, 2015

N/A

To Date

\$432,369.07

Total Budget

\$157,369.07

\$432,369.07

Total Obligated

\$157,369.07

\$432,369.07

Total Funds Drawdown

\$0.00

\$432,369.07

Program Funds Drawdown

\$0.00

\$432,369.07

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$157,369.07

\$432,369.07

STATE OF CONNECTICUT

\$157,369.07

\$432,369.07



Match Contributed

\$0.00

\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to Moderate income owner occupied properties impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

As of the third quarter of 2015 there were six (6) low-to-moderate income homeowners who were deemed eligible for the CDBG-DR grant in Middlesex and New London Counties. We have assigned six (6) of these application to an Architectural, Engineering, and Construction Management firm and six (6) of these homeowners have executed the Access Agreement. Our Architectural, Engineering and Construction Management firms have continued on with six (6) of the applicants to conduct the necessary Environmental Statutory Checklist review and prepare construction documents.

Of the six (6) active low-to-moderate income projects in Middlesex and New London Counties, five (5) projects have been put out to bid of which five (5) have fully executed Grant agreements. As of September 30, 2015 there are five (5) homes in the construction phase.

All funds from the first obligation have been fully expended in compliance with the 24 month federal expenditure regulation.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R1-T1-OORR-RH-LM-MI-29501-2066101
Activity Title: R1-T1-OORR-Rehab-Low/Mod-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-T1-Homeowner Housing

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-T1-Homeowner Housing

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$9,711,115.03
Total Budget	\$3,298,385.59	\$9,711,115.03
Total Obligated	\$3,298,385.59	\$9,711,115.03
Total Funds Drawdown	\$0.00	\$9,711,115.03
Program Funds Drawdown	\$0.00	\$9,711,115.03
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$3,298,385.59	\$9,711,115.03
STATE OF CONNECTICUT	\$3,298,385.59	\$9,711,115.03
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

As of the third quarter of 2015 there were one hundred and thirty-three (133) low-to-moderate income homeowners who were deemed eligible for the CDBG-DR grant in the most impacted counties of Fairfield and New Haven. We have assigned one hundred and twenty-six (126) of these application to an Architectural, Engineering, and Construction Management firm and one hundred and four (104) of these homeowners have executed the Access Agreement. Our Architectural, Engineering and Construction Management firms have continued on with one hundred and twenty-seven (127) of the applicants to conduct the necessary Environmental Statutory Checklist review and prepare construction documents. Of the one hundred and twenty-seven (127) active low-to-moderate income projects in Fairfield and New Haven



counties, ninety-four (94) projects have been put out to bid of which seventy (70) have fully executed Grant agreements. After bidding, seven (7) of our Homeowners decided not to proceed with their projects and have withdrawn from the program. We currently have four (4) low-to-moderate income projects that have been awarded, but we are unable to execute a grant agreement due to outstanding documentation issues. As of September 30, 2015 there are seventy (70) homes in the construction phase and thirty-one (31) have been completed in the most impacted counties of New Haven. All funds from the first obligation have been fully expended in compliance with the 24 month federal expenditure regulation.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R1-T1-OORR-RH-UN - 29501-2066104

Activity Title: R1-T1-OORR-Rehab-Urgent Need

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-T1-Homeowner Housing

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R1-T1-Homeowner Housing

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$119,026.43
Total Budget	\$755.87	\$119,026.43
Total Obligated	\$755.87	\$119,026.43
Total Funds Drawdown	\$0.00	\$119,026.43
Program Funds Drawdown	\$0.00	\$119,026.43
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$755.85	\$119,026.41
STATE OF CONNECTICUT	\$755.85	\$119,026.41
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.



Activity Progress Narrative:

As of the third quarter of 2015, there were thirteen (13) urgent need applicants who were deemed eligible for the CDBG-DR grant in Middlesex and New London Counties. In accordance with the program guidelines we are providing assistance to eligible homeowners in priority levels 1, 2, and 3 displaced from their homes. We have assigned two (2) applicants to an Architectural, Engineering and Construction Management firm to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents. One (1) project has been put out to bid and it is in the award phase. All funds from the first obligation have been fully expended in compliance with the 24 month federal expenditure regulation.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R1-T1-OORR-RH-UN-MI - 29501-2066102
Activity Title: R1-T1-OORR-Rehab-Urgent Need-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-T1-Homeowner Housing

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R1-T1-Homeowner Housing

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$2,993,489.47
Total Budget	\$1,493,489.47	\$2,993,489.47
Total Obligated	\$1,493,489.47	\$2,993,489.47
Total Funds Drawdown	\$0.00	\$2,993,489.47
Program Funds Drawdown	\$0.00	\$2,993,489.47
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,493,489.47	\$2,993,489.40
STATE OF CONNECTICUT	\$1,493,489.47	\$2,993,489.40
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

As of the third quarter of 2015, there were one hundred and forty-five (145) urgent need applicants who were deemed eligible for the CDBG-DR grant in the most impacted counties of Fairfield and New Haven. In accordance with the program guidelines we are currently providing assistance to eligible homeowners in priority levels 2 and 3 displaced from their homes. We have assigned sixty-five (65) applicants to an Architectural, Engineering and forty five (45) of these homeowners have executed the Access Agreement. The Architectural, Engineering and Construction Management firms have been issued a Notice to Proceed on forty five (45) projects to conduct the necessary Environmental Statutory Checklists and prepare construction documents for bidding purposes.

We have place the thirty-two (32) urgent need projects in Fairfield and New Haven Counties out to bid and nineteen



(19) of these homeowners have fully executed Grant agreements. After bidding, three (3) of our homeowners decided not to proceed with their projects and have withdrawn from the program. We currently have seven (7) low-to-moderate income projects that have been awarded, but we are unable to execute a grant agreement due to outstanding documentation issues. As of September 30, 2015 there are nineteen (19) projects that are in the construction phase and twelve (12) projects have been completed in the most impacted counties of Fairfield and New Haven. All funds from the first obligation have been fully expended in compliance with the 24 month federal expenditure regulation.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-T1-Multi-Family / R1-T1-Multi-Family

Grantee Activity Number: R1-T1-MFRI-LM-MI 29519-2066107

Activity Title: R1-T1-Multi-family-Inside Floodplain

Activity Category:

Affordable Rental Housing

Project Number:

R1-T1-Multi-Family

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-T1-Multi-Family

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT



Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$0.00
Total Budget	(\$3,500,000.00)	\$0.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Multifamily Low to Moderate Rehabilitation, Rebuilding and Mitigation inside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

The first phase of the Washington Village project will construct 80 units, 40 of which are public housing replacement units. This project is located within the 100 year flood plain. The CT Department of Energy and Environmental Protection (DEEP) approved the Flood Management Certification Exemption on April 30, 2015. The Friends of Ryan Park an intervener has appealed DEEP's final decision. The appeal is currently under review at the New Britain Superior Court and a decision should be made during the first quarter of 2016.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources





Grantee Activity Number:	R1-T1-MFRO-LM-MI 29519-2066106
Activity Title:	R1-T1-Multi-Family-Outside Floodplain

Activity Category:

Affordable Rental Housing

Project Number:

R1-T1-Multi-Family

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-T1-Multi-Family

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$0.00
Total Budget	(\$500,000.00)	\$0.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Multifamily Rehabilitation, Rebuilding and Mitigation outside the flood plain.

Location Description:

Multifamily properties including public housing, HUD-assisted housing, McKinney-Vento funded shelters and housing for the homeless, which were impacted by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

Although no funds have been drawn down for this activity, the state continues to make significant progress with the two multifamily developers with projects located outside the flood plain. See summaries below:
 Bridgeport - Crescent Crossings Phase 1A – The contract was executed on July 30, 2015. The developer began construction activity on 93 units of low/moderate income housing in the month of September. These new units are replacing 33 housing units that were damaged or destroyed by Superstorm Sandy at the Marina Village, a low/moderate income housing development in the 100 year flood plain. The new units are outside of the 100 year flood plain. Other funders for this project include HOME Funds, CT Housing Finance Authority (CHFA), CitiBank, and the Bridgeport Housing Authority. Additional phases are planned.
 Stamford - Summer Place – This project replaces 48 public housing units for individuals 55 years of age and older and is located outside of the flood plain. The Request for Release of Funds was approved by HUD on May 5, 2015. A closing has been scheduled for the end of October 2015. Construction will begin in early November 2015.



The construction term is approximately 24 months.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R1-T1-SSRR-LM-MI 29519-2066105

Activity Title: R1-T1-Multi-Family-Scattered Sites

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R1-T1-Multi-Family

Projected Start Date:

08/22/2013

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R1-T1-Multi-Family

Projected End Date:

08/22/2015

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$450,000.00
Total Budget	(\$550,000.00)	\$450,000.00
Total Obligated	\$45,347.29	\$450,000.00
Total Funds Drawdown	\$45,347.29	\$450,000.00
Program Funds Drawdown	\$45,347.29	\$450,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$45,347.29	\$450,000.00
STATE OF CONNECTICUT	\$45,347.29	\$450,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

One-four unit low to moderate income rental occupied properties which were damaged by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:

As of the third quarter of 2015, there were seven (7) projects are in design development phase. Six (6) projects have been placed out to bid among prequalified General Contractors and three (3) projects are currently under construction. All funds from the first obligation have been fully expended in compliance with the 24 month federal expenditure regulation.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R1-T1-Planning / R1-T1-Planning

Grantee Activity Number: R1-T1-Planning 29516-2066100

Activity Title: R1-T1-Planning 29516-2066100

Activity Category:

Planning

Project Number:

R1-T1-Planning

Projected Start Date:

08/22/2013

Benefit Type:

Area ()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

R1-T1-Planning

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jul 1 thru Sep 30, 2015

N/A

To Date

\$600,000.00

Total Budget

(\$400,000.00)

\$600,000.00

Total Obligated

\$79,239.07

\$600,000.00

Total Funds Drawdown

\$79,239.07

\$600,000.00

Program Funds Drawdown

\$79,239.07

\$600,000.00



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$79,239.07	\$600,000.00
STATE OF CONNECTICUT	\$79,239.07	\$600,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Program Planning activities associated with improving the resiliency of infrastructure, public buildings and providing mitigation activities. These activities might include: Developing CDBG-DR program and activities; The formulation of local long-term recovery plans; Plans to address foreseeable mitigation needs at the state, regional or local level; Research to develop strategies to address the health and safety of homeless individuals and families and other vulnerable populations; Plans to avoid fuel shortages during future disasters; and Scoping of mitigation and resiliency projects, particularly as they relate to critical infrastructure.

Location Description:

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

Activity Progress Narrative:

Under the first and second tranches of funding the State of Connecticut allocated a total of \$9,029,000 to assist in the planning processes associated with improving the resiliency of infrastructure, public facilities, and providing mitigation activities. In the first tranche ten (10) planning projects were approved, committing the entire \$2,029,000 first tranche funds.

In the second tranche the state allocated \$7,000,000 for planning activities. As of the third quarter, we have issued soft letters of commitment for seven (7) projects and executed a contract for one (1) project. The total funds obligated to date for these projects are \$3,205,450. The projects that have received commitments under this second tranche of funds are:

- 1 - Department of Energy and Environmental Protection, Develop tools to assess the vulnerability of infrastructure - \$1,205,450
- 2 - Department of Public Health, Statewide public drinking water vulnerability assessment & resilience plan - \$600,000
- 3 - Town of Fairfield, Pine Creek Dike Expansion - \$300,000
- 4 - Town of Fairfield, Fairfield Beach Engineered Design - \$75,000
- 5 - Town of Fairfield, Riverside Drive Coastal resiliency - \$200,000
- 6 - Town of Milford, Crescent Beach Study - \$225,000
- 7 - Town of Milford, Gulf Street & Welch's Point Road Study - \$275,000
- 8 - Town of Milford, Walnut & Wildemere Beach Study - \$325,000

On September 30, 2015, the Department of Housing released a Notice of Funding Availability directed to eligible applicants seeking assistance for planning activities associated with improved resiliency of infrastructure and public facilities. The amount of funding to be made available under this NOFA is approximately \$4,000,000. The minimum amount of funding that can be applied for is \$100,000. There is no maximum amount. The deadline to receive applications is November 20, 2015.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-T2-Administration / R2-T2-Administration

Grantee Activity Number: R2-T2-Administration-29502-5000001

Activity Title: R2-T2-Administration-29502-5000001

Activity Category:

Administration

Project Number:

R2-T2-Administration

Projected Start Date:

06/30/2014

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

R2-T2-Administration

Projected End Date:

06/30/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jul 1 thru Sep 30, 2015

To Date

Total Budget

N/A

\$500,000.00

Total Obligated

\$0.00

\$500,000.00

Total Funds Drawdown

\$0.00

\$500,000.00

Program Funds Drawdown

\$0.00

\$500,000.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$0.00

\$500,000.00

STATE OF CONNECTICUT

\$0.00

\$500,000.00

Match Contributed

\$0.00

\$0.00

Activity Description:



Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

Location Description:

Activity Progress Narrative:

This activity represents the allocation of funds for administrative salaries and program development. In the third quarter, there were a total of 16 employees at the Department of Housing administering the CDBG-DR program.

All Obligation #2 Second Tranche - Administrative Funds have been fully expended.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R2-T2-Homeowner Housing / R2-T2-Homeowner Housing

Grantee Activity Number:	R2-T2-OORR-MT-LM-29501-2066110
Activity Title:	R2-T2-OORR-Single Family-Mitigation-Low/Mod

Activitiy Category:
Rehabilitation/reconstruction of residential structures

Activity Status:
Under Way

Project Number:
R2-T2-Homeowner Housing

Project Title:
R2-T2-Homeowner Housing

Projected Start Date:

Projected End Date:



07/01/2014

07/01/2016

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,040,000.00
Total Budget	\$0.00	\$1,040,000.00
Total Obligated	\$14.71	\$6,590.19
Total Funds Drawdown	\$14.71	\$6,590.19
Program Funds Drawdown	\$14.71	\$6,590.19
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$14.71	\$6,590.19
STATE OF CONNECTICUT	\$14.71	\$6,590.19
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of Second Tranche of funding we are able to address mitigation only applications. As of the third quarter of 2015, there were six (6) low to moderate income applicants who were deemed eligible for the CDBG-DR mitigation grant in Middlesex and New London Counties. We have assigned six (6) of these applicants to an Architectural, Engineering and three (3) of these homeowners have executed the Access Agreement. The Architectural, Engineering and Construction Management firms have been issued a Notice to proceed on three (3) projects to conduct the necessary Environmental Statutory Checklists and prepare construction documents for bidding purposes. One (1) project has been put out to bid and is in the award phase.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-T2-OORR-MT-LM-MI-29501-2066114
Activity Title:	R2-T2-OORR-Mitigation-Low/Mod-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$4,160,000.00
Total Budget	\$0.00	\$4,160,000.00
Total Obligated	\$209,460.49	\$603,797.37
Total Funds Drawdown	\$209,460.49	\$603,797.37
Program Funds Drawdown	\$209,460.49	\$603,797.37
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$209,460.85	\$603,797.73
STATE OF CONNECTICUT	\$209,460.85	\$603,797.73
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of Second Tranche of funding we are able to address mitigation only applications. As of the third quarter of 2015, there were twenty-two (22) low to moderate income applicants who were deemed eligible for CDBG-DR mitigation grants in the most impacted counties of Fairfield and New Haven. We have assigned twenty-two (22) applicants to an Architectural and Engineering firms and all of these homeowners have executed the Access Agreement. The Architectural and Engineering and Construction Management firms have been issued a Notice to Proceed on twenty (20) projects to conduct the necessary Environmental Statutory Checklists and prepare construction documents for bidding purposes. Six (6) projects have been placed out to bid, and five (5) is under construction.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R2-T2-OORR-MT-UN-29501-2066111

Activity Title: R2-T2-OORR-Mitigation-Urgent Need

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$260,000.00
Total Budget	\$0.00	\$260,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Activity Progress Narrative:

As a result of Second Tranche of funding we are able to address mitigation only applications. In accordance with the program guidelines mitigation only applicants are eligible for a reimbursement grant in order of priority upon completion of the mitigation measures and elevating their home above the base flood elevation. Homeowners in priority level B (household with incomes between 100% and 120% of the area median income) are eligible for \$30,000 and homeowners in priority level C (households with incomes between 120% and 150% of the area median income) are eligible for \$15,000 from the CDBG-DR program.

As of the third quarter of 2015, there are three (3) urgent need applicant who was deemed eligible for the CDBG-DR assistance upon completion of the mitigation measures in accordance with program guidelines. This (1) applicants has executed the Access Agreement and we have been able to assign the applicants to an Architectural



and Engineering firm.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-T2-OORR-MT-UN-MI-29501-2066115
Activity Title:	R2-T2-OORR-Mitigation-Urgent Need-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,040,000.00
Total Budget	\$0.00	\$1,040,000.00
Total Obligated	\$26,226.51	\$320,609.31
Total Funds Drawdown	\$26,226.51	\$320,609.31
Program Funds Drawdown	\$26,226.51	\$320,609.31
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$26,226.51	\$320,609.31
STATE OF CONNECTICUT	\$26,226.51	\$320,609.31
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties seeking assistance in elevating their properties above the base flood elevations.

Activity Progress Narrative:

As a result of Second Tranche of funding we are able to address mitigation only applications. In accordance with the program guidelines mitigation only applicants are eligible for a reimbursement grant in order of priority upon completion of the mitigation measures and elevating their home above the base flood elevation. Homeowners in priority level B (households with incomes between 100% and 120% of the area median income) are eligible for \$30,000 and homeowners in priority level C (households with incomes between 120% and 150% of the area median income) are eligible for \$15,000 from the CDBG-DR program. As of the third quarter of 2015, there were eight (8) urgent need applicants who were deemed eligible for the CDBG-DR mitigation grant in the most impacted counties of Fairfield and New Haven. These urgent need applicants



will receive CDBG-DR assistance upon completion of the mitigation measures in accordance with the program guidelines. To-date six(6) applicant has signed the Access Agreement, one (1) has been assigned to an Architectural and Engineering firm to complete the necessary Environmental Review and construction documents, one (1) has been issued a notice to proceed, one (1)has been placed out to bid and is under construction. There are currently an additional twenty-nine (29) applicants that will be eligible for mitigation assistance upon the completion of elevation of their home above the base flood elevation.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R2-T2-OORR-RI-LM-29501-2066108
Activity Title:	R2-T2-OORR-Single Family-Reimbursement-Low/Mod

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$440,000.00
Total Budget	\$0.00	\$440,000.00
Total Obligated	\$2,596.57	\$10,711.42
Total Funds Drawdown	\$2,596.57	\$10,711.42
Program Funds Drawdown	\$2,596.57	\$10,711.42
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$2,596.57	\$10,711.42
STATE OF CONNECTICUT	\$2,596.57	\$10,711.42
Match Contributed	\$0.00	\$0.00

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Middlesex and New London Counties whose low to moderate income homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As of the third quarter of 2015, there were nine (9) low to moderate income applicants who were deemed eligible for the CDBG-DR reimbursement grants in Middlesex and New London counties. In accordance with the program guidelines, homeowners who have exceeded the maximum grant award on the rehabilitation of their damaged homes will not be eligible for reimbursement. We have assigned eight (8) applicants to an Architectural, Engineering and Construction Management firm to inspect the work performed and complete an environmental review checklist.



To-date, we have made award determinations on two (2) projects and these homeowners have been reimbursed for their out of pocket expenses

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-T2-OORR-RI-LM-MI-29501-2066112
Activity Title:	R2-T2-OORR-Reimbursement-Low/Mod-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,840,000.00
Total Budget	\$0.00	\$1,840,000.00
Total Obligated	\$423,646.18	\$765,329.96
Total Funds Drawdown	\$354,243.69	\$695,927.47
Program Funds Drawdown	\$354,243.69	\$695,927.47
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$423,645.88	\$765,329.66
STATE OF CONNECTICUT	\$423,645.88	\$765,329.66
Match Contributed	\$0.00	\$0.00

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As of the third quarter of 2015, there were eight- five (85) low to moderate income applicants who were deemed eligible for the CDBG-DR reimbursement grants in the most impacted counties of Fairfield and New Haven. In accordance with the program guidelines, homeowners who have exceeded the maximum grant award on the rehabilitation of their damaged homes will not be eligible for reimbursement. We have assigned fifty-five (55) applicants to an Architectural, Engineering and Construction Management firm and twenty-nine (29) of these homeowners have executed the Access Agreement which gives the Architectural, Engineering and Construction



Management firms permission to inspect the work performed and complete an environmental review checklist. As of the end of the third quarter, we have made award determinations on twenty-eight (28) projects of which twenty- eight (28) have signed assistance contracts and these homeowners have been reimbursed for their expenses. Twenty- seven (27) low to moderate income homeowners from Fairfield and New Haven counties have been reimbursed under the CDBG-DR program.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number:	R2-T2-OORR-RI-UN-29501-2066109
Activity Title:	R2-T2-OORR-Single Family-Reimbursement-Urgent Need

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$560,000.00
Total Budget	\$0.00	\$560,000.00
Total Obligated	\$10,839.28	\$132,633.68
Total Funds Drawdown	(\$110,162.63)	(\$109,370.14)
Program Funds Drawdown	(\$110,162.63)	(\$109,370.14)
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$110,162.63)	\$11,631.77
STATE OF CONNECTICUT	(\$110,162.63)	\$11,631.77
Match Contributed	\$0.00	\$0.00

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Middlesex and New London Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As of the third quarter of 2015, there were nineteen (19) urgent need applicants who were deemed eligible for the CDBG-DR reimbursement grants in Middlesex and New London Counties. In accordance with the program guidelines, homeowners who have exceeded the maximum grant award on the rehabilitation of their damaged homes will not be eligible for reimbursement. We have assigned five (5) applicants to an Architectural, Engineering and Construction Management firm to inspect the work performed and complete an environmental review checklist.



As of the end of the third quarter, we have made award determinations on one (1) project and these homeowners are in the process of contract execution and being reimbursed for their expenses.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	R2-T2-OORR-RI-UN-MI-29501-2066113
Activity Title:	R2-T2-OORR-Reimbursement-Urgent Need-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R2-T2-Homeowner Housing

Projected Start Date:

07/01/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R2-T2-Homeowner Housing

Projected End Date:

07/01/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$2,160,000.00
Total Budget	\$0.00	\$2,160,000.00
Total Obligated	\$882,026.98	\$1,357,595.18
Total Funds Drawdown	\$611,025.07	\$1,207,595.18
Program Funds Drawdown	\$611,025.07	\$1,207,595.18
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$882,026.98	\$1,357,595.18
STATE OF CONNECTICUT	\$882,026.98	\$1,357,595.18
Match Contributed	\$0.00	\$0.00

Activity Description:

The Owner Occupied Reimbursement (OOR) Program is designed to reimburse program-eligible applicants who used personal funds to repair Superstorm Sandy damage to their homes or to rebuild their destroyed homes. Homeowners who sustained damage to their primary residential property as a result of the Superstorm Sandy and have repaired their property may be eligible for reimbursement, in whole or in part, for out-of-pocket funds spent repairing their property. This program will assist homeowners on a first-come, first-serve basis in order of program priorities. The program will provide reimbursement of eligible home repairs up to a maximum of \$150,000.

Location Description:

Owner Occupied properties located in Fairfield and New Haven Counties whose homeowners completed the eligible repairs within one year after Superstorm Sandy.

Activity Progress Narrative:

As of the third quarter of 2015, there were two hundred and fifty- eight (258) urgent need applicants who were deemed eligible for the CDBG-DR reimbursement grants in the most impacted counties of Fairfield and New Haven. In accordance with the program guidelines, homeowners who have exceeded the maximum grant award on the rehabilitation of their damaged homes will not be eligible for reimbursement. We have assigned ninety- seven (97) applicants to an Architectural, Engineering and Construction Management firm and eighty-four (84) of these



homeowners have executed the Access Agreement which gives the Architectural, Engineering and Construction Management firms permission to inspect the work performed and complete an environmental review checklist.

As of the end of the third quarter, we have made award determinations on twenty-five (25) projects and signed contracts with twenty-five (25) of these homeowners were reimbursed for their expenses.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R2-T2-Infrastructure / R2-T2-Infrastructure

Grantee Activity Number: R2-T2-Infrastructure-Roads-MI- 29520-2066117

Activity Title: R2-T2-Infrastructure-Roads-Most Impacted

Activity Category:

Construction/reconstruction of streets

Activity Status:

Under Way

Project Number:

R2-T2-Infrastructure

Project Title:

R2-T2-Infrastructure

Projected Start Date:

07/01/2014

Projected End Date:

07/01/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT



Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$2,000,000.00
Total Budget	\$0.00	\$2,000,000.00
Total Obligated	\$20,646.90	\$20,646.90
Total Funds Drawdown	\$20,646.90	\$20,646.90
Program Funds Drawdown	\$20,646.90	\$20,646.90
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$20,646.90	\$20,646.90
STATE OF CONNECTICUT	\$20,646.90	\$20,646.90
Match Contributed	\$0.00	\$0.00

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure roads projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Under the first and second tranche of funding the State of Connecticut allocated a total of \$34,000,000 for the repair or replacement of infrastructure and to adding resiliency measures to the existing infrastructures. All CDBG-DR infrastructure funds have been fully committed for twenty-six (26) projects in in eleven (11) municipalities impacted by Superstorm Sandy.

The Infrastructure Project Assistance Agreements have been reviewed by HUD and emphasizes a strong interest in the procurement process, using green strategies and materials and the Section 3 requirements.

During the third Quarter, the Economic and Community Development Agents have been working closely with the municipalities, State agencies, HUD and DEEP to ensure that are environmental requirements are met. The Department of Housing submitted six (6) Request for Release of Funds to HUD for approval pursuant to the statutes that govern the environmental requirements of the program. We anticipate that approval of the Request for Release of Funds will be granted in the fourth quarter so that contracts can be executed and construction activity begin shortly thereafter. The projects for which Request for Release of Funds have been submitted to HUD for approval are:

- 1 - New Haven, Rehabilitation of Brewery Square Bulkhead \$940,047
- 2 - Milford, Morning Side Revetment Repair \$780,480
- 3 - Milford, Gulf Beach Breakwater Improvement \$503,500
- 4 - Milford, Bayview beach Area Flooding Study & Drainage Improvements \$1,326,150
- 5 - Milford, Milford Point Road Flooding Study and Drainage Improvements \$301,537
- 6 - Milford, Calf Pen & Beach land Ave Flooding Study and Mitigation \$ 638,250

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R2-T2-Infrastructure-Water/Sewer-29520-2066116

Activity Title: R2-T2-Infrastructure-Water & Sewer-Most Impacted

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

R2-T2-Infrastructure

Project Title:

R2-T2-Infrastructure

Projected Start Date:

07/01/2014

Projected End Date:

07/01/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,000,000.00
Total Budget	\$0.00	\$1,000,000.00
Total Obligated	\$17,166.08	\$17,166.08
Total Funds Drawdown	\$17,166.08	\$17,166.08
Program Funds Drawdown	\$17,166.08	\$17,166.08
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$17,166.08	\$17,166.08
STATE OF CONNECTICUT	\$17,166.08	\$17,166.08
Match Contributed	\$0.00	\$0.00

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure water & sewer projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Under the first and second tranche of funding the State of Connecticut allocated a total of \$34,000,000 for the repair or replacement of infrastructure and to adding resiliency measures to the existing infrastructures. All CDBG-DR infrastructure funds have been fully committed for twenty-six (26) projects in eleven (11) municipalities impacted by Superstorm Sandy.

The Infrastructure Project Assistance Agreements have been reviewed by HUD and emphasizes a strong interest in



the procurement process, using green strategies and materials and the Section 3 requirements.

During the third Quarter, the Economic and Community Development Agents have been working closely with the municipalities, State agencies, HUD and DEEP to ensure that environmental requirements are met. The Department of Housing submitted six (6) Request for Release of Funds to HUD for approval pursuant to the statutes that govern the environmental requirements of the program. We anticipate that approval of the Request for Release of Funds will be granted in the fourth quarter so that contracts can be executed and construction activity begin shortly thereafter. The projects for which Request for Release of Funds have been submitted to HUD for approval are:

- 1 - New Haven, Rehabilitation of Brewery Square Bulkhead \$940,047
- 2 - Milford, Morning Side Revetment Repair \$780,480
- 3 - Milford, Gulf Beach Breakwater Improvement \$503,500
- 4 - Milford, Bayview beach Area Flooding Study & Drainage Improvements \$1,326,150
- 5 - Milford, Milford Point Road Flooding Study and Drainage Improvements \$301,537
- 6 - Milford, Calf Pen & Beach land Ave Flooding Study and Mitigation \$ 638,250

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R3-T1-Administration / R3-T1-Administration

Grantee Activity Number: R3-T1-Administration - 29502-5000001

Activity Title: R3-T1-Administration - 29502-5000001

Activity Category:

Administration

Project Number:

R3-T1-Administration

Projected Start Date:

12/02/2014

Benefit Type:

Activity Status:

Under Way

Project Title:

R3-T1-Administration

Projected End Date:

12/02/2016

Completed Activity Actual End Date:



()

National Objective:

N/A

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,967,500.00
Total Budget	\$0.00	\$1,967,500.00
Total Obligated	\$319,885.12	\$1,232,840.62
Total Funds Drawdown	\$319,885.12	\$1,232,840.62
Program Funds Drawdown	\$319,885.12	\$1,232,840.62
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$319,885.12	\$1,232,840.62
STATE OF CONNECTICUT	\$319,885.12	\$1,232,840.62
Match Contributed	\$0.00	\$0.00

Activity Description:

Grant Administration activities to ensure that assistance will be provided to as many residents affected by Superstorm Sandy as soon as possible in a consistent and coordinated maner, to assist them in repairing their homes, small buisnesses, infastructure and public facilities, so that they can get their lives back in order and get the local economy back in buisness.

Location Description:

Activity Progress Narrative:

This activity represents the allocation of funds for administrative salaries and program development. In the third quarter, there were a total of 16 employees at the Department of Housing administering the CDBG-DR program.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R3-T1-Homeowner Housing / R3-T1-Homeowner Housing

Grantee Activity Number: R3-T1-OORR-RH-LM-29501-2066103

Activity Title: R3-T1-OORR-Rehab-Low/Mod

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R3-T1-Homeowner Housing

Project Title:

R3-T1-Homeowner Housing

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jul 1 thru Sep 30, 2015

To Date

N/A

\$1,225,000.00

Total Budget

\$0.00

\$1,225,000.00

Total Obligated

(\$76,984.93)

\$80,384.14

Total Funds Drawdown

(\$76,984.93)

(\$76,984.93)

Program Funds Drawdown

(\$76,984.93)

(\$76,984.93)

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

(\$76,984.93)

\$80,384.14

STATE OF CONNECTICUT

(\$76,984.93)

\$80,384.14

Match Contributed

\$0.00

\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.



Location Description:

Low to Moderate income owner occupied properties impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

As of the third quarter of 2015 there were six (6) low-to-moderate income homeowners who were deemed eligible for the CDBG-DR grant in Middlesex and New London Counties. We have assigned six (6) of these application to an Architectural, Engineering, and Construction Management firm and six (6) of these homeowners have executed the Access Agreement. Our Architectural, Engineering and Construction Management firms have continued on with six (6) of the applicants to conduct the necessary Environmental Statutory Checklist review and prepare construction documents.

Of the six (6) active low-to-moderate income projects in Middlesex and New London Counties, five (5) projects have been put out to bid of which five (5) have fully executed Grant agreements. As of September 30, 2015 there are five (5) homes in the construction phase.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R3-T1-OORR-RH-LM-MI-29501-2066101
Activity Title: R3-T1-OORR-Rehab-Low/Mod-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R3-T1-Homeowner Housing

Projected Start Date:

12/02/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R3-T1-Homeowner Housing

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$9,400,000.00
Total Budget	\$0.00	\$9,400,000.00
Total Obligated	(\$838,176.57)	\$2,460,209.02
Total Funds Drawdown	(\$942,872.29)	(\$942,872.29)
Program Funds Drawdown	(\$942,872.29)	(\$942,872.29)
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$838,176.57)	\$2,460,209.02
STATE OF CONNECTICUT	(\$838,176.57)	\$2,460,209.02
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

As of the third quarter of 2015 there were one hundred and thirty-three (133) low-to-moderate income homeowners who were deemed eligible for the CDBG-DR grant in the most impacted counties of Fairfield and New Haven. We have assigned one hundred and twenty-six (126) of these application to an Architectural, Engineering, and Construction Management firm and one hundred and four (104) of these homeowners have executed the Access Agreement. Our Architectural, Engineering and Construction Management firms have continued on with one hundred and twenty-seven (127) of the applicants to conduct the necessary Environmental Statutory Checklist review and prepare construction documents. Of the one hundred and twenty-seven (127) active low-to-moderate income projects in Fairfield and New Haven



counties, ninety-four (94) projects have been put out to bid of which seventy (70) have fully executed Grant agreements. After bidding, seven (7) of our Homeowners decided not to proceed with their projects and have withdrawn from the program. We currently have four (4) low-to-moderate income projects that have been awarded, but we are unable to execute a grant agreement due to outstanding documentation issues. As of September 30, 2015 there are seventy (70) homes in the construction phase and thirty-one (31) have been completed in the most impacted counties of New Haven.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R3-T1-OORR-RH-UN - 29501-2066104

Activity Title: R3-T1-OORR-Rehab-Urgent Need

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R3-T1-Homeowner Housing

Projected Start Date:

12/02/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R3-T1-Homeowner Housing

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,375,000.00
Total Budget	\$0.00	\$1,375,000.00
Total Obligated	(\$489.52)	\$266.35
Total Funds Drawdown	(\$489.52)	(\$489.52)
Program Funds Drawdown	(\$489.52)	(\$489.52)
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$489.52)	\$266.35
STATE OF CONNECTICUT	(\$489.52)	\$266.35
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

Activity Progress Narrative:

As of the third quarter of 2015, there were thirteen (13) urgent need applicants who were deemed eligible for the CDBG-DR grant in Middlesex and New London Counties. In accordance with the program guidelines we are providing assistance to eligible homeowners in priority levels 1, 2, and 3 displaced from their homes. We have assigned two (2) applicants to an Architectural, Engineering and Construction Management firm to conduct the necessary Environmental Statutory Checklist reviews and prepare construction documents. One (1) project has been put out to bid and it is in the award phase.



Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: R3-T1-OORR-RH-UN-MI - 29501-2066102
Activity Title: R3-T1-OORR-Rehab-Urgent Need-Most Impacted

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R3-T1-Homeowner Housing

Projected Start Date:

12/02/2014

Benefit Type:

Direct (HouseHold)

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R3-T1-Homeowner Housing

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$5,000,000.00
Total Budget	\$0.00	\$5,000,000.00
Total Obligated	(\$225,087.07)	\$1,400,062.06
Total Funds Drawdown	(\$1,254,126.50)	(\$1,122,466.84)
Program Funds Drawdown	(\$1,254,126.50)	(\$1,122,466.84)
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	(\$225,087.07)	\$1,400,062.06
STATE OF CONNECTICUT	(\$225,087.07)	\$1,400,062.06
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Owner occupied properties with an unmet need impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

As of the third quarter of 2015, there were one hundred and forty-five (145) urgent need applicants who were deemed eligible for the CDBG-DR grant in the most impacted counties of Fairfield and New Haven. In accordance with the program guidelines we are currently providing assistance to eligible homeowners in priority levels 2 and 3 displaced from their homes. We have assigned sixty-five (65) applicants to an Architectural, Engineering and forty five (45) of these homeowners have executed the Access Agreement. The Architectural, Engineering and Construction Management firms have been issued a Notice to Proceed on forty five (45) projects to conduct the necessary Environmental Statutory Checklists and prepare construction documents for bidding purposes.

We have place the thirty-two (32) urgent need projects in Fairfield and New Haven Counties out to bid and nineteen



(19) of these homeowners have fully executed Grant agreements. After bidding, three (3) of our homeowners decided not to proceed with their projects and have withdrawn from the program. We currently have seven (7) low-to-moderate income projects that have been awarded, but we are unable to execute a grant agreement due to outstanding documentation issues. As of September 30, 2015 there are nineteen (19) projects that are in the construction phase and twelve (12) projects have been completed in the most impacted counties of Fairfield and New Haven.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R3-T1-Infrastructure / R3-T1-Infrastructure

Grantee Activity Number: R3-T1-Infrastructure-Roads - 29520-2066117

Activity Title: R3-T1-Infrastructure-Roads-Most Impacted

Activity Category:

Construction/reconstruction of streets

Project Number:

R3-T1-Infrastructure

Projected Start Date:

12/02/2014

Benefit Type:

Area ()

National Objective:

Urgent Need

Activity Status:

Under Way

Project Title:

R3-T1-Infrastructure

Projected End Date:

12/02/2016

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT



Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$3,200,000.00
Total Budget	\$0.00	\$3,200,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure roads projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Under the first and second tranche of funding the State of Connecticut allocated a total of \$34,000,000 for the repair or replacement of infrastructure and to adding resiliency measures to the existing infrastructures. All CDBG-DR infrastructure funds have been fully committed for twenty-six (26) projects in in eleven (11) municipalities impacted by Superstorm Sandy.

The Infrastructure Project Assistance Agreements have been reviewed by HUD and emphasizes a strong interest in the procurement process, using green strategies and materials and the Section 3 requirements.

During the third Quarter, the Economic and Community Development Agents have been working closely with the municipalities, State agencies, HUD and DEEP to ensure that are environmental requirements are met. The Department of Housing submitted six (6) Request for Release of Funds to HUD for approval pursuant to the statutes that govern the environmental requirements of the program. We anticipate that approval of the Request for Release of Funds will be granted in the fourth quarter so that contracts can be executed and construction activity begin shortly thereafter. The projects for which Request for Release of Funds have been submitted to HUD for approval are:

- 1 - New Haven, Rehabilitation of Brewery Square Bulkhead \$940,047
- 2 - Milford, Morning Side Revetment Repair \$780,480
- 3 - Milford, Gulf Beach Breakwater Improvement \$503,500
- 4 - Milford, Bayview beach Area Flooding Study & Drainage Improvements \$1,326,150
- 5 - Milford, Milford Point Road Flooding Study and Drainage Improvements \$301,537
- 6 - Milford, Calf Pen & Beach land Ave Flooding Study and Mitigation \$ 638,250

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.



Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: R3-T1-Infrastructure-Water/Sewer-29520-2066116

Activity Title: R3-T1-Infrastructure-Water & Sewer-Most Impacted

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

R3-T1-Infrastructure

Project Title:

R3-T1-Infrastructure

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$800,000.00
Total Budget	\$0.00	\$800,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

These repairs include water and sewer repairs (\$1 million), municipal-owned utility repairs (\$1.1 million) and critical road repairs (\$1 million). The balance of the allocation (\$900,000) will be used to initiate architectural, environmental and other preconstruction activities for infrastructure projects to be undertaken with future allocations of funds.

Location Description:

Infrastructure water & sewer projects to rebuild the Most Impacted Areas of New Haven, Fairfield, West Haven, and Milford.

Activity Progress Narrative:

Under the first and second tranche of funding the State of Connecticut allocated a total of \$34,000,000 for the repair or replacement of infrastructure and to adding resiliency measures to the existing infrastructures. All CDBG-DR infrastructure funds have been fully committed for twenty-six (26) projects in in eleven (11) municipalities impacted by Superstorm Sandy.



The Infrastructure Project Assistance Agreements have been reviewed by HUD and emphasizes a strong interest in the procurement process, using green strategies and materials and the Section 3 requirements.

During the third Quarter, the Economic and Community Development Agents have been working closely with the municipalities, State agencies, HUD and DEEP to ensure that are environmental requirements are met. The Department of Housing submitted six (6) Request for Release of Funds to HUD for approval pursuant to the statutes that govern the environmental requirements of the program. We anticipate that approval of the Request for Release of Funds will be granted in the fourth quarter so that contracts can be executed and construction activity begin shortly thereafter. The projects for which Request for Release of Funds have been submitted to HUD for approval are:

- 1 - New Haven, Rehabilitation of Brewery Square Bulkhead \$940,047
- 2 - Milford, Morning Side Revetment Repair \$780,480
- 3 - Milford, Gulf Beach Breakwater Improvement \$503,500
- 4 - Milford, Bayview beach Area Flooding Study & Drainage Improvements \$1,326,150
- 5 - Milford, Milford Point Road Flooding Study and Drainage Improvements \$301,537
- 6 - Milford, Calf Pen & Beach land Ave Flooding Study and Mitigation \$ 638,250

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: R3-T1-Multi-Family / R3-T1-Multi-Family

Grantee Activity Number: R3-T1-SSRR-LM-MI 29519-2066105

Activity Title: R3-T1-Multi-Family-Scattered Site

Activity Category:

Rehabilitation/reconstruction of residential structures

Activity Status:

Under Way

Project Number:

R3-T1-Multi-Family

Project Title:

R3-T1-Multi-Family

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016



Benefit Type:

Direct (HouseHold)

Completed Activity Actual End Date:**National Objective:**

Low/Mod

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$10,000,000.00
Total Budget	\$0.00	\$10,000,000.00
Total Obligated	\$63,872.86	\$63,872.86
Total Funds Drawdown	\$63,872.86	\$63,872.86
Program Funds Drawdown	\$63,872.86	\$63,872.86
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$63,872.86	\$63,872.86
STATE OF CONNECTICUT	\$63,872.86	\$63,872.86
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

One-four unit low to moderate income rental occupied properties which were damaged by Superstorm Sandy and located in any community in Fairfield and New Haven counties.

Activity Progress Narrative:**Accomplishments Performance Measures****No Accomplishments Performance Measures****Beneficiaries Performance Measures****No Beneficiaries Performance Measures found.****Activity Locations****No Activity Locations found.**

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R3-T1-Planning / R3-T1-Planning

Grantee Activity Number: R3-T1-Planning 29516-2066100

Activity Title: R3-T1-Planning 29516-2066100

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R3-T1-Planning

Project Title:

R3-T1-Planning

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

STATE OF CONNECTICUT

Overall

	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,029,000.00
Total Budget	\$0.00	\$1,029,000.00
Total Obligated	\$237,337.55	\$237,337.55
Total Funds Drawdown	\$185,188.39	\$185,188.39
Program Funds Drawdown	\$185,188.39	\$185,188.39
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$237,337.55	\$237,337.55
STATE OF CONNECTICUT	\$237,337.55	\$237,337.55
Match Contributed	\$0.00	\$0.00

Activity Description:

Program Planning activities associated with improving the resiliency of infrastructure, public buildings and providing mitigation activities. These activities might include: Developing CDBG-DR program and activities; The formulation of local long-term recovery plans; Plans to address foreseeable mitigation needs at the state, regional or local level; Research to develop strategies to address the health and safety of homeless individuals and families and other vulnerable populations; Plans to avoid fuel shortages during future disasters; and Scoping of mitigation and



resiliency projects, particularly as they relate to critical infrastructure.

Location Description:

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation.

Activity Progress Narrative:

Under the first and second tranches of funding the State of Connecticut allocated a total of \$9,029,000 to assist in the planning processes associated with improving the resiliency of infrastructure, public facilities, and providing mitigation activities. In the first tranche ten (10) planning projects were approved, committing the entire \$2,029,000 first tranche funds.

In the second tranche the state allocated \$7,000,000 for planning activities. As of the third quarter, we have issued soft letters of commitment for seven (7) projects and executed a contract for one (1) project. The total funds obligated to date for these projects are \$3,205,450. The projects that have received commitments under this second tranche of funds are:

- 1 - Department of Energy and Environmental Protection, Develop tools to assess the vulnerability of infrastructure - \$1,205,450
- 2 - Department of Public Health, Statewide public drinking water vulnerability assessment & resilience plan - \$600,000
- 3 - Town of Fairfield, Pine Creek Dike Expansion - \$300,000
- 4 - Town of Fairfield, Fairfield Beach Engineered Design - \$75,000
- 5 - Town of Fairfield, Riverside Drive Coastal resiliency - \$200,000
- 6 - Town of Milford, Crescent Beach Study - \$225,000
- 7 - Town of Milford, Gulf Street & Welch's Point Road Study - \$275,000
- 8 - Town of Milford, Walnut & Wildemere Beach Study - \$325,000

On September 30, 2015, the Department of Housing released a Notice of Funding Availability directed to eligible applicants seeking assistance for planning activities associated with improved resiliency of infrastructure and public facilities. The amount of funding to be made available under this NOFA is approximately \$4,000,000. The minimum amount of funding that can be applied for is \$100,000. There is no maximum amount. The deadline to receive applications is November 20, 2015.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Project # / Title: R3-T1-Public Facilities / R3-T1-Public Facilities

Grantee Activity Number: R3-T1-Public Facilities- 29521-2066100

Activity Title: R3-T1-Public Facilities

Activity Category:

Rehabilitation/reconstruction of public facilities

Activity Status:

Under Way

Project Number:

R3-T1-Public Facilities

Project Title:

R3-T1-Public Facilities

Projected Start Date:

12/02/2014

Projected End Date:

12/02/2016

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

STATE OF CONNECTICUT

Overall	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,003,500.00
Total Budget	\$0.00	\$1,003,500.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's primary goal in allocating a portion of the Funding for the rehabilitation of public facilities is to restore a suitable living environment in disaster impacted areas by rehabilitating or reconstructing public facilities, including those public facilities that primarily serve LMI persons. The State also intends to make repairs in a manner that supports energy conservation/efficiency objectives and responsible growth as well as transit-oriented development.

Location Description:

Activity Progress Narrative:

The State of Connecticut primary goal in allocating a portion of the funding for the rehabilitation of public facilities is to restore a suitable living environment in disaster impacted areas by rehabilitating or reconstructing existing public facilities, including those public facilities that primarily serve low to moderate income persons. The State also intends to make repairs in a manner that supports energy conservation/efficiency objectives and responsible growth as well as transit-oriented development. Under the first tranche of funding the State of Connecticut



allocated a total of \$1,003,000 for the rehabilitation or reconstruction of public facilities. Although public facilities funds have not been drawn all funds have been fully committed to assisting two municipalities damaged by Superstorm Sandy. Both municipalities are currently completing the federally mandated environmental regulations

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R4-T3-Owner Occupied / R4-T3-Owner Occupied

Grantee Activity Number: R4-T3-OORR-RH-LM-MI-29501-2066101

Activity Title: R4-T3-OORR-RH-LM-MI-29501-2066101

Activity Category:

Rehabilitation/reconstruction of residential structures

Project Number:

R4-T3-Owner Occupied

Projected Start Date:

04/20/2015

Benefit Type:

Direct (Household)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

R4-T3-Owner Occupied

Projected End Date:

04/20/2017

Completed Activity Actual End Date:

Responsible Organization:

STATE OF CONNECTICUT

Overall

Total Projected Budget from All Sources

Jul 1 thru Sep 30, 2015

N/A

To Date

\$3,000,000.00



Total Budget	\$0.00	\$3,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The State's Housing Recovery programs was established to meet the unmet housing needs of communities most impacted by Superstorm Sandy including the costs of repairs, reconstruction and new construction that insurance, FEMA and any other sources of funding does not cover. The general objectives of the State's housing programs include assisting people by replacing and rehabilitating homes, including identifying opportunities for mitigation enhancement measures and improving the resilience of their homes while restoring their buildings/residences.

Location Description:

Low to moderate income owner occupied properties impacted by Superstorm Sandy located in Fairfield County and New Haven County.

Activity Progress Narrative:

As of the third quarter of 2015, there were one hundred and forty-five (145) urgent need applicants who were deemed eligible for the CDBG-DR grant in the most impacted counties of Fairfield and New Haven. In accordance with the program guidelines we are currently providing assistance to eligible homeowners in priority levels 2 and 3 displaced from their homes. We have assigned sixty-five (65) applicants to an Architectural, Engineering and forty five (45) of these homeowners have executed the Access Agreement. The Architectural, Engineering and Construction Management firms have been issued a Notice to Proceed on forty five (45) projects to conduct the necessary Environmental Statutory Checklists and prepare construction documents for bidding purposes.

We have place the thirty-two (32) urgent need projects in Fairfield and New Haven Counties out to bid and nineteen (19) of these homeowners have fully executed Grant agreements. After bidding, three (3) of our homeowners decided not to proceed with their projects and have withdrawn from the program. We currently have seven (7) low-to-moderate income projects that have been awarded, but we are unable to execute a grant agreement due to outstanding documentation issues. As of September 30, 2015 there are nineteen (19) projects that are in the construction phase and twelve (12) projects have been completed in the most impacted counties of Fairfield and New Haven.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.



Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: R4-T3-Rebuild by Design / R4-T3-Rebuild by Design

Grantee Activity Number: R4-T3-RBD-29522-2066100

Activity Title: R4-T3-RBD-29522-2066100

Activity Category:

Planning

Activity Status:

Under Way

Project Number:

R4-T3-Rebuild by Design

Project Title:

R4-T3-Rebuild by Design

Projected Start Date:

04/20/2015

Projected End Date:

04/20/2017

Benefit Type:

Area ()

Completed Activity Actual End Date:

National Objective:

N/A

Responsible Organization:

STATE OF CONNECTICUT

Overall

	Jul 1 thru Sep 30, 2015	To Date
Total Projected Budget from All Sources	N/A	\$2,000,000.00
Total Budget	\$0.00	\$2,000,000.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
STATE OF CONNECTICUT	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

During this first phase, the team will first meet with State of Connecticut and City of Bridgeport representatives to review the project approach and scope, to identify roles, responsibilities, and available resources. Then, the team will gather and analyze key resources and build upon the results of the Rebuild by Design process in defining the study areas, organizing the research and analysis, as well as initial outreach to key stakeholders. The team will work closely with the City of Bridgeport to coordinate the research process in order to access the best available



data and encourage the participation of key stakeholders.

Location Description:

Areas most impacted by superstorm Sandy located in Fairfield County, New Haven County, Middlesex County, New London County and the Mashantucket Pequot Indian Reservation

Activity Progress Narrative:

Rebuild By Design - a design competition created and funded by HUD, and a wide range of Foundation partners selected the City of Bridgeport as one of ten finalists awarding the City \$10,000,000 in CDBG-DR funding to continue its earlier work with the community stakeholders to create a pilot project which recognizes the vulnerabilities of low lying areas devastated and damage by Superstorm Sandy and works to make Bridgeport a more resilient City.

During the third quarter:

Waggonner & Ball Architects, with a wide range of experience in New Orleans and around the world, was chosen to continue work they began earlier with the City of Bridgeport to help create "Resilient Bridgeport". The City and the Firm will be partnering with ARCADIS, an engineering firm which has successfully dealt with sea level rise in its native Netherlands, and with Yale University (Urban Design Workshop and Urban Ecology and Design Lab) and with landscape and outreach consultants who will conduct research, planning, outreach, and design efforts providing the City of Bridgeport with conceptual designs integrating flood protection for South End homes and businesses. The designs will advance safety, resilience, and economic rejuvenation and job creation through investments in the following focus areas: 1. South End Berm 2. Black Rock Harbor 3. Integrated Stormwater Infrastructure.

During the fourth quarter, Task 1- Design Conditions will begin and include documenting field conditions; creating an inventory; and studying ecological relationships in the coastal zone.

Two related job descriptions were completed with hiring expected in the fourth quarter. The positions are for a Community Engagement Consultant who will be responsible for reaching out to community stakeholders to participate in design workshops and outreach events, collect information from the community about the areas to be studied and the community's hopes for Bridgeport.

The second position will be a Coastal Resiliency Planner – whose work will include coordination with Federal and State departments; conducting land use and environmental planning studies; researching, analyzing and reporting independently on planning, environmental and FEMA floodplain data; and work with the community engagement consultant to initiate and coordinate public involvement processes.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

