

2011 Program Year

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Solutions Grant Program

Submitted to the
U.S. Department of Housing & Urban Development

By
The State of Connecticut
Department of Economic and Community Development
and Department of Social Services



September 28, 2012

**State of Connecticut
2011 Consolidated Annual Performance and Evaluation Report**

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Executive Summary

The 2011 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2011-12 Program Year (PY) which began 7/1/2011 thru 6/30/2012.

Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs. The SC/CDBG Program is administered by the State of Connecticut Department of Economic and Community Development (DECD).

HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state. The HOME Program is administered by the State of Connecticut Department of Economic and Community Development (DECD).

Emergency Solutions Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential social services both to assist the homeless and to prevent homelessness. The ESG Program is administered by the State of Connecticut Department of Social Services (DSS).

Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families. The HOPWA Program is administered by the State of Connecticut Department of Social Services (DSS).

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for the 2011-12 Program Year are listed below.

Table 1: Program Resource Allocation for PY 2011-2012	
HOME Investment Partnerships (HOME)	\$ 11,717,789
Small Cities Community Development Block Grant (CDBG)	\$ 12,319,018
Emergency Shelter Grants (ESG)	\$ 1,830,164
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 283,878
Total	\$ 26,150,849

Source: DECD, OHCD

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. Descriptions for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year.

The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2010-15 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2010-15 ConPlan, subsequent annual Action Plans and CAPERS are available on the DECD web site at www.DECD.org. The 2011 CAPER is the second reporting year of the 2010-2015 ConPlan. The Performance Measurement System used in this document is as outlined in the 2010-15 ConPlan.

NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

Summary of impediments identified in analysis:

Impediments identified in the state's Analysis of Impediments are summarized in the Executive Summary of the Analysis of Impediments to Affordable Housing which is located on the publications page of the DECD website under housing plans.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

Description of the Continuum of Care:

Not applicable.

The following actions were taken in the program year to prevent homelessness:

The Department of Social Services (DSS) continued to prevent homelessness through its various efforts associated with Eviction Foreclosure Prevention Program; homeless outreach, security deposit guarantee program, CT Beyond Shelter Program, Homelessness Prevention and Rapid Rehousing, Housing First for Families, etc. Each of these programs is designed to assist the target population with staying stably housed and thus not breaching the emergency shelter/transitional living system.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DSS, in addition to funding emergency shelters and transitional living programs, also provides services through homeless drop-in day programs, homeless housing support services, Housing First for Families Program, CT Beyond Shelter Program, food pantries, and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs.

DSS has required all emergency shelter and transitional living programs to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DSS, this year, continued its partnership with CT's Head Start Collaboration Office (located in the State Department of Education) to pilot a program entitled "**Head Start and Family Emergency Shelter Program**". The purpose of the pilot was for Head Start programs, through a relationship with Family Emergency Shelters to offer enhancing supports to young children residing in shelter. Due the limited resources, this Pilot was limited to no more than 11 family shelters; however, the feedback received thus far, has been wonderful. Homeless children are a priority for Head Start programs and based upon this relationship; Head Start Programs are sharing their best practices with other Head Start and Early Head Start providers.

DSS is an active member of the Balance of State Continuum of Care, as well the CT HMIS Steering Committee. Connecticut also issued its response to the Federal Opening Doors Plan entitled "Opening Doors Connecticut". A link to the Opening Doors Connecticut document Framework can be found at the end of this section. The Commissioners for Department of Social Services and the Department of Economic and Community Development are standing members of the Open Doors CT Steering Committee. The Department of Social Services is a member of the Crisis Retooling Workgroup for the Opening Doors Connecticut Committee.

Significant homeless subpopulations assisted were:

Elderly and Frail Elderly and Persons with HIV/AIDS and their Families account for the significant homeless subpopulations assisted during the program year. Through the DSS's Division on Aging, services are targeted to elderly and frail elderly populations. Also the department utilizes funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

This year, DSS was able to identify resources within its available funding to offer a pilot program for Persons with HIV/AIDS. DSS contracted with CT AIDS Resource Coalition, (CARC) to provide program services. The program is entitled, "AIDS Residential Housing Fund", which provides a rental assistance program for residents of Connecticut living with HIV/AIDS that are transitioning to permanent housing. ARHF targets the following populations:

- PWA's leaving AIDS Congregate Housing (for greater independence in community);
- PWA's leaving emergency shelters for more permanent housing;
- PWA's living independently in the community at risk of becoming homeless due to unpaid rent

The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

The following efforts were made to address special needs of persons that are not homeless but require supportive housing:

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

Participation in a Continuum(s) of Care application in FFY 2011 competition:

The DECD provided 41 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

The following Continuums of Care applications were submitted in the FFY 2011 competition:

Not applicable

The following Continuums of Care projects were funded in the FFY 2011 homeless assistance competition:

Not applicable

The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2011 competition:

Not applicable

Other Actions

- **Actions to address obstacles to meeting underserved needs**

The following actions were taken in the program year to address obstacles to meeting underserved needs:

DECD included a priority for funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included substantial bonus points awarded for Supportive Housing proposals.

- **Actions to foster and maintain affordable housing**

The following actions were taken in the program year to foster and maintain affordable housing:

DECD included a priority for funding in its rating and ranking criteria for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 531 units of affordable housing were preserved (13 Rehab/Home Ownership and 133 Public Housing Rental Units) under the HOME program and 369 units under the SC/CDBG program (88 Rehab/Home Ownership and 281 Public Housing Rental Units).

- **Actions to eliminate barriers to affordable housing**

The following actions were taken in the program year to eliminate barriers to affordable housing:

DECD continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DECD continues to require that all rehabilitated or developed affordable housing activities be strenuously marketed to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:

During the program year DECD continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Supportive Housing Preservation Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners Meeting; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

The following actions were taken in the program year to improve public housing and resident initiatives:

During the program year the State made housing related activities a priority and DECD worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State of Connecticut also enacted a Resident Participation and Rights Act, in 201, that further strengthens tenants rights in public housing projects.

- **Actions to evaluate and reduce lead-based paint hazards**

The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:

During the program year DECD continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):

During the Program year, DECD provided 41 “Certifications of Consistency with the Consolidated Plan” to applicants applying for funding under HUD’s NOFA for the Continuum of Care-Homeless Assistance Programs.

During the Program year, DECD held one SC/CDBG workshop on the agency’s competitive application process, one workshop with regard to changes made to the Fair Housing Section of the SC/CDBG program’s application, one workshop on the DECD Consolidated Application, one workshop on State Housing Policy Direction, three workshops on Affirmative Fair Housing Marketing Plans.

During the Program year, DECD conducted 33 close out monitoring visits for the SC/CDBG program, 24 physical inspections and/or income test monitoring visits for the HOME program and 27 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

During the Program year, DECD issued two SC/CDBG Bulletins clarifying program issues and providing notice of the application workshop. Bulletins were sent to all SC/CDBG eligible applicants.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

The following actions were taken in the program year to reduce the number of persons living below the poverty level:

The four programs covered by the state’s Consolidated Plan – SC/CDBG, HOME, ESG and HOPWA – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state’s effort to reduce the number of persons living below the poverty level.

Anti-Poverty Strategy:

In addition to the four programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state’s ConPlan.

The State of Connecticut has established the Child Poverty and Prevention Council, in accordance with C.G.S. Section 4-67x, to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty by fifty percent. In addition, it

established prevention goals and recommendations, and measures prevention service outcomes in order to promote the health and well-being of children and families.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **[Child Poverty and Prevention Council Progress Report – Jan. 2012](#)**

The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **[Economic Strategic Plan for Connecticut](#)**

This plan is mandated per CGS Section 32-10. It is a comprehensive five-year strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends more than 60 specific strategies and initiatives for the future, grouped in three general areas: Talent and Technology, Cultivating Competitiveness and Responsible Growth.

- **[State Long-Range Housing Plan](#)**

This plan was mandated per CGS Section 8-37t. It is a comprehensive five-year strategic plan that articulates and outlines the state's strategies, goals and objectives with regard to the preservation and creation of quality affordable housing and opportunities. Pursuant to Public Act No. 11-124, "An Act concerning the State's Consolidated Plan for Housing and Community Development", which became effective on October 1, 2011, the requirement for a Long Range State Housing Plan and annual Action Plan was amended and its requirements were incorporated into the State's Consolidated Plan for Housing and Community Development to be developed in accordance with 24 CFR Part 91 as prepared by the Commissioner of Economic and Community Development, in consultation with the Connecticut Housing Finance Authority.

- **Draft Conservation and Development Policies: A Plan for Connecticut**

This draft plan is mandated per CGS Section 16a-24. It is a comprehensive plan that serves as a statement of the development, resource management and public investment policies for the state. This document is in its final stages of development.
- **Draft State Of Connecticut Temporary Assistance For Needy Families (TANF) State Plan**

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.
- **State Of Connecticut Department Of Social Services Administrative Plan For The Rental Assistance Program**

This plan outlines how the State administers, through DSS, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.
- **Child Care and Development Fund Plan for Connecticut**

This plan describes the child care and development fund program to be conducted by the State.
- **State of Connecticut Comprehensive Mental Health Plan**

This plan is submitted to the Federal Substance Abuse and Mental Health Services Administration. It outlines the state's plan to address mental health and addiction challenges.
- **State of Connecticut Department of Developmental Services Five-year Plan**

This plan is mandated per CGS Section 17a-211. It is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Opening Doors - Connecticut Strategic Plan to Prevent and End Homelessness**

This Plan creates the framework for accomplishing the ambitious goals of preventing and ending homelessness. The objectives identify high level actions or system change needed to facilitate increased access to housing, economic security, health, and stability for specific populations. The strategies articulate steps that could be taken collaboratively by federal, state, and local leaders to address the differentiated needs of the populations identified.

I. Assessment of Progress

Connecticut's 2010-15 Consolidated Plan and subsequent Action Plans outline Over arching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state intends to make available HOME, SC/CDBG, ESG, and HOPWA funds to eligible recipients based on the priorities set forth in the state's 2010-15 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2010-15 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2011-12 Action Plan.

The state's long-term vision is that Connecticut's communities will be vibrant, safe, clean, and diverse places for people to live, work, and raise a family, that housing opportunities in Connecticut will be affordable, environmentally friendly and available to meet the needs of all its citizens. Housing is a key component of attaining and sustaining economic growth and in anchoring a community. Ensuring affordable housing options, to own and rent is an important contributing factor to future economic health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing.

In order to address all the citizens needs in an era of constrained resources it is important to add new housing as well as preserve affordable housing presently serving households in need. Additionally, it is important that all efforts, state and local, be undertaken consistent with responsible growth principles that will make the most efficient uses of energy, land, public infrastructure and other societal resources over the long-term. The state will marshal its resources to address Connecticut's housing development, housing support, and community development needs through the application of Responsible Development and Sustainable Communities' Livability principles to promote responsible development by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

Responsible development as an overarching principle includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible development policy, DECD will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

Sustainable Communities as an overarching principle emphasizes Connecticut's commitment to building and sustaining safe, livable, healthy communities and will encourage investment and development that strengthens and revitalizes communities by providing more choices for affordable housing near employment opportunities; more transportation options that lower transportation costs and shorten travel times; and improve and protect the environment. This activity is consistent with the recommended State Plan of Conservation and Development.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DECD, CHFA and the Department of Social Services (DSS) will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut’s citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state will work to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state will work to revitalize communities by providing communities in need with:

- Assistance to undertake housing, community and economic development initiatives.
- Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state will encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state’s responsible growth strategies and the growth management policies specified in the current State Plan of Conservation and Development (C&D Plan).

A. OVERARCHING PRINCIPLES

Responsible Development

Responsible Development includes economic, social and environmental development that incorporates land use and resources in ways that enhance the long-term quality of life for current and future generations of Connecticut residents. Responsible growth supports a vibrant and resilient economy and maximizes previous investments in infrastructure in Connecticut while preserving its natural resources, distinctive landscapes, historic structures, landmarks, and villages. As per the responsible growth policy, DECD will give priority to projects that reuse or capitalize areas within built-up lands, existing commercial properties, and brownfields.

DECD will give preference to community and housing development/redevelopment projects that satisfy the following responsible growth criteria:

- Conform with the C&D Plan for Connecticut.
- Are sited within existing developed areas and promote infill development.
- Are sited within existing public utilities service areas (water, sewer, etc.).
- For projects outside of public utility services areas, scaling down to use on-site systems, where practicable, to manage unplanned development of adjacent land.

- Integrate transit-oriented development.
- Integrate energy/water conservation, energy efficiency and "green" building design.
- Avoid adverse impacts to natural and cultural resources and open space.
- Promote mixed-use development and mixed income development and compatible land uses (pedestrian-friendly with access to multiple destinations within close proximity of each other).

The state's responsible growth strategies directly related to affordable housing include supporting state programs such as the Housing for Economic Growth program (a.k.a. HOME Connecticut) and the Incentive Housing Zones for higher-density, mixed-income housing in downtowns and re-developed brownfields and former mills close to transit options and job centers. DECD also supports federal efforts by the U.S. Departments of Housing and Urban Development (HUD), Transportation (USDOT) and the Environmental Protection Agency (EPA) to promote mixed income housing near transit, known as the Partnership for Sustainable Communities.

Sustainable Communities

"The average working American family spends nearly 60% of its budget on housing and transportation costs, making these two areas the largest expenses for American families."

As referenced above, HUD, the USDOT and the EPA have entered into a "partnership to help American families gain better access to affordable housing, more transportation options, and lower transportation costs while protecting the environment in communities". This initiative, known as the Sustainable Communities Initiative, is based on the following "Livability Principles":

- Provide more transportation choices. Develop safe, reliable, and economical transportation choices to decrease household transportation costs, reduce our nation's dependence on foreign oil, improve air quality, reduce greenhouse gas emissions, and promote public health.
- Promote equitable, affordable housing. Expand location- and energy-efficient housing choices for people of all ages, incomes, races, and ethnicities to increase mobility and lower the combined cost of housing and transportation.
- Enhance economic competitiveness. Improve economic competitiveness through reliable and timely access to employment centers, educational opportunities, services and other basic needs by workers, as well as expanded business access to markets.
- Support existing communities. Target federal funding toward existing communities - through strategies like transit oriented, mixed-use development, and land recycling - to increase community revitalization and the efficiency of public works investments and safeguard rural landscapes.
- Coordinate and leverage federal policies and investment. Align federal policies and funding to remove barriers to collaboration, leverage funding, and increase the accountability and effectiveness of all levels of government to plan for future growth, including making smart energy choices such as locally generated renewable energy.
- Value communities and neighborhoods. Enhance the unique characteristics of all communities by investing in healthy, safe, and walkable neighborhoods - rural, urban, or suburban.

This initiative is consistent with the state's responsible growth principles and policies. The state of Connecticut will work to align its funding and development policies and initiatives, as outlined in Executive Order 15 and Sections 4-124 (s) and (t) of the Connecticut General

Statutes, with those of the Sustainable Communities Initiative. To these ends the Connecticut State Departments of Economic and Community Development (DECD), Transportation (CTDOT) and Environmental Protection (DEP) have begun executive level meetings on integrating responsible growth and sustainable communities' livability principles and policies into their planning and funding processes and to align state programs, development initiatives and funding with those of the federal sustainable communities initiative. Therefore, the state is including the "livability principles" along with its responsible growth principles in its overarching policies for housing and community development.

B. OVERARCHING GOALS

The overall goal of the community planning and development programs covered by this section of the plan is to develop viable urban communities by providing decent housing and a suitable living environment and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.
2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.
A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.
3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.
Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development

activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms “low-income”, “low and moderate income”, “low-moderate income” and “low-mod income” include the “very low” and “extremely low “ income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut’s housing and community development needs and the state’s current and historical housing market. Based on the state’s review of all relevant and available data, specific issues were selected and run through an internal screening at the Department of Economic and Community Development/Social Services. Issues chosen to be assigned high priority funding status within this plan were selected based on three overarching factors: (1) the issue’s relative demonstrated need (as identified in the needs assessment), (2) the availability of other funds to address the need and (3) the eligibility criteria of each of the four federal programs governed by this plan.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the four state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the

proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME and HOPWA programs.

Geographic Targeting

The state will target its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SCCDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources will be focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Voucher/Certificate, Section 8 Moderate Rehabilitation, Community Services Block Grant (CSBG), Federal Historic Tax Credits, and Federal Historic Preservation Grants are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Shelter Grant (ESG) - Emergency Shelter Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction. Five jurisdictions (Bridgeport, Hartford, New Britain, New Haven and Waterbury) receive their own allocations of ESG funds directly from the federal government, thus are not eligible for the state allocation. Because of the nature of homelessness, the ESG program is exempt from Priority Funding Area requirements.
- Rural Development (aka Farmers Home) Programs (All) - The U.S. Department of Agriculture's Rural Development Housing Programs were established to provide quality affordable housing to the nation's rural and farm communities. All Rural Development programs (502, 515, 523, etc.) are restricted for use in "rural areas" which include open country and places with populations of 50,000 or less.
- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford,

West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.

- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Areas (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DSS receives a small amount of “Balance of State Dollars” and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.

C. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

AFFORDABLE HOUSING STRATEGIES

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

The state will endeavor to “bring opportunities to opportunity-deprived areas, and to connect people to existing opportunities throughout metropolitan regions”¹. To these ends, the state will affirmatively further fair housing in Connecticut through the identification of impediments to fair housing choice, within the state, and by taking appropriate actions to overcome the effects of any impediments identified. The DECD, CHFA and the Department of Social Services (DSS) will continue to carry out the state’s fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies. Using education and outreach, regional solutions and cooperation and neighborhood stabilization and revitalization.

The Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments. Most urban areas are natural mixed-use developments whereas suburban areas need to move away from the traditional single-use developments.

¹ *People, Place and Opportunity: Mapping Communities of Opportunity In Connecticut*, Kirwan Institute, Ohio State University & the Connecticut Fair Housing Center, November 2009.

Increasing the supply of quality affordable housing can be accomplished in multiple ways including new construction and rehabilitation of existing units. Adaptive re-use of historic structures provides multiple benefits to communities. Redevelopment lowers the ratio of poor quality or unused structures. Additionally, re-use lessens sprawl in rapidly developing areas by preserving open space/undeveloped land. Adaptive re-use is very likely to engender community support by preserving structures that have long been part of the community.

Summary of five year Affordable Housing Objectives

Fair Housing and Housing Choice

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- Within budget appropriations, the DECD will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's Analysis of Impediments (AI) for state level action. Utilization of the Fair Housing Center has enabled the state to better address the objectives of the AI by increasing the access of people in the protected classes to the existing supply of affordable housing, expanding fair housing outreach and education activities, providing increased training of state employees, service providers, housing developers or other funding recipients in the area of fair housing/civil rights and increasing monitoring and enforcement of fair housing laws and policies within the State of Connecticut.
- DECD will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update the Analysis of Impediments to Fair Housing Choice including the Action Steps for state and local governments.

Quality Affordable Housing

Over the five-year period covered by the 2010-15 ConPlan, the state will focus its resources to achieve the following:

- With Regard to New Affordable Rental Housing:
 - DECD will work to create 750 new quality affordable rental housing units.
- With Regard to New Homeownership Opportunities:
 - DECD will work to create 300 new affordable homeownership opportunities.
 - CHFA will work to assist 13,500 to 15,000² first time homebuyers.
- With Regard to Preserving Existing Affordable Rental Units:
 - DECD will work to preserve 1,000 existing affordable rental housing units.
- With Regard to Maintaining Homeownership:
 - DECD will work to maintain homeownership for 400 households.
- With Regard to CHFA multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing:
 - CHFA will work to fund the development and/or preservation of 3,200³ units.

² Conditioned upon the availability of funds and market conditions.

³ Conditioned upon the availability of funds and market conditions.

The above referenced figures are based on historic program performance, current unit production costs and anticipated financial resources.

OBJECTIVES, OUTPUTS, OUTCOMES and INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned five year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- DECD will complete the update of the Analysis of Impediments (AI) by end of year two of this plan.
- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice and economic opportunities.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 8,500 DSS Section 8 and State Rental Assistance and Transitional Rental Assistance Program participants with moves to areas of de-concentrated poverty annually.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice and economic opportunities.
- Support up to four infrastructure projects per year to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas annually.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of nine existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.
- Support fair housing education/outreach activities/actions to address illegal discrimination, to include DSS continuing to fund mobility counseling/tenant education programs to encourage/assist/educate DSS Section 8 and State Rental Assistance Program participants with 100 moves to areas of de-concentrated poverty annually.

Outcome:

- Improved availability/accessibility by supporting fair housing education, outreach activities, programs and actions to address illegal discrimination and expand housing opportunities.

Indicator(s):

- Complete update of the AI by end of year two of this plan.
- Number of fair housing educational and outreach opportunities achieved.
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Support at least one inter-municipal or regional housing project.
- Create incentives for municipalities to collaborate on projects.
- Number of infrastructure projects conducted per year.
- Number of DSS Section 8 and State Rental Assistance Program participants educated through this program that move to areas of de-concentrated poverty.
- Number of DSS Section 8 and State Rental Assistance Program participant moves that represent a census tract improvement of at least ten points; from a higher concentrated area to an area of lower concentrated poverty.

Objective 2:

Enhance suitable living environments and create decent affordable housing.

Output:

- Produce up to 750 newly constructed rental units that serve low- and moderate-income households using federal HOME and/or state housing programs.
- Rehabilitate up to 1,000 rental units that serve low- and moderate-income households using federal SC/CDBG/HOME and/or state housing programs.
- Produce up to two newly constructed homeowner units that serve low-and-moderate income households using federal HOME and/or state housing programs.
- Rehabilitate up to 400 homeowner units that serve low-and-moderate income households using federal SC/CDBG/HOME and/or state housing programs.
- Improve affordability by promoting and supporting mixed-income development projects in areas that currently under-serve low- and moderate-income households.
- Support the moderate rehabilitation of existing single-family homes (a single family home is defined as a one to four unit owner occupied residential structure).
 - SC/CDBG Program – Support up to four single-family moderate rehabilitation projects each year in SC/CDBG program eligible communities.
 - State Housing programs - Support up to four single-family moderate rehabilitation projects each year in suburban communities.
- Creation of multifamily housing
 - HOME Program- Produce up to 75 to 125 units of new multifamily housing in areas of need each year.
 - State Housing programs - Produce up to 75 to 100 units of new multifamily housing in areas of need each year.
- The CHFA multifamily housing development mortgage program will work to fund the development and/or preservation of units of multifamily housing.
- Through the adaptive re-use of historic structures, create and/or preserve residential units using federal SC/CDBG/HOME and/or state housing programs.
- Identify properties most at risk of being lost to the affordable market.
- Support energy conservation/efficiency projects that would primarily serve low-and-moderate-income persons by funding housing projects each year that improve energy efficiency using federal and/or state housing and/or weatherization programs.

Outcome:

- Expansion of rental and homeowner housing and Sustainable Community activities completed that serves low-and-moderate income households.

Indicators:

- Number of newly constructed units.
- Number of rehabilitated units.
- Number of rental units.
- Number of homeowner units.
- Number of single-family moderate rehabilitation projects completed each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of need.
- Number of residential units created by re-use of historic structures.
- State, Federal and private resources leveraged.
- Number of energy efficiency projects completed each year.
- Number of at risk properties identified.
- Number of mixed income developments.

Objective 3:

To enhance suitable living environments through financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies to enhance suitable living environments.
- Provide economic opportunities in the form of mortgage assistance to enhance suitable living environments.
- Improve affordability by continuing to use CHFA's multifamily housing development mortgage programs and tax credit equity funding programs to fund the new construction, rehabilitation and preservation of affordable rental housing units consistent with the needs and priorities established in the Consolidated Plan annually.
- Maintain mortgage lending and equity funding programs to fund up to approximately 800⁴ units annually based on recent program experience, with an estimated 400-500⁵ units funded through the Low Income Housing Tax Credit Program and the balance through the issuance of tax-exempt bonds or other bonds for development and expiring use preservation, based on the availability of these resources, financial market conditions, demand for financing and the availability of other necessary capital and operating subsidy required to attain feasibility. Use these debt and equity funding programs to leverage state, federal and private resources to the extent possible.
- Implement a Location Efficient Mortgage (LEM) Program to be administered by CHFA. The LEM Program provides state-backed relief in mortgage premiums based on proximity to urban areas. The LEM Program combines a low down payment, competitive interest rates and flexible criteria to encourage families to attain homeownership in proximity to transit annually.
- Continue CHFA's homeownership mortgage programs to expand homeownership generally, with an emphasis on targeted areas with lower rates of homeownership; and continue statewide special programs and initiatives to maintain homeownership.

⁴ Conditioned upon the availability of funds and market conditions.

⁵ Conditioned upon the availability of funds and market conditions.

- Maintain CHFA efforts to expand homeownership through assisting approximately 2,700 to 3,000⁶ first time homebuyers each year during the five-year period based on recent program history and the availability of mortgage capital for this purpose.
- Maintain lending in the state's federally targeted urban areas to a minimum of 30% of all mortgages purchased by CHFA each year.
- Build program and investment partnerships with local stakeholders that maximize the use of CHFA's current program and leverage local, state and federal resources.
- Continue efforts to help distressed homeowners maintain ownership.
- Create a homestead exemption whereby purchasers of homes within designated urban areas may receive state income tax reductions. The exemption will apply to first-time homebuyers and be considered for home purchases in targeted urban areas with the goal of increasing homeownership and neighborhood stability. To support this effort CHFA mortgage programs will be used, when possible, to encourage moderate and higher income households to move into urban neighborhoods in need of revitalization annually.
- Grant priority consideration to creating flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state. Produce affordable homeownership units through increased funding flexibility and reduce regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME programs, treating each pool of funding as a source of flexible capital. This allows developers to seek 'subsidized' capital from a pool of funds and put all parts of the capital structure of a housing project together while mitigating uncertainty and delays.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.
- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies as a means to enhance suitable living environments and expand access to rental and homeowner housing that serves low-and-moderate income households.

Outcome:

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Strategies for mitigating the potential loss of units.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Number of new families that attained homeownership in proximity to transit by implementing the LEM Program.
- Leverage of CHFA's current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA's multi-family housing development mortgage programs and tax credit equity funding programs.

⁶ Conditioned upon the availability of funds and market conditions.

- Number of housing units funded using CHFA's Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME, State, Section 8	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

GOAL

Provide decent housing and enhance suitable living environments for residents of public housing.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Address the housing needs of residents of public housing through preservation of existing housing units and additional rent subsidies.

Output:

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a

new extended use period using financing from the Affordable Housing Program/Housing Trust Fund/Housing Sustainability Fund.

- Invest in the maintenance/rehabilitation/modernization of 200 existing publicly-assisted rental housing units annually to preserve them as a long-term resource using federal funding such as the SC/CDBG or HOME Program.
- Continue to offer loans, within available CHFA resources, to local housing authorities to fund capital needs. Continue to offer capital funding for the redevelopment of portfolio properties annually through the CHFA mortgage and tax credit programs. Seek and develop opportunities to leverage additional funding from federal and private sources for these purposes.
- Preserve 2,850 state financed elderly rental units through the Weatherization Assistance Program (WAP) over three years (programs duration).
- Encourage local public housing authorities and DSS to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Vouchers by 50 new vouchers annually.

Outcome:

- New/improved availability/accessibility and affordability in public housing.

Indicators:

- Number of at risk properties identified each year.
- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.
- Number and amount of new funding opportunities identified and developed annually.
- Number of existing state-assisted rental units preserved through weatherization. each year.
- Number of new Section 8 vouchers each year.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State CDBG HOME CHFA Section 8 WAP	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

STRATEGIES

The state will emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut.

The state will work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

OBJECTIVES,OUTPUTS,OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:

- Utilize the Beyond Shelter Program and Counselors in Shelters Program, administered by the DSS, to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Establish and convene the Statewide Homelessness Prevention and Rapid Re-housing Operations Advisory Committee. The Committee is comprised of DSS, six regional and five municipal HPRP programs and municipal representatives.
- Participate in Connecticut Coalition to End Homelessness' Homelessness Prevention Taskforce and use the information gained to create a sustainable housing based system that will prevent/quickly end homelessness among families and individuals in crisis in the future.
- Review operational aspects of implementing the HPRP Program to identify "what's working" and "what's not working" to increase efficiency and eliminate duplication.
- Increase number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services (including but not limited to outreach and transitional services such as supported living, case management, and substance abuse treatment).

Outcome:

- New and improved availability and accessibility through HPRP.

Indicator(s):

- Number of homelessness reoccurrences among DSS assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Alternative means to addressing homelessness achieved.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased and increase number of client cases closed, settled or resolved by 50 per year, over five years in order to expand services.

Objective 2:

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:

- Maintain the state-funded Eviction Prevention P program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 150 new supportive housing units over the next five years.

Outcome:

- New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):

- Funding level and dollars committed to the Eviction Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing as a result of the program.
- Number of supportive housing units created.
- Number of rental subsidies.

Objective 3:

Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

Output:

- Coordinate funding opportunities to assist in achieving the strategies outlined in the Ten Year Plans to End Homelessness.

Outcome:

- New and improved availability and accessibility of housing to prevent and reduce homelessness through long range planning.

Indicator(s):

- Number of funding opportunities that addressed specific strategies outlined in the Ten Year Plans to End Homelessness.

Objective 4:

Maintain the state’s network of “Homeless Shelters.”

Output:

- Continue to fund “Homeless Shelters” across the state.

Indicator(s):

- Funding leveraged (beyond ESG).
- Number of shelters DSS funds (ESG).
- Number of beds & type (men, women, children)-ESG.
- Number of clients (ESG).
- Number of services/type(ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HPRP, State,	Low-Mod Income	State-Wide

	HOME		
Objective 2	HPRP, State, HOME, TANF	Low-Mod Income	State-Wide
Objective 3	HPRP, State, HOME,	Low-Mod Income	State-Wide
Objective 4	ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL

Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs - General

Objective 1:

Coordinate the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to increase the availability of supportive housing by using state and federal resources effectively.

Output:

- Interagency Council and/or Interagency Committee meets regularly to insure coordination of efforts and identifies resources and prioritizes production and preservation of permanent supportive housing.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Identification of resources and plan for production and preservation.

Objective 2:

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:

- Utilize the state's current Long Term Care Plan as a blueprint for coordination of services.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Was the state's Long Term Care Plan used as a blueprint for coordination of services.

Objective 3:

Link permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances. These actions will ensure long-term housing stability and independence.

Output:

- The number of clients who are provided appropriate services increases over five years.

Outcome:

- Coordination between state agencies is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that people with disabilities can live independently within their community of choice.

Output:

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:

- New/Improved Affordability.
- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing.

Indicator(s):

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.

Objective 2:

Increase the number of elderly and frail elderly clients served by DSS.

Output:

- Increase client caseload by ten per year.

Outcome:

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):

- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOME	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue the successful keeping of families and those individuals with disabilities together, through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Output:

- Number of linkages among federal agencies, state agencies and consumers is maintain and/or increased.

Outcome:

- Families and those individuals with disabilities kept together and receive appropriate counseling and other supportive services which ultimately increases their quality of life.

Indicator(s):

- Number of families and those individuals with disabilities kept together through placing them in stable living situations and providing them with appropriate counseling and other supportive services.

Objective 2:

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individual with disabilities.

Output:

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:

Continue to provide for accessibility modifications.

Output:

- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live with in the community of their choice.

Indicator(s):

- Number of accessibility modifications funded.

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:

- \$250,000 in bond funds are provided to do accessibility modifications for persons leaving nursing facilities.
- Section 8 Housing Choice Voucher preference for up to 50 eligible persons in support of the Nursing Home Transition Initiative is established.

Outcome:

- Independent living is restored to all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of projects completed.

- Number of persons enabled to return to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided Section 8 Housing Choice Vouchers.

Objective 5:

Create a continuum of affordable housing with support services and increase the supply of permanent supportive housing so that the elderly can live independently within their community of choice.

Output:

- The number of support services provided to client populations is increased and the accessibility of services by client populations is increased.
- The number of supportive housing units in the state is increased.

Outcome:

- New/Improved Affordability.
- Independent living will be maintained for all those capable of living independently with the aid of appropriate support services. This will ultimately lead to lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of support services provide to client population.
- Number of clients being served by each program.
- Number of clients receiving multiple services.
- Year over year change in number of clients being served.
- Number of new supportive housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/Sec. 8		State-Wide
Objective 5	State/HOME		State-Wide

Persons with HIV/AIDS and their families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:

- Existing HIV/AIDS programs are maintained and expanded.

Outcome:

- New/Improved Availability/Accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DSS funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:

- Number of people accessing supportive housing services is increased over five years by 50.

Outcome:

- New/Improved Availability/Accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:

- New/Improved Availability/Accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):

- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ Communities	HOPWA	Eligible
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Persons with Alcohol or Other Drug Addiction

Objective 1:

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:

- The number of clients who are provided appropriate services increases over five years.

Outcome:

- More persons with substance abuse issues receive appropriate care leading to a better quality of life for assistance recipients and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:

Increase the availability of permanent supportive housing, as a housing option for, to assist individuals leaving the correction system to facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Outcome:

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Provide economic opportunities including job creation and retention through the establishment, stabilization and expansion of small businesses (including micro-enterprises) and the provision of public services concerned with employment.

Output:

- Support at least one Economic Development Project per year under the CDBG Program with the creation of up to 15 jobs per year (8 of which will be for low-and-moderate-income persons).

Short-Term Outcome:

- New/Improved availability/accessibility.
- Support and funding of economic development projects and micro-enterprise, activities/projects will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.

- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of economic development projects funded under the SC/CDBG Program annually.
- Number of jobs created by economic development projects funded annually.
- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

Objective 2:

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for economic and/or community development project.

Output:

- Support at least one inter-municipal or regional project per year under the SC/.DBG Program including Comprehensive Economic Development Strategy (CEDS) project.
- Create incentives for municipalities to collaborate on projects.

Short-Term Outcome:

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.
- Economies of scale will be reached leading to lower governmental cost.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of inter-municipal/regional projects funded under the SC/CDBG Program annually.
- Number of jobs created in the region benefiting low-and-moderate-income persons annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.
- Number of housing units annually.

Objective 3:

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:

- Support up to four infrastructure projects per year under the SC/CDBG Program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG Program per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to nine public facilities projects per year under the CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 5:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 6:

Allow municipalities that have state-approved responsible growth/Transit Oriented Development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development and support the use of tax incremental financing. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.

Output:

- Five Special Services Districts established over five years.

Short-Term Outcome:

- Local governments will have greater resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):

- Number of municipalities with state-approved responsible growth/Transit Oriented Development (TOD) projects allowed to develop Special Services Districts and levy additional taxes and/or fees to fund development over five years.
- Number of TOD projects with new Special Services Districts over five years.

Objective 7:

Allocate \$100 million of Urban Reinvestment Tax Credits for TOD/Responsible Growth projects and implement the Recovery Zone Economic Development Bonding Program as a financing vehicle for approximately two Responsible Growth projects over a five-year period.

Output:

- Allocated \$100 million of Urban Reinvestment Tax Credits as an incentive for private investment and to stimulate the development and implementation of two Responsible Growth/TOD projects over a five-year period.

Short-Term Outcome:

- Private investment will be leveraged to increase the resources available to undertake responsible growth/Transit Oriented Development (TOD) projects.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

Indicator(s):

- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits over a five-year period.
- Amount of private funding for TOD/Responsible Growth projects leveraged by the allocated tax credits.
- Number of TOD/Responsible Growth projects developed and/or implemented as a result of the implementation of the federal Recovery Economic Development Zone Bond Program over a five-year period.

Objective 8:

Provide \$100 million⁷ of federal/state/local community development resources for ten brownfield redevelopment projects as recommended by the Brownfields Task Force over a five-year period.

Output:

- Up to ten brownfield sites/projects are remediated returning unproductive properties to productive use and improving the health and safety of Connecticut's citizens over a five-year period.

Short-Term Outcome:

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.

⁷ Note: This amount would be utilized for all types of brownfield and mill redevelopment, not just housing projects.

- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate- income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

- Dollar amount provided for brownfield redevelopment projects as recommended by the Brownfields Task Force over five years.
- Number of brownfield projects undertaken as a result of the \$100 million provided for brownfield redevelopment over five years.
- Number of brownfields/acres and/or buildings brought back to productive use over five years.

Objective 9:

Section 108 Program: DECD will be applying for a \$20M line of credit for SC/CDBG Section 108 loans to fund economic development projects. DECD estimates that it will support five economic development projects in five years.

Output:

- DECD estimates that it will support five economic development projects in five years by applying for a \$20,000,000 line of credit for SC/DBG Section 108 loans (using the provisions of the Section 222 interim rule) on behalf of the non-entitlement communities of the state.

Short-Term Outcome:

- New/Improved availability/accessibility to funds.
- Support and funding of economic development projects and micro-enterprise, activities/projects that will create and/or retain permanent, private sector job opportunities principally for low- and moderate-income persons, through the expansion and retention of business and industry in the state.
- Economic opportunities through employment for low-and-moderate-income persons will be increased.

Long-Term Outcome:

- The economy of the state's communities will be improved as will the quality of life of all of the state's residents.
- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of economic development projects funded under the Section 108 Program annually.
- Number of jobs created by economic development projects funded annually.

- Percent of jobs created by economic development projects funded annually benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 2	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 3	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 4	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 5	State/CDBG	Low-Mod Income	State -Wide/ CDBG Eligible Communities
Objective 6	State	Low-Mod Income	State -Wide
Objective 7	State	Low-Mod Income	State -Wide
Objective 8	State	Low-Mod Income	State -Wide
Objective 9	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Allow municipalities that have state approved responsible development/transit-oriented development (TOD) projects to develop Special Services Districts and levy additional taxes and/or fees to fund development. Taxes/fees could include local sales tax, additional conveyance tax, hotel tax, and parking fees.
- Allocate up to \$100 million of Urban Reinvestment Tax Credits for state approved responsible development/TOD projects, particularly for brownfield and former mill redevelopment as recommended by the Brownfields Task Force.
- Implement the federal Recovery Zone Economic Development Bond Program as a financing vehicle for responsible development projects.
- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of approximately three Community Revitalization Strategies or Neighborhood Revitalization Zones under the SC/CDBG Program over a five-year period.

Outcomes:

- New and improved sustainable communities.

Indicator(s):

- Number of responsible development/TOD projects developed and/or implemented as a result of the allocation of \$100 million of Urban Reinvestment Tax Credits.
- Amount of private funding for responsible development/TOD projects leveraged by the allocated tax credits.
- Number of brownfields project undertaken as a result of the new state funding authorized for brownfield redevelopment.
- Number of acres and/or buildings brought back to productive use.
- Statewide database of brownfield sites is updated.
- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Decreased project development time.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies over a five-year period.
- Number of low-and-moderate-income persons served.

Objective 2:

Enhance suitable living environments and create decent housing in areas of need.

Output:

- Support at least two municipalities in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas each year using the HOME/State Housing programs.
- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households. Give preference to one mixed-income infill

project creating at least 10-25 units of housing each year in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing programs.

- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need. Fund at least two mixed-use and/or transit-oriented development projects with availability of 20 low-and-moderate-income residential units in an urban or suburban area over a five-year period. Support at least two municipalities with rezoning efforts to enable mixed-use developments, and/or transit-oriented developments over a five-year period.
- Foreclosed properties are kept from deteriorating, rehabilitated and sold to low-and-moderate-income households. Utilize Neighborhood Stabilization Program (NSP) and Community Development Block Grant - Recovery (CDBG-R) Program funds to stabilize neighborhoods in areas impacted by foreclosures to serve 325 to 400 households annually.
- Implement a “Learn Here, Live Here” Program to be administered by CHFA. The program would allow Connecticut resident students attending any post-secondary institution to contribute the larger of their state income tax liability or \$3,000 into a First-Time Homebuyer Trust Fund each year for ten years. The money could be withdrawn anytime over those ten years to purchase homes in Connecticut. Any interest income would be deposited annually into the state’s general fund to partially offset the cost of the program.
- Ensure there is a mechanism to fund both HOME Connecticut incentive housing payments and the Housing Trust Fund to increase workforce housing in the state.

Outcomes:

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.
- Number of foreclosed units acquired.
- Number of acquired units rehabbed and sold.
- Number of participants in “Learn Here, Live Here” Program.
- Number of homes purchased utilizing the “Learn Here, Live Here” Program.
- Number of HOME Connecticut incentive housing payments and the Housing Trust Fund funded.
- Number of workforce housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Objective 2	State	Low-Mod Income	State-Wide
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LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

STRATEGIES

Connecticut has Statutes, Regulations, Technical Standards, Guidance Documents and Policies that relate to health and housing. Through increased coordination of agencies and partners it is possible to implement a healthy homes assessment and intervention program through DECD. The DECD would continue to comprehensively address lead-based paint issues as required under HUD's Lead-Safe Housing Regulation as well as implementing Lead-Safe Work Practices under the new EPA rule: EPA Renovation, Repair and Painting Program (RRP) which became effective April 22, 2010. The Healthy Homes Initiative encompasses several known home-based health hazards and programs, and seeks to coordinate the delivery of services through collaboration with the DECD and other state and community stakeholders. Initial strategies for statewide implementation include training and technical capacity building of housing and health partners, development and implementation of healthy homes materials, assessments (inspectional forms) and evidence-based interventions in DECD-selected pilot communities.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach, and to pilot the developed "healthy homes approach" in at least three communities.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through

healthy homes assessments and intervention strategies established in concert with the Department of Public Health.

- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative). A healthy home assessment and intervention will address and seek to control environmental and other health and safety hazards such as, but not limited to, lead-based paint and lead-based paint hazards, damaged asbestos, elevated indoor radon levels; pests, sources of mold and mildew, smoke and carbon monoxide detectors, fire and electrical safety, and other structural components. This will be supported and accomplished in collaboration with state public health and housing programs such as the HOME, and SC/CDBG the DPH Healthy Homes Initiative, or through other state or federal funding sources.
- Build the technical capacity of DECD and local housing agencies by sponsoring ten (10) National Center for Healthy Homes (NCHH) training courses (2 courses held annually, or as needed). The NCHH two-day course is considered a pre-requisite to the National Environmental Health Association’s ‘Healthy Homes Specialist’ credential.
- Support the training and certification of at least 25 designated DECD and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.
- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with PDH per year.
- Number of DECD and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DECD and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME CDBG Other/ State	Low-Mod Income	State-Wide CDBG Small Cities

D. Program Awards/Performance Measures

The following tables (Table 2 and 3) provide a summary of program awards and correlating performance measures.

**Table 2: HOME Program Projects Contracted During PY 2011-12
Summary of Performance Measures**

Recipient Name	Project Name and Number	Project Location	Project Description	Proposed HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	DECD Category	DECD Objective
MHA of Southcentral CT. Inc	Fair Haven Mutual Housing HMO909302	New Haven	New construction & rehab of rental units	\$4,000,000	Loan	2010	NC/R	R	34	Affordable Housing	1,2
Winn Development	Huntington Woods Apartments HM1001702	Bristol	Rehabilitation of rental units	\$2,800,000	Loan	2010	Rehab	R	25	Affordable Housing	1
Somers Housing Authority	Woodcrest Phase II HM0912901	Somers	New construction of elderly rental units	\$3,284,000	Loan	2011	NC	R	60	Affordable Housing	2
Total:				\$10,084,000					119		
<i>Source: DECD, OHCD</i>											
<i>Key: Refer to "Key" Appendix</i>											

Table 3: SC/CDBG Program Projects Contracted During PY 2011-12

Summary of Performance Measures

Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Housing	# People	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Ansonia	SC1100201	Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Ashford	SC1100301	Housing Rehabilitation Program	\$300,000	2011	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Bethel	SC1100901	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	23	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Branford	SC1101401	ADA & Energy Effi Improv to Orchard House Adult Day Care	\$500,000	2011	PF					54	Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility
Clinton	SC1102701	Glenhaven Elderly Housing Renovations	\$680,000	2011	PH/MOD	32	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Cromwell	SC1103301	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Derby	SC1103701	City-Wide Housing Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
East Haven	SC1104401	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
East Windsor	SC1104701	Park Hill Elderly & Disabled Housing Complex	\$700,000	2011	PH/MOD	84	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Ellington	SC1104801	Snipsic Village Capital Improvements	\$485,000	2011	PH/MOD	42	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Killingly	SC1106901	Housing Rehabilitation Program - Townwide	\$300,000	2011	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Ledyard	SC1107201	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	19	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
New Fairfield	SC1109101	Town of N. Fairfield Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
North Canaan	SC1110001	Wangum Village ADA & Safety Improvements	\$630,000	2011	PH/MOD	40	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
North Haven	SC1110101	Town Hall ADA Improvements	\$750,000	2011	PF					2,000	Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility
Plainville	SC1111101	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	25	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability

Portland	SC1111301	Quarry Heights Senior Housing Renovations	\$560,000	2011	PH/MOD	70	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Rocky Hill	SC1111901	Willow Road Senior Housing Renovations	\$700,000	2011	PH/MOD	40	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Seymour	SC1112401	Infrastructure Project	\$500,000	2011	PF					50	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Shelton	SC1112601	Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Sprague	SC1113301	Shetucket Village Senior Housing Renovations	\$700,000	2011	PH/MOD	20	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Stafford	SC1113401	Housing Rehabilitation Program	\$300,000	2011	HR	12	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Stonington	SC1113701	Edythe K. Richmond Senior Housing Renovations	\$542,000	2011	PH/MOD	60	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Thomaston	SC1114001	Town-Wide Housing Rehabilitation Revolving Loan Program	\$125,000	2011	HR	5	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Wallingford	SC1114801	Bldg Renov/Safety Improv-Ubrich Heights & S. Side Terr	\$700,000	2011	PH/MOD	128	R	RHB	PH		Public Housing	1	Suitable Living Environment	Sustainability
Watertown	SC1115301	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	18	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Windham	SC1116301	Housing Rehabilitation Program	\$300,000	2011	HR	15	HO	RHB			Affordable Housing	2	Suitable Living Environment	Sustainability
Windsor Locks	SC1116501	Pearl Street & Fern Street Roadway & Infrastructure Improv	\$500,000	2011	PF					1443	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Total			\$12,272,000			\$728				3,547				
Source: DECD, OHCD														
Key: Refer to the "Key" Appendix														

E. Summary of Program Awards by Geographic Area.

The following table (Table 4) provides a summary of program awards by geographic distribution.

Table 4: PY 2011-12 HOME & CDBG Program Funding Committed Summary of Geographic Distribution			
County	HOME \$	CDBG \$	Total \$
Fairfield		\$ 900,000	\$ 900,000
Hartford	\$ 2,800,000	\$ 2,200,000	\$ 5,000,000
Litchfield		\$ 1,055,000	\$ 1,055,000
Middlesex		\$ 1,540,000	\$ 1,540,000
New Haven	\$ 4,000,000	\$ 3,350,000	\$ 7,350,000
New London		\$ 1,542,000	\$ 1,542,000
Tolland	\$ 3,284,000	\$ 785,000	\$ 4,069,000
Windham		\$ 900,000	\$ 900,000
Statewide			
Total	\$ 10,084,000	\$12,272,000	\$22,356,000

Source: DECD, OHCD

F. Summary of Program Awards / Performance Measures.

The following table (Table 5) provides a summary of program awards and correlating performance measures.

Table 5: PY 2011–12 HOME & CDBG Funding Committed by DECD Category, DECD Objective, HUD Objective, HUD Outcome and Activity						
Program	DECD Category	DECD Objective	HUD Objective	HUD Outcome	Activity	Funding
HOME	Affordable Housing	1,2	Suitable Living Environment/Decent Housing	Sustainability/Availability/Accessibility	NC & Rehab/ R	\$4,000,000
HOME	Affordable Housing	1	Suitable Living Environment	Sustainability	Rehab/R	\$2,800,000
HOME	Affordable Housing	2	Decent Housing	Availability/ Accessibility	NC/R	\$3,284,000
CDBG	Non Housing Community Development	3	Suitable Living Environment	Sustainability	PF	\$1,000,000
CDBG	Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility	PF/ADA	\$1,250,000
CDBG	Public Housing	1	Suitable Living Environment	Sustainability	PH/MOD	\$5,697,000
CDBG	Affordable Housing	2	Suitable Living Environment	Sustainability	Rehab/HO	\$4,325,000
Total:						\$22,356,000

Source: DECD, OHCD

Key: refer to Key Appendix

G. Beneficiary Data

The following tables (Table 9, Table 10, Table 11, Table 12, Table 13, Table 14 and Table 15) provide beneficiary data by program.

Table 9: HOME Program Projects Closed Out during Program Year: 7/1/2011 to 6/30/2012 Summary of Performance Measures

Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DECD HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Christian Activities Council	ADDI - Urban Suburban Affordables HM0510003	Hartford	Homebuyer Assistance	\$2,300,000	\$246,680	Grant	2004	HBA	HO	20	1/19/2012	Affordable Housing	3	Economic Opportunity	Affordability
Hamden Housing Authority	Centerville Village HMO706201	Hamden	Rehab of existing elderly housing	\$877,600	\$395,000	Grant	2008	Rehab	R	62	12/8/2011	Affordable Housing	1	Suitable Living Environment	Sustainability
House New London, LLC	ADDI-House New London HM0517002	New London	Homebuyer Asssitance	\$847,232	\$843,227	Grant	2004	HBA	HO	75	5/31/2012	Affordable Housing	3	Economic Opportunity	Affordability
Housing Development Fund, Inc.	ADDI- Housing Development Fund HM0517004	Stamford	Homebuyer Assistance	\$330,442	\$107,475	Grant	2004	HBA	HO	9	5/31/2012	Affordable Housing	3	Economic Opportunity	Affordability
Eastern CT. Housing Opportunities, Inc.	Hempstead Neigh. Revitalization HM0509501	New London	New Construction & Rehab.	\$3,215,738	\$1,577,715	Grant	2005	NC/Rehab	HO/R	10	4/3/2012	Affordable Housing	1,2	Suitable Living Environment /Decent Housing	Sustainability /Availability/A ccessability
Empower New Haven, inc.	ADDI-MI Home Power HM0609301	New Haven	Home buyer Assitance	\$1,126,191	\$304,840	Grant	2006	HBA	HO	26	4/27/2012	Affordable Housing	3	Economic Opportunity	Affordability
Mutual Housing Assoc. of South Central CT, Inc.	Highwood Square Artists HM0806202	Hamden	New Construction & Rehab. Artists Apartments	\$7,859,529	\$2,000,000	Loan	2007	NC/Rehab	R	19	1/12/2012	Affordable Housing	1,2	Suitable Living Environment /Decent Housing	Sustainability /Availability/A ccessability
Town of Somers Housing Authority	Woodcrest Elderly Housing Expand. HM0612901	Somers	Rehab. & New Constr. Of Elderly Housing	\$16,571,245	\$4,472,563	Loan	2007	NC/Rehab	R	86	12/27/2011	Affordable Housing	1,2	Suitable Living Environment /Decent Housing	Sustainability /Availability/A ccessability
Town of Hamden	Homeowner Rehabilitation Program HM0806201	Hamden	Homeowner Rehabilitation	\$357,360	\$357,360	Grant	2007	R	HO	13	5/29/2012	Affordable Housing	2	Suitable Living Environment	Sustainability
Victory Cathedral VOA Elderly Housing, Inc.	Victory Cathedral Elderly Housing HM0706402	Hartford	New Construction	\$5,561,428	\$1,200,000	Grant	2007	NC	R	10	1/11/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
Marion Heights, Inc.	Marion Heights HM0808901	New Britain	HUD Section 202 Program Elderly	\$9,232,834	\$2,000,000	Grant	2008	R	R	42	2/3/2012	Affordable Housing	1	Suitable Living Environment	Sustainability
Westport Housing Authority	Hales Court Redevelopment HM0815801	Westport	Duplex Family Housing	\$2,487,682	\$3,125,000	Grant	2008	NC	R	20	3/16/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
Dye House Assoc. LLC	Dye House Apartments HM0807701	Manchester	Adaptive Reuse Historic Mill	\$18,404,152	\$5,317,199	Loan	2008	NC	R	32	3/27/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
Becker Dev. Assoc. LLC	360 State Street-Shartenburg HM0809303	New Haven	Mixed Use Mixed Income	\$18,842,617	\$2,025,000	Grant	2008	NC	R	20	3/27/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability

New Canaan Housing Authority	Mills Apartments HM0909001	New Canaan	Redev. Expansion of HA units	\$7,839,087	\$2,138,150	Loan	2009	Redev	R	11	1/11/2012	Public Housing	1	Suitable Living Environment	Sustainability
Access Senior Housing of Franklin, Inc.	Elisha Brook HM1005301	Franklin	HUD Section 202 Program Elderly	\$5,500,000	\$700,000	Grant	2010	NC	R	10	3/28/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
CHR Capital, Inc.	Center Street Apartments HM1007701	Manchester	service enhanced two bedroom units	\$6,570,662	\$2,290,568	Loan	2010	NC	R	15	6/7/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
New London Comm. Limited Partnership	Briarcliff Redevelopment HM0909502	New London	Rehab. HA units	\$18,824,100	\$2,633,049	Loan	2010	R	R	15	3/16/2012	Public Housing	1	Suitable Living Environment	Sustainability
Common Ground Community HDPC	Cedarwoods Apartments HM0916301	Windham	one bedroom units	\$13,309,667	\$3,430,000	Loan	2010	NC	R	22	6/18/2012	Affordable Housing	2	Decent Housing	Availability/A ccessability
West Hartford Housing Authority	Alfred E. Plant Apartments HM0915501	West Hartford	Rehab. & New Construction Elderly units	\$21,204,627	\$2,155,080	Loan	2010	NC/Rehab	R	14	6/7/2012	Affordable Housing	1,2	Suitable Living Environment /Decent Housing	Sustainability /Availability/A ccessability

Source: DECD , OHCD

Table 10: HOME Projects Closed out during 2011-12 Program Year Summary of Race/Ethnicity of Households Assisted		
Race	Households	
	Total	Hispanic
White	349	56
Asian	7	
Asian and White	1	
Black/African American	104	
Black/African American and White	7	
American Indian/Alaskan Native	2	
American Indian/Alaskan Native/White	0	
American Indian/Alaskan Native and Black/African American	0	
Native Hawaiian/Other Pacific Islander	1	
Other Multi-Racial	12	
Total	483	56

Source: DECD, OHCD

Table 11: HOME Program Projects Closed Out During 2011-12 Program Year Summary of Income Level of Beneficiaries				
	Very Low-Income 0-30% AMI	Low-Income 31-50% AMI	Moderate-Income 51-80% AMI	Total
Renter	148	122	60	330
Homeowner/Homebuyer	7	2	144	153
Total	155	124	204	483
<i>Source: DECD, OHCD</i>				
<i>Key: Refer to "Key" Appendix</i>				

Table 12: HOME Program Projects Closed Out During 2011-12 Program Year Summary of Energy Star Units					
Project Name	Project Number	Activity	Unit Type	HOME Units	Total E.S. Units
Highwood Square Artists	HM0806202	NC/Rehab	R	19	19
Victory Cathedral Elderly Housing	HM0706402	NC	RE	10	10
Hales Court Redevelopment	HM0815801	NC	R	20	20
Center Street Apartments	HM1007701	NC	R	15	15
Cedarwoods Apartments	HM0916301	NC	R	22	22
Mill Apartments	HM0909001	Redev/Expansion	R	11	40
Dye House	HM0807701	NC/Adaptive Reuse Historic Mill	R	32	57
360 State Street	HM0809303	NC	R	20	500
<i>Source: DECD, OHCD</i>					
<i>Key: Refer to "Key" Appendix</i>					

Table 13: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2011 to 6/30/2012 Summary of Performance Measures

Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab	# People	JOBS	DECD Category	DECD Objective	HUD Objective	HUD Outcome
Berlin	SC0600701	Marjorie Moore Elderly Housing	\$500,000	2005	PH/MOD	40	R	Rehab			Public Housing	1	Suitable Living Environment	Sustainability
Branford	SC0801401	Senior Housing project	\$700,000	2008	PH/MOD	11	R	Rehab			Public Housing	1	Suitable Living Environment	Sustainability
Brookfield	SC0701801	Senior Center Exp	\$700,000	2007	PF				2,368		Non Housing Community Development	4	Suitable Living Environment	Availability/ Accessibility
Canton	SC0602301A	Senior Housing project	\$600,000	2006	PH/MOD	40	R	Rehab			Public Housing	1	Suitable Living Environment	Sustainability
Coventry	SC0803201	Kings Road Reconstruction	\$500,000	2008	PF				20		Non Housing Community Development	3	Suitable Living Environment	Sustainability
East Hampton	SC0604201A	Water tower Demolition	\$200,000	2006	PF				466		Non Housing Community Development	3	Suitable Living Environment	Sustainability
East Haven	SC0804401	Housing Rehabilitation	\$300,000	2008	HR	16	HO	Rehab			Affordable Housing	2	Suitable Living Environment	Sustainability
East Windsor	SC0904701	Flood & Drainage	\$500,000	2009	PF				351		Non Housing Community Development	3	Suitable Living Environment	Sustainability
Ellington	SC0704801	Regional Housing Rehabilitation	\$300,000	2007	HR	9	HO	Rehab			Affordable Housing	2	Suitable Living Environment	Sustainability
Ellington	SC0804801	Regional Housing Rehabilitation	\$300,000	2008	HR	11	HO	Rehab			Affordable Housing	2	Suitable Living Environment	Sustainability
Jewett City	SC0805801	Sidewalk Improvements	\$500,000	2008	PF				1,733		Non Housing Community Development	3	Suitable Living Environment	Sustainability
Jewett City	SC0905801	Senior Housing project	\$700,000	2009	PH/MOD	20	R				Public Housing	1	Suitable Living Environment	Sustainability
Litchfield	SC0807401	Firehouse conversion into housing units	\$600,000	2008	HR	2	R	Rehab			Public Housing	1	Suitable Living Environment	Sustainability
Middlebury	SC0708101	Townhall ADA	\$700,000	2007	PF				784		Non Housing Community Development	4	Suitable Living Environment	Sustainability
Middlefield	SC0708201	Housing Rehabilitation	\$300,000	2007	HR	10	HO				Affordable Housing	2	Suitable Living Environment	Sustainability
New Milford	SC0809601	Whittlesey Ave Improvements	\$600,000	2008	PF				2,248		Non Housing Community Development	3	Suitable Living Environment	Sustainability

North Branford	SC0509901	Public Housing project	\$700,000	2004	PH/MOD		R	Rehab		Public Housing	1	Suitable Living Environment	Sustainability
Oxford	SC0510801	Senior Center Exp	\$750,000	2004	PF				977	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Plainfield	SC0810901	Infrastructure Improv - sidewalks	\$500,000	2008	PF				2,471	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Plainfield	SCR0910901	Sidewalk Improvements - stimulus	\$500,000	2009	PF				14,499	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Plainville	SC0911001	Sidewalks & curb cuts	\$500,000	2009	PF				3,238	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Pomfret	SC0711201	Seely Brown Rehabilitation	\$450,000	2007	PH/MOD	31	R	Rehab		Public Housing	1	Suitable Living Environment	Sustainability
Salisbury	SC0712201	Senior Center Exp	\$750,000	2007	PF				991	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Southbury	SC0713001	Grace Meadow Rehabilitation	\$700,000	2007	PH/MOD	24	R	Rehab		Public Housing	1	Suitable Living Environment	Sustainability
Stafford	SC0713401	Prospect Street	\$500,000	2007	PF				1,550	Non Housing Community Development	3	Suitable Living Environment	Sustainability
Stafford	SC0813401	Housing Rehabilitation	\$300,000	2008	HR	8	HO	Rehab		Affordable Housing	2	Suitable Living Environment	Sustainability
Stonington	SC0613701A	Senior Center Exp	\$1,000,000	2006	PF				3,659	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Suffield	SC0913901	Public Housing project	\$125,000	2009	PH/MOD	75	R	Rehab		Public Housing	1	Suitable Living Environment	Sustainability
Thompson	SC0714101	Town Hall ADA	\$460,000	2007	PF				1,781	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Wallingford	SC0914801	Ulbrich Heights elderly hsg	\$700,000	2009	PH/MOD	40	R	Rehab		Public Housing	1	Suitable Living Environment	Sustainability
Windsor Locks	SC0706501	Town Hall ADA	\$500,000	2007	PF				3,895	Non Housing Community Development	4	Suitable Living Environment	Sustainability
Windsor	SC0716401	Housing Rehabilitation	\$300,000	2007	HR	21	HO	Rehab		Affordable Housing	2	Suitable Living Environment	Sustainability
Woodbridge	SC0716701	Housing Rehabilitation	\$300,000	2007	HR	11	HO	Rehab		Affordable Housing	2	Suitable Living Environment	Sustainability
* "Closed Out" is defined as the date the project was closed out in IDIS.													
Source: DECD, OHCD													
Key: Refer to the "Key" Appendix													

Table 14: SC/CDBG Projects Closed Out During 11-12 Program Year Summary of Race/Ethnicity of Beneficiaries		
Race	Households	
	Total	Hispanic
White	7,163	66
Asian	107	
Asian and White	0	
Black/African American	68	
Black/African American and White	15	
American Indian/Alaskan Native	4	
American Indian/Alaskan Native/White	0	
American Indian/Alaskan Native and Black/African American	0	
Native Hawaiian/Other Pacific Islander	0	
Other Multi-Racial	3	
Total	7,360	66

Note: Not inclusive of direct benefit

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

Table 15: SC/CDBG Projects Closed Out During 11-12 Program Year Summary of Income Level of Beneficiaries				
	Very Low-Income 0-30% AMI	Low-Income 31-50% AMI	Moderate-Income 51-80% AMI	Total
Renter	178	126	39	343
Homeowner/Homebuyer	7	19	50	76
Total	185	145	89	419

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake the activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan and certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DECD recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DECD has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. The dollar value of contracts that included HOME funding provided to Minority-Owned Business Enterprises (MBE) was \$985,117 of which \$257,897 was provided to firms owned by persons who are Black Non-Hispanics and \$727,220 was provided to firms owned by persons who are Hispanic Americans. The dollar value of contracts that included HOME funding provided to Women-Owned Business Enterprises (WBE) was \$1,632,091. In addition a total of \$523,657 was provided to Section 3 firms.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$1,008,783 of which \$170,587 was awarded to firms owned by persons who are Black Americans, \$782,510 was awarded to firms owned by persons who are Hispanic Americans, and \$55,686 was awarded to firms owned by persons who are Native Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$1,459,873. In addition a total of \$513,360 was awarded in contracts for Section 3 firms.

C. Nondiscrimination/Fair Housing

DECD administers the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements.

Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;

- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DECD. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, are required to submit a Fair Housing Action Plan to DECD for review and approval. The plan must be consistent with the DECD's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DECD's affirmative marketing procedures and requirements to affirmatively market units. DECD mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DECD Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides

detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant's AFHMP and required attachments are approved by DECD.

Assessing the Effectiveness of Affirmative Marketing for HOME projects

To assess the effectiveness of affirmative marketing, DECD has implemented a "Performance Report on Affirmative Fair Housing Marketing Results" which must be submitted to DECD on an annual basis. Recipients provide the percentage of "least likely to apply" (LLA) residing in the project and currently on the project's waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner's marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

In addition to annual reporting, DECD through the FHC has offered two trainings to recipients of HOME funds on Affirmative Fair Housing Marketing Plans and Tenant Selection Policies as well as how to complete the reporting requirements for least likely to apply. During this next year DECD will offer 2-3 additional trainings on this requirement and will likely make attendance mandatory.

G. Continuing Efforts to Affirmatively Further Fair Housing

The DECD continues to provide the most recent statewide Analysis of Impediments (AI) to Fair Housing Choice on our website at www.decd.org. What follows is a review of progress made on the previous year's goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DECD has provided the Corporation for Independent Living with a total of \$1,000,000 to date from the State Housing Trust Fund program to fund the "Money Follows the Person Transition Program" for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. A total of 34 dwelling units were modified by these funds. The Connecticut State Legislature has recently authorized an additional \$1,000,000 to continue this program in SFY 2012-13.
- DECD awarded \$10,000,000 to rehabilitate a total of seven (7) state public housing projects, preserving 548 units of family, elderly and transitional housing for homeless. As a part of the NOFA process, submission of an up-to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DECD has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. We are currently in the process of reviewing 10 additional AFHMP's and TSP's for projects just recently approved under this program. Funds will not be disbursed to any recipients until their documents are in 100% compliance with state regulations on civil rights and fair housing. More group training sessions will be offered to these housing providers in the next fiscal year and beyond.

- DECD remains on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DECD continues to hold positions on the Boards of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing; CCEH Homeless Prevention and Rapid Re-housing Task Force.
- DECD has modified all of its contracts for financial assistance and fair housing documents to include gender expression and identity as a protected class as approved by the state legislature.

Objective 2 – Increasing supply of affordable housing.

- DECD awarded \$19,728,452 under the Affordable Housing Flex Program, \$15,550,708 under the State Housing Trust Fund, and \$1,000,000 under the Urban Act Program to various housing projects during the SFY of 2011-12.
- 560 units were under contract /construction.

Objective 3 – Begin systematic data collection on fair housing issues.

- DECD continues to conduct outreach to landlords and organizations in order to continue to build up the CTHousingSearch.org database;
- DECD is in the process of updating its Analysis of Impediments to Fair Housing which is slated to be completed in December 2012. Data collection is 75% complete in the following areas:
 - Collect data from state agencies regarding housing for people with disabilities;
 - Collect data from DSS regarding location rental assistance voucher holders by race;
 - Collect data from DSS regarding location of security deposit guarantee usage;
 - Collect data from DSS regarding use of security deposit guarantees by race;
 - Collect data from DECD and/or CHFA on location of affordable rental housing by income ranges;
 - Collect data from DECD regarding location of CDBG projects, by type (housing, infrastructure, or other);
 - Collect data from DECD and/or CHFA on location of affordable rental housing for families with children by income ranges;
 - Collect data from CHFA regarding low-income housing tax credit (LIHTC) developments by family and elderly classification;
 - Collect data from CHFA regarding LIHTC developments by type, e.g. rehab or new construction;
 - Collect data from CHFA regarding race of LIHTC developments by location;
 - Collect data from DECD and/or CHFA regarding location of public and subsidized housing projects;
 - Collect data from DECD and/or CHFA regarding public and subsidized housing projects by type (family v. elderly);
 - Collect data from DECD and/or CHFA regarding race of occupants of public and subsidized housing by location;
 - Collect data from DECD and/or the Department of Insurance regarding homeowners insurance coverage by type, rate, and location;
 - Collect data from DECD and/or Department of Environmental Protection regarding the existence of lead paint;

- Collect data from DECD and/or the Department of Transportation regarding transportation routes and job growth areas;
- Collect and analyze the zoning ordinances of every town in Connecticut;
- Collect and analyze Plans of Conservation and Development from Connecticut towns; and
- Review and analyze FHC testing data.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$477,389 for the SFY 2011-12 to the CT Fair Housing Center (FHC) to continue its work. Of that amount \$168,639 was earmarked by the legislature for foreclosure prevention. As part of its duties the FHC provides training and technical assistance on an on-going basis to state employees from DECD, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DECD staff to update the CDBG Small Cities application, process, and training materials for the Small Cities Application Workshop.
- DECD staff attended the 2012 FHC Conference entitled “Fair Housing: Emerging Issues and Trends in 2012”.
- DECD attended a movie presentation and discussion entitled “The Pruitt-Igoe Myth—Why the Pruitt-Igoe Housing Project Failed.”
- DECD staff attended a HUD sponsored training session Section 3 entitled “Using HUD-Funded Projects to Create Economic Opportunities for Low-Income People”.

Objective 5 – Fair Housing outreach and education activities.

The FHC, with financial assistance from DECD, carried out the following:

- Provided two training sessions on how to design an effective Affirmative Fair Housing Marketing and Tenant Selection Plan and New Reporting Requirements on “Least Likely to Apply” for DECD staff, and all owners and current applicants of HOME and other state housing development programs;
- Provided one training session on how to design an effective Affirmative Fair Housing Marketing and Tenant Selection Plan to housing authorities and non-profit sponsors applying for funding under the State Housing Rehabilitation and Preservation Program for state public housing projects;
- Performed intake and gave fair housing advice to 224 Connecticut households;
- Investigated 197 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 9 Connecticut residents with disabilities;
- Obtained reasonable accommodations and reasonable modifications for 20 Connecticut households without litigation or court action;
- Performed 53 tests designed to investigate any claims of housing discrimination;
- Provided 1,804 hours of legal assistance to the victims of housing discrimination;
- Provided 1,287 hours of assistance to households who are delinquent on their mortgages or in foreclosure;
- Opened up 3,000 units of housing to Connecticut residents in the protected program;
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and

responsibilities under the fair housing laws by providing 16 trainings to educate 474 Connecticut residents;

- Taught 42 classes to provide information on the legal foreclosure process to 495 people facing foreclosure;
- Provide training on an ongoing basis to the new foreclosure prevention attorney;
- Assist members of the private bar in representing homeowners in foreclosure by providing legal updates to 100 attorneys each month;
- Expand homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice table in New Haven and if possible, expanding this service to other courts around the state by providing 52 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Provide legal advice to housing counselors so that they can assist homeowners in keeping their homes by providing 71 hours of legal advice to housing counselors;
- Provide legal updates and training on changes in the foreclosure process and in mortgage modification programs to housing counselors by attending 8 meetings with housing counselors reaching more than 117 people;
- Expand the number of attorneys providing legal assistance to homeowners in foreclosure by offering training on the foreclosure legal process to 56 attorneys;
- Distribute, and if necessary reprint, of the Center's "Representing Yourself in Foreclosure: A Guide for Connecticut Homeowners" to 1,201 Connecticut residents;
- Provide 557 hours of legal advice to homeowners in foreclosure;
- Represent 163 homeowners in foreclosure in an effort to save their home and/or obtain a mortgage modification; and
- Teach 19 classes to provide information the legal foreclosure process to 254 households facing foreclosure.

The state of Connecticut sponsored the following education and training:

- DECD conducted the Small Cities Application Workshop - Fair Housing/Civil Rights Section, covering changes/updates made to the application for the FFY 2012 allocation funds;
- The Connecticut Commission of Human Rights and Opportunities (CHRO), in celebration of Fair Housing Month, sponsored a fair housing seminar for all state residents entitled "Housing Discrimination and the Law." This seminar featured an interactive panel of experts designed to bring participants up-to-date on housing issues affecting our communities;
- The Fair Housing Association of CT. (FHA CT), in partnership with the CT. Fair Housing Center, DECD, HUD, and the CHRO, presented a training/workshop for fair housing officers, other municipal representatives and assisted housing personnel entitled "How to Handle a Report of Housing Discrimination and Affirmatively Furthering Fair Housing." This workshop was arranged by DECD and was presented on June 5, 2011, at the Windham Town Hall;
- The Connecticut Department of Banking sponsored free workshops on November 15, 2011 and March 29, 2012 (Hartford and Bridgeport) in conjunction with banks to provide homeowners with mortgage assistance. Topics covered were help with loan modifications, foreclosure prevention, state and federal assistance programs, and other lending-related issues; and

- The Connecticut Department of Banking sponsored a free workshop on December 20, 2011 in conjunction with banks for persons who are having trouble paying their mortgage loans. Housing counselors and preservation specialists were available to work-one-on-one with customers facing mortgage payment challenges to identify potential options to keep their homes and avoid foreclosure.

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

- The FHC, with financial assistance from DECD, carried out the following:
 - Performed 5 home sales tests to determine if households of color with children are steered in a discriminatory way.
 - Performed 5 fair housing tests to determine if people of color who use rental assistance vouchers experience discrimination at higher rates than whites who have rental assistance vouchers.
- DECD monitored 28 Small Cities CDBG projects between July 1, 2011 and June 30, 2012. Considering the nature and number of findings per grantee, the overall performance was assessed to be good.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that “the state highlight the achievements of the Small Cities it funds in future PERs”.

DECD has placed increased emphasis on the actions and achievements of the Small Cities beneficiaries. Small Cities' applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state's Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a Small Cities grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 40 Small Cities, 16 of which were considered as “new grantees”. The results are as follows:

Accomplishments - General

- All Towns had created Fair Housing Action Plans;
- All Towns annually adopt the Fair Housing Policy Statement, Resolution and Title VI as an indication of commitment to Fair Housing Month during the month of April;
- All Towns created an Affirmative Action Policy Statement;
- All but one Town had Section 3 Plans and 70% had developed specific community outreach agencies for a Section 3 referral list;
- Approximately 42% of towns had designated a fair housing officer and outlined a complaint procedure (Action Step 8);
- Approximately 50% have published the Town Notice for the Americans with Disabilities Act and/or the HUD Fair Housing Poster, and /or the Fair Housing Resolution in the newspaper of general circulation in the area;

- Approximately 42% of the towns had personnel who attended one or more fair housing trainings in the past year. Most attended multiple trainings (Action Step 3); and
- Four towns have joined the Fair Housing Association of Connecticut (FHACTION).

Accomplishments by Action Step

- **Action Step 1** - Contract for direct training of regional town staff assigned to fair housing enforcement and complaint processing -1 town;
- **Action Step 2** - Contract for direct training of housing authority staff on fair housing laws – 11 town;
- **Action Step 4** - Gather information from organizations and agencies involved with fair housing such as DECD, CHRO, CHFA, DSS, DMHAS, HUD and private not-for-profits and distribute to all town staff which have direct contact with the public regarding housing, community development, social services or public safety matters -5 towns;
- **Action Step 5** - Conduct regular (at least once a year) fair housing seminars for community residents, landlords, real estate professionals and lenders – 3 towns;
- **Action Step 6** - Prepare and distribute materials which outline fair housing rights and responsibilities and the town's complaint and/or referral process - 18 towns;
- **Action Step 7** - Identify and distribute fair housing materials prepared by others to community residents, landlords, real estate professionals and lenders – 14 towns;
- **Action Step 9** - Develop a formal process for referring fair housing complaints to CHRO, HUD or others for investigation and follow-up – 8 towns;
- **Action Steps 14 and 17** – 21 towns;
 - Review local building and zone codes, removal of overly restrictive occupancy standards, family definitions, and density requirements.
 - Promote inclusionary zoning through the expansion of multi-family zones
- **Action Step 15** - Develop a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the Americans with Disabilities Act and related laws – 1 town;
- **Action Step 16** - Expand access to mass transportation by developing van pools and ride sharing programs – 4 towns;
- **Action Step 17** - Promote inclusionary zoning through the expansion of multi-family zones – 7 towns;
- **Action Step 18** - Encourage the development of alternative ownership through models such as limited equity cooperatives, mutual housing, land trusts and/or turn-key projects – 6 towns;
- **Action Step 19** - Donate town land for development of lower cost multi-family housing – 2 towns;
- **Action Step 21** - Support local not-for-profits and housing partnerships in efforts to develop additional affordable housing – 15 towns;
- **Action Step 22** - Use the local housing authority as a vehicle for creation of affordable family rental housing - 2 towns;
- **Action Step 24** - Waive impact and permit fees for affordable housing developments – 1 town;
- **Action Step 28** - Conduct a local rent survey to determine if Section 8 exception rents are necessary in town – 1 town;
- **Action Step 29** - Apply to HUD for Section 8 subsidies through the local housing authority – 1 town;

- **Action Step 31** - Develop a consistent tenant selection methodology that clearly defines the criteria by which each applicant will be judged and does not exclude any protected class - 3 towns;
- **Action Step 32** - Encourage local lenders to adopt “second look” policies before rejecting mortgage applications – 6 towns; and
- **Action Step 34** -Work with local landlords, real estate agents and lenders to develop affirmative marketing strategies which encourage applications from people least likely to apply based on current town demographics - 10 towns.

Notable Accomplishments

- **One (1) town** reviewed local building and zoning codes and removed overly restrictive occupancy standards, family definitions and density requirements;
- **One (1) town** developed a formal procedure for inspecting and monitoring new construction and substantial rehabilitation for compliance with the fair housing laws, the American with Disabilities Act and related laws;
- **One (1) town** was awarded a \$50,000 grant for an Incentive Housing Overlay Zone for creation of affordable housing throughout the Town;
- **One (1) town** has created a mobility counseling program for Section 8, RAP recipients and other low-income inner city families to facilitate and ensure their access to affordable housing;
- **Four (4) towns** plan to seek state and federal funding for multi-family housing development; and
- **One (1) town** eliminated local residency preferences within subsidized housing within the town.

Table A: Fair Housing/Civil Rights Training Provided during PY 2011-2012

Date	Subject	Location	Attendants
02/24/2012	FFY2012 Small Cities Application Workshop - Fair Housing Section covering changes made to the application.	Hartford-Rensselaer	Small Cities Recipients/ Applicants and staff
4/23/2012 and 6/18/2012,	Affirmative Fair Housing Marketing Plans, Tenant Selection Plans, State and HOME Fair Housing Regulations. Training was to refresh owners and managers of HOME-assisted projects and teach them the new reporting requirements under HOME.	Hartford-DECD & Hartford-CRT	DECD staff & state and HOME Program participants
2/1/2012	Affirmative Fair Housing Marketing Plans, Tenant Selection Plans, State Fair Housing Regulations Training was to assist applicants in the preparation of their applications under the State Housing Rehabilitation and Preservation Program.	Hartford - Lyceum	63 owners of state public housing units

Source: DECD, OHCD

Table B: Fair Housing/Civil Rights Monitoring Conducted during PY 2011-2012

Town	Date	Grant No.	Amount	Activity
Litchfield	7/26/11	SC0807401	\$500,000	Northfield Fire House Renovations
Southbury	8/9/11	SC0713001	\$700,000	Grace Meadows Senior Housing Rehab
Norfolk	9/13/11	SC0809801	\$675,000	Meadowbrook Snr. Hsg. Rehab – Ph. II
Monroe	9/20/11	SC0808501	\$700,000	Fairway Acres Snr. Housing Rehab
Branford	10/11/11	SC0801401	\$700,000	Harbor Health Supportive Hsg. Rehab
Windsor	10/18/11	SC0816401	\$465,000	Wilson Branch Library Renovations
Windsor	10/18/11	SC0716401	\$300,000	Town Hsg. Rehab Program
North Branford	11/8/11	SC0909901	\$700,000	Hillside Terrace Snr. Hsg. Rehab
Wallingford	11/15/11	SC0914801	\$700,000	Ulbrich Heights Family Hsg. Rehab
Wallingford	11/15/11	SC0714801	\$700,000	Eastside Terrace Snr. Hsg. Rehab
Suffield	11/22/11	SC0913901	\$125,000	Bridge Street Snr. Hsg. Rehab
Suffield	11/22/11	SC0813901	\$750,000	Senior Center
Prospect	11/29/11	SC0811501	\$300,000	Town Hsg. Rehab Program
Wolcott	12/13/11	SC0816601	\$300,000	Town Hsg. Rehab Program
Naugatuck	1/17/12	SCR0908801	\$420,000	Cherry Street Sidewalks
Vernon	1/24/12	SC0914601	\$500,000	Prospect Street Reconstruction – Phase II
Bethel	2/7/12	SC0900901	\$300,000	Town Hsg. Rehab Program
Farmington	2/14/12	SC0905201	\$700,000	Maple Village Snr. Hsg. Rehab
Ansonia	3/6/12	SC1000201	\$300,000	Town Hsg. Rehab Program
Coventry	3/13/12	SC0903201	\$300,000	Town Hsg. Rehab Program
Tolland	4/3/12	SC0914201	\$300,000	Town Hsg. Rehab Program
Middlefield	4/10/12	SC0908201	\$300,000	Town Hsg. Rehab Program
Killingly	4/17/12	SC0907001	\$500,000	Town Hsg. Rehab Program

Stafford	5/1/12	SC0913401	\$500,000	Prospect St. Reconstruction – Ph. II
Litchfield	5/8/12	SC0907401	\$750,000	Wells Run Snr. Hsg. Rehab
Old Saybrook	5/15/12	SC0710601	\$300,000	Town Hsg. Rehab Program
Ashford	6/5/12	SC0900301	\$300,000	Town Hsg. Rehab Program
Hampton	6/28/12	SC0906301	\$300,000	Regional Hsg. Rehab Program

Source: DECD, OHCD

III. HOME Program Requirements

A. Resource Allocation PY 2011-12

The following table (Table 16) provides a summary of the resource allocation for program year 2011-12.

Table 16: HOME Program Resource Allocation for PY 2011-2012	
State Administration (10%)	\$ 1,171,778
CHDO Set-aside (15%)	\$ 1,757,668
Subtotal	\$ 2,929,446
Estimated Program Income	\$205,759
Allocation available for other eligible activities	\$ 8,994,102
FY 2011-12 Allocation	\$ 11,717,789

Source: DECD, OHCD

Key: Refer to "Key" Appendix

B. Disbursements PY 2011-12

The following table (Table 17) provides a summary of disbursements for program year 2011-12.

Table 17: Summary of HOME Program Disbursements For Projects During PY 11-12	
FFY	Disbursement Amount
2004	\$ 48,117
2005	\$ 64,440
2006	\$ 275,000
2007	\$ 346,554
2008	\$ 666,682
2009	\$ 945,857
2010	\$ 7,954,996
2011	\$ 1,913,321
Total	\$ 12,214,967

Source: DECD, OHCD

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures

FFY 11-12 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 11-12	Total Amount of HOME Funds Expended to Date	FFY 11-12 Alloc. Admin Funds	Total Admin Alloc. To Date	Amount of Admin Funds Expended During PY 11-12	Total Amount of Admin Funds Expended to Date
\$ 11,717,789	\$ 213,341,179	\$ 12,214,967	\$ 205,436,502	\$ 1,171,778	\$ 20,453,715	\$ 702,488	\$ 20,270,569
<i>Source: DECD, OHCD</i>							

D. Contracted PY 2011-12

The following table (Table 19) provides a summary of contracts during the program year.

Table 19: HOME Program Projects Contracted During Program Year 7/1/2011 to 6/30/2012

Recipient Name	Project Name and Number	Project Location	Project Description	Total Estimated Project Cost	Proposed HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	Date AG signed contract
MHA of Southcentral CT. Inc.	Fair Haven Mutual Housing HM0909302	New Haven	New Construction & Rehab.	\$13,107,469	\$4,000,000	Loan	2010	NC/R	R	34	10/23/2011
Winn Development	Huntington Woods Apartments HM1001702	Bristol	Rehabilitation	\$36,889,490	\$2,800,000	Loan	2010	Rehab	R	25	12/13/2011
Somers Housing Authority	Woodcrest Phase II HM0912901	Somers	New Construction Elderly Units	\$12,671,905	\$3,284,000	Loan	2011	NC	R	60	5/17/2012
Total				\$62,668,864	\$10,084,000					119	
<i>Source: DECD, OHCD</i>											
<i>Key: Refer to "Key" Appendix</i>											

E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

Table 20: HOME Projects Contracted During PY 11-12 Summary of Geographic Distribution	
County	HOME \$
New Haven	\$ 4,000,000
Hartford	\$ 2,800,000
Tolland	\$ 3,284,000
Total	\$ 10,084,000

Source: DECD, OHCD

F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

Table 21: HOME Program Project Contracted During PY 2011-12 Summary by Activity		
Activity	HOME Funding	Number of Projects
Rehab/Rental	\$4,800,000	1.5
NC/Rental	\$5,284,000	1.5
Total	\$10,084,000	3

Source: DECD, OHCD

Key: Refer to "Key" Appendix

G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

**Table 22: HOME Projects Contracted During PY11-12
Identifying Funding Leveraged**

Project Name	Project Number	Project Location	Proposed HOME Investment	Public Sector Financing	Private Financing	Total Financing Leveraged	Total Estimated Project Cost (\$)
Fair Haven	HM0909302	New Haven	\$4,000,000	\$4,000,000	\$788,000	\$9,107,469	\$13,107,469
Huntington Woods Apartments	HM1001702	Bristol	\$2,800,000	\$2,800,000	\$8,184,413	\$34,089,490	\$36,889,490
Woodcrest Phase II	HM0912901	Somers	\$3,284,000	\$ 7,137,905	\$ 2,250,000	\$9,387,905	\$12,671,905
Total			\$10,084,000	\$13,937,905	\$11,222,413	\$52,584,864	\$62,668,864

Source: DECD, OHCD

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

**Table 23a: Summary of HOME Match Activity
for PY 2011-12**

Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
\$2,766,986.00	\$18,220,210.42	\$20,987,196.42	State Funds	\$0.00	0.00%	\$0.00	\$20,987,196.42

Source: DECD, OFA/OHCD

Table 23b: HOME Match Liability History

Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	0.0%	\$10,207,831.00	\$0.00	\$0.00	\$2,766,986.00	\$57,332,059.20	\$20,987,196.42

Source: DECD, OFA/OHCD

I. Program Income Activity

The following tables (Table 24a and 24b) provide a summary of program income activity.

**Table 24a: HOME Projects Funded During PY 2011-12
Using Program Income**

Project Name	Project Number	Project Location	Activity	Unit Type	HOME Units	Grant Year (GY)	Program Income Awarded	Type of Project
360 State Street	HM0809303	New Haven	NC	Rental	20	2008	\$170,051	New construction mixed use/mixed income rental units
Center Street Apartments	HM1007701	Manchester	NC	Rental	15	2010	\$427,535	New construction service enhanced rental units
Jessica Tandy	HM0701502	Bridgeport	NC	Rental	6	2007	\$213,265	New construction rental units for HIV/AIDS families
Peachtree Village	HM0900401	Avon	NC	Rental	11	2008	\$373,000	New construction elderly rental units
Hempstead Neigh. Revitalization	HM0509501	New London	NC/Rehab.	HO/Rental	11	2005	\$43,023	New construction and rehab. homeowner and rental units
North Walke Housing	HM0510302	Norwalk	DPA	HO	11	2006	\$ 88,000	Home buyer assistance
Dutch Point HOPE IV	HM1006402	Hartford	NC	HO	20	2009	\$ 126,702	New construction homeownership units
Milford Homeowner Rehabilitation Program	HM0808401	Milford	Rehab	HO	8	2008	\$ 94,426	Town: Homeowner Rehab. Program
Cedarwood Apartments	HM0916301	Windham	NC	Rental	22	2010	\$ 518,476	New construction rental units
ADDI-NHS of CT.	HM0517001	Statewide	DPA	HO	62	2004	\$ 134,700	Home buyer assistance
ADDI-NHS admin.	HM0517001	Statewide	Admin.	HO		2004	\$ 16,171	Administration
Admin.cost not reimburse.							\$ 30,549	
Total							\$2,235,898	*

Source: DECD, OFA/OHCD

* Source of Program Income= Repayment of Loans from: GY 1993- DPA, GY '07- Spruce St. Project, GY '08- Dye House Project

Table 24b: HOME Program Income Activity for PY 2011-12			
Balance Carried Forward from Previous PY	Amount Received During PY 11-12	Amount Expended During PY 11-12	Balance to be Carried Forward to Next PY
\$ (3,826)	\$ 2,239,724	\$ 2,235,898	\$0

Source: DECD, OFA

J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

Table 25: HOME Program -Summary of Dollar Value of MBE & WBE Contracts* for PY 2011-12

Project Name	Project Number	Project Sponsor	Project Location	Dollar Amount MBE	Dollar Amount WBE	Total
Hempstead Neigh. Revitalization	HM0509501	Eastern CT. Housing Opportunities, Inc.	New London	\$ 36,500		\$ 36,500
Norwalk Homebuyer Assistance Program	HM0510302	North Walke Housing Corp.	Norwalk	\$ 150,000		\$ 150,000
Dye House Apartments	HM0807701	Dye House Assoc. LLC	Manchester	\$ 186,600	\$ 1,378,636	\$ 1,565,236
Alfred E. Plant Apartments	HM0915501	West Hartford Housing Authority	West Hartford		\$ 192,655	\$ 192,655
Woodcrest Elderly Housing Phase II	HM0612901	Somers Housing Authority	Somers	\$ 612,017	\$ 60,800	\$ 672,817
Total				\$ 985,117	\$ 1,632,091	\$ 2,617,208

Source: DECD, OHCD

* the dollar value of MBE & WBE contracts are based on HOME Projects completed during the PY and may include financing from other than the HOME Program.

K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of State-designated CHDO's that received funding during the program year.

Table 27: HOME projects contracted during PY 11-12 that represent awards to State-designated CHDOs							
Project Name	Project #	Project Location	Activity	Unit Type	HOME Units	Award Amount	Name of CHDO
Fair Haven Mutual Housing	HM0909302	New Haven	NC/Rehab	R	34	4,000,000	Mutual Housing Association Southcentral CT. Inc.
Source: DECD, OHCD							
Key: Refer to "Key" Appendix							

M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

**Table 28: HOME Program Summary
of Rental Projects Monitored During PY 2011-2012**

Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitorings	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Bridgeport	Bishop Curtis	HM0401502	Rehab	R	48	Occ	Post Occupancy	5/8/2012	1	HQS Compliant	Pass Income Test
Bridgeport	Harrison Apts.	19960150579169	Rehab	R	10	Occ	Post Occupancy	5/22/2012	1	PhysFindings/CorrMade	Pass IncomeTest
Burlington	Evergreens	19980200570011	NC	R	24	Occ	Post Occupancy	12/14/2011	1	PhysFindings/CorrMade	Pass IncomeTest
EHartford	EastonPlace	19980430579160000	Rehab	R	7	Occ	Post Occupancy	7/12/2012	1	PhysFindings	Pass Income Test
Franklin	Elisha Brook	HM1005301	NC	R	27	Compl	Inspe. Files	8/1/2012	1	N/A	Pass Income Test
Hartford	WashingtonCourt	20000640450579163A	Rehab	R	66	Occ	Post Occupancy	12/18/2011	1	PhysFindings/CorrPending	Pass Income Test
Hartford	Ida Wells Apartments	HM200269	Rehab	R	40	Occ	Post Occupancy	5/24/2012	1	HQS Compliant	Pass Income Test
Hartford	Victory Cathedral	HM0706402	NC	R	12	Dev	HUD monitor DECD	4/5/2012	1	Physical Inspection	Pass Income Test
Hartford	ParkTerr2	20000640410579923A	Rehab	R	68	Occ	Post Occupancy	10/19/2011	1	PhysFindings/CorrMade	Pass IncomeTest
Hartford	BrickHollow	HM0406402	NC	R	30	Occ	Post Occupancy	11/15/2011	1	PhysFindings/CorrMade	Pass Income Test
Hartford	ZionStMutual	HM0405701	Rehab	R	6	Occ	Post Occupancy	10/19/2011	1	PhysFindings/CorrMade	Pass Income Test
Manchester	Center Street Apartments	HM1007701	NC	R	15	Occ	Construction, Ribboncutting Walkthrough	7/27/2011, 12/19/2011	2	Walkthrough by PM	TBD
Manchester	Dye House Apts	HM0807701	NC	R	32	Compl	Inspe. Files	4/3/2012	1	Physical Inspection	Ongoing
Manchester	BirchMeadow	2001077057001	NC	R	15	Occ	Post Occupancy	7/12/2012	1	PhysFindings	Pass Income Test
Middletown	NEAC	20000830070579161A	Rehab	R	7	Occ	Post Occupancy	12/8/2012	1	No	Pass Income Test
New Britain	Marian Heights	HM0808901	Rehab	R	42	Compl	Inspe. Files	1/9/2012	1	N/A	Pass Income Test
New Canaan	Mill Apartments	HM0909001	NC	R	11	Compl	Inspe. Files	11/3/2011, 3/8/2012, 3/28/2012	3	N/A	Pass Income Test/Compliance
Newtown	Nunnawauk Meadows	HM0406406	NC	R	6	Occ	Post Occupancy	8/3/2011	1	HQS Compliant	Pass IncomeTest
Stamford	Haynes House	199613505700025	Rehab/NC	R	7	Occ	Post Occupancy	1/10/2012	1	PhysFindings	1 Over Income
Trumbull	Huntington Place	HM414401	NC	R	11	Occ	Post Occupancy	7/19/2011	1	HQS Compliant	Pass IncomeTest
Waterbury	GraceHouse	19961510570077	NC	R	40	Occ	Post Occupancy	7/7/2011	1	PhysFindings	Pass Income Test
Westport	Hidden Brook	19971580570016	NC	R	39	Occ	Post Occupancy	12/7/2011	1	PhysFindings/CorrMade	Pass Income Test
Westport	Hales Court	HM0815801	NC	R	20	Dev	Ribboncutting Walkthrough	9/12/2011	5	Physical Inspection	N/A
Windham	Cedarwoods	HM0916301	NC	R	56	Dev	Construction Mon, Walkthrough	7/27/2011	3	Physical Inspection	N/A
Activity Key:			Stages Key:			Type of Monitoring Key:					
NC = New Construction			Dev =Construction			Unit Inspection					
Rehab = Rehabilitation			Compl =Initial Occupancy			Sponsor Files/Labor Files					
HO = Homeownership			Occ =Ongoing occupancy			Site Visit					
R = Rental						Inspe. Files					

N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME Program Workshops & Technical Assistance Provided During 2011-12 Program Year					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
State Housing Policy Direction Conference	Presentation of state housing guidelines and priority areas.	10/19/2011	Hartford, CT.	Non-profit housing development organizations	50
HOME DECD Consolidated Application Training	Training on use of electronic Consolidated Application required for HOME Applications	3/6/2012	Hartford, CT.	Non-profit and for-profit developers	75
Affirmative Fair Housing Marketing Workshop	Training session on how to design an effective Affirmative Fair Housing Marketing and Tenant Selection Plan	2/1/2012	Hartford, CT	Owners of state financed public housing units applying for DECD funding to be used for preservation of the state housing portfolio	63
Affirmative Fair Housing Marketing Workshop	Training sessions on how to design an effective Affirmative Fair Housing Marketing and Tenant Selection and new reporting requirements on "Least likely to Apply"	4/23/2012 and 6/18/2012	Hartford, CT.	Owners and current applicants of HOME and other state housing development programs	38 and 20

Source: DECD, OHCD

O. Closed Out PY 2011-12

The following table (Table 30) provides a summary of closed out projects for program year 2011-12.

Table 30: HOME Program Projects Closed Out during Program Year: 7/1/2011 to 6/30/2012

Recipient Name	Project Name and Number	Project Location	Project Description	Total Project Cost	DECD HOME Investment	Proposed Funding Type	Year Funded From	Activity	Unit Type	HOME Units	Date Closed in IDIS
Christian Activities Council	ADDI - Urban Suburban Affordables HM0510003	Hartford	Homebuyer Assistance	\$2,300,000	\$246,680	Grant	2004	HBA	HO	20	1/19/2012
Hamden Housing Authority	Centerville Village HMO706201	Hamden	Rehab of existing elderly housing	\$877,600	\$395,000	Grant	2008	Rehab	R	62	12/8/2011
House New London, LLC	ADDI-House New London HM0517002	New London	Homebuyer Assistance	\$847,232	\$843,227	Grant	2004	HBA	HO	75	5/31/2012
Housing Development Fund, Inc.	ADDI- Housing Development Fund HM0517004	Stamford	Homebuyer Assistance	\$330,442	\$107,475	Grant	2004	HBA	HO	9	5/31/2012
Eastern CT. Housing Opportunities, Inc.	Hempstead Neigh. Revitalization HM0509501	New London	New Construction & Rehab.	\$3,215,738	\$1,577,715	Grant	2005	NC/Rehab	HO/R	10	4/3/2012
Empower New Haven, inc.	ADDI-MI Home Power HM0609301	New Haven	Home buyer Assistance	\$1,126,191	\$304,840	Grant	2006	HBA	HO	26	4/27/2012
Mutual Housing Assoc. of South Central CT, Inc.	Highwood Square Artists HM0806202	Hamden	New Construction & Rehab. Artists Apartments	\$7,859,529	\$2,000,000	Loan	2007	NC/Rehab	R	19	1/12/2012
Town of Somers Housing Authority	Woodcrest Elderly Housing Expand. HM0612901	Somers	Rehab. & New Constr. Of Elderly Housing	\$16,571,245	\$4,472,563	Loan	2007	NC/Rehab	R	86	12/27/2011
Town of Hamden	Homeowner Rehabilitation Program HM0806201	Hamden	Homeowner Rehabilitation	\$357,360	\$357,360	Grant	2007	R	HO	13	5/29/2012
Victory Cathedral VOA Elderly Housing, Inc.	Victory Cathedral Elderly Housing HM0706402	Hartford	New Construction	\$5,561,428	\$1,200,000	Grant	2007	NC	R	10	1/11/2012

Marion Heights, Inc.	Marion Heights HM0808901	New Britain	HUD Section 202 Program Elderly	\$9,232,834	\$2,000,000	Grant	2008	R	R	42	2/3/2012
Westport Housing Authority	Hales Court Redevelopment HM0815801	Westport	Duplex Family Housing	\$2,487,682	\$3,125,000	Grant	2008	NC	R	20	3/16/2012
Dye House Assoc. LLC	Dye House Apartments HM0807701	Manchester	Adaptive Reuse Historic Mill	\$18,404,152	\$5,317,199	Loan	2008	NC	R	32	3/27/2012
Becker Dev. Assoc. LLC	360 State Street- Shartenburg HM0809303	New Haven	Mixed Use Mixed Income	\$18,842,617	\$2,025,000	Grant	2008	NC	R	20	3/27/2012
New Canaan Housing Authority	Mills Apartments HM0909001	New Canaan	Redev. Expansion of HA units	\$7,839,087	\$2,138,150	Loan	2009	Redev	R	11	1/11/2012
Access Senior Housing of Franklin, Inc.	Elisha Brook HM1005301	Franklin	HUD Section 202 Program Elderly	\$5,500,000	\$700,000	Grant	2010	NC	R	10	3/28/2012
CHR Capital, Inc.	Center Street Apartments HM1007701	Manchester	service enhanced two bedroom units	\$6,570,662	\$2,290,568	Loan	2010	NC	R	15	6/7/2012
New London Comm. Limited Partnership	Briarcliff Redevelopment HM0909502	New London	Rehab. HA units	\$18,824,100	\$2,633,049	Loan	2010	R	R	15	3/16/2012
Common Ground Community HDFC	Cedarwoods Apartments HM0916301	Windham	one bedroom units	\$13,309,667	\$3,430,000	Loan	2010	NC	R	22	6/18/2012
West Hartford Housinbg Authority	Alfred E. Plant Apartments HM0915501	West Hartford	Rehab. & New Construction Elderly units	\$21,204,627	\$2,155,080	Loan	2010	NC/Rehab	R	14	6/7/2012

Source: DECD, OHCD

IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2011-12

The following table (Table 31) provides a summary of the resource allocation for program year 2011-12.

Table 31: SC/CDBG Program Resource Allocation for PY 2011-2012	
State Administration (2%)	\$ 246,380
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 123,190
Subtotal	\$ 469,570
Urgent Need (up to \$500,000)	\$ 500,000
Allocation available for all other eligible activities	\$ 11,849,448
FY 2011-12 Allocation	\$ 12,319,018

Source: DECD, OHCD

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2011-12			
FFY 11-12 SC/CDBG Program Allocation Funding Allowed for Admin.		Amount of SC/CDBG Program Funding Expended on Admin.	Amount of Matching Funds Provided by State Toward Admin.
\$	100,000	State Admin.	
		2% of Allocation	
\$	246,380	\$ 346,380	\$ 246,380

Source: DECD, OHCD

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2011-12

The following table (Table 33) provides a summary of contracts during the PY 2011-12.

Table 33: SC/CDBG Program Projects Contracted During Program Year 8/1/2011 to 6/30/2012

Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Housing	# People	#Jobs Created/ Retained	Multi-family/ Elderly	Date AG Signed Contract
Ansonia	SC1100201	Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB					12/22/2011
Ashford	SC1100301	Housing Rehabilitation Program	\$300,000	2011	HR	10	HO	RHB					1/17/2012
Bethel	SC1100901	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	23	HO	RHB					2/8/2012
Branford	SC1101401	ADA & Energy Effi Improv to Orchard House Adult Day Care	\$500,000	2011	PF					54			1/4/2012
Clinton	SC1102701	Glenhaven Elderly Housing Renovations	\$680,000	2011	PH/MOD	32	R	RHB	PH			E	1/4/2012
Cromwell	SC1103301	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB					3/1/2012
Derby	SC1103701	City-Wide Housing Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB					2/8/2012
East Haven	SC1104401	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	15	HO	RHB					
East Windsor	SC1104701	Park Hill Elderly & Disabled Housing Complex	\$700,000	2011	PH/MOD	84	R	RHB	PH			E	1/4/2012
Ellington	SC1104801	Snipsic Village Capital Improvements	\$485,000	2011	PH/MOD	42	R	RHB	PH			E	1/4/2012
Killingly	SC1106901	Housing Rehabilitation Program - Townwide	\$300,000	2011	HR	10	HO	RHB					12/21/2011
Ledyard	SC1107201	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	19	HO	RHB					2/14/2012
New Fairfield	SC1109101	Town of N. Fairfield Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB					12/22/2011
North Canaan	SC1110001	Wangum Village ADA & Safety Improvements	\$630,000	2011	PH/MOD	40	R	RHB	PH			E	
North Haven	SC1110101	Town Hall ADA Improvements	\$750,000	2011	PF					2,000			12/21/2011
Plainville	SC1111101	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	25	HO	RHB					12/21/2011
Portland	SC1111301	Quarry Heights Senior Housing Renovations	\$560,000	2011	PH/MOD	70	R	RHB	PH			E	1/4/2012
Rocky Hill	SC1111901	Willow Road Senior Housing Renovations	\$700,000	2011	PH/MOD	40	R	RHB	PH			E	1/4/2012
Seymour	SC1112401	Infrastructure Project	\$500,000	2011	PF					50			12/21/2011
Shelton	SC1112601	Housing Rehab Program	\$300,000	2011	HR	10	HO	RHB					12/21/2011
Sprague	SC1113301	Shetucket Village Senior Housing Renovations	\$700,000	2011	PH/MOD	20	R	RHB	PH			E	12/22/2011
Stafford	SC1113401	Housing Rehabilitation Program	\$300,000	2011	HR	12	HO	RHB					1/4/2012
Stonington	SC1113701	Edythe K. Richmond Senior Housing Renovations	\$542,000	2011	PH/MOD	60	R	RHB	PH			E	1/25/2012
Thomaston	SC1114001	Town-Wide Housing Rehabilitation Revolving Loan Program	\$125,000	2011	HR	5	HO	RHB					12/21/2011
Wallingford	SC1114801	Bldg Renov/Safety Improv- Ubrich Heights & S. Side Terr	\$700,000	2011	PH/MOD	128	R	RHB	PH			E	1/5/2012
Watertown	SC1115301	Town-Wide Housing Rehabilitation Revolving Loan Program	\$300,000	2011	HR	18	HO	RHB					1/9/2012
Windham	SC1116301	Housing Rehabilitation Program	\$300,000	2011	HR	15	HO	RHB					1/4/2012
Windsor Locks	SC1116501	Pearl Street & Fern Street Roadway & Infrastructure Improv	\$500,000	2011	PF					1443			1/4/2012
Total			\$12,272,000			728				3,547			

Source: DECD, OHCD

Key: Refer to the "Key" Appendix

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2011-12 Summary of Geographic Distribution	
County	CDBG \$
Fairfield	\$ 900,000
Hartford	\$ 2,200,000
Litchfield	\$ 1,055,000
Middlesex	\$ 1,540,000
New Haven	\$ 3,350,000
New London	\$ 1,542,000
Tolland	\$ 785,000
Windham	\$ 900,000
Total	\$ 12,272,000

Source: DECD, OHCD

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2011-12 Summary by Activity		
Activity	Total Funding	Number of Projects
Homeowner Rehabilitation	\$ 4,325,000	15
Public Housing Rehabilitation	\$ 5,697,000	9
Total Housing	\$ 10,022,000	24
ADA Improvements	\$ 1,250,000	2
Water/Sewer/Street Improvements	\$ 1,000,000	2
Total Public Facilities	\$ 2,250,000	8
Total	\$ 12,272,000	28

Source: DECD, OHCD

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 11-12 Identifying Funding Leveraged

Project Description	Project Number	Project Location	Proposed CDBG Investment	Total Financing Leveraged	Total Estimated Project Cost (\$)
Housing Rehab Program	SC1100201	Ansonia	\$300,000	\$ -	\$ 300,000
Housing Rehabilitation Program	SC1100301	Ashford	\$300,000	\$ -	\$300,000
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1100901	Bethel	\$300,000	\$ 43,000	\$ 343,000
ADA & Energy Effi Improv to Orchard House Adult Day Care	SC1101401	Branford	\$500,000	\$ 63,000	\$563,000
Glenhaven Elderly Housing Renovations	SC1102701	Clinton	\$680,000	\$ 57,180	\$737,180
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1103301	Cromwell	\$300,000	\$ 32,500	\$ 332,500
City-Wide Housing Revolving Loan Program	SC1103701	Derby	\$300,000	\$ 30,000	\$ 330,000
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1104401	East Haven	\$300,000		\$ 300,000
Park Hill Elderly & Disabled Housing Complex	SC1104701	East Windsor	\$700,000	\$ 285,540	\$ 985,540
Snipsic Village Capital Improvements	SC1104801	Ellington	\$485,000	\$ 20,625	\$ 505,625
Housing Rehabilitation Program - Townwide	SC1106901	Killingly	\$300,000		\$ 300,000
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1107201	Ledyard	\$300,000	\$ 30,000	\$ 330,000
Town of N. Fairfield Housing Rehab Program	SC1109101	New Fairfield	\$300,000		\$300,000
Wangum Village ADA & Safety Improvements	SC1110001	North Canaan	\$700,000	\$ 32,432	\$732,432
Town Hall ADA Improvements	SC1110101	North Haven	\$750,000	\$ 86,020	\$836,020
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1111001	Plainville	\$300,000	\$ 65,000	\$ 365,000
Quarry Heights Senior Housing Renovations	SC1111301	Portland	\$560,000	\$ 25,000	\$ 585,000
Willow Road Senior Housing Renovations	SC1111901	Rocky Hill	\$700,000	\$ 46,246	\$746,246
Infrastructure Project	SC1112401	Seymour	\$500,000		\$ 500,000
Housing Rehab Program	SC1112601	Shelton	\$300,000		\$ 300,000
Shetucket Village Senior Housing Renovations	SC1113301	Sprague	\$700,000	\$ 108,189	\$808,189
Housing Rehabilitation Program	SC1113401	Stafford	\$300,000		\$ 300,000
Edythe K. Richmond Senior Housing Renovations	SC1113701	Stonington	\$542,000	\$ 420,000	\$962,000
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1114001	Thomaston	\$125,000		\$ 125,000
Bldg Renov/Safety Improv- Ubrich Heights & S. Side Terr	SC1114801	Wallingford	\$700,000	\$ 30,000	\$730,000
Town-Wide Housing Rehabilitation Revolving Loan Program	SC1115301	Watertown	\$300,000	\$ 37,500	\$ 337,500
Housing Rehabilitation Program	SC1116301	Windham	\$300,000		\$300,000
Pearl Street & Fern Street Roadway & Infrastructure Improv	SC1116501	Windsor Locks	\$500,000	\$258,600	\$758,600
Total			\$12,342,000	\$ 1,670,832	\$ 14,012,832
<i>Source: DECD, OHCD</i>					
<i>Key: Refer to the "Key" Appendix</i>					

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2011-2012	
Activity	Amount
Conference	\$ 1,200.00
Payroll	\$ 51,012.27
Total	\$ 52,212.27

Source: DECD, OFA

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

Table 38: SC/CDBG Program De-Obligated Activity During PY 2011-12						
Town	Project #	Fund Year	Old Activity	Funds De-Obligated		Date Returned
Middlebury	SC0708101	2007	Town Hall ADA	\$ 36,374		11/04/11
New Milford	SC0809601	2008	Street Improvements	\$ 36,872		11/02/11
Oxford	SC0810801	2008	Senior Housing Rehab	\$ 6,522		11/7/11
Branford	SC0801401	2008	Housing Rehab	\$ 151,420		12/22/11
Southbury	SC0713001	2007	Housing Rehab	\$ 216,500		02/06/12
Canton	SC0602301a	2006	Housing Rehab	\$ 2,994		04/12/12
			Total	\$ 450,681		

Source: DECD, OFA

Key: Refer to the "Key" Appendix

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DECD has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. These program priority objectives have been in place since the state began administering the program in 1982. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DECD's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. Beginning with FFY '92, DECD now requires that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
<i>Source: DECD, OHCD</i>				

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though DECD's rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, DECD created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of DECD's effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for DECD staff as well as applicants it included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Economic Development	% of Funding for Economic Development
1996	\$14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$14,269,580	\$ 1,017,857		0%
2003	\$14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$15,289,457	\$ 1,260,500		0%
2005	\$14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$13,135,742	\$ 300,000		0%
2007	\$13,219,057	\$ 1,220,750		0%
2008	\$12,830,432	\$ 1,435,000		0%
2009	\$12,800,000	\$ 1,350,000		0%
2010	\$12,471,500	\$ 1,280,500		0%
2011	\$12,272,000	\$ 1,233,500		0%

Source: DECD, OHCD

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though

shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DECD under neighborhood revitalization strategies in DECD's 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DECD's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DECD continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit To L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:
 The figures below are given to document the extent to which DECD's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity					
FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National Objective	Amount of Funding to which Benefit Test Applies	% of Low/Moderate Benefit
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%

Source: DECD, OHCD

L. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

Table 43: CDBG Program Summary of Projects Monitored During PY 2011–12						
Project Location	Project Name	Project Number	Activity	Dollar Amount	Type of Monitoring	Date of Monitoring
Oxford	Senior Housing	SC0810801	PH/MOD	\$700,000	Close-out	7/19/11
Litchfield	Firehouse conversion to hsg	SC0807401	HR	\$500,000	Close-out	7/26/11
Southbury	Senior Housing	SC0813001	PH/MOD	\$700,000	Close-out	8/9/11
Norfolk	Senior Housing	SC0809801	PH/MOD	\$675,000	Close-out	9/13/11
Monroe	Senior Housing	SC0808501	PH/MOD	\$700,000	Close-out	9/20/11
Branford	Senior Housing	SC081401	PH/MOD	\$700,000	Close-out	10/11/11
Windsor	Library ADA	SC0816401	PF	\$465,000	Close-out	10/18/11
Brooklyn	Tiffany Street Reconstruction	SC0901901	PF	\$500,000	Close-out	10/25/11
Wolcott	Housing Rehab	SC0816601	HR	\$300,000	Close-out	11/1/11
N. Branford	Elderly Housing Rehab	SC0909901	PH/MOD	\$700,000	Close-out	11/8/11
Wallingford	Senior Housing	SC0914804	PH/MOD	\$700,000	Close-out	11/15/11
Suffield	Housing Rehab	SC0913901	HR	\$125,000	Close-out	11/22/11
Prospect	Housing Rehab	SC0811501	HR	\$300,000	Close-out	11/29/11
Vernon	Prospect St.	SC0914601	PF	\$500,000	Close-out	12/6/11
Naugatuck	Sidewalks	SCR0908801	PF	\$420,000	Close-out	01/17/12
Vernon	Prospect St. II	SC0914601	PF	\$500,000	Close-out	02/24/12
Torrington	Sidewalks	SCR0914301	PF	\$376,500	Close-out	02/29/12
Bethel	Housing Rehab	SC0900901	HR	\$300,000	Close-out	03/07/12
Farmington	Senior Housing Maple Village	SC0905201	PH/MOD	\$700,000	Close-out	02/14/12
Sprague	Grist Mill ADA	SC0913301	PF	\$738,525	Interim	03/28/12
sprague	High Street	SC1013301	PF	\$500,000	Close-out	03/28/12
Wallingford	Sidewalks	SCR0914801	PF	\$971,400	Close-out	04/06/12
Coventry	Housing Rehab	SC0903201	HR	\$300,000	Close-out	04/13/12
Plymouth	Housing Rehab	SC0911101	HR	\$300,000	Close-out	04/20/12
Hampton	Housing Rehab	SC0906301	HR	\$300,000	Close-out	04/27/12
Tolland	Housing Rehab	SC0914201	HR	\$300,000	Close-out	05/03/12
Middlefield	Housing Rehab	SC0908201	HR	\$300,000	Close-out	05/10/12
Killingly	Housing Rehab	SC0907001	HR	\$500,000	Close-out	05/17/12
East Haven	Housing Rehab	SC0904401	HR	\$300,000	Close-out	05/24/12
Stafford	Prospect St. II	SC0913401	PF	\$500,000	Close-out	06/01/12
Litchfield	Senior Housing Wells Run	SC0907401	PH/MOD	\$750,000	Close-out	05/08/12
Clinton	Housing Rehab	SC0902701	HR	\$300,000	Close-out	06/15/12
New Hartford	Housing Rehab	SC0909201	HR	\$300,000	Close-out	06/22/12

Source: DECD, OHCD

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2011-2012					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2011 allocation year	2/23/12	Hartford, CT	Town Officials and Consultants	100

Source: DECD, OHCD

N. Closed Out PY 2011-12

The following table (Table 45) provides a summary of closed out projects for program year 11-12.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2011 to 6/30/2012

Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab	# People	JOBS
Berlin	SC0600701	Marjorie Moore Elderly Housing	\$500,000	2005	PH/MOD	40	R	Rehab		
Branford	SC0801401	Senior Housing project	\$700,000	2008	PH/MOD	11	R	Rehab		
Brookfield	SC0701801	Senior Center Exp	\$700,000	2007	PF				2,368	
Canton	SC0602301A	Senior Housing project	\$600,000	2006	PH/MOD	40	R	Rehab		
Coventry	SC0803201	Kings Road Reconstruction	\$500,000	2008	PF				20	
East Hampton	SC0604201A	Water tower Demolition	\$200,000	2006	PF				466	
East Haven	SC0804401	Housing Rehabilitation	\$300,000	2008	HR	16	HO	Rehab		
East Windsor	SC0904701	Flood & Drainage	\$500,000	2009	PF				351	
Ellington	SC0704801	Regional Housing Rehabilitation	\$300,000	2007	HR	9	HO	Rehab		
Ellington	SC0804801	Regional Housing Rehabilitation	\$300,000	2008	HR	11	HO	Rehab		
Jewett City	SC0805801	Sidewalk Improvements	\$500,000	2008	PF				1,733	
Jewett City	SC0905801	Senior Housing project	\$700,000	2009	PH/MOD	20	R			
Litchfield	SC0807401	Firehouse conversion into housing units	\$600,000	2008	HR	2	R	Rehab		
Middlebury	SC0708101	Townhall ADA	\$700,000	2007	PF				784	
Middlefield	SC0708201	Housing Rehabilitation	\$300,000	2007	HR	10	HO			
New Milford	SC0809601	Whittlesey Ave Improvements	\$600,000	2008	PF				2,248	
North Branford	SC0509901	Public Housing project	\$700,000	2004	PH/MOD		R	Rehab		
Oxford	SC0510801	Senior Center Exp	\$750,000	2004	PF				977	
Plainfield	SC0810901	Infrastructure Improv - sidewalks	\$500,000	2008	PF				2,471	
Plainfield	SCR0910901	Sidewalk Improvements - stimulus	\$500,000	2009	PF				14,499	
Plainville	SC0911001	Sidewalks & curb cuts	\$500,000	2009	PF				3,238	
Pomfret	SC0711201	Seely Brown Rehabilitation	\$450,000	2007	PH/MOD	31	R	Rehab		
Salisbury	SC0712201	Senior Center Exp	\$750,000	2007	PF				991	
Southbury	SC0713001	Grace Meadow Rehabilitation	\$700,000	2007	PH/MOD	24	R	Rehab		
Stafford	SC0713401	Prospect Street	\$500,000	2007	PF				1,550	
Stafford	SC0813401	Housing Rehabilitation	\$300,000	2008	HR	8	HO	Rehab		
Stonington	SC0613701A	Senior Center Exp	\$1,000,000	2006	PF				3,659	
Suffield	SC0913901	Public Housing project	\$125,000	2009	PH/MOD	75	R	Rehab		
Thompson	SC0714101	Town Hall ADA	\$460,000	2007	PF				1,781	
Wallingford	SC0914801	Ulbrich Heights elderly hsg	\$700,000	2009	PH/MOD	40	R	Rehab		
Windsor Locks	SC0706501	Town Hall ADA	\$500,000	2007	PF				3,895	
Windsor	SC0716401	Housing Rehabilitation	\$300,000	2007	HR	21	HO	Rehab		
Woodbridge	SC0716701	Housing Rehabilitation	\$300,000	2007	HR	11	HO	Rehab		
			\$17,035,000			369			41,031	

* "Closed Out" is defined as the date the project was closed out in IDIS.
Source: DECD, OHCD
Key: Refer to the "Key" Appendix

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2011-12.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2011-2012		
Issued to:	Activity	Date
South Windsor Housing Authority	Annual PHA Plan	7/29/2011
Newington Housing Authority	Annual PHA Plan	7/29/2011
Wethersfield Housing Authority	Annual PHA Plan	7/29/2011
Canton Housing Authority	Annual PHA Plan	7/29/2011
State of CT. DMHAS	Shelter Plus Care Program: Stamford - TRA/scattered sites, PRA/Colony & Atlantic Building	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Bridgeport -TRA/scattered sites, PRA/Crescent Building & Fairfield Apartments	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Hartford -1993/TRA Renewal/scattered sites, HEARRT/scattered sites, Hudson View Commons, MSP Renewal	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Hartford- BOS/HEARRT/scattered sites/suburban, BOS/RidgeGardens/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Meriden/Wallingford- BOS/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Middletown - BOS/PRA/8 Liberty St., BOS/SRA scattered sites, BOS/TRA scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Norwich/New London-BOS/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Norwalk-TRA/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Torrington- BOS/Helping Hands 1&2, scattered sites	10/6/2011
State of CT. DMHAS	Willimantic Shelter Plus Care Program: Willimantic BOS/United Services, TRA/scattered sites, BOS/Brick Row	10/6/2011
State of CT. DMHAS	Danbury Shelter Plus Care Program: Danbury- BOS-CHI/scattered sites, BOS-Combo grant/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: Manchester- BOS-2011/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: New Britain-BOS-Combo Grant 2011/scattered sites	10/6/2011
State of CT. DMHAS	Shelter Plus Care Program: New Haven/Hamden- BOS - 2011/scattered sites, BOS-2005/TRA/scattered sites	10/6/2011
State of CT. DMHAS	Supportive Housing Program: E. Hartford,Manchester,Vernon-BOS-VSS 2011/scattered sites	10/6/2011
State of CT. DMHAS	Supportive Housing Program: Waterbury & Western CT. Mental Health 2011, scattered sites	10/6/2011
State of CT. DMHAS	Supportive Housing Program: Torrington- BOS/Western CT. Mental Health Network, scattered sites	10/6/2011
Danbury Housing Authority	Shelter Plus Care Program: Danbury- BOS/DHA/WCMHN & Greater Danbury CoC, scattered sites	10/6/2011
Birmingham Group Health Servcies	Supportive Housing Program: Ansonia,Derby,Shelton-BOS, scattered sites	10/6/2011
St. Philip House, Inc.	Supportive Housing Program:Plainville- BOS/St. Philip House/Perm Housing	10/6/2011
Chrysalis Center, Inc.	Supportive Housing Program: Bloomfield/West Hartford- BOS/Chrysalis Center Inc. & Family Matters, scattered sites	10/6/2011

Holy Family Home and Shelter, Inc.	Supportive Housing Program: Willimantic- BOS/Holy Family Home & Shelter, Homes Plus, scattered sites	10/6/2011
Bethsaida Community, Inc.	Supportive Housing Program: Norwich- BOS/Katie Blair House, Flora O'Neil Apartments	10/6/2011
Community Health Resources, Inc.	Supportive Housing Program: Manchester-PILOTS Program/Manchester Supportive Housing, scattered sites	10/6/2011
New Opportunities, inc.	Supportive Housing Program: Meriden- BOS/Meriden Supportive Housing & scattered sites	10/6/2011
Harbor Health Services, Inc.*	Supportive Housing Program: Branford-BOS/Harbor Housing Opportunities & New London County- Comm.Living Prog./scattered sites	10/18/2011
State of CT. DMHAS	Supportive Housing Program: Torrington-BOS/Western Housing Options, scattered sites	10/18/2011
Association of Religious Communities, Inc. (ARC)	Supportive Housing Program: Danbury- BOS/Supportive Housing Vouchers, scattered sites	10/18/2011
Torrington Housing Authority	Shelter Plus Care Program:Torrington- BOS/Pilots 1&2 , scattered sites	10/18/2011
Connecticut Coalition to End Homelessness	Supportive Housing Program: Hartford- BOS/Homeless Management Information System (HMIS)	10/18/2011
Torrington Community Housing Corporation	Supportive Housing Program: Torrington- BOS/Hope House I & II	10/18/2011
Community Mental Health Affiliates/ State of CT DMHAS	Shelter Plus Care & Supportive Housing Program: New Britain- BOS/scattered sites	10/18/2011
Winham Region Community Council (WRRC)	Supportive Housing Program: Windham- BOS/Haven & Project Home, scattered sites	10/18/2011
Women's Center of Southeastern Connecticut, Inc.	Supportive Housing Program: New London-BOS/Phoenix House Transitional Housing Program	10/18/2011
Community Renewal Team (CRT)	Supportive Housing Program: Bloomfield- scattered sites, E.Hartford- BOS/Perm. Supportive Housing	10/18/2011
Alliance For Living, Inc.	Supportive Housing Program: New London County- BOS/HUD SHP 1,2,3 -scattered sites	10/18/2011
Putnam Housing Authority	Supportive Housing Program/Shelter Plus Care: Putnam- BOS/scattered sites	10/18/2011
Killingly Housing Authority	Supportive Housing Program/Shelter Plus Care: Killingly- scattered sites	10/18/2011
Columbus House, Inc.	Supportive Housing Program: Hamden- 2011/SHP, scattered sites	10/18/2011
Thames Valley Council for Community Action, Inc.	Supportive Housing Program: New London County- BOS/Homeless Collaborative Network, scattered sites	10/18/2011
Homes with Hope, Inc	Supportive Housing Program: Norwalk/Fairfield County-CoC/Homes with Hope Permanent SHP	10/21/2011
Seymour Housing Authority	Annual PHA Plan	10/21/2011
Northeast District Dept. of Health	HUD Lead Based Paint Hazard Control Program	12/29/2011
Ansonia Housing Authority	Annual PHA Plan	1/12/2012
Dept. of Social Services	Section 8 annual PHA Plan	3/29/2012
Glastonbury Housing Authority	Annual PHA Plan	3/29/2012
East Haven Housing Authority	Annual PHA Plan	3/29/2012
Willimantic Housing Authority	Annual PHA Plan	5/3/2012

Source: DECD, OHCD

V. ESG Program Requirements

A. State Summary Report SFY 2012

1. Grantee and Community Profile

The Department of Social Services (DSS) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/11– 6/30/2012.

As a lead agency for the provision of emergency shelter services and multi- family or single room residency program to individuals and/or families who are homeless, DSS administers Connecticut's Emergency Shelter/Solution Grant (ESG) Program - Allocation #1. During this Program Year, the Department completed a Substantial Amendment for Allocation #2 – Emergency Solution Grant (ESG) however the Department did not receive approval of the Substantial Amendment during this Program Year. Allocation #2 was targeted for HMIS- Local System Administrator services for Balance of State sub-recipients and RRH-Financial Assistance. The Department plans on leveraging housing relocation and stabilization services with existing resources.

DSS works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DSS contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs, transitional living programs, beyond shelter providers, etc. DSS is able to meet its goal of providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DSS contractually requires its emergency shelter providers to utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DSS provided a portion of funding for the Point in Time Count (conducted during last week in January 2012)).

In administering its \$5.4 billion dollar agency budget, DSS allocated Federal and State funds for a combined total of \$18,492,934.92 for the provision of housing assistance and supportive services to homeless people. Noteworthy: The composition of the combined funding includes federal carry forward allotments as well as, ESG-Homelessness Prevention and Rapid Rehousing Program.

During the past twelve (12) months, twenty four (24) of the Department's forty (40) non-profit organizations received funding from the FFY'12 ESG Program for program operations, essential services, staff, and homeless prevention. The ESG total allocated for DSS equals \$1,171,305.00. DSS did not utilize any ESG funding for agency administration.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Advocacy;
- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Homeless prevention;
- Information & Referrals;

- Shelter and housing assistance;
- Substance abuse counseling;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

The Department submitted a corrective action plan to HUD to address finding identified in PY 2011. The specific finding was tied to the lack of a competitive procurement process for ESG funding. The Department's plans talks about the process it will utilize to competitively procure. Also, during PY 2012; HUD issued ESG guidance, interim rules and regulations specific to COC. The Department decided that it would 1st implement the ESG guidance and then issue the competitive procurement once HUD issues final guidance. During PY 2013, DSS plans to tackle coordinated intake, performance measures, CoC participation and competitive procurement specific to Allocation #1. DSS is in the final stages of issuing its procurement for RRH-Financial Assistance associated with Allocation #2.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to enable all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized Beyond Shelter Program and Housing First for Families Programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue review of HPRP operations and have tweaked process, when duplication and replication is appropriate.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.

Objective 2: Enhance suitable living environments that assist families and individuals to remain in permanent housing

- Continue existing resources for state’s Eviction Foreclosure and Prevention Program.
- Utilize HPRP homeless prevention funds to assist families and individuals with needs, so that they do not breach the emergency shelter system.

Objective 3: Support a regional approach to ending homelessness in Connecticut through the Ten Year Plans to End Homelessness that are presently in place.

- Network with CT Coalition to End Homelessness’ (CCEH) efforts to support community Ten Year Plans to End Homelessness. 13 Plans are currently available for view on CCEH’s website, <http://www.cceh.org/publications.htm>
- Participate in Opening Doors CT Steering Committee and Crisis Retool Workgroup.
- Continue participation in Balance of State (BOS) Continuum of Care
- Participate in semi-annual ESG grantee meetings with HUD-Hartford

Objective 4: Maintain the state’s network of “Homeless Shelters.”

- Utilized Beyond Shelter Program and Housing First for Families Programs, administered by DSS, to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Support state’s network of Homeless shelters and in SFY 2012 and SFY 2013 budgets, an allocation was identified for HMIS data entry staff and systems.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available. To view the Point In Time Brief 2012, click this link, http://www.cceh.org/pdf/count/ct_pit_2011_Brief.pdf.
- Minimum of leveraged monies for homeless shelters = \$10,603,004.00
- Number of DSS funded shelters – 44
- Total number of beds (statewide) = 1,358; Number of shelters that service individuals only = 12; number of shelters that service families only = 11; Number of shelters that serve both families and individuals = 21
- Total number of clients served with ESG funding = 7,737

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Elderly and Frail Elderly

Objective 2:

Increase the number of elderly and frail elderly clients served by DSS.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.

- Through the Division of Aging services, linkages among federal and state agencies, as well as community providers and consumers is strengthened. This coordination of services assists the state in identification of persons in need, as well as ensure that service are in place at the appropriate time.

Persons with Disabilities

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

- Section 8 Housing Choice Voucher preference for up to 50 eligible people in support of the Nursing Home Transition Initiative is established.

B. Annual Performance Report

CONTRACTOR NAME:	Area Congregation Together – Spooner House	
CONTRACT NUMBER (CORE /POS):	10DSS3701BE / 037ACT-ESS-19	
ESG SHARE OF CONTRACT:	\$35,482.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Funds were disbursed among essential services and administrative expenses. Essential services included: case management; assistance applying for benefits and permanent housing; daily life skills instruction; financial literacy training; coordination of services, including referrals; shelter operations.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	184	
ADDITIONAL COMMENTS:		
<p>ESG funds play a critical role in enabling ACT Spooner House to provide essential services to clients. The agency continues to see a growing number of requests for assistance, and the average length of stay has increased due to a lack of both affordable housing options and jobs, particularly those paying a living wage.</p>		
Report Completed by (name and date): Susan J. Agamy 7/25/12		

CONTRACTOR NAME:	Beth El Center
CONTRACT NUMBER (CORE /POS):	084CPC-ESS-13 / 10DSS3711EN
ESG SHARE OF CONTRACT:	\$24,988.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>All ESG funding is for shelter operations</p> <p>The Beth-El Center is a 34 bed shelter with supportive programs for men, women and families with children.</p> <p>The program is designed to support and stabilize individuals who are struggling with the impact of homelessness. The Center is a faith based program with a focus on client strengths and working collaboratively to build solutions.</p> <p>Residents work closely with a case manager to design an individualized service plan that becomes the “road map” to recovery and self sufficiency.</p> <p>The Center provides up to 90 days of residential support including an onsite “state of the art” Soup Kitchen and access to a wide variety of supportive services. Other innovative supports include access to wifi to support using on line job search programs, regular in house meetings to obtain client feedback and full kitchens in both the singles section and the family part of the building.</p> <p>We strive to operate a strength based, solution oriented residential program whose core is designed to promote hope and individualized recovery.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	
ADDITIONAL COMMENTS: <p><u>Number of client served:</u></p> <p><u>Families:</u> 24 adults 40 children</p> <p><u>Singles:</u> 80 adults (men and women)</p>	
Report Completed by (name and date): 7/18/2012 Donald F. deGraffenried, LCSW Program Director	

CONTRACTOR NAME:	Christian Community Action Inc.	
CONTRACT NUMBER (CORE /POS):	093CCA ESS-31 / 10DSS3701EC	
ESG SHARE OF CONTRACT:	\$68,325.00	
BUDGET PERIOD:	July 1, 2011 - June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Funding goes towards shelter operations and administration</p> <p>Christian Community Action, through its Hillside Family Shelter, offers seventeen apartments (of various sizes and at two locations) for families that are homeless. For 60-90 days, families can receive case management services, workshops, vocational assistance, housing location assistance and programming for children. After they leave the Shelter, families can receive follow up services for up to 90 days.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	272	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Rev. Bonita Grubbs on July 24, 2012		

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR: Central Connecticut Coast YMCA

CONTRACT NUMBER: 015YMC-ESS-18

ESG SHARE OF CONTRACT: 62,515

BUDGET PERIOD: 07/01/011 – 06/30/12

DESCRIPTION OF FUNDED SERVICES:

Families in Transition is the only emergency shelter program for families in the City of Bridgeport. Families in Transition has the capacity to serve 110 individuals on a given night. Families have 24-hour access to the shelter program, 7 days per week, and 52 weeks per year. During the course of a year the program provides services to approximately 400-500 (men, women and children). Case management services are available within 48 hours of entry to the program. Case management services include, as necessary, but not be limited to: counseling, crisis intervention, assessment, goal planning, monitoring and encouraging client progress, assistance with obtaining housing, daily living skills training, referrals to additional community support services including treatment or other services.

NUMBER OF CLIENTS SERVED (unduplicated count): 537

ADDITIONAL COMMENTS:

CONTRACTOR NAME:	Columbus House – Middlesex Family Shelter
CONTRACT NUMBER (CORE /POS):	093CHI-ESS-33 / 10DSS3701EM
ESG SHARE OF CONTRACT:	\$34,157.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>All funding goes towards shelter operations</p> <p>Funding supports the services provided to each family who enters the Middlesex Family Services (MFS) Emergency Shelter. All families entering the shelter receive case management beginning with a family focused assessment to determine the family’s goals and objectives, strengths and barriers and the level of need of the family and each family member. The case manager and family then work to develop a family focused treatment plan mapping out the steps towards the family’s goals. The case manager meets with the family a minimum of once per week to work on and discuss progress of steps made towards the goal.</p> <p>The families residing at the MFS Emergency Shelter are also offered the use of the Bright Spaces Learning Center, opened at the end of May 2012. This space, a joint initiative of Community Renewal Team’s Head Start Program and Bright Horizons Family Solutions, provides residents with educational toys, learning tools, books, games and computers aimed to improve the environments of the children living in the shelter.</p> <p>Residents of MFS Emergency Shelter are also provided with transportation assistance, basic toiletries, linens, clothing vouchers and assistance with food as needed.</p> <p>A Program Manager and Program Coordinator support the staff and oversee the daily operations of the MFS Emergency Shelter.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	86
ADDITIONAL COMMENTS:	
Report Completed by :Anne Carr 07/23/2012	

CONTRACTOR NAME:	Columbus House
CONTRACT NUMBER (CORE /POS):	093CHI-ESS-32/ 10DSS3711EM
ESG SHARE OF CONTRACT:	\$41,092.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>All of the funding goes towards shelter operations</p> <p>Funding supports the services offered to each client who enters the emergency shelter. Residential staff welcomes clients on their initial night in the shelter and begin the intake process. The intake process consists of gathering demographics and other basic information that will help the case manager to assess the needs of each individual client. A case manager conducts a front door assessment on each new client, determining the level of involvement needed by program staff. The front door assessment is a diversion tool used to reduce new entries into the shelter and assists in prioritizing our emergency beds for those who need them most. Clients in need of case management services meet with a case manager to develop a mutually agreed upon Person Centered Plan. This plan identifies the client’s goals as well as the strengths that will help in reaching the goals and the barriers that are getting in the way. The case manager and client then develop a road map of objectives and interventions lying out specific steps toward the achievement of the goal. The client and case manager meet approximately once per week to work on and discuss progress made on the steps laid out in the plan.</p> <p>Additional services that are provides to clients of the emergency shelter are workgroups, including financial literacy and housing support, basic toiletries, transportation assistance, resident meetings once per month, and breakfast and dinner each day.</p> <p>The Shelter Coordinator and Shelter Manager oversee the staff and the services they provide as well as all other daily operations of the shelter.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	959
ADDITIONAL COMMENTS:	
Report Completed by : Anne Carr 07/24/2012	

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Inspirica (Formerly St. Luke’s Community Services)
CONTRACT NUMBER (CORE /POS):	135SLI-ADU-01/10DSS3901YF
ESG SHARE OF CONTRACT:	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Inspirica (formerly St. Luke’s Community Services d.b.a, St. Luke’s Lifeworks) provides services for families with children (Family Housing Program). Our services are provided twenty four hours per day, seven days per week. These services include case management for each individual. An assessment is completed as part of the initial intake process and a case plan is developed and agreed upon between the case manager and the client. Educational programs are offered for the children through our Director of Children’s Services who acts as a direct liaison between the children, parent and public or private school system. We provide after-school programming through our Youth Center, which just completed its inaugural year. The Youth Center provides homework help, structured activities focusing in on self-empowerment, physical activities, a healthy snack, and educational field trips that include the parents. The Family Housing Program also has a food pantry to help supplement meals, toiletries and laundry detergent. This program year, we served 51 families, 61 adults, and 73 children.</p> <p>Another major initiative taking off this year is our <i>Jumpstart</i> Program. <i>Jumpstart</i> is an Education Program serving participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness. We are currently running our inaugural cycle and have been getting great feedback from our participants.</p> <p>Participants also take advantage of job placement assistance through our Employment Program. This last year the Employment Program made 156 job placements across the agency.</p> <p><i>Jumpstart</i> is the cornerstone of Inspirica’s fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, <i>Jumpstart</i> is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates “splitting” (when clients play off caseworkers against each other). Additionally, Inspirica provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, Retention Specialist, and Children’s Services Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.³</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	134

ESG ANNUAL PERFORMANCE REPORT

2012

ADDITIONAL COMMENTS:

We have a new Program Manager for the Family Housing Program. Everton "Rick" Downes was previously running Inspirica's Gilead House Program, and joined the Family Housing Team in October of 2011. Rick has a Master's Degrees in Human Services and has experience in running Family Programs. Rick has also worked with mental health consumers, individuals with substance abuse, the HIV/AIDS population, and ex-offenders.

We have also hired on a new Program Manager of Education to run our aforementioned Jumpstart Workshops which just recently started. Rian Heffron is a certified teacher and brings experience in Adult Basic Education, running workshops, and teaching at-risk populations. She has already garnered praise from clients and colleagues in her work with Jumpstart.

Report Completed by (name and date): Noel Kammermann, Chief Program Officer, July 25, 2012

ESG ANNUAL PERFORMANCE REPORT

2012

CONTRACTOR NAME:	Inspirica (Formerly St. Luke's Community Services)
CONTRACT NUMBER (CORE /POS):	135SLI-ADU-01/10DSS3901YF
ESG SHARE OF CONTRACT:	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>Inspirica (formerly St. Luke's Community Services d.b.a, St. Luke's Lifeworks) provides services for single individuals (Women's Housing Program). Our services are provided twenty four hours per day, seven days per week. These services include case management for each individual. An assessment is completed as part of the initial intake process and a case plan is developed and agreed upon between the case manager and the client. The Women's Housing Program provides two meals per day Monday-Friday and three meals per day on the weekends. Basic toiletries are available for all participants. Both programs have monthly House Meetings to keep clients abreast of what's going on in the programs and to ensure they have an opportunity to voice any concerns they might have. This program year, we served 156 single females.</p> <p>Another major initiative taking off this year is our <i>Jumpstart</i> Program. <i>Jumpstart</i> is an Education Program serving participants across the spectrum of residential programs. Its goal is to provide participants with life- and work-skills they need to: a) prepare, find, and maintain employment; and b) lead independent, healthy/stable lives – both of which are essential to breaking the cycle of homelessness. We are currently running our inaugural cycle and have been getting great feedback from our participants.</p> <p>Participants also take advantage of job placement assistance through our Employment Program. This last year the Employment Program made 156 job placements across the agency.</p> <p><i>Jumpstart</i> is the cornerstone of Inspirica's fully-integrated approach to breaking the cycle of homelessness. In a service delivery model that is unique in the State of Connecticut, <i>Jumpstart</i> is embedded in our housing program. By inserting education and job training for participants in our housing program, we have created a completely integrated program that reduces gaps in service, allows programs to leverage each other, and eliminates "splitting" (when clients play off caseworkers against each other). Additionally, Inspirica provides each client with a single, unified team of counselors that includes a Program Manager, Case Manager, Program Aide, Education Specialist, Job Developer, Housing Coordinator, and Retention Specialist. This eliminates the confusion and frustration that many clients feel when faced with navigating multiple organizations to obtain necessary services.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	156
ADDITIONAL COMMENTS:	
<p>Nicole Radice is our new Program Manager for the Women's Housing Program. Nicole joined the Inspirica team as a LCSW and has previous experience in case management in shelter programs. She has already had a positive impact on the program, helping to lead it to a new record in placements to permanent housing.</p> <p>We have also hired on a new Program Manager of Education to run our aforementioned Jumpstart Workshops which just recently started. Rian Heffron is a certified teacher and brings experience in Adult Basic Education, running workshops, and teaching at-risk populations. She has already garnered praise from clients and colleagues in her work with Jumpstart.</p>	
Report Completed by (name and date): Noel Kammermann, Chief Program Officer, July 25, 2012	

ESG ANNUAL PERFORMANCE REPORT
2012

CONTRACTOR NAME:	Family & Children's Aid, Inc.
CONTRACT NUMBER (CORE /POS):	034-FCA-ESS-02
ESG SHARE OF CONTRACT:	\$38,436.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>FCA is a community based not-for-profit organization that focuses on the mental health and community welfare of children and families. Harmony House is much more than a shelter for homeless mothers and their children. The wide array of services FCA provides to the mothers and children in our community through our over 23 programs makes Harmony House a stop along the way in making the lives of these children and families successful and healthy.</p> <p>Harmony House is staffed with a Program Director/ Case Manager, direct care staff and an FCA agency Program Supervisor who supervises the Director and provides oversight to the program. The Director is on-call 24 hours a day and is responsible for the daily management of the home and residents. Staff work very closely with the families to address and respond to the most common barriers of housing and employment. Staff are responsible to monitor the operation of the home during their shift, provide supportive help to the residents, and maintain client files and daily documentation. The workers provide a critical role in assisting the mothers in achieving the goal of keeping their family together and prospering. While the residents are living with us they also receive a great amount of supportive and case management services. Harmony House residents progress well in our program. Individualized treatment plans have been attained by 95% of the residents and 75% of the residents attained permanent housing. Our goal is to work closely with other area social service agencies in order to eliminate duplication of services. Harmony House is unique in that it is a shorter term program than the other mothers and children's home, Amos House, to whom we at times refer clients who need a longer period of stabilization. Harmony is also different form the other mothers with children shelter, Elizabeth House run by the Women's center, in that we are not a domestic violence safe house.</p> <p>Harmony House continues to work successfully with the mothers on individualized service plans and goals. Harmony House families attended weekly parenting classes, weekly house meetings, and were the recipients of many volunteer and donated services. Harmony House also has an aftercare program which was active with 30 aftercare families who received food and hygiene packages and are supported by staff. Residents had formal meetings with their case manager weekly and are reviewed monthly by the Program Director, as well as daily individual contact with all of the Harmony House staff.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	42
ADDITIONAL COMMENTS: Although United Way funding will not decrease this year, the women's shelter continues to struggle financially due to previous reductions. This has required us to continually look at was we can save fiscally.	
Report Completed by (name and date): Valerie Ventura-Saadi, LCSW 7/16/12	

CONTRACTOR NAME:	Friendship Service Center	
CONTRACT NUMBER (CORE /POS):	10DSS701KQ / 089FSC ESS-35	
ESG SHARE OF CONTRACT:	\$33,666.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>All funding goes towards shelter operations</p> <p>Provides 23 emergency shelter beds for homeless single adults 18 years of age and over and 14 emergency shelter beds for homeless families with heads of households 18 years of age and over. The program provides services 24 hours a day, 7 days a week, 365 days a year.</p> <p>Services provided include: three meals a day, laundry, basic toiletries, clothing, transportation assistance, housing services, case management including intake assessments of needs and development of case action plans, referrals to substance abuse treatment, mental health counseling, health services, parenting classes, money management, educational, vocational and employment services as well as other services.</p> <p>House meetings and workshops are conducted to address concerns and meet the needs of the client. Annual program evaluation by the clients is facilitated by members of the Board of Directors.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	322	
ADDITIONAL COMMENTS: The number of persons served in the shelter this year is considerably lower than the number served last year. Because of the economy, residents are having a very hard time obtaining jobs. That means they are in the shelter longer while they find work and save enough money to move into their own housing.		
Report Completed by (name and date):		

CONTRACTOR NAME:	Immaculate Conception Shelter and Housing Corporation	
CONTRACT NUMBER (CORE /POS):	Core CT # 10DSS3701NV	
ESG SHARE OF CONTRACT:	\$26,288.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>All funding is in shelter operations. The shelter operates year round with an average of 80 beds per night.</p> <p>We provide breakfast and dinner daily. Case management services are offered to all clients and used to link clients to housing, entitlements, health services, substance abuse treatment, and mental health services.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	295	
ADDITIONAL COMMENTS:		
Report Completed by (name and date):Fred Lynn, 7/26/12		

CONTRACTOR NAME:	Life Haven	
CONTRACT NUMBER (CORE /POS):	093LHI-ESS-19/10DSS3701PX	
ESG SHARE OF CONTRACT:	\$49,883.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
Funds are in essential services and shelter operations		
<ul style="list-style-type: none"> • Emergency Shelter 		
NUMBER OF CLIENTS SERVED (unduplicated count):	271	
ADDITIONAL COMMENTS:		
Report Completed by: Carmen Brown/July 20, 2012		

CONTRACTOR NAME:	Manchester Area Conference of Churches	
CONTRACT NUMBER (CORE /POS):	077MAC-ESS-26 / 10DSS3711QP	
ESG SHARE OF CONTRACT:	\$32,897.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>All funding is in shelter operations</p> <p>We are a forty bed shelter with ten women's beds and thirty men's beds. We provide a safe place to sleep and three meals a day, seven days a week. The goal of our shelter is to provide basic necessities while a person gets back on their feet. We are a clean and sober shelter. We supply free clothing for guests in need. Our guests have accessed the services of the local DSS office for medical insurance, and Supplemental Nutrition Assistance Program (SNAP) qualification.</p> <p>Case management is offered to each guest at the shelter. This includes, but is not restricted to, needs assessment, crisis intervention, goal planning, monitoring, and encouraging guest's progress. Individual assistance plans are created with the guests who stay more than 2 nights and they are monitored carefully. Case management work is shared by the shelter floor managers and the case workers. Shelter floor managers provide a unique view of the guests since they are the people who spend the most time with them. Some of the best input from the guests is given at the entry and exit time of the shelter each day. We want our guests to have open access for case management as needed. For shelter guests seeking employment, treatment or housing there is telephone access and tokens or day passes are given for travel needs when available.</p> <p>Our shelter continues to have access to a mental health clinician at least 18 hours a week through CHR. This provides timely and effective assessment and treatment of our guests' mental health issues. The benefits of on site assessment cannot be over stated. It helps the guests and the staff to have access to mental health expertise right on site. Guests who come into the shelter that already are being treated for mental health issues we try to keep with their present provider rather than start from scratch. Duplication of efforts is not our goal.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	556	
ADDITIONAL COMMENTS:		
<p>Our Emergency shelter focuses on the next thing needed by our guests to help them out of the situation they are in. Often times that is, help getting a copy of a birth certificate or a state picture id. These things can keep people from employment and self sufficiency.</p> <p>The guests that are suffering from mental health issues are another challenge. We are still dealing with people who are not med. compliant but have a disability income and choose to spend it on other things than housing. There is a population of people who travel throughout the country who depend on homeless shelters to accommodate them. These are some of the people in the greatest need.</p> <p>The new faces at the shelter are the people who were not prepared for a long lasting economic down turn and have no idea what to do. Sixty years old, savings gone, no Social Security retirement yet and no job prospects. Tough times for all.</p>		
Report Completed by (name and date): Sarah T. Melquist July 13, 2012		

CONTRACTOR NAME:	New Opportunities – Shelter NOW	
CONTRACT NUMBER (CORE /POS):	151-C-ESS-12	
ESG SHARE OF CONTRACT:	\$71,204.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
Funds are split out for shelter operations and essential services		
<p>Shelter NOW (New Opportunities, Inc.) will provide Case Management and counseling services to all homeless individuals living at the shelter. All shelter residents will receive services including, but not limited to, residential and outpatient mental health treatment; medical referrals; housing; employment assistance; vocational training; coordination of community service; substance abuse treatment; domestic violence abuse counseling; family counseling; educational; financial counseling; child care; security deposit; and rental assistance programs. Shelter NOW is an emergency shelter that houses men, women, and women with children. Shelter NOW is in operation 365 days per year, 24 hours per day.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	341	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Maria Wagner July 10, 2012		

CONTRACTOR NAME:	Norwalk Emergency Shelter, Inc.	
CONTRACT NUMBER (CORE /POS):	10DSS3701UC	
ESG SHARE OF CONTRACT:	\$54,695	
BUDGET PERIOD: 1/1/12-12/31/12	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>During the budget period July 1, 2011 to June 30, 2012, we provided 36,135 bed nights for the homeless, served 158,287 meals to the impoverished from our soup kitchen, supplied 416,598 meals from our food pantry, and granted 7,050 needy individuals clothing from our Clothing Room. Additionally, through our Case Management Services Program, daily living skills training, crisis intervention, goal planning, housing assistance, referrals to additional community support services, educational guidance, employment training, transportation, and shower and laundry amenities were provided to 1,644 homeless and working poor individuals and families. Other Shelter accomplishments included assisting 18 homeless families to obtain permanent housing through our Beyond Shelter Program. Furthermore, through our Permanent Supportive Housing Program; which is funded by U.S. Department of Housing and Urban Development 7 chronically homeless individuals with special needs were provided housing as well as support services to ensure housing retention. In addition, via our 13 apartment complex (4 units are for HUD eligible individuals) and apartment buildings, affordable housing opportunities were readily available for 20 low-income earning individuals.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	633	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Trena Bethune, July 23, 2012		

CONTRACTOR NAME:	Open Hearth Association
CONTRACT NUMBER (CORE /POS):	0640HA-ESS-21/ 10DSS3701U1
ESG SHARE OF CONTRACT:	\$ 37,767,00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>The Open Hearth Shelter operates a 25 bed Emergency Shelter, with an additional 3 overflow beds, 365 days per year. The hours of Operation are 3:30pm to 7:00am daily. Each Shelter guest is required to utilize the shower facilities daily and are provided with laundry services, dinner and breakfast as well as basic toiletries, tokens for job search and other transportation needs. For those Shelter residents who are employed the Open Hearth also offers the availability to sign up for a bagged lunch.</p> <p>During this reporting period The Open Hearth Shelter provided services to 673 unduplicated individuals during this reporting period. The population served was men who were 18 years of age or older. There were 269 white men, 280 black men, 194 Hispanic men 25 Asian men and 59 American Indian/ Alaskan Native.</p> <p>During the past year the Open Hearth has returned to its focus on addiction recovery. The Shelter staff has implemented weekly presentations for our Shelter guests to inform and educate them in regards to admission to our Transitional Living Program as well as community resources. In addition to the weekly presentation, we have also arranged for Area Health Education Center (AHEC) to complete a bi-weekly presentation to our shelter guests educating them on topics such as AIDS, blood pressure, cholesterol, daily personal hygiene and where to obtain physicals, to name a few. The Open Hearth now provides our Shelter Guests the option of attending up to 5 (in house) 12 step recovery meetings weekly, transportation to community recovery meetings and opportunities for spiritual practice. If a Shelter guest demonstrates he is committed to recovery, has a desire to work, and is interested in our Transitional Living Program, the Open Hearth also offers the “stay back option”. Our Stay back option gives the men the opportunity to not have to return to the streets during the day. We believe this new addition to our program has set us apart from other local entities. No longer does a man, who is ready to work on his recovery, have to be put back on the streets. Each Shelter guest has the option of working a recovery program and working with the Open Hearth daily in our work therapy program in efforts to move to our Transitional Living Program.</p> <p>Open Hearth Shelter Monitors work with our Shelter guests to educate them on how to access community resources such as insurance, mental health, dental, medical, educational and housing services. Our certified Mental Health Counselor, as well as outside entities, provide monthly trainings to our staff in areas such as personality disorders, risk assessment, conflict resolution, mood disorders and substance abuse to name a few. Our Mental Health Counselor also works daily with Shelter guests to provide counseling and assistance with social security cards, birth certificates, CT State ID’s, clothing.</p>	

The Shelter Manager and Shelter Monitors maintain and supervise the operations within the Emergency Shelter. The Shelter Monitors are on the front line and assign beds to new shelter guests admitted to shelter, complete intake assessments on all new shelter guests, enter all data into the HMIS data base, and report to the Shelter Manager all new admissions. Whenever a 14 day bed becomes available it is announced to the Shelter guests and the Shelter Manager is notified via e-mail of that opening and any turn away's due to the shelter being full from the night before. In addition, the Shelter Monitors watch the intake of all medication, ensure the cleanliness of the shelter, enforce all rules and regulations, maintain all necessary records, resolve any issues and seek guidance when appropriate from supervisor. Shelter monitors also refer clients to the clinical case manager as needed. It is our main goal to maintain safety and security of all residents and staff alike.

NUMBER OF CLIENTS SERVED (unduplicated count):

673

ADDITIONAL COMMENTS: In regards to the LONG FORM

- 1- Please note that the total number of race/ethnicity of unduplicated individuals served during the period is over 673 (the reported number) because the report through HMIS considers Hispanic an ethnicity. Of the 673 unduplicated individuals served a number of those clients (White, Black, Asian/Pacific and American Indian) also reported themselves as Hispanic.
- 2- We indicated that we offer Mental Health services as we offer referrals for our shelter guests to our on site Mental Health counselor and/or Mental Health interns. In addition we indicated we offer alcohol and drugs programs and transitional housing because we offer referrals and connection to both our in house N/A and A/A 12 step meeting as well as direct connections with our Transitional Living Program.
- 3- We did not report a percentage for developmentally disabled and physically disabled because we did not collect that data independently of one another. Instead we reported 30% combined with a disability.
- 4- 259 Turnaway's from July 1st 2001, through June 30th, 2012. It should be noted that 130 of those were in May and June 2012.
- 5- 197 First time homeless and 244 Chronically homeless during reporting period.

Report Completed by (name and date): Katherine Hannah Residential Program Coordinator, July 19, 2012

CONTRACTOR NAME:	Operation Hope	
CONTRACT NUMBER (CORE /POS):		
ESG SHARE OF CONTRACT:	\$24,440.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
<p>Operation Hope operates sheltering and feeding programs through our Shelter for Men, Shelter for Women, Shelter for Families and Community Kitchen. Clinical case management services provided to each shelter guest are geared toward increasing each person's stability, self-reliance, and readiness for housing. Work is focused on personalized goals with the hope of obtaining more permanent housing. Typical goals include compliance with mental health/addiction treatment, applying for benefits when eligible and seeking employment. Families are offered additional supports for their children, including behavioral/mental health assessments, medical treatment, and working with schools. Shelter guests receive career counseling and basic job training, including access to computer labs for job searching, resume writing, and skill building. Clients also receive crisis intervention help and lease signing services. Life Skills Coaches assist guests in personal hygiene, self-advocacy, transportation access, medication, budgeting and money management, and coping and interpersonal skills.</p>		
NUMBER OF CLIENTS SERVED (unduplicated count):	169	
ADDITIONAL COMMENTS:		
<p>We currently have seven guests saving money with us. One guest was able to purchase a car so he could get accept more jobs for his construction business.</p> <p>We continue to struggle with a bed bug issue and have had to close our units for the last six months. This quarter we were able to bring in a family!</p>		
Report Completed by (name and date): Tami-Lyn Morse July 18, 2012		

CONTRACTOR NAME:	St. Vincent DePaul Mission of Bristol, Inc.	
CONTRACT NUMBER (CORE /POS):		
ESG SHARE OF CONTRACT:	\$26,987.00	
BUDGET PERIOD:	July 1, 2011 – June 30, 2012	
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)		
All funds go towards shelter operations		
Emergency Shelter – The St. Vincent DePaul Mission of Bristol shall provide decent, safe and sanitary temporary emergency shelter and case management services to homeless individuals, at least 18 years or older or emancipated minors and families (“residents”).		
ESG Funds were used for the following Operational Line Item Costs:		
A. Facility Cook Wages	\$	585
B. Utilities		20,141
C. Insurance		4,980
D. Paper goods		<u>1,281</u>
E. Total		\$26,987
NUMBER OF CLIENTS SERVED (unduplicated count):	218	
ADDITIONAL COMMENTS:		
Report Completed by (name and date): Phillip J. Lysiak 7/11/12		

CONTRACTOR NAME:	St. Vincent DePaul Waterbury
CONTRACT NUMBER (CORE /POS):	10DSS3701YJ-A1/151-VDP-ESS-47-A1
ESG SHARE OF CONTRACT:	\$82,740.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.) Funds go towards essential services, shelter operations and administration	
NUMBER OF CLIENTS SERVED (unduplicated count):	805
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Paul Iadarola Executive Director	
07/05/2012	

CONTRACTOR NAME:	Tri-Town Shelter Services
CONTRACT NUMBER (CORE /POS):	10DSS3702AO A1
ESG SHARE OF CONTRACT:	\$26,267.00
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
<p>DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)</p> <p>Funds go towards essential services and shelter operations</p> <p>We are a fifteen bed emergency shelter serving homeless families and individuals. In addition to shelter services, we also provide comprehensive case management and support services that address the contributing factors resulting in one's homelessness. Every client meets weekly with our Service Coordinator to define an Individualized Service Plan. To ensure follow-through, advocacy and referral services are available to each client.</p> <p>In an effort to promote a clients self-resiliency, self-regulation, and self-determination, we utilize numerous local service providers, as well as offering a wide range of adult daily life skills. Internally, we offer a closed, 12-Step recovery meeting and also have numerous community-based facilities that offer 12-step meetings as well. We also have a multi-purpose room that houses our computer lab, resource library, and serves as both a conference room for case management reviews and confidential counseling. Finally, there are numerous assessment tools in the multi-purpose room that assist clients in their recovery path, mental health challenges, workforce readiness and educational competencies.</p> <p>We are a member of the Vernon Community Network, a collaborative of over 30 community-based organizations representing the employment, educational, and service sectors.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	106
ADDITIONAL COMMENTS:	
Report Completed by (name and date): Pieter Nijssen, Executive Director July 6, 2012	

CONTRACTOR NAME:	Thames Valley Council for Community Action, Inc.
CONTRACT NUMBER (CORE /POS):	104C-ESS-23
ESG SHARE OF CONTRACT:	\$72,681
BUDGET PERIOD:	July 1, 2011 – June 30, 2012
DESCRIPTION OF FUNDED SERVICES: (Please describe services below.)	
<p>The TVCCA Shelter for Homeless Families is a 45-bed facility serving families with a least one minor child. The shelter provides a home and hope to families in crisis. There are three meals per day plus snacks served to residents. There is a Shelter Services Coordinator on staff to assist residents with any concerns, from the search for permanent housing to registering their children in school. Residents are assisted with housing searches, obtaining furniture, enrolling children in Head Start and signing up for other benefits such as the WIC program. There is a group of Foster Grandparents who visit the shelter each day to provide role models for young parents and to lend a sympathetic ear to anyone in need. There is also a Birth to Three parenting class at the shelter. Clients attend weekly residents' meeting to discuss any issues concerning shelter life. In collaboration with the Connecticut Department of Mental Health and Addiction Services, a mental health case manager visits the shelter weekly.</p> <p>The primary objective of the shelter is to provide emergency housing and food on a 24 hour-per-day basis. Shelter users primarily come from towns in New London and Windham Counties. Personal health items are provided as well as necessary clothing to enable clients to maintain basic personal hygiene. There are laundry facilities at the shelter. The shelter is 100% accessible.</p>	
NUMBER OF CLIENTS SERVED (unduplicated count):	273
ADDITIONAL COMMENTS:	
<p>We have served more people this year than last. Thanks to HPRP we have been able to assist residents to return to their community of origin much faster than in the past. The resources provided by this grant has been an invaluable tool in re-housing families.</p>	
Report Completed by (name and date): Lisa L. Shippee and Ida Parker July 25, 2012	

2011 - 2012 Emergency Shelter Grant (ESG) Funds - Interim/Final Report

State Recipient (Subgrantees)	Renovation, Rehabilitation or conversion	Homeless Prevention	Essential Services	Operations	Administrative	Total
Area Congregations Together - Spooner House			\$10,644.00	\$23,064.00	\$1,774.00	\$35,482.00
Beth El Center				\$24,988.00		\$24,988.00
Central CT Coast YMCA			\$18,012.00	\$44,503.00		\$62,515.00
Christian Community Action				\$65,227.00	\$3,098.00	\$68,325.00
Columbus House				\$41,092.00		\$41,092.00
Columbus House - formerly Red Cross				\$34,157.00		\$34,157.00
Community Renewal Team, Inc.			\$7,356.00	\$26,994.00		\$34,350.00
Family & Children's AID				\$38,436.00		\$38,436.00
Friendship Service Center				\$33,666.00		\$33,666.00
Immaculate Conception				\$26,288.00		\$26,288.00
Life Haven			\$4,988.00	\$44,895.00		\$49,883.00
Manchester Area Conference of Churches				\$32,897.00		\$32,897.00
New Opportunities - Shelter NOW			\$21,361.00	\$49,843.00		\$71,204.00
Norwalk Emergency Shelter				\$54,695.00		\$54,695.00
Open Hearth			\$7,767.00	\$30,000.00		\$37,767.00
Operation Hope			\$2,220.00	\$21,000.00	\$1,220.00	\$24,440.00
Regional Network of Programs				\$54,698.00		\$54,698.00
Shelter for the Homeless			\$29,106.00	\$67,913.00		\$97,019.00
South Park Inn			\$22,899.00	\$49,617.00	\$3,816.00	\$76,332.00
Inspirica (formerly St. Luke's Community Services)				\$52,856.00		\$52,856.00
St. Vincent DePaul, Bristol				\$26,987.00		\$26,987.00
St. Vincent DePaul, Waterbury			\$24,822.00	\$53,781.00	\$4,137.00	\$82,740.00
Thames Valley Council Community Action, Inc.				\$72,681.00		\$72,681.00
Tri-Town Shelter Services			\$7,880.00	\$18,387.00		\$26,267.00
STATE ADMINISTRATION FUNDS (HMIS)/unallocated					\$11,540.00	\$11,540.00
	\$0.00	\$ -	\$ 157,055.00	\$ 988,665.00	\$ 25,585.00	\$ 1,171,305.00

Emergency Shelter Grant / Emergency Solution Grant Allocation #1 crosswalk

Emergency Shelter Grant (Old)	Emergency Solution Grant (New)	allocation	% share
Renovation, Rehabilitation or conversion	Emergency Shelter	0.00	0.00%
Homeless Prevention	homeless prevention	0.00	0.00%
Essential Services	Emergency Shelter	157,055.00	13.41%
Operations	Emergency Shelter	988,665.00	84.41%
Administrative	Administrative cost	25,585.00	2.18%
		1,171,305.00	100.00%

Notes						
1.	97.82% of Allocation #1 is identified as Emergency Shelter Component of Emergency Solution Grant criteria					
2.	2.18% is identified as administrative component which includes administrative costs and \$11,540 (unallocated).					
3.	Emergency Shelter Component has 2 subcategories: essential services and shelter activities.					
4.	Funding ceiling (Street Outreach +Emergency Shelter) - Street Outreach and emergency shelter expenditures are capped. Combined street outreach & emergency shelter expenditures from each fiscal year's ESG grant cannot exceed the greater of:					
	- 60% of that fiscal year's total ESG grant award					
	- The amount of FY 2010 grant funds committed to street outreach & emergency shelter activities.					
5.	CT is in compliance with funding ceiling, as allocations do not exceed FY 2010 commitments.					

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2012

This is the State of Connecticut's 2012 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/17/2012
2. Grantee Name: Department of Social Services
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Social Services (DSS) administers Connecticut's HOPWA formula grant for the Balance of State, which includes the following Counties: Litchfield, Middlesex, New London and Windham.

DSS works collaboratively with the CT AIDS Resource Coalition (CARC) that receives a DSS contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DSS staff representatives and the staff of CARC. With the partnership of CARC and the local providers, DSS is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut. Also during Program Fiscal Year (PFY) 2011 - 2012, DSS conducted monitoring visits, utilizing the required HUD Exhibits for the 2 HOPWA sub-recipients funded during this period.

Based upon review of financial reports and request for payment; the Department identified that HOPWA sub-recipients were under spending their awarded allocation and took measures to reallocate funding. After discussions with CPD representative, DSS requested training and technical assistance to address this issue. By mutual agreement, DSS reallocated unspent funds to try a pilot that would target offenders who are living with AIDS and need assistance with TBRA. During the program year, 11 households were served. CARC assisted the department with this pilot process and will generate a CTHMIS CAPER as a sample of the system generated document. (The report is not available at this time; however, it will be able by the final CAPER submission date.)

In administering its \$5.5 billion dollar agency budget, DSS allocated a total of \$5,025,811.00 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In PYR 2012, the Department of Social Services received \$283,878.00 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2011 to June 30, 2012. It is a "balance of state" program that served 24 unduplicated persons with HIV/AIDS and their families through agreements between DSS and two

non-profit organizations located in the Middlesex and Litchfield Counties, Connecticut.

Starting this program year, DSS added contractual language to require Homeless Management Information System (HMIS) utilization. It is projected that next year, the CAPER will be submitted from information generated from CTHMIS. CTHMIS can generate the CAPER; however, fiscal details cannot be generated from the system.

In the HUD letter dated November 10, 2011, it identified “Areas of Improvement/Consideration” for the Department. The Department has actively embraced all the suggestions for improvement and implemented them into current operations. The Department will continue to copy Cecelia Peppers-Johnson on all correspondences to HUD-headquarters. The Department will continue to enter information specific to HOPWA into IDIS. The Department has requested that its fiscal division complete quarterly drawdowns. The Department continues to participate in quarterly HOPWA grantee meetings and is raising issues with the Balance of State Continuum of Care around representation of persons with HIV/AIDS.

In the state budget for FY 2013, there is a possibility that the HOPWA program could be transferred from DSS to the newly created Department of Housing. An interagency committee has been created to ascertain the feasibility of the transition. To date, no decision has been made.

6. Formula Program Accomplishments

DSS and CARC carried out the following activities during the PFY 2012:

- DSS awarded contracts starting July 1, 2010 through a competitive procurement process for PY 2010 – 2011 and 2011-2012. The Sub-recipients provided scattered-site apartments, and a range of support services to clients in Litchfield and Middlesex counties during this period.
- DSS, through other resources, provided transitional funding to previous long standing HOPWA providers located in Eastern, CT to ensure that former HOPWA clients would not be made homeless, as a result of the competitive procurement process. All HOPWA contracts will have language to require a “funding contingency/transition plan” to ensure clients are not made homeless due to a loss of HOPWA funding. In fact, DSS developed a template for HOPWA providers to utilize to assist with their contingency/transition plan.
- During the reporting period, DSS and its Project Sponsors provided tenant-based rental assistance to 29 households. Twenty-nine (29) unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 15 will continue with housing, while 12 households exited services for the following housing status: 2 households for temporary housing; 4 households for private housing; 5 households for other subsidy and 1 household for prison.
- Of the households serviced during this reporting period, 7 households obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA funding). DSS, CARC and Nutmeg Consulting, LLC

conducted a HMIS training for all DSS funded (state and HOPWA) AIDS residential providers on June 26, 2012. A copy of the flyer, attendance sheet and PowerPoint presentation is attached.

- The Department monitored its project sponsors for compliance with HOPWA requirements. The Department monitored on the following dates: May 23, 2012 and May 28, 2012. The Department issued monitoring letters within 45 days of the visits. The Department will be providing technical assistance and training based upon the monitoring visits.
- The Department and project sponsors participated in the “eLearning HOPWA Best Practice trainings”; provided one-on-one training to Project Sponsors at the start of the award period and attend quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

The Department is working closely with Center for Independent Living Northwest CT, Inc. and Mercy Housing & Shelter Corporation, Inc. to complete their CAPER for program year 7/1/11 – 6/30/2012 by the required submission date, as during the program year, DSS received a great deal of training and technical assistance on completing the CAPER.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

7a. Center for Independent Living Northwest CT, Inc.

- Eileen Healy, Executive Director
- eileen.healy@independencenorthwest.org
- 1183 New Haven Road, Naugatuck, CT 06770
- (203) 729-3299 and Fax # (203) 729-2839
- www.independencenorthwest.org
- Total HOPWA subcontract amount: \$143,600.00
- Is the contractor:
 - a non-profit organization? – Yes
 - a faith-based organization? - No

7b. Mercy Housing and Shelter Corporation, Inc.

- Sister Patricia McKeon, Executive Director
- 211 Wethersfield Avenue, Hartford, CT 06614
- (860) 808-2050 and Fax # (860) 548-0692
- www.merchyhousingct.org
- Total HOPWA subcontract amount: \$105,513.00
- Is the contractor:
 - a non-profit organization? – Yes
 - a faith-based organization? - Yes

7c. Connecticut AIDS Resource Coalition, Inc.

- John Merz, Executive Director

- 110 Bartholomew Avenue, Suite 4000
- (860) 761-6699
- john@ctaidcoalition.org
- www.ctaidcoalition.org
- Total HOPWA subcontract amount - \$94,769.00
- - Is the contractor:
 - a non-profit organization? – Yes
 - a faith-based organization? - No

DSS allocated HOPWA funds to the project sponsors for tenant based rental assistance, case management, life management, operation costs, administration cost and daily support services.

7d. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff review the individual's application and determine program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 24 individuals and/or families in Middlesex and Litchfield counties. The goal was exceeded and 22 individuals and families benefited from TBRA dollars.
- Through existing resources, the Department of Social Services funds, 22 HIV/AIDS programs.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DSS is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DSS encourages programs that fall into “balance of state” – Windham, Litchfield, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control’s (CDC) Enhanced Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act is up for reauthorization in 2013. DSS and CARC participated in AIDS LIFE Campaign which was successful in securing \$5.7 million dollars in the two year state budget (2011 – 2012) to help offset the federal cuts in Ryan White funding to Connecticut. Based upon Connecticut’s current fiscal conditions, the amount available to offset Ryan White cuts, is not yet finalized. Faced with devastating federal cuts in the recent round of Ryan White allocations, Connecticut’s AIDS community rallied to the force with amazing results! Here is the latest in the state budget process:
- Funding for AIDS residential line in the Homeless/Housing Account (DSS budget) remained whole in SFY 2012 and SFY 2013.
- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, who provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DSS is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by CARC, in conjunction with the Department of Social Services. The audit process is entitled: Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

***Please note that the QARP, also know as audit, does not replace or supercede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to subgrantee at the conclusion of the monitoring visit that outlined successes,*

compliance, findings, deficiencies and need for corrective action plans, as appropriate.

- In addition, DSS has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut that was implemented during FY 2007-08. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted the Center for Urban Community Services (CUCS) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Social Services (DSS). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DSS staff coordinate rent subsidy contract monitoring with the CUCS services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- Beginning this year, the department required providers to utilize HMIS for data entry purposes. It is projected that by the end of the fiscal year, an unduplicated state wide report will be available.
- The department is reviewing existing measures to evaluate how they line up with the Opening Door Campaign measures for the target population.
- The Department has started conversations with CARC about the possibility of conducting a Needs Assessment as it relates to AIDS Housing in Connecticut. It is the Department’s expectation that the Needs Assessment will be issued in the next program year.

B. Consolidated Annual Performance and Evaluation Report

CAPER – PDF Attachment

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MISS IT!**

Getting the Most Out of HMIS

**CARC and DSS are
pleased to announce
this special chance of
a lifetime to learn...**

**ONE OF A KIND
OPPORTUNITY**

- How to run the new Agency Report and what to look for
- How to complete Occupancy Report (where to put in capacity number, etc.) and impact on advocacy and funding
- How and where to capture “unmet need” information and importance of doing so
- Best Tips, Reminders of Report Dates and special things to do during the year
- Frequent “trip ups” and how to avoid them
- Refreshers on importance of entering complete data – what to do when you get an error message
- Tips for Supervisors on what reports to look at and how to read them
- Demonstration of complete statewide report and DSS’s desire to publish “All Star” lists of agencies that reach certain thresholds

**Tuesday, June 26
9:00 am - Noon**

**Center Church
474 Broad St
Meriden, CT**

**REGISTER AT:
ctaidcoalition.org**

Stay after and receive one-on-one TA support.

SPECIAL BONUS

**Individual agency’s DSS HOPWA APR report
will be handed out at this meeting.**



First Name	Last Name	Agency	Sign-in Here:
Pirjo	Aalto-Fischer	Catholic Charities	<i>Pirjo Aalto-Fischer</i>
Jocelyn	Antunes	New Haven Home Recovery	<i>Jocelyn Antunes</i>
Chante	Austin	Liberty Community Services, Inc.	<i>Chante Austin</i>
Cheryl	Bell	Recovery Network of Programs, Inc.	<i>Cheryl Bell</i>
Keith	Brown	Recovery Network of Programs, Inc.	<i>Keith Brown</i>
Ric	Browne	NO INC.	<i>Ric Browne</i>
Luz	Carrasquillo	CASA, Inc Noble House	<i>Luz Carrasquillo</i>
Roger	Clark	Immaculate Conception Shelter	<i>Roger Clark</i>
Janet	Coffa	St. Philip House	<i>Janet Coffa</i>
Bonnye Cofield	Cofield	AIDS Interfaith Network, Inc	<i>Bonnye Cofield</i>
Monica	Cruz	Chrysalis Center, Inc.	<i>Monica Cruz</i>
Lindsey	Day	Recovery Network of Programs, Inc.	<i>Lindsey Day</i>
Lindsey	Day	RNP: Prospect House	<i>Lindsey Day</i>
Lynn	Dean	Recovery Network of Programs, Inc.	<i>Lynn Dean</i>
Joanna	Escobar	CRT	<i>Joanna Escobar</i>
Cheryl	Ewing	refocus Outreach Ministry	<i>Cheryl Ewing</i>
Leanne	Faris	Recovery Network of Programs, Inc.	<i>Leanne Faris</i>
Kimberly	Fiore	City of New Haven	<i>Kimberly Fiore</i>
Brian	Glidden	Shelter NOW	<i>Brian Glidden</i>
Gawdys	Gruillon	New Opportunities, Inc.	<i>Gawdys Gruillon</i>
Luis	Gutierrez	Shelter NOW	<i>Luis Gutierrez</i>
Melanie	Johnson	AIDS Project Greater Danbury	<i>Melanie Johnson</i>
Noel	Kammermann	Inspirica	<i>Noel Kammermann</i>
Liz	Kelly	Perception Programs	<i>Liz Kelly</i>
David	Kelly	Tabor House	<i>David Kelly</i>
Mary Ellen	Laskarzewski	Hands On Hartford	<i>Mary Ellen Laskarzewski</i>
Kathryn	Lawson-Scully	Mercy Housing and Shelter Corporation	<i>Kathryn Lawson-Scully</i>
Steve	MacHattie	Immaculate Conception Shelter	<i>Steve MacHattie</i>
Zaira	Matias	CASA, Inc	<i>Zaira Matias</i>
Wanda	Oliveras	Catholic Charities	<i>Wanda Oliveras</i>
Radhika	Potluri	Columbus House	<i>Radhika Potluri</i>
Marylin	Pratt	Catholic Charities	<i>Marylin Pratt</i>
Scott	Robbins	IN	<i>Scott Robbins</i>
Marjorie	Rode	Chrysalis Center, Inc	<i>Marjorie Rode</i>
Rosie	Rodriguez	MFAP	<i>Rosie Rodriguez</i>
John	Selders	Zeppo House	<i>John Selders</i>
Anne	Stockton	Alliance for Living	<i>Anne Stockton</i>
Faith	Taskier	Alliance for Living	<i>Faith Taskier</i>
William	Tuthill	Shelter NOW	<i>William Tuthill</i>
MARCELINO	VEGA	HRA	<i>MARCELINO VEGA</i>
Jose	Vega	CRT	<i>Jose Vega</i>
Don	Vincent	Liberty Community Services, Inc.	<i>Don Vincent</i>
Maria	Wagner	Shelter NOW	<i>Maria Wagner</i>
LaShalla	Wells	Catholic Charities, Fild County	<i>LaShalla Wells</i>

CT HMIS 
Measuring Success

The CT HMIS Project

GETTING THE MOST OUT OF HMIS



Before We Begin



Please...
Turn Your Cell Phone
OFF or to
SILENT MODE

Agenda

- Unmet need discussion
- Review of report dates for submission
- Contractual expectations
- Review of the DSS AIDS Report
- HOPWA Report changes
- Demonstration of complete statewide report
- “All Star” lists of agencies
- Closing remarks
- One-on-one Technical Assistance

UNMET NEED DISCUSSION

Unmet Needs

- **Importance of Unmet Need numbers:**
 - Unmet Need information will be part of the **proposed Statewide DSS-funded AIDS/HOPWA report**. Without data to support the argument, the Department will not have a uniform tool to capture the information.
 - **HOPWA's Consolidated Annual Performance and Evaluation Report** identify HMIS as one of the data sources for assessing Unmet Need.

Using “Unmet Need” data

- **Agencies that are actually reporting Unmet Need numbers in HMIS:**
 - Recommendations to other providers?
 - Has information been used to generate reports for Board, Staff Meetings, Continuum of Care?
 - Other benefits to identifying Unmet Need numbers?
- **Unmet Need data will impact ability to obtain future funding!** For example, funding would NOT be directed where there is no need for services.

Review of **REPORTS DATES**

Report Dates

- **DSS AIDS Report/Demographic Report**
 - Monthly AIDS Housing Demographic Report.
 - Quarterly AIDS Housing Demographic Report
- **All reports DUE 15 calendar days after the close of a period (month or quarter)**
- **Six Month Progress Narrative Report - 30 days following the end of each six-month reporting period; and the final report within 60 days following the end of the contract period.**

Six Month Narrative

DEPARTMENT OF SOCIAL SERVICES
AIDS HOUSING PROGRAM
SEMI-ANNUAL REPORT

CONTRACTOR NAME: _____

PROGRAM NAME (if different): _____

CONTRACT NUMBER: _____

CONTACT NAME AND PHONE: _____

6 Month Reporting Period _____ - _____

On a separate page(s) please discuss the following. These should be specific to the six month period being reported on.

1. **Successes:** Describe services provided during reporting period including how you assisted clients to maintain housing and strive for greater levels of independence. Include case vignettes.
2. **Problems:** Describe any internal problems you have encountered in carrying out your program and how / if you were able to address them successfully. Examples might include staff vacancies, low utilization rates, program operations, etc.
3. **Challenges/Barriers:** Describe external challenges or barriers to achieving your program's success. Examples might include changes in referral sources, changes in client demographics, local policy changes, etc.
4. **Trends:** Based on your experience what do you perceive as new trends. Examples might include changes in client demographics, possible program course changes, public policy directions, etc.
5. **Technical Assistance Needs:** Describe any ~~15a~~ needed to help your program accomplish its purpose.

Six Month Narrative – Data Template

Outcomes and Measures	# of clients accessed services	% of clients who accessed services
1. OUTCOME 1: Program will strive to maintain maximum capacity.		
a. Measure 1: Program will maintain an occupancy rate of 80%.		
2. OUTCOME 2: Clients with HIV/AIDS receive services and support to improve their quality of life		
a. Measure 1: 80 percent of clients will maintain housing stability, avoid homelessness and access care each year.		
b. Measure 2: 100% of clients will have income below 80% of area median income upon intake		
c. Measure 3: 100% of clients will have a housing plan for maintaining or establishing stable on-going housing within 60 days of intake		
d. Measure 4: 100% of clients will have contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.		
e. Measure 5: 100% of clients had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.		
f. Measure 6: 75% of clients have accessed and can maintain medical insurance/assistance.		
g. Measure 7: 50% of clients have successfully accessed or maintained qualification for sources of income		
h. Measure 8: 10% of total numbers of households have obtained an income-producing job.		

**Anything over a 5% statistical variance to the outcome measures listed above is considered a material change in accordance with this contract.*

HMIS Reports vs. CAPER

- The **HOPWA CAPER** and the **DSS HMIS** report are virtually the same.
- The fundamental difference is that HMIS is reporting specifically on an **individual consumer**.

Programs Required to Submit Both Reports

- AIDS Project Danbury
- Catholic Charities of Fairfield
- Center for Independent Living Northwest CT
- Christian Activities Council
- Chrysalis Center
- Columbus House
- Community Renewal Team
- Hands on Hartford
- CASA
- HRA-New Britain
- Immaculate Conception
- Leeway
- Liberty Community Services
- Mercy Housing
- New Haven Home Recovery
- NOW
- Regional Network of Programs
- Inspirica
- St. Phillip House
- Tabor House

Review of **NEW DEMOGRAPHIC REPORT**

New Demographic Report

- On your report prompt, the **Effective** date should be the same as your end date.
- Needs to be submitted to CARC but is designed for use in grant writing and evaluation.
- Can be run on multiple programs at the same time.
- Can be turned into an Excel spreadsheet.

What's on the Report...

- **Gives you demographic data on your client population** (Entire household, not just HIV diagnosed HoH)
 - Age Groups
 - Genders
 - Race and Ethnicity
 - Homeless and Chronically Homeless clients
 - Number of Veterans in your programs
 - Disability breakdown
 - Household Types
 - Income and Non-Cash Benefits received

HMIS

CONTRACTUAL EXPECTATIONS

HMIS Contractual Requirements

- **Existing language**
 - HOMELESS MANAGEMENT INFORMATION SYSTEM REQUIREMENTS
 - Universal Data Elements
 - The contractor shall utilize HMIS and Universal Data Elements for data collection and reporting purpose
- **New language**
 - Contract language will be amended to include Data Entry Completeness Expectations – **95%** or better

Review of

THE DSS AIDS REPORT

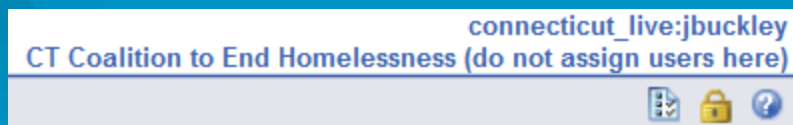
General Information

- **One (1) HIV/AIDS Individual qualifies the family**
(Even if more than one person has HIV/AIDS)
- If the qualifying person is a **child**, they are made **Head of Household**
- **Beneficiary(ies):** All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.
 - **Note: Usually the report segregates by HOPWA Eligible Individual and “All OTHER Beneficiaries” which does NOT include the qualifying individual.**

Avoiding and Trouble-Shooting Issues

Step 1: Run it again! Double check all prompts

Step 2: Ensure you're at the right level in ART



Step 3: Check for **RED** numbers in **RED** sections

b. Special Needs.			
Category	Veteran(s)	Chronically Homeless	Domestic Violence Survivor(s)
TOTAL HOPWA eligible individuals served with Housing Assistance	4	4	3
No Data	0	0	0
Note: These will not equal total HOPWA eligible individuals with HIV/AIDS			

Avoiding and Trouble-Shooting Issues

Step 4: Check the DETAIL sections to identify the problem client(s).

138819	Female	OK	OK	Non-Hispanic/Non-Latino (HUD)	Null
138820	Null	Null	ERROR: Same as Primary	Null	Null
138821	Female	OK	OK	Hispanic/Latino (HUD)	Null
138822	Male	OK	OK	Non-Hispanic/Non-Latino (HUD)	Null

Step 5: Review any “Data Check” blocks.

Date Check: Total for Section 1a should be equal Section1b row 18.

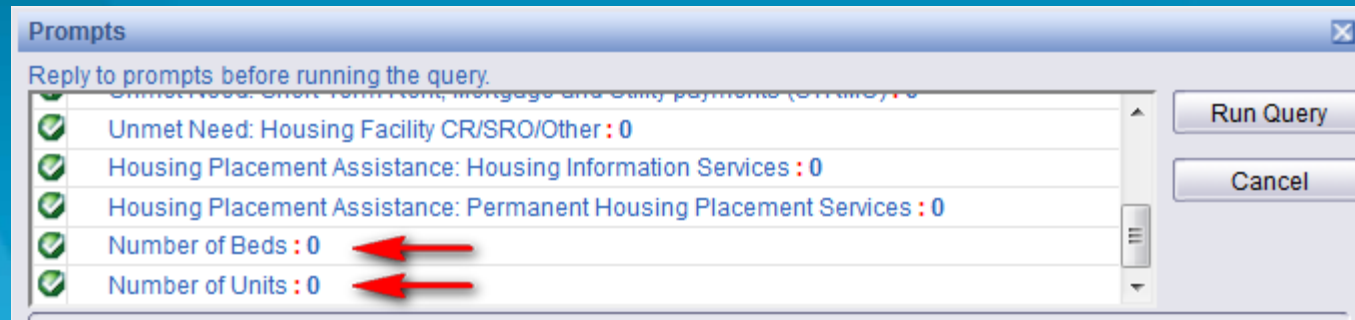
Avoiding and Trouble-Shooting Issues

AVOID lots of issues by

- Filling out **ALL** fields on ENTRY screen with **COMPLETED** paperwork.
- Update info as close to real time as possible.
- Run reports often, read the HUD documentation and ask questions of CARC and Nutmeg.

HOPWA APR Utilization Section

- **Bed and Unit Numbers captured at Report Run (in Prompts)**



- **Your Program may report on Units, Beds or Both (Check with CARC if Unsure)**
- **Units and Beds are based on number at START of reporting period.**

HOPWA APR

Utilization Section – by Beds

Bed Example: 10 beds, 8 **clients** using those beds through the entire report period (30 days) = 80% Bed Utilization.

- Each client occupies 1 bed
- 10 beds X 30 days = 300 Bed Nights Available
- 8 clients X 30 days = 240 Bed Nights Used

HOPWA APR

Utilization Section – by Units

Unit Example: 10 units with 10 **Households**
Determined by A) a Head of Household or B) a single Individual that is set to “Count this Client as a Household of 1” at entry. Otherwise client is counted as a single in a bed.

- Each HoH (or Household of 1) occupies 1 Unit
- 10 Units X 30 days = 300 Unit Nights Available
- 8 clients X 30 days = 240 Unit Nights Used

Utilization Section

Keep in Mind

- A client can occupy either a bed OR a Unit, not both (different then ES programs)
- Check the Detail page when things look odd!
- If you are getting singles when you run family-only housing. Ensure the same entry is shared by all Household Members

Recommended

ADDITIONAL REPORTS

Management Reports

- **A100 - Current Client List – ENTRYEXIT**

- See clients currently enrolled

New Version

THE DSS AIDS / HOPWA APR

Changes in the HOPWA APR

- **CHANGE: Part 2:2** Unmet Needs for STRMU have been subdivided, there are new report prompts for this.
- **CHANGE: Part 3 Section 1B** Totals Row added for Prior Living Situation
- **NEW Section: Part 3 Section 2A**
Beneficiaries (including those qualifying candidate and other Household members residing with them)
- **CHANGE: Part 3 Section 3. Households**
New Percentage section, 51-80%
- **CHANGE: Part 3 C**
Added: Permanent Housing Placement Services
- **CHANGE: Part 3 C**
Added: Housing Assistance and Information

Continued Changes to HOPWA APR

- **NEW Section: Part 3 Access to Care**
Added: HOPWA / Non HOPWA funded housing services
- **CHANGE: Part 4 Section 3 – 1b - Access to Care**
Split into HOPWA housing subsidy / Non-HOPWA subsidy
- **CHANGE: Part 4 Section 3 – 1c – Access to Care**
New Logic: Now looks at Earned income, start date instead of Entry/Exit difference. Better meets reporting needs
- **NEW: Part 5B – 1. Rental Assistance**
Breakdown of Rental Assistance. Note 1b (Other RA) is set to zero
- **NEW: Part 5B – 2. STRMU**
Breakdown of STRMU. By specific types of assistance (Rental, Utility, Mortgage)

Feedback Needed

The paper version of this report is 27 pages long (including instructions) and is VERY complex.

- The more complex a report, the easier it is for errors to sneak in, **let us know!**
- When in doubt, **Ask the Help Desk**. You'll get a ticket # and can track the progress as we resolve it.

Demonstration of

COMPLETE STATEWIDE REPORT

ALL-STAR REPORT

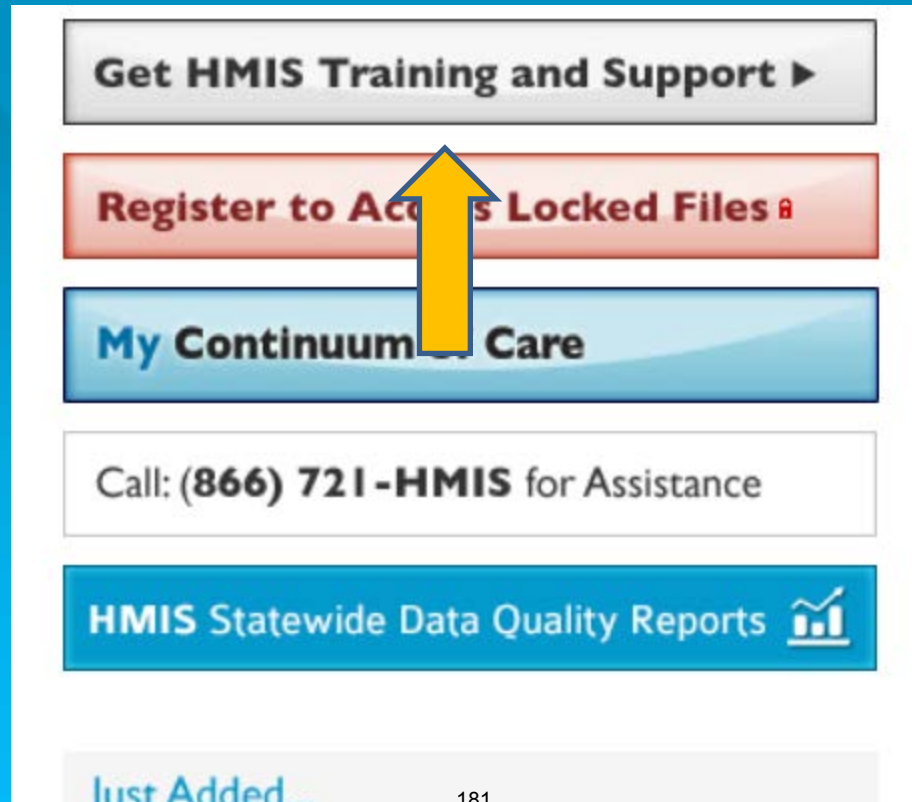
All-Star Report

- **All agencies that had overall missing value percentage of 5% or less are included on the “All-Star” list.** The list consists of agency name and missing value percentage.
- Proposed report will demonstrate - **Data Completeness and Accuracy, Data Timeliness, Program Utilization, and Program Performance.**
- The “All-Star” list will be emailed out statewide to our full distribution list, including HMIS users, agency directors and funders

NEARING THE END!

Signing up for trainings

- Go to CTHMIS.com



The screenshot shows a vertical list of navigation buttons on a website. From top to bottom, the buttons are: a grey button with the text 'Get HMIS Training and Support' and a right-pointing triangle; a red button with the text 'Register to Access Locked Files' and a right-pointing triangle; a blue button with the text 'My Continuum of Care'; a white button with the text 'Call: (866) 721-HMIS for Assistance'; and a blue button with the text 'HMIS Statewide Data Quality Reports' and a bar chart icon. A large yellow arrow points upwards from the 'My Continuum of Care' button to the 'Register to Access Locked Files' button.

CLOSING REMARKS



P 866-721-HMIS (4647)

W CTHMIS.com

E HMIS@NutmegIT.com



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provide annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

Table of Contents

PART 1: Grantee Executive Summary

1. Grantee Information
2. Project Sponsor Information
3. Subrecipient Information
4. Grantee Narrative and Performance Assessment
 - a. Grantee and Community Overview
 - b. Annual Performance under the Action Plan
 - c. Barriers or Trends Overview
 - d. Assessment of Unmet Housing Needs

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging
2. Program Income and Resident Rent Payments

PART 3: Accomplishment Data: Planned Goals and Actual Outputs

PART 4: Summary of Performance Outcomes

1. Housing Stability: Permanent Housing and Related Facilities
2. Prevention of Homelessness: Short-Term Housing Payments
3. Access to Care and Support: Housing Subsidy Assistance with Supportive Services

PART 5: Worksheet - Determining Housing Stability Outcomes

PART 6: Annual Certification of Continued Use for HOPWA Facility-Based Stewardship Units (Only)

PART 7: Summary Overview of Grant Activities

- A. Information on Individuals, Beneficiaries and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility Based Units, Master Leased Units ONLY)
- B. Facility-Based Housing Assistance

Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran

Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, , Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C. 20410 or at Hopwa@hud.gov. **Record Keeping.** Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3C, Chart 1, Column [1] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	1
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	
4.	Short-term Rent, Mortgage, and Utility Assistance	1
5.	Adjustment for duplication (subtract)	1

6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	1
----	---	---

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An unaccompanied homeless individual (age 18 years or older) with a disabling condition or a family with at least one adult member (age 18 years or older) who has a disabling condition who has either been continuously homeless for a year or more **OR** has had at least four episodes of homelessness in the past three years.” For this purpose, the term “homeless” means “a person sleeping in a place not meant for human habitation (e.g., living on the streets) or in an emergency homeless shelter.” This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual’s ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered “grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Placement Assistance Activity Total: The unduplicated number of households receiving assistance with either housing information services or permanent housing placement. These services are dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries remain in stable housing during the operating year. See Part 6: Worksheet definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance used directly in HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The HOPWA assisted households who have been enabled to establish or better maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor. Assistance is tied directly to the properties and is not portable or transferable.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee or subrecipient to provide housing and other support services as defined in 24 CFR 574.300.

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Organizations that hold an agreement with the grantee or sponsor agencies to provide administrative or limited implementation activities that do not involve direct services to clients. Examples of these organizations are as follows: evaluation firms, construction firms, administrative agencies, etc. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to Section 8 that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2014)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. In Part 4, please submit a written narrative to questions a. through c., and the completion of Chart d.. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number CTH011-F9999	Operating Year for this report From 7-01-11 To 6-30-12		
Grantee Name State of Connecticut Department of Social Services			
Business Address	25 Sigourney Street		
City, County, State, Zip	Hartford	CT	06106
Employer Identification Number (EIN) or Tax Identification Number (TIN)	06-600798		
DUN & Bradstreet Number (DUNs):	807854435	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? xx Yes <input type="checkbox"/> No If yes, provide CCR Number: 807854435	
*Congressional District of Grantee's Business Address	CT 1 st District		
*Congressional District of Primary Service Area(s)	Hartford		
*City(ies) and County(ies) of Primary Service Area(s)	Cities:	Counties:	
Organization's Website Address N/A	Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input type="checkbox"/> Yes xx No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee

2. Project Sponsor Information

A. Mercy Housing and Shelter Corporation, Inc.

B. Independence Northwest, Inc.

Please complete Chart 2 for each organization designated or selected to serve as a project sponsors, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Project Sponsor Agency Name Mercy Housing and Shelter Corporation, Inc Independence Northwest, Inc.		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Sister Patricia McKeon, Executive Director, Mercy Eileen Healy, Executive Director, Independence Northwest		
Email Address	pmckeon@mercyhousingct.org Eileen.healy@independencenorthwest.org		
Business Address	211 Wethersfield Avenue, Hartford CT, 06114 1183 New Haven Road, Naugatuck, CT 06770		
City, County, State, Zip,	Middlesex County Litchfield County		CT
Phone Number (with area code)	Mercy=860-808-2040 INW=203-729-3299	Fax Number (with area code) Mercy=860-548-0692 INW= 203-729-2839	
Employer Identification Number (EIN) or Tax Identification Number (TIN)	Mercy= 061092011 INW=061246618		
DUN & Bradstreet Number (DUNs):	Mercy=198768772 INW= 624515516		
Congressional District of Project Sponsor's Business Address	1 st Mercy 3 rd INW		
Congressional District(s) of Primary Service Area(s)	1 st 5 th		
City(ies) and County(ies) of Primary Service Area(s)	Cities Middlesex County Litchfield County	Counties	
Total HOPWA contract amount for this Organization for the operating year	\$145,458.00 (Mercy=\$62157/ INW=\$82,901)		
Organization's Website Address Mercyhousingct.org Independencenorthwest.org	Does your organization maintain a waiting list? xx Yes <input type="checkbox"/> No		
Is the sponsor a nonprofit organization? xx Yes <input type="checkbox"/> No Please check if yes and a faith-based organization. <input type="checkbox"/> Please check if yes and a grassroots organization. x	If yes, explain in the narrative section how this list is administered.		

3. Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists the grantee or project sponsors to carry out their administrative services. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors or other organizations beside the grantee.) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Note: Please see Definition section for distinctions between project sponsor and subrecipient

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities			Counties
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

Mercy Housing and Shelter Corporation has operated scattered-site, permanent housing with supportive services in the Greater Hartford area for persons living with HIV/AIDS since 1994, many of whom also have chronic mental health disabilities and/or substance abuse disabilities. In 1995, this program was expanded to cover the Greater Middletown area. Currently the program serves 49 single clients or clients with families. Program components include permanent supportive housing, supportive services, rental subsidies and emergency housing. It is the goal of the program to support individuals with disabilities to live as independently as possible in the community.

The Mercy HOPWA program provided units of Tenant Based Rental Assistance in Middlesex County. Services were provided to single men and women and families with children. Supportive services were provided to the persons living with HIV/AIDS. The supportive services included accessing services for other household members.

This year the Program was able to exceed our goal of providing housing and support services to 7 clients and their families to serving 13 clients and their families. All of the clients have been able to successfully maintain their housing. The program was able to assist clients with moves into permanent housing.

Independence NW: Center for Independent Living of Northwest Connecticut Inc. is a consumer controlled, community based and cross-disability independent living center. Our mission is to promote the independent living philosophy so that people with disabilities can have the same opportunities that all citizens have without discrimination. IN is a vehicle for community change, acting like a catalyst to improve the attitudes of people with disabilities and those without disabilities alike to accept integration of everyone into the community and ensure equal opportunity. Community change mechanisms include services, in areas such as peer counseling, independent living skill instruction, information and referral, advocacy, awareness and community organizing. IN maintains a 51% consumer-control on both the Board of Directors and staff.

One of IN's programs is the Transitional Housing Opportunities Program. This is a 10 unit scattered site program serving individuals and families living with HIV/AIDS in Litchfield County. Rental subsidies are provided for our consumers for a period of 18 – 24 months and under extenuating circumstances, 6-month extensions may be granted. This housing program also provides peer counseling, independent living skill instruction, referrals and advocacy in order to address the special needs of the consumer in maintaining safe and secure housing. Participants are referred for existing community services, job training and schooling to help ensure a successful transition off of the program. We work closely with other HIV/AIDS service providers to close any gaps and work to eliminate barriers that prevent the consumer from achieving his/her goals. IN also makes referrals for medical/mental health care, substance abuse, legal services, parenting/child care needs and vocational training/rehabilitation. IN maintains a waiting list if all 10 subsidies are being used. Consumers on the list have already been evaluated and deemed eligible for the program.

Some major achievements were:

- a) Assisted 3 consumers obtain permanent affordable housing (one of the consumers was living in her car).
- b) Provided a consumer with an application for a Provisional Pardon to expunge her criminal record which will improve her chances of obtaining public housing.
- c) Prevented 2 consumers from becoming homeless.
- d) Transitioned 2 consumers/families off the program as a result of assisting them in finding full time work, thus allowing them to become financially independent.
- e) Empowered a consumer to enroll in college to pursue a career she has always dreamt of doing
- f) Provided financial assistance to consumer who owed a large utility bill that greatly affected her credit.
- g) Rescued a consumer from the streets/living in her automobile.

Program Contacts:

Eileen Healy, Executive Director

Scott Robbins, Program Supervisor

Carolyn A. Barbaresi, HOPWA Program Manager

b. Annual Performance under the Action Plan

Provide a narrative addressing each of the following four items:

1. Outputs Reported. Describe significant accomplishments or challenges in achieving the number of housing units supported and the number households assisted with HOPWA funds during this operating year compared to plans for this assistance, as approved in the Consolidated Plan/Action Plan. Describe how HOPWA funds were distributed during your program year among different categories of housing and geographic areas to address needs throughout the grant service area, consistent with approved plans.

Mercy-This reporting period the Program has served more families and individuals than what was stated in the contract. The Program was contracted to serve 6 individuals and 1 family with housing and services in the Middlesex area. The program has served 4 families and 9 individuals.

- 2. Outcomes Assessed.** Assess your program's success in enabling HOPWA beneficiaries to establish and/or better maintain a stable living environment in housing that is safe, decent, and sanitary, and improve access to care. Compare current year results to baseline results for clients. Describe how program activities/projects contributed to meeting stated goals. If program did not achieve expected targets, please describe how your program plans to address challenges in program implementation and the steps currently being taken to achieve goals in next operating year. If your program exceeded program targets, please describe strategies the program utilized and how those contributed to program successes.

Mercy-The Program has met and exceeded all goals. Each program participant is invited once a month to groups. During these groups participants are able to address any concerns in regards to housing and or medical issues. The program participants are also assigned a caseworker who meets with each participant at least twice a month. During these visits medical information is reviewed and updated, apartments are inspected and client's household bills are reviewed and documented. Along with these visits caseworkers have contact with client's infectious disease doctor and or primary care physician every six months to get clients T-cell and CD4 counts. The purpose of this is to ensure that clients are staying connected to care. This year clients worked with caseworkers on discharge plans. Out of the 14 clients 9 have or will have permanent housing. The other 5 clients are continuing to work with caseworkers on budgeting and getting onto housing waitlists.

- 3. Coordination.** Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Mercy- In addition to the HOPWA program offered at Mercy, currently there is tenant based rental assistance program thru Ryan White Part A including Scattered-Site, Step Down and Emergency Housing; the State of CT, Department of Social Services Tenant Based Aids program; the Homelessness Prevention and Rapid Re-housing (HPRP) program; and a full-time Housing Specialist. This base of resources allows for Mercy to ensure coordinated and continued care for all of the clients living with HIV/AIDS.

Mercy currently has representatives on the Balance of State continuum, the area case managers group, the PILOTS program and the monthly HPRP providers meeting. Staff from Mercy is able to educate other non-profits about the availability of the HOPWA program for their clients.

Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.
No technical assistance needed at this time

1. As per our Consolidated Action Plan, Independence NW has accomplished the following:

- 1) Provided decent housing for all of their 10 consumers in the past year. Although the contract was to serve 10 consumers/families, IN was able to serve 16 consumers/families. This was achieved by granting rental subsidies for 16 consumers. Of those 16 consumers, 5 consumers successfully transitioned off the program by finding full time employment, thus becoming financially independent and capable of affording their current apartment without assistance.
- 2) Coordinated housing related services and improved interagency collaboration by reaching out to the housing authorities and public housing agencies in the community. This was achieved by referring 16 consumers/families to landlords and rental agents in the area and providing all 16 with Section 8 applications throughout the year.
- 3) Educated many private landlords on the needs of persons with disabilities thus, increasing the number of housing units accessible to persons with disabilities. This was achieved by reaching out to new landlords and rental agents in the Litchfield area.

d) Empowered consumers through independent living skill instruction and peer counseling, to access certain public services and facilities. This was achieved by referring all 16 of our consumers to BRS, Ticket to Work, energy assistance programs, support groups, 12 step meetings and mental health agencies.

e) Prevented 2 consumers from becoming homeless. This was achieved by providing consumers with rental subsidies and an Independent Living Plan. The I.L.P. maps out small achievable goals to improve consumers' current status and prevent that from happening again.

IN was able to achieve the national outcome goal. More than 80% of our consumers this past year have achieved housing stability thus, reducing the risk of homelessness and improving access to care.

Other mainstream housing and supportive services resources include: Connecticut Resource Coalition, Housing Authorities, private landlords, case managers, Waterbury Health Dept. Torrington Satellite Office, Community Health and Wellness Center of Greater Torrington, York Correctional Facility, prisons, domestic violence shelters, hospitals, homeless shelters, Salvation Army, mental health/substance abuse agencies and other HIV/AIDS providers.

c. Barriers and Trends Overview

Provide a narrative addressing items 1 through 3. Explain how barriers and trends affected your program's ability to achieve the objectives and outcomes discussed in the previous section.

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program's ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.

Mercy- Most clients being served have a very limited income. With the economy as it is, clients who wish to find employment are having more difficulty than usual. Prices have risen for everything from food, to utilities, to necessities such as clothing and cleaning supplies. This makes it imperative to consistently work on budgets with the clients. Waitlists are already long before adding our clients. This reporting period the Program has seen the effects of a declining economy. Affordable housing, food, supplies, medical, prescriptions, and utilities have become more costly making it difficult for clients to meet their monthly expenses. Aside, from assisting clients with budget plans, caseworkers have gone as far as making sure their clients are on every program they are eligible for such as energy assistance, local food pantries, and match programs. The program will continue to assist the clients through this difficult economy with any and all options that come available.

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	

INW- This reporting period the Program has seen the effects of a declining economy. Affordable housing, food, supplies, medical, prescriptions, and utilities have become more costly making it difficult for clients to meet their monthly expenses. Aside, from assisting clients with budget plans, caseworkers have gone as far as making sure

their clients are on every program they are eligible for such as energy assistance, local food pantries, and match programs. The program will continue to assist the clients through this difficult economy with any and all options that come available.

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool. **Note:** Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states’ or municipalities’ Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area. **Note:** In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area’s Unmet Needs for HOPWA-eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	6
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance:	6
a. Tenant-Based Rental Assistance (TBRA)	
b. Short-Term Rent, Mortgage and Utility payments (STRMU)	
<ul style="list-style-type: none"> • Assistance with rental costs • Assistance with mortgage payments • Assistance with utility costs. 	
c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities	

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
x	= Data from client information provided in Homeless Management Information Systems (HMIS)
	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

NOTE: Be sure to report on the number of households supported with these leveraged funds as in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance	157,870.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other	28,115.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:	47,475.00		<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support

Resident Rent Payments by Client to Private Landlord			
TOTAL (Sum of all Rows)	233,460.00		

2. Program Income and Resident Rent Payments

In Section 2, Chart A, report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year by Activity Type

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	N/A
2.	Resident Rent Payments made directly to HOPWA Program	N/A
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	N/A

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	N/A
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	N/A
3.	Total Program Income Expended (sum of Rows 1 and 2)	N/A

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	17	29			136607	130880
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)						
2b.	Transitional/Short-term Facilities: Received Operating Subsidies (Households Served)						
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)						
4.	Short-Term Rent, Mortgage and Utility Assistance						
5.	Adjustments for duplication (subtract)						
6.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-4 minus Line 5; Columns e. and f. equal the sum of Rows 1-4))					136607	130880
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
7.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
8.	Stewardship Units subject to 3 or 10 year use agreements						
9.	Total Housing Developed (Sum of Rows 7 & 8)						
Supportive Services		[1] Output Households				[2] Output: Funding	
10a.	Supportive Services provided by project sponsors that also delivered HOPWA housing subsidy assistance						
10b.	Supportive Services provided by project sponsors that only provided supportive services.						
11.	Adjustment for duplication (subtract)						
12.	Total Supportive Services (Columns a. – d. equal the sum of Rows 10 a & b minus Row 11; Columns e. and f. equal the sum of Rows 10a. and 10b.)						
Housing Placement Assistance Activities		[1] Output Households				[2] Output: Funding	
13.	Housing Information Services						
14.	Permanent Housing Placement Services						
15.	Adjustment for duplication						
16.	Total Housing Placement Assistance (Columns a. – e. equal the sum of Rows 13-14 minus Row 15; Columns e. and f. equal the sum of Rows 13-14))						

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
17.	Resource Identification to establish, coordinate and develop housing assistance resources						
18.	Technical Assistance (if approved in grant agreement)						
19.	Grantee Administration (maximum 3% of total HOPWA grant)						
20.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)					8468	8220
21.	Total Grant Administration and Other Activities (Sum of Rows 17 - 20)						
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
22.	Total Expenditures for program year (Sum of Rows 6, 9, 12, 16, and 21)						

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Note: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 12.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance		
2.	Alcohol and drug abuse services		
3.	Case management	29	70,572.00
4.	Child care and other child services		
5.	Education		
6.	Employment assistance and training		
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310		
8.	Legal services		
9.	Life skills management (outside of case management)		
10.	Meals/nutritional services		
11.	Mental health services		
12.	Outreach		
13.	Transportation		
14.	Other Activity (if approved in grant agreement). Specify:		
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)		
16.	Adjustment for Duplication (subtract)		
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	29	70,572.00

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., and f. equals the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of <u>Households</u>	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Short-term mortgage, rent and/or utility (STRMU) assistance	N/A	N/A
b.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage costs ONLY.		
c.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with mortgage and utility costs.		
d.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental costs ONLY		
e.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with rental and utility costs.		
f.	<u>Of the total STRMU reported on Row a.</u> , total who received assistance with utility costs ONLY.		

End of PART 3

Part 4: Summary of Performance Outcomes

In Column 1, report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column 2, enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column 3, report the housing status of all households that exited the program.

Data Check: The sum of Columns 2 (Number of Households Continuing) and 3 (Exited Households) equals the total reported in Column 1.

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	29	15		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
				2 Temporary Housing	2	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
				3 Private Housing	4	<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA		
				5 Other Subsidy	5	
				6 Institution		
				7 Jail/Prison	1	<i>Unstable Arrangements</i>
				8 Disconnected/Unknown		
				9 Death		
Permanent Supportive Housing Facilities/ Units	N/A	N/A		1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
				2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
				3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		
				7 Jail/Prison		<i>Unstable Arrangements</i>
				8 Disconnected/Unknown		
				9 Death		

B. Transitional Housing Assistance

	[1] Output: Total Number of Households	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year		[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Facilities/ Units	N/A	Total number of households that will continue in residences:	N/A	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
				2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
				3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
				4 Other HOPWA		
				5 Other Subsidy		
				6 Institution		
				7 Jail/Prison		<i>Unstable Arrangements</i>
				8 Disconnected/unknown		
				9 Death		

B1:Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	0
--	---

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column 1.

In Column 2, identify the outcomes of the households reported in Column 1 either at the time that they were known to have left the STRMU program or through the project sponsor’s best assessment for stability at the end of the operating year.

In Column 3 provides a description of housing outcomes; therefore, data is not required.

At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The sum of Column 2 should equal the number of households reported in Column 1.

Assessment of Households that received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
N/A	Maintain Private Housing <u>without</u> subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>		<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>		
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year. <i>(e.g. households that received STRMU assistance in two consecutive operating years)</i>			N/A
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years <i>(e.g. households that received STRMU assistance in three consecutive operating years)</i>			N/A

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Section [1]: For project sponsors that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, and Master Leasing) from the project sponsors, permanent housing placement assistance and case management services. Use Row d. to adjust for duplication among the service categories and Row e. to provide an unduplicated household total.

Section [2]: For project sponsors that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received permanent housing placement assistance and case management services. Use Row c. to adjust for duplication and Row d. to provide an unduplicated household count.

Note: These numbers will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following services:	
a. HOPWA Housing Subsidy Assistance (duplicated)-TBRA, STRMU, Facility-Based Housing, and Master Leasing	29
b. Permanent Housing Placement	
c. Case Management	29
d. Adjustment for duplication	
e. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a.-c. minus Row d.)	29
2. For Project Sponsors that did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following services:	
a. Permanent Housing Placement	
b. Case Management	
c. Adjustment for duplication	
d. Total Households Served by Project Sponsors with Housing Subsidy Assistance (Should be the sum of Rows a. and b. minus Row c.)	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a, Row 1e. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts 1c. and 1d.

Categories of Services Accessed	[1] For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	26	n/a	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan.	29	n/a	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan.	26	n/a	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance.	26	n/a	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income.	29	n/a	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name 	<ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name 	<ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance
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Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

<ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) 	<ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation 	<ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources
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1c.. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1e. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2d., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA Job training, employment assistance, education or related case management/counseling services.

Note: This includes jobs created by this project sponsor or obtained outside this agency.

Categories of Services Accessed	[1 For project sponsors that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	7	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6=#)	Temporary Housing (2)	Unstable Arrangements (1+7+8=#)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes

Stable Permanent Housing/Ongoing Participation

3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.

4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.

5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).

6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).

7 = Jail /prison.

8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of “Stewardship Units”

1. General information

HUD Grant Number(s) N/A	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list. <input type="checkbox"/> Not confidential; information can be made available to the public.
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address.	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities

A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Master Leased Units ONLY)

Note: Do not include in this section any individuals, beneficiaries, or households who received Supportive Services.

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance

a. Total HOPWA Eligible Individuals Living with HIV/AIDS

In Chart a., provide the total number of eligible (and unduplicated) low income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	29

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Note: The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing assistance reported in Chart a. above.

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	23
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	
4.	Transitional housing for homeless persons	
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	
7.	Psychiatric hospital or other psychiatric facility	
8.	Substance abuse treatment facility or detox center	
9.	Hospital (non-psychiatric facility)	
10.	Foster care home or foster care group home	
11.	Jail, prison or juvenile detention facility	
12.	Rented room, apartment, or house	4
13.	House you own	
14.	Staying or living in someone else’s (family and friends) room, apartment, or house	2
15.	Hotel or motel paid for without emergency shelter voucher	
16.	Other	
17.	Don’t Know or Refused	
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	0

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (*as reported in Part 7A, Section 1, Chart a.*), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals). *Note: See definition of “HOPWA Eligible Person”.*

Note: See definition of Transgender Note: See definition of Benfiaries.

Note: The sum of each of the Charts b. & c. equal the total number of beneficiaries served with HOPWA housing subsidy assistance, in Chart a., Row 3.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (should equal the number of HOPWA Eligible Individuals reported in Part 7A,Section 1,Chart a.)	29
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance.	1
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefitted from the HOPWA housing subsidy	22
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	52

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11 equals the total number of beneficiaries reported in Chart a., Row 4.

HOPWA Eligible Individuals						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
1.	Under 18					
2.	18 to 30 years		4			4
3.	31 to 50 years	7	8			15
4.	51 years and Older	7	3			10
5.	Subtotal (Sum of Rows 1-4)	14	15			29
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL* (Sum of Columns A-D)
6.	Under 18	6	6			12
7.	18 to 30 years		4			4
8.	31 to 50 years	1	2			3
9.	51 years and Older	2	1			3
10.	Subtotal (Sum of Rows 6-9)	9	13			22
Total Beneficiaries						
11.	TOTAL* (Sum of Row 5 & 10)	23	28			51

c. Race and Ethnicity*

In Chart c, indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Also identified as Hispanic or Latino	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Also identified as Hispanic or Latino
1.	American Indian/Alaskan Native				
2.	Asian				
3.	Black/African American	7		8	
4.	Native Hawaiian/Other Pacific Islander				
5.	White	21	4	13	6
6.	American Indian/Alaskan Native & White				
7.	Asian & White				
8.	Black/African American & White	1		2	
9.	American Indian/Alaskan Native & Black/African American				
10.	Other Multi-Racial				
11.	Column Totals (Sum of Rows 1-10)	29	4	23	6
Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA eligible individuals reported in Part 3A, Section 2, Chart a, Row 4.					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income.

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 6 and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	19
2.	31-50% of area median income (very low)	8
3.	51-80% of area median income (low)	2
4.	Total (Sum of Rows 1-3)	29

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Complete Charts 2a., Project Site Information, and 2b., Type of Capital Development Project Units, for all Development Projects, including facilities that were past development projects that continue to receive HOPWA operating dollars.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY) .

1. Project Sponsor Agency Name (Required)

N/A

2. Capital Development

2a. Project Site Information for Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs)

Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
<input type="checkbox"/> Rehabilitation	\$	\$	
<input type="checkbox"/> Acquisition	\$	\$	
<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):
b.	Rehabilitation/Construction Dates:		Date started: Date Completed:
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services
e.	Number of units in the facility:		HOPWA-funded units = Total Units =
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, number of participants on the list at the end of operating year</i>
g.	What is the address of the facility (if different from business address)?		

h.	Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not publish list. <input type="checkbox"/> No, can be made available to the public.
----	--	--

2b. Number and Type of Capital Development Project Units (For Capital Development Projects only)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria.

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible	Years of affordability (IN YEARS)
Rental units constructed (new) and/or acquired <u>with</u> or <u>without</u> rehab					
Rental units rehabbed					
Homeownership units constructed (if approved)					

3. Units assisted in types of housing facility/units leased by sponsor

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type of facility and number of units in it. Indicate the type and number of housing units in the facility, including master leased units or other scattered site units leased by the organization, categorized by the number of bedrooms per unit. *Note: The number units may not equal the total number of households served. Please complete separate charts for each housing facility assisted.*

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor	Total Number of <u>Units</u> Operated in the Operating Year Categorized by the Number of Bedrooms per Units					
	SRO/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm	5+bdrm
a. Single room occupancy dwelling						
b. Community residence						
c. Project-based rental assistance units or leased units						
d. Other housing facility. Specify:						

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor on subsidies for housing involving the use of facilities, master leased units, or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing	Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor
a. Leasing Costs		
b. Operating Costs		
c. Project-Based Rental Assistance (PBRA) or other leased units		
d. Other Activity (if approved in grant agreement). Specify:		
e. Adjustment to eliminate duplication (subtract)		

f.	TOTAL Facility-Based Housing Assistance (a. through d. minus e.)		
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VII. Citizen Participation

A. Summary

The State of Connecticut 2011 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DECD solicited public input on the draft 2011 CAPER during a 15 day Public Comment Period from September 7, 2012 through September 21, 2012. Notification for the public commentary period was published in two newspapers on September 6, 2012, including one in Spanish. The legal notice as well as a copy of the draft 2011 CAPER was made available on the DECD web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's Regional Planning Agencies. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

DECD received no comments on the draft 2011 CAPER during the public commentary period of September 7, 2012 through September 21, 2012.

VIII. Appendix

- A. Legal Notice
- B. Newspaper Publication
- C. HOME Program Annual Performance Report, HUD Form 40107
- D. HOME Program: Section 3 Summary Report, HUD Form 60002
- E. HOME Program Match Report, HUD Form 40107-A
- F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
- G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
- H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
- I. ESG Program: Section 3 Summary Report, HUD Form 60002
- J. HOPWA Program: Section 3 Summary Report, HUD Form 60002
- K. KEY

Appendix A: Legal Notice

Notice of Public Comment Period State of Connecticut Consolidated Annual Performance and Evaluation Report for the 2011-2012 Program Year

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Economic and Community Development (DECD), has prepared the Consolidated Annual Performance and Evaluation Report (CAPER) for the 2011-2012 Program Year. This report contains detailed information on the four federal formula grant programs governed by the State's 2010-2015 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA). Funding for the 2011-2012 Program Year for the four programs represents \$26,150,849. The HOME and SC/CDBG programs are administered by the Department of Economic and Community Development and the ESG and HOPWA programs are administered by the Department of Social Services.

The CAPER is available for review and public comment from September 7, 2012 through September 21, 2012. A copy of the CAPER and related documents are available on the Department of Economic and Community Development's web site, www.DECD.org or at the Department of Economic and Community Development, 505 Hudson Street, Hartford, CT 06106 by appointment. You may contact Debra Landry for further information at 860-270-8169.

Comments on the CAPER may be sent to Debra Landry, Department of Economic and Community Development, 505 Hudson Street, Hartford, CT 06106-7106 or CT.Housing.Plans@ct.gov thru September 21, 2012. All comments received will be included in the final version of the CAPER.

Department of Social Services and Economic and Community Development programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, Antoinette Alphonse at (860) 270-8022.

Publication Date: September 6, 2012

Appendix B. Newspaper Publication

Publication of Legal Notice on 9/6/2012 for the 2011 Performance Report	
Hartford Courant 285 Broad Street Hartford, CT 06115 Hartford area	La Voz Hispana Connecticut 51 Elm Street, Suite 307 New Haven, CT,06510 Hartford,Bridgeport,New Haven,Waterbury, Stamford, Norwalk, Meridan, New Britian, Danbury

Source: DECD, OHCD

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

OMB Approval No. 2506-0171
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 7/1/2011	Ending 6/30/2012	9/28/2012

Part I Participant Identification

1. Participant Number M-92-SG-09-0100	2. Participant Name State of Connecticut, Department of Economic and Community Development		
3. Name of Person completing this report Debra Landry		4. Phone Number (Include Area Code) 860-270-8169	
5. Address 505 Hudson Street	6. City Hartford	7. State CT	8. Zip Code 06106-7106

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period (\$3,826)	2. Amount received during Reporting Period \$2,239,724	3. Total amount expended during Reporting Period \$2,235,898	4. Amount expended for Tenant-Based Rental Assistance -0-	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 -0-
--	---	---	--	--

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic	
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic		e. Hispanic
A. Contracts						
1. Number	9			1	2	6
2. Dollar Amount	\$15,872,934			\$50,000	\$100,000	\$15,722,934
B. Sub-Contracts						
1. Number	65			3	3	59
2. Dollar Amount	\$21,345,406			\$207,897	\$627,220	\$20,510,289
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number	9		9			
2. Dollar Amount	\$15,872,934		\$15,872,934			
D. Sub-Contracts						
1. Number	65	10	55			
2. Dollar Amounts	\$21,345,406	\$1,632,091	\$19,713,315			

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
1. Number	-0-				
2. Dollar Amount	-0-				

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	-0-	
2. Businesses Displaced	-0-	
3. Nonprofit Organizations Displaced	-0-	
4. Households Temporarily Relocated, not Displaced	-0-	

Households Displaced	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
5. Households Displaced - Number	-0-				
6. Households Displaced - Cost	-0-				

Section 3 Summary Report

Economic Opportunities for
Low – and Very Low-Income Persons

U.S. Department of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

OMB Approval No: 2529-0043
(exp. 8/31/2007)

Hud Field Office:
Hartford, CT.

See page 2 for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip) CT. Department of Economic and Community Development 505 Hudson Street Hartford, CT. 06106		2. Federal Identification: (contract/award no.) M-11-SG-090100	3. Dollar Amount of Award: \$11,717,789
		4. Contact Person Debra Landry	5. Phone: (Include area code) 860-270-8169
		6. Reporting Period: July 1, 2011 to June 30, 2012	7. Date Report Submitted: June 30, 2012
8. Program Code *	6 (Use a separate sheet for each program code)	9. Program Name: HOME Investment Partnerships Program	

Part I: Employment and Training (** Include New Hires in columns E & F.)

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E** % of Total Staff Hours for Section 3 Employees and Trainees	F** Number of Section 3 Employees and Trainees
Professionals	0	0	0	0	0
Technicians	0	0	0	0	0
Office/Clerical	0	0	0	0	0
Construction by Trade (List)					
Trade <u>Electric (A)</u>	1	0	0	0	0
Trade <u>Laborer</u>	2	0	0	0	0
Trade <u>skilled</u>	8	0	0	0	0
Trade <u>Apprentice Semi-skilled</u>	5	0	0	0	0
Trade <u>Roofing</u>	4	4	100%	11%	4
Other (List) <u>Electric (B)</u>	2	0	0%	18%	1
<u>Paint</u>	6	1	14%	5%	1
<u>Plumbing</u>	4	4	100%	51%	4
<u>Carpentry</u>	4	0	0%	0%	0
Total	36	9	23%	16%	10

* Program Codes
1 = Flexible Subsidy
2 = Section 202/811

3 = Public/Indian Housing
A = Development,
B = Operation
C = Modernization

4 = Homeless Assistance
5 = HOME
6 = HOME State Administered
7 = CDBG Entitlement

8 = CDBG State Administered
9 = Other CD Programs
10 = Other Housing Programs

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 39,376,171
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 523,657
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	1.33 %
D. Total number of Section 3 businesses receiving contracts	3

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 1,247,574
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other, describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 Home Matching Liability Report

CONNECTICUT

FiscalYear	latchPercent	TotalDisbursements	Disbursements Requiring Match	Match Liability Amount
2000	25.0 %	\$4,691,397.14	\$3,264,527.80	\$816,131.95
2001	25.0 %	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90
2002	25.0 %	\$24,771,275.69	\$22,688,077.84	\$5,672,019.46
2003	12.5 %	\$10,619,127.62	\$9,688,684.38	\$1,211,085.54
2004	12.5 %	\$12,924,023.84	\$11,870,651.02	\$1,483,831.37
2005	25.0 %	\$11,355,818.82	\$9,732,451.00	\$2,433,112.75
2006	25.0 %	\$6,804,889.39	\$5,744,907.25	\$1,436,226.81
2007	25.0 %	\$15,232,221.41	\$13,883,604.34	\$3,470,901.08
2008	25.0 %	\$9,517,342.65	\$8,465,697.99	\$2,116,424.49
2009	25.0 %	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50
2010	12.5 %	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99
2011	12.5 %	\$15,821,598.50	\$15,075,076.74	\$1,884,384.59
2012	0.0 %	\$10,207,831.00	\$0.00	\$0.00

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1995

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '95 allocation.

- Killingly - 09*
- Putnam - 09*
- Coventry - 08*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

CHAPLIN-95 F	AC	20. PLANNING ONLY	\$ 10,000.00	PO	L/M							
COLEBROOK-95	AC	3A. CENTER (SENIOR) 21A. Admin	\$ 465,000.00 \$ 35,000.00 \$ 500,000.00	PF	L/M	1	550	415	1	550	415	
COLUMBIA-95 ALSO FUNDED WITH FY94	AC	3A. Center (Senior) 21A. Admin	\$ 167,661.22 \$ 20,000.00 \$ 187,661.22	PF	L/M	1	521	417	1	521	417	
COVENTRY-95 F	AC	03L Sidewalks 3A. Center (Senior) 21A. Admin	\$ 238,645.96 \$ 143,525.10 \$ 17,828.94 \$ 400,000.00	PF PF	L/M L/M	1 1	125 487	84 248	1 1	125 487	84 248	
DANIELSON-95 ECONOMIC DEVELOPMENT DISCRETIONARY	AC	17C. COMM REHAB 21A. Admin	\$ 145,000.00 \$ 10,000.00 \$ 155,000.00	ED	L/M	1	2,451	1,401	6	2,500	1,400	
DANIELSON-95 DISCRETIONARY \	AC	04. CLEARANCE 21A. Admin	\$ 100,064.12 \$ 6,399.77 \$ 106,463.89	ED	L/M	1	2,500	1,400	1	2,500	1,400	
DANIELSON-95	AC	18a. ED LOANS 21A. Admin	\$ 125,000.00 \$ 15,000.00 \$ 140,000.00	ED	L/M	5	30	24	1	12	12	
DEEP RIVER-95	AC	18A. IND INCURATOR 18a. REV. LOAN FUND 21A. Admin	\$ 353,386.19 \$ 39,385.63 \$ 4,794.52 \$ 397,566.34	ED ED	L/M L/M	1	45 3	35 7	3	38 3	26 7	
DERBY-95	AC	14A Residential Rehab 10. REM ARCH BARR 13. HOMEOWNERSHIP 21A. Admin	\$ 220,000.00 \$ 132,500.00 \$ 85,000.00 \$ 62,500.00 \$ 500,000.00	H PF H	L/M L/M L/M	15 1 8	36 43 19	36 43 19	6 1 8	15 43 19	15 43 19	
ELLINGTON-95	AC	3A. Center (Senior) 14A Residential Rehab 21A. Admin	\$ 187,000.00 \$ 269,000.00 \$ 44,000.00 \$ 500,000.00	PH H	L/M L/M	1 15	1,360 39	804 39	1 9	1,360 14	804 14	

ENFIELD-95	AC	03L Sidewalks	\$ 52,100.00	PF	L/M	1	4,378	2,256	1	4378	2256
		03. OTHER FAC (SHELTER)	\$ 10,450.00	PF	L/M	1	210	141	1	700	700
		05. PUB SERV.	\$ 60,000.00	PS	L/M	1	4,378	2,256	2	4,359	22,752
		14A Residential Rehab	\$ 182,200.00	H	L/M	9	24	24	7	9	9
		14A Residential Rehab	\$ 46,500.00	H	L/M		4,378	2,256	1	4378	2256
		21A. Admin	\$ 50,515.00								
			\$ 401,765.00								
ENFIELD-95 ECONOMIC DEVELOPMENT F	AC	18b. JOB TRAINING	\$ 37,600.00	ED	L/M	1	14	14	1	20	20
		21A. Admin	\$ 2,400.00								
			\$ 40,000.00								
GRANBY-95	AC	03. OTHER FAC (FOOD)	\$ 67,500.00	PF	L/M	1	1,000	800	1	1,000	1,000
		03L Sidewalks	\$ 88,000.00	PF	L/M	1	328	283	1	81	81
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	55	55	6	7	7
		21A. Admin	\$ 44,500.00								
			\$ 475,000.00								
GRISWOLD-95	AC	03j. SEWERS	\$ 140,000.00	PF	L/M	1	2,719	1,819	1	3,713	2,408
			\$ 140,000.00								
GROTON-98	AC	See FY 98 Groton 98 for details	\$ 19,837.88	PF	L/M						
GUILFORD-95	AC	03. OTHER FAC (SITE)	\$ 480,000.00	PF	L/M	1	52	52	1	52	52
		21A. Admin	\$ 20,000.00								
			\$ 500,000.00								
HAMPTON/CHAP-95 F	AC	14A Residential Rehab	\$ 269,000.00	H	L/M	14	38	38	19	45	45
		21A. Admin	\$ 31,000.00								
			\$ 300,000.00								
JEWETT CITY-95	AC	03j. SEWERS	\$ 430,000.00	PF	L/M	1	2,719	1,819	1	3,713	2,408
		21A. Admin	\$ 50,000.00								
			\$ 480,000.00								
LISBON-95 DISCRETIONARY F	AC	03j. WATER	\$ 350,300.00	PF	L/M	1	86	60	48	86	60
		21A. Admin	\$ 21,000.00								
			\$ 371,300.00								
LITCHFIELD-95	AC	14A Residential Rehab	\$ 440,946.92	H	L/M	30	75	75	21	45	45

		14C Res. Rehab Public	\$ -	H	L/M	3	8	8			
		21A. Admin	\$ 24,731.56								
			\$ 465,678.48								
LITCHFIELD-95	AC	20. PLANNING ONLY	\$ 7,158.12	PO	L/M	1	1,350	689	1	1350	689
PLANNING ONLY			\$ 7,158.12								
MANSFIELD-95	AC	03. CENTER (WOMEN)	\$ 145,000.00	PF	L/M	1	8	8	1	8	8
		05A. PUB SERV (ELDERLY)	\$ 70,000.00	PS	L/M	1	117	82	4	2	2
		14C Res. Rehab Public	\$ 38,000.00	H	L/M	40	45	45	45	45	45
		21A. Admin	\$ 12,000.00								
			\$ 265,000.00								
NAUGATUCK-95	AC	03L Sidewalks	\$ 67,500.00	PF	L/M	1	129	83	1	129	83
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	60	60	13	38	38
		13. HOMEOWNERSHIP	\$ 112,500.00	H	L/M	10	30	30	1	3	3
		21A. Admin	\$ 45,000.00								
			\$ 500,000.00								
NEW FAIRFIELD-95	AC	14A Residential Rehab	\$ 275,000.00	H	L/M	20	60	60	17	58	58
		21A. Admin	\$ 25,000.00								
			\$ 300,000.00								
NEW MILFORD-95	AC	14C Res. Rehab Public	\$ 90,146.20	H	L/M	102	116	116	102	116	116
		21A. Admin	\$ 5,000.00								
			\$ 95,146.20								
PORTLAND-95	AC	18a. LOAN POOL	\$ 16,000.00	ED	L/M	5	10	6	5	10	5
			\$ 16,000.00								
PUTNAM-95	AC	05. PUB SERV	\$ 5,000.00	PS	L/M	1	235	188	1	235	188
		14A Residential Rehab	\$ 275,000.00	H	L/M	20	50	50	19	35	35
		21A. Admin	\$ 25,000.00								
			\$ 305,000.00								
ROCKY HILL-95	AC	14A Residential Rehab	\$ 206,418.46	H	L/M	15	37	37	13	45	45
MULTIJURISDICTIONAL		14C Res. Rehab Public	\$ 100,033.64	H	L/M	39	45	45	78	227	227
		10. REM ARCH BARR	\$ 97,361.23	PF	L/M	2	241	141	41	139	139
		21A. Admin	\$ 76,387.67								
			\$ 480,201.00								
ROCKY HILL-95	AC	14A Residential Rehab	\$ 208,645.00	H	L/M	8	20	20	13	45	45

THOMPSON-95	AC	05. PUB SERV	\$ 75,000.00	PS	L/M	1	74	74	3	440	413	
		14A Residential Rehab	\$ 252,000.00	H	L/M	20	20	20	37	101	101	
		21A. Admin	\$ 48,000.00									
			\$ 375,000.00									
TORRINGTON-95	AC	03C. CENTER (HOMELESS)	\$ 147,662.64	PF	L/M	1	300	300	1	300	300	
		05. PUB SERV.	\$ 68,022.39	PS	L/M	1	3,840	3,840	1	3840	3840	
		14A Residential Rehab	\$ 162,339.13	H	L/M	6	6	6	3	3	3	
		21A. Admin	\$ 44,423.84									
			\$ 450,000.00									
TORRINGTON-96 DISCRETIONARY	AC	03j. SEWERS	\$ 43,000.00	PF	L/M	1	6,504	3,318	1	6504	3318	
			\$ 43,000.00									
VERNON-95	AC	3A. Center (Senior)	\$ 95,000.00	PF	L/M	1	3,885	1,982	1	3,885	1,982	
		14C Res. Rehab Public	\$ 200,000.00	H	L/M	54	57	57	54	57	57	
		10. REM ARCH BARR	\$ 95,000.00	PF	L/M	1	2,698	1,376	1	2,698	1,376	
		21A. Admin	\$ 20,000.00									
			\$ 410,000.00									
VERNON-95 ECONOMIC DEVELOPMENT	AC	18b. JOB TRAINING	\$ 53,820.00	ED	L/M	1	50	50	1	50	50	
		21A. Admin	\$ 6,180.00									
			\$ 60,000.00									
VERNON-95 ECONOMIC DEVELOPMENT	AC	18b. JOB TRAINING	\$ 40,000.00	ED	L/M	1	50	50	1	50	50	
		18a. ED LOAN PROG	\$ 400,000.00	ED	L/M	1	20	20	1	20	20	
			\$ 440,000.00									
WINCHESTER-95 F	AC	03I. DRAINAGE	\$ 205,000.00	PF	L/M	1	82	73	82	82	73	
		03K Street Improvements	\$ 100,000.00	PF	L/M	1	136	123	1	136	123	
		05. PUB SERV.	\$ 66,000.00	PS	L/M	1	500	500	1	500	500	
		14A Residential Rehab	\$ 90,000.00	H	L/M	1	1,982	1,124	1	1,982	1,124	
		21A. Admin	\$ 39,000.00									
			\$ 500,000.00									
WINDSOR-95	AC	03I. DRAINAGE	\$ 139,259.00	PF	L/M	1	312	197	1	312	197	
		03E. OTHER FAC (LIB.)	\$ 95,000.00	PF	L/M	1	1,954	1,233	1	1,954	1,233	
		03F. OTHER FAC (PARK)	\$ 122,741.00	PF	L/M	1	1,954	1,233	1	1954	1233	
		21A. Admin	\$ 68,000.00									
			\$ 425,000.00									
NEWINGTON - 01	AC	See FY 00 for Line Items	\$ 39,832.28	PF	L/M							

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1997

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '97 allocation.

- Colebrook - 01*
- Newington - 01*
- Granby - 01*
- East Haddam - 02*
- Coventry - 02
- Coventry - 08
- East Windsor - 09
- Killingly - 09

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
ELLINGTON-97	AC	14A Residential Rehab		269,000.00	H	L/M	1	35	35	14	52	52
	AC	21A. Admin		29,000.00								
				298,000.00								
ENFIELD-97	AC	4. Demolition		13,614.00		UN	N/A	N/A	N/A	N/A	N/A	N/A
	AC	14C. Public Faci. Shel. Impr.		19,313.00	PF	L/M		117	117		106	106
	AC	18a. Job Training		54,610.00	ED	L/M		20	20		17	17
	AC	05L. Public Serv.(Day Care)		38,000.00	PS	L/M		30	30		36	36
	AC	21A. Admin		10,059.00								
				135,596.00								
ENFIELD-98	AC	20. Planning		40,000.00	PO	N/A	N/A	N/A				
	AC	03E. Family Resource Ctr		149,101.74	PF	L/M	1	4,378	2,256	1	4,378	2,256
	AC	21A. Admin		30,256.26								
				219,358.00								
GRANBY-97	AC	14A Residential Rehab		272,993.84	H	L/M	1	55	55	1	25	25
		10. Rem Arch. Barriers		184,997.05	PF	L/M	1	9,369	4,779	1	9369	4779
		21A. Admin		42,009.11								
				500,000.00								
GRISWOLD-97	AC	03J. Sewers		453,650.14	PF		1	3,713	2,408	1,109	3,713	2,408
	AC	21A. Admin		0								
				453,650.14								
GROTON -97	AC	14A Residential Rehab		252,000.00	H	L/M	1	25	25	1	25	25
	AC	21A. Admin		46,000.00								
				298,000.00								
GUILFORD-97	AC	14C Res. Rehab Public		490,000.00	H	L/M	1	28	28	1	28	28
		21A. Admin		10,000.00								
				500,000.00								
HAMPTON-97 (multi)	AC	14A Residential Rehab		269,000.00	H	L/M	14	39	39	18	31	31
	AC	21A. Admin		31,000.00								
				300,000.00								
HAMPTON-98	AC	20. Planning		20,000.00	PO	N/A	N/A	N/A				

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
HEBRON -97	AC	10. ADA Library 21A. Admin		220,570.16	PF	L/M	1	243	131	1	243	131
				20,569.19								
HEBRON-98	AC	20. Planning		10,000.00	PO	N/A	N/A	N/A	N/A	N/A	N/A	N/A
JEWETT CITY-97	AC	03J. Sewers		498,000.00	PF	L/M	1	3,713	2,408	1109	3712	2408
	AC	21A. Admin		0								
MANSFIELD - 97	AC	14A Residential Rehab 21A. Admin		275,000.00	H	L/M	1	42	42	11	37	37
				25,000.00								
NAUGATUCK-97	AC	14A Residential Rehab		244,992.23	H	L/M	1	36	36	4	9	9
	AC	03g. Neighborhood Facility		119,483.57	PF							
	AC	21A. Admin		40,524.20								
NEW HARTFORD-97 F	AC	03D. Youth Center 21A. Admin		51,596.99	PF	L/M	1	4	4	1	3	3
				9,403.01								
NEW MILFORD-97	AC	14C Res. Rehab Public 03L. Sidewalk 21A. Admin		112,272.18	H	L/M	1	118	118	1	83	83
				144,943.56	PF		1	702	451	1	702	451
				42,784.26	300,000.00							
NEWINGTON - 97	AC	14A Residential Rehab		282,347.04	H	L/M				5	15	15
	AC	03L . Streets/Sidewalks		174,661.14	PF	L/M	1	354	240	1	354	240
	AC	21A. Admin		42,991.82								
NORTH CANAAN-98	AC	20. Planning		30,000.00	PO	N/A	N/A	N/A				
PLAINFIELD - 97	AC	03J. Sewer/Water 21A. Admin		499,280.00	PF	L/M	1	1,741	1,020	1	1,741	1,020
				720.00								
PLAINVILLE -97	AC	14A Residential Rehab		235,627.44	H	L/M	12	30	30	12	30	30
	AC	3a. Senior Center		239,079.86	PF	L/M	1	2706	1300	1	2706	1300
	AC	21A. Admin		25,292.70								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M Jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
PROSPECT -97	AC	3A. Center (Senior) 21A. Admin		465,000.00	PF	L/M		982	501		982	501
				35,000.00								
PUTNAM -97	AC	03K Street Improvements 05. River Trail 21A. Admin		135,000.00	ED	L/M	1	297	223	1	6,559	3,458
				130,000.00	PF	L/M	1	3,559	3,559			
				35,000.00	300,000.00							
PUTNAM-97 (Multi-jurisdictional)	AC	18c. Business Loans		309,000.00	ED	L/M	6	10	8	0	10	9
	AC	21A. Admin		46,750.00								
				355,750.00								
SHELTON - 97	AC	03D. Other Fac. (Girls Club) 21A. Admin		275,000.00	PF	L/M	1	762	498	1	762	498
				24,375.00								
SOMERS -97	AC	10. ADA Town Hall 21A. Admin		111,000.00	PF	L/M	1	9,108	4,645	1	9,108	4,645
				19,000.00								
SO. WINDSOR-98	AC	20. Planning		15,000.00	PO	N/A	N/A	N/A				
SPRAGUE-98	AC	03J. Infrastructure 310,000 See Sprague 98 for Line-items and accomplishments		98,050.00								
STAFFORD - 97	AC	03L Sidewalks 14A Residential Rehab 21A. Admin		234,108.85	PF	L/M	1	86	65	1	86	65
				128,495.00	H	L/M	1	20	20	8	24	24
				25,360.48	387,964.33							
THOMASTON -97	AC	14A Residential Rehab 21A. Admin		272,215.00	H	L/M	1	52	52	1	52	52
				25,000.00								
THOMPSON -97	AC	14A Residential Rehab 05. Public Service 21A. Admin		185,000.00	H	L/M	25	67	67	12	34	34
				73,000.00	PS	L/M	1	558	553	1	951	800
				17,000.00	275,000.00							
TOLLAND -97	AC	18a. Bus. Incubator 14A Residential Rehab		490,874.00	ED	L/M	1	45	45	1	24	24
				140,000.00	H	L/M		24	24		24	

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

FY 1998

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '98 allocation.

- Coventry - 02*
- Cromwell - 01*
- Newington - 01*
- Prospect - 01*
- Guilford - 00*
- East Haddam - 02*
- Ellington - 03*
- Lebanon - 04*
- Coventry - 08*
- East Windsor - 09*
- Killingly - 09*
- Putnam - 09*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
				100,000.00								
DERBY-98	AC	04 Demolition 21A. Admin		- - -	H	L/M	17	45	45	N/A	N/A	N/A
EAST LYME-98	AC	14C Res. Rehab Public 21A. Admin		92,300.00 2,700.00 95,000.00	ED	L/M	1	4	4	0	4	4
East Windsor - 98	AC	20. Planning		11,000.00 11,000.00	PO	L/M	N/A	N/A	N/A			
ELLINGTON-98	AC AC AC	05A. Eld. Outreach Worker 20. Planning 21A. Admin		37,045.00 30,000.00 5,055.00 72,100.00	PS PO	L/M	1	1,390	821	1	150	115
GROTON-98	AC AC AC	03K. Infrastructure 3A. Center (Senior) 21A. Admin		38,519.00 206,833.00 50,448.00 295,800.00	PF PF	L/M L/M	1 1	1820 1820	949 765	1 1	1820 1820	949 765
HAMPTON-98	AC	18c. Microenterprise Loan 14A Residential Rehab 21A. Admin		90,000.00 269,000.00 41,000.00 400,000.00	ED H	L/M L/M	1	39	39	1	39	39
KILLINGLY-98	AC AC AC AC AC	03F. Neighborhood Playground 10. ADA 17C. Hotel Rehab. 20. Planning(Rd.Study) 21A. Admin		122,525.97 82,850.00 71,503.75 21,750.00 18,924.03 317,553.75	PF PF PF PO	L/M L/M UN	1 1 N/A 1	965 965 N/A 543	710 710 N/A 543	1 1 1	965 965 543	710 710 543
MARLBOROUGH-98	AC	3A. Center (Senior) 21A. Admin		464,180.04 35,819.96 500,000.00	PF	L/M	1	401	205	1	401	205
MIDDLEBURY-98	AC	05E. Minibus 21A. Admin		58,074.42 8,925.58 67,000.00	PS	L/M	1	1,004	1,004	1	1,004	1,004

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
NAUGATUCK-98	AC	03. Emergency Food Bank 21A. Admin		129,310.40	PF	L/M	1	870	870	1	6396	6396
				10,689.60								
				140,000.00								
NEW HARTFORD-98	AC	10. ADA/Town Hall 21A. Admin		272,504.50	PF	L/M	1	5,769	2,942	1	5769	2942
				27,495.50								
				300,000.00								
NEWINGTON-98	AC	03L Sidewalks 14A Residential Rehab 21A. Admin		187,004.03	PF	L/M	1	354	240	1	354	240
				88,519.70								
				44,476.27								
				320,000.00								
PLYMOUTH-98	AC	20. Planning (Downtown)		-		PO						
				-								
PORTLAND-98	AC	10. ADA Town Hall 21A. Admin		500,000.00	PF	L/M	1	5,950	3,035	1	5950	3035
				-								
				500,000.00								
PRESTON-98	AC	14A Residential Rehab 21A. Admin		272,974.05	H	L/M	20	55	55	13	19	19
				27,025.95								
				300,000.00								
PUTNAM-98	AC	14A Residential Rehab 03L Sidewalks 21A. Admin		168,000.00	H	L/M	20	50	50	15	32	32
				180,000.00								
				52,000.00								
				400,000.00								
ROCKY HILL-98	AC	14A Residential Rehab 21A. Admin		182,000.00	H	L/M	14	52	52	16	37	37
				18,000.00								
				200,000.00								
SHELTON-98	AC	03J. Infrastructure 14A Residential Rehab 21A. Admin		176,761.00	PF	L/M	1	582	413	1	582	413
				184,628.43								
				30,412.01								
				391,801.44								
SOMERS-98	AC	3A. Center (Senior) 21A. Admin		255,810.00	PF	L/M	1	764	390	1	764	390
				24,190.00								
				280,000.00								

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/ L/M Jobs
SOUTHBURY-98	AC	14A Residential Rehab		242,889.62	H	L/M	20	47	47	12	25	25
		03L Sidewalks		127,110.38	PF	L/M	48	70	70	48	70	70
		21A. Admin		30,000.00								
				400,000.00								
SPRAGUE-98 Also funded w/FY 97 FY-97 98,050 FY-98 231,950 (\$20,000 Admin.)	AC	18c. Bus. Loans		52,611.28	ED	S/B	1	600	444	1	600	444
	AC	05E. Mini Van		62,000.00	PS	L/M	1	443	159	1	440	159
	AC	14A Residential Rehab		176,906.08	H	L/M	15	38	38	16	16	16
	AC	03L Sidewalks		68,223.51	H	S/B	1	600	444	1	600	444
	AC	03J. Infrastructure 310,000		212,470.60	PF	UN	N/A	N/A	N/A			
	AC	21A. Admin		58,738.53								
				630,950.00								
STAFFORD-98	AC	03K Street Improvements		312,218.46	PF	L/M	1	271	216	1	271	216
	AC	05E. Elderly Van		51,309.00	PS	L/M	1	130	130	1	130	130
	AC	21A. Admin		46,941.24								
				410,468.70								
STONINGTON-98	AC	03. Ped. Bridge		100,000.00	ED	L/M	1	1,545	1081	1	1157	774
				100,000.00								
SUFFIELD-98	AC	3A. Center (Senior)		254,518.15	PF	L/M						
		21A. Admin		18,481.85								
				273,000.00								
THOMPSON-98	AC	03L Sidewalks		126,503.24	PF	L/M	N/A	1118	630	N/A	1118	630
	AC	05. Public Serv.		61,000.00	PS	L/M	N/A			N/A	291	291
	AC	14A Residential Rehab		276,965.05	H	L/M	12	121	111	12	121	111
	AC	18c. Bus. Loan		3,742.57	ED	L/M	N/A	505	354	N/A	725	725
	AC	21A. Admin		33,282.20								
				501,493.06								
TOLLAND-98	AC	10. ADA		238,500.00	PF	L/M	N/A	N/A	N/A	N/A	N/A	N/A
		21A. Admin		11,500.00								
				250,000.00								
TORRINGTON-98	AC	14A Residential Rehab		163,097.00	H	L/M	42	42	42	42	42	42
		21A. Admin		26,903.00								
				190,000.00								
VERNON-98	AC	14A Residential Rehab		172,001.99	H	L/M	20	36	36	20	36	36
		18c. Job Training		40,000.00	ED	L/M	1	29	29	1	29	29
		03K Street Improvements		94,000.01	PF	L/M	1	10	10	1	10	10

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons /Jobs	f. Total # of L/M Persons/ L/M Jobs
WATERTOWN-98	AC	10. ADA/ Elevator		151,998.00	PF	L/M	1	2,698	1,376	1	2698	1376
		05E. Trans. For Eld./Disabled		80,000.00	PS	L/M	1	1,000	900	1	1000	900
		21A. Admin		0.00								
				538,000.00								
WATERTOWN-98	AC	10. ADA/Senior Center		453,555.50	PF	L/M	1	2,723	1,389	1	2723	1389
		21A. Admin		46,444.50								
				500,000.00								
WETHERSFIELD-98	AC	03L Sidewalks		249,907.80	PF	L/M	1	350	350	1	349	349
		21A. Admin		-								
				249,907.80								
WINCHESTER-98	AC	03I. Street Impr./Storm Drains		180,640.56	PF	L/M	1	487	487	1	487	487
		21A. Admin		19,359.44								
				200,000.00								
WINDHAM-98	AC	03K Street Improvements		180,222.34	PF	L/M	1	1,938/4	1,036/4			
	AC	05.Publ. Serv. Literacy		25,000.00	ED	L/M	1	125	122			
	AC	18c.Job Readiness		500,000.00	ED	L/M	1	60	60			
	AC	05M.Publ.Serv.(Sr. Dental Care)		30,000.00	PS	L/M	1	4,160	4,160	1337	830	830
	AC	14A Residential Rehab		154,980.89	H	L/M	25	62	62	17	37	37
	AC	05M.Preventive Health Care		30,000.00	PS	L/M	1	1,150	1,150	12013	1624	1624
	AC	21A. Admin		44,796.77								
				965,000.00								
WINDSOR-98	AC	18c. Business Loans		115,000.00	ED	L/M	5	5/10	5/10			
		14A Residential Rehab		200,000.00	H	L/M	4	11	11	21	78	78
		21A. Admin		50,000.00								
				365,000.00								
WINDSOR LOCKS	AC	10. ADA Improv.		465,000.00	H	L/M	1	46	46	1	100	100
		21A. Admin		35,000.00								
				500,000.00								
GUILFORD - 00	AC	14A Residential Rehab See FY 99 for Line Items & Accomplishments		1,000.00	H	L/M						
NEWINGTON - 01	AC	3A. Center (Senior) See FY 00 for Line Items & Accomplishments		44,000.34	PF	L/M						

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2004

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Funding From Multiple Allocations

The following grants were partially funded with the FFY '04 allocation.

- Sharon - 05

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

U.S. Department of Housing
and Urban Development

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 2004
Grant Number B-2004-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 2004 to FY 2010
(1) Allocation	\$ 15,865,419.00	B. Amount Used To:	
(2) Program Income	\$ -	(1) Benefit Low/Moderate Income Persons	\$ 13,972,766.89
B. Amount Obligated to Recipients	\$ 15,289,457.00	(2) Prevent/Eliminate Slums/Blight	\$ -
C. Amount Drawn Down	\$ 15,289,417.00	(3) Meet Urgent Community Development Needs	\$ 350,000.00
D. Amount for State Administration	\$ 417,308.00	(4) Acquisition/Rehabilitation Noncountabler	\$ -
E. Technical Assistance	\$ 158,654.00	(5) Local Administration	\$ 1,198,327.50
F. Section 108 Loans Guarantees	\$ -	Total	\$ 15,521,094.39
G. Rollovers from Pr. Years(see below)	\$ 894,720.02		
H. Moved to 2005	\$ 344.67		
I. Moved to 2006	\$ 459,871.08		
j.. Moved to 2009	\$ 202,866.88		

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Andover - 05	AC	3A Senior Center 21A Admin		\$ 465,000.00 35,000.00 \$ 500,000.00	PF	L/M	N/A	271	271	N/A	271	271
Andover - 05	AC	14A Residential Rehab 21A Admin		\$ 232,500.00 17,500.00 \$ 250,000.00	H	L/M	24	24	24	24	24	24
Ashford	AC	14A Residential Rehab 21A Admin		\$ 370,000.00 30,000.00 \$ 400,000.00	H	L/M	22	71	71	22	71	71
Branford	AC	14C Public Hsg. Modern 21A Admin		\$ 540,000.00 60,000.00 \$ 600,000.00	H	L/M	90	90	90	90	90	90
Bridgewater - 05	AC	3A Senior Center 21A Admin		\$ 450,000.00 50,000.00 \$ 500,000.00	PF	L/M	N/A	360	360	N/A	360	360
Brooklyn	AC	3A Senior Center 21A Admin		\$ 684,655.33 65,000.00 \$ 749,655.33	PF	L/M	N/A	16198	16198	N/A	3663	3663
Colchester - 05	AC	5A Water Facilities 21A Admin		\$ 350,000.00 40,000.00 \$ 390,000.00	PF	UN	N/A	N/A	N/A			
Coventry	AC	14A Residential Rehab 21a Admin		\$ 370,000.00 30,000.00 \$ 400,000.00	H	L/M	20	65	65	8	10	10

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Cromwell - 05	AC	03 ADA 21a Admin		\$ 204,737.50	PF	L/M	N/A	1565	790			
				\$ 42,827.50								
Durham - 05	AC	03 ADA 21a Admin		\$ 470,000.00	PF	L/M	N/A	6627	3351	N/A	6627	6627
				\$ 50,000.00								
East Hampton	AC	14C Public Hsg. Modern 21A Admin		\$ 450,000.00	H	L/M	70	70	70	70	70	70
				\$ 50,000.00								
Ellington - 05	AC	14A Residential Rehab 21a Admin		\$ 452,000.00	H	L/M	14	32	32			
				\$ 48,000.00								
Enfield - 05	AC	14A Residential Rehab 21a Admin		\$ 292,824.72	H	L/M	12	12	12	3	3	3
				\$ 7,175.28								
Franklin	AC	3A Senior Center 21A Admin		\$ 475,000.00	PF	L/M	N/A	240	240	N/A	294	294
				\$ 25,000.00								
Griswold - 05	AC	03K Street Improvements 21A Admin		\$ 500,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
				\$ 50,000.00								
Jewett City - 05	AC	03K Street Improvements 21A Admin		\$ 460,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
				\$ 50,000.00								
Killingly - 05	AC	03K Street Improvements 21A Admin		\$ 417,123.82	PF	L/M	N/A	1032	592	N/A	1032	592
				\$ 25,000.00								
Ledyard - 05	AC	5A Water Facilities 21A Admin		\$ 505,060.58	PF	L/M	N/A	46	25	N/A	46	25
				\$ 20,000.00								
Litchfield - 05	AC	01 Acquisition 21A Admin		\$ 103,000.00	H	L/M	1	N/A	N/A	1	N/A	N/A
				\$ 18,000.00								
New Hartford - 05	AC	14A Residential Rehab 21a Admin		\$ 275,000.00	H	L/M	12	12	12	12	12	12
				\$ 25,000.00								
New Milford - 05	AC	03K Street Improvements 21A Admin		\$ 125,649.22	PF	L/M	N/A	1140	696	N/A	1140	696
				\$ 50,000.00								
North Branford	AC	14C Public Hsg. Modern		\$ 650,000.00	H	L/M	60	60	60	60	60	60

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
AFF. HSG. \$\$ % of FUNDING - HOUSING	\$4,789,500 31%					Year	Funds Rolled Over					
						00	\$ 40,350.00					
						01	565,496.39					
						02	242,592.23					
						01	35,789.51					
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.	\$0 0%					01	10,000.00					
							491.89					
% L/M BENEFIT	91%					Total	894,720.02					

**SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM**

FY 2005

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Old Saybrook	- 04*
Ashford	- 06*
Deep River	- 06*
Wethersfield	- 06*
Stonington	- 06*
Franklin	- 07*

Note: *The number following the grantee name refers to the SC/CDBG program year in which the grant was awarded.

The specific line items as well as the Proposed and Actual Accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

U.S. Department of Housing
and Urban Development

State Grant Performance/Evaluation Report

Part 1

State of Connecticut	Reporting Period FY 2005
Grant Number B-2005-DC-09-001	Data As of June 30, 2011

1. Financial Status		2. National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 2005 to FY 2009
(1) Allocation	\$ 15,107,297.00	B. Amount Used To:	
(2) Program Income	\$ 79,366.12	(1) Benefit Low/Moderate Income Persons	\$ 12,853,031.83
B. Amount Obligated to Recipients	\$ 14,554,078.00	(2) Prevent/Eliminate Slums/Blight	\$ 550,000.00
C. Amount Drawn Down	\$ 14,554,078.00	(3) Meet Urgent Community Development Needs	\$ -
D. Amount for State Administration	\$ 402,146.00	(4) Acquisition/Rehabilitation Noncountabler	\$ -
E. Technical Assistance	\$ 151,073.00	(5) Local Administration	\$ 1,190,056.54
F. Section 108 Loans Guarantees	\$ -	Total	\$ 14,593,088.37
G. Rollovers from Pr. Years(see below)	\$ 358,457.83		
H. Rollovers to FY 07	\$ 104,535.83		
i. Rollovers to FY 04	\$ 491.89		
i. Rollovers to FY 09	\$ 214,419.74		

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford - 06	AC	5 Public Service 21A Admin		\$ 45,000.00 5,000.00 \$ 50,000.00	PS	L/M	N/A	61	61	N/A	61	61
Berlin - 06	C	14C Public Hsg. Modern 21A Admin		\$ 468,000.00 50,000.00 \$ 518,000.00	H	L/M	40	40	40			
Bethany - 06	AC	14A Residential Rehab 21A Admin	M	\$ 205,318.00 25,000.00 \$ 230,318.00	H	L/M	10	10	10	10	10	10
Bethel - 06	AC	03 ADA 21A Admin		\$ 445,000.00 55,000.00 \$ 500,000.00	PF	L/M	N/A	1775	1775	N/A	1775	1775
Brooklyn - 06	AC	9 Relocation 21A Admin		\$ 124,821.18 15,872.82 \$ 140,694.00	H	L/M	12	12	12	12	12	12
Columbia - 06	AC	03 ADA 21A Admin		\$ 350,000.00 30,000.00 \$ 380,000.00	PF	L/M	N/A	710	605	N/A	605	605
Coventry - 06	AC	3A Senior Center 21A Admin		\$ 710,000.00 40,000.00 \$ 750,000.00	PF	L/M	N/A	1191	1191	N/A	1191	1191
Danielson - 06	AC	03L Sidewalks 21A Admin		\$ 415,513.93 50,000.00 \$ 465,513.93	PF	L/M	N/A	1700	1146	N/A	1700	1146
Easton - 06	AC	3A Senior Center	M	\$ 727,340.00	PF	L/M	N/A	970	970			

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Essex - 06	AC	21A Admin	M	\$ 22,660.00	H	L/M	36	36	36	36	36	36
		14C Public Hsg. Modern 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Franklin - 06	AC	14A Residential Rehab 21A Admin		\$ 460,000.00 \$ 40,000.00 \$ 500,000.00	PF	L/M	18	18	18	18	6	6
		04 Demolition 21A Admin		\$ - \$ - \$ -								
Griswold - 06	AC	03j Sewer Lines 21A Admin		\$ 510,000.00 \$ 55,000.00 \$ 565,000.00	PF	L/M	N/A	3069	1660	N/A	3069	1660
		14C Public Hsg. Modern 21A Admin		\$ 516,109.40 \$ 42,623.72 \$ 558,733.12								
Killingly - 06	AC	3A Senior Center 21A Admin	M M	\$ 710,000.00 \$ 40,000.00 \$ 750,000.00	PF	L/M	N/A	803	803	N/A	803	803
		14C Public Hsg. Modern 21A Admin		\$ 275,000.00 \$ 25,000.00 \$ 300,000.00								
Lebanon - 06	AC	03 ADA 21A Admin		\$ 545,000.00 \$ 55,000.00 \$ 600,000.00	PF	L/M	N/A	4700	4700	N/A	5033	5033
		14C Public Hsg. Modern 21A Admin		\$ 725,000.00 \$ 75,000.00 \$ 800,000.00								
Middlefield - 06	AC	14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00	ED	L/M	13	6757	3619	13	6757	3619
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Naugatuck - 06	AC	14A Residential Rehab 21a Admin		\$ 275,000.00 25,000.00 \$ 300,000.00	H	L/M	12	36	36	12	36	36
		14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00								
Preston - 06	AC	14C Public Hsg. Modern 21A Admin		\$ 725,000.00 \$ 75,000.00 \$ 800,000.00	H	L/M	40	40	40	40	40	40
		14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00								
Putnam - 06	AC	14E Rehab-Commercial 21A Admin		\$ 435,000.00 \$ 65,000.00 \$ 500,000.00	ED	L/M	13	6757	3619	13	6757	3619
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Redding - 06	AC	14A Residential Rehab 21a Admin		\$ 275,000.00 25,000.00 \$ 300,000.00	H	L/M	12	36	36	12	36	36
		14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00								
Roxbury - 06	AC	14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00	H	L/M	16	29	29	16	29	29
		04 Demolition 21A Admin		\$ 550,000.00 \$ 50,000.00 \$ 600,000.00								
Salisbury - 06	AC	14A Residential Rehab 21a Admin		\$ 275,000.00 25,000.00 \$ 300,000.00	H	L/M	12	36	36	12	36	36
		14C Public Hsg. Modern 21A Admin		\$ 492,122.64 50,000.00								

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2006

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Derby	\$1,103
Ashford	\$3,428
Canton	\$2,993.56

Projects came in under budget and these funds returned or not drawn down.

\$107,445.76 of PY 2006 funds returned and rolled over to PY 2012*

U.S. Department of Housing
and Urban Development

State Connecticut				Reporting Period FY 2006										
Grant Number B-2006-DC-09-001				Data As of June 30, 2012										
Financial Status				2 National Objectives										
A. Total Funds				A. Period Specified for Benefit					FY 2006 to FY 2012					
(1) Allocation	\$		13,645,095.00	B. Amount Used To:										
(2) Program Income	\$		0.00	(1) Benefit Low/Moderate Income Persons									\$12,686,557.26	
B. Amount Obligated to Recipients	\$		13,135,742.00	(2) Prevent/Eliminate Slums/Blight									\$0.00	
C. Amount Drawn Down	\$		13,121,645.35	(3) Meet Urgent Community Development Needs									\$260,000.00	
D. Amount for State Administration	\$		372,902.00	(4) Acquisition/Rehabilitation Noncountabler									\$0.00	
E. Technical Assistance	\$		136,451.00	(5) Local Administration									\$1,219,429.32	
F. Section 108 Loans Guarantees	\$		0.00											
G. Rollovers from Pr. Years(see below)	\$		1,480,258.00											
H. Rollover to 07	\$		50,000.00											
H. Rollover to 09	\$		503,576.45											
									Total					\$14,165,986.58
8. Accomplishments														
3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	Proposed			Actual				
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/		
Ashford - 06	AC	14C Public Hsg. Modern 21A Admin		\$437,556.60	H	L/M	32	32	32	32	32	32		
				\$30,000.00										
				\$467,556.60										
Bethlehem - 06	AC	14C Public Hsg. Modern 21A Admin		\$361,877.75	H	L/M	24	24	24	24	24	24		
				\$55,000.00										
				\$416,877.75										
Branford - 06	AC	14C Public Hsg. Modern 21A Admin		\$540,000.00	H	L/M	90	90	90	90	90	90		
				\$60,000.00										
				\$600,000.00										
Canton - 06	C	14C Public Hsg. Modern 21A Admin		\$499,590.23	H	L/M	40	40	40					
				\$47,416.21										
				\$547,006.44										
Cheshire - 06	AC	14C Public Hsg. Modern 21A Admin		\$240,000.00	H	L/M	15	15	15	7	7	7		
				\$30,000.00										
				\$270,000.00										
Coventry - 06	AC	14A Housing Rehab 21A Admin		\$275,000.00	H	L/M	14	14	14	14	14	14		
				\$25,000.00										
				\$300,000.00										
Deep River - 06	AC	14C Public Hsg. Modern 21A Admin		\$218,931.15	H	L/M	26	26	26	26	26	26		
				\$12,283.85										
				\$231,215.00										
Derby - 06	AC	3A Senior Center 21A Admin	M M	\$388,233.45	PF	L/M	N/A	2284	1553	N/A	2284	1553		
				\$10,663.46										

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ashford -06	AC	14C Public Hsg. Modern		\$437,556.60	H	L/M	32	32	32	32	32	32
To Be Reallocated				\$ 14,096.65			Funds Rolled Over from previous years					
% of FUNDING - HOUSING	\$7,538,500 57%											
% of FUNDING - ECON. DEV.	\$0 0%											
% L/M BENEFIT	97%											
					02	80,560.00						
					03	939,826.92						
					04	459,871.08						
						1,480,258.00						

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2007

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

Mansfield	\$38,792
Windsor Locks	\$82,705
Wallingford	\$82,305.03
Southbury	\$216,500
Middlebury	\$36,374

Projects came in under budget and returned funds or did not drawdown

U.S. Department of Housing
and Urban Development

State Connecticut		Reporting Period FY 2007	
Grant Number B-2007-DC-09-001		Data As of June 30, 2012	
1 Financial Status		2 National Objectives	
A. Total Funds	\$ 13,730,987.00	A. Period Specified for Benefit	FY 2007 to FY 2012
(1) Allocation	\$ 0.00	B. Amount Used To:	
(2) Program Income	\$ 13,219,057.00	(1) Benefit Low/Moderate Income Persons	\$11,963,250.00
B. Amount Obligated to Recipients	\$ 12,725,135.04	(2) Prevent/Eliminate Slums/Blight	\$0.00
C. Amount Drawn Down	\$ 374,620.00	(3) Meet Urgent Community Development Needs	\$0.00
D. Amount for State Administration	\$ 137,310.00	(4) Acquisition/Rehabilitation Noncountabler	\$1,216,750.00
E. Technical Assistance	\$ 0.00	(5) Local Administration	
F. Section 108 Loans Guarantees	\$ 398,039.50	Total	\$13,180,000.00
G. Rollovers from Pr. Years(see below)	\$ 372,096.50		
H. Rollovers to '08	\$ 65,000.00		
I. Rollovers to '09			

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Brookfield - 07	C	3A Senior Center 21A Admin		\$678,750.00	PF	L/M	N/A	3750	3750			
				\$71,250.00								
				\$750,000.00								
Deep River - 07	AC	03 ADA 21A Admin		\$141,401.13	PF	L/M	N/A	1249	1249	N/A	4661	2377
				\$8,598.87								
				\$150,000.00								
East Haven - 07	AC	14A Residential Rehab 21A Admin		\$285,000.00	H	L/M	10	10	10	10	10	10
				\$15,000.00								
				\$300,000.00								
Ellington - 07	AC	14A Residential Rehab 21A Admin		\$270,000.00	H	L/M	9	9	9	9	9	9
				\$30,000.00								
				\$300,000.00								
Enfield - 07	C	14A Residential Rehab 21A Admin		\$280,000.00	H	L/M	14	14	14			
				\$20,000.00								
				\$300,000.00								
Franklin - 07	AC	03 ADA 21A Admin		\$119,000.00	PF	L/M	N/A	243	243	N/A	243	243
				\$16,000.00								
				\$135,000.00								
Guilford - 07	AC	14C Public Hsg. Modern 21A Admin		\$687,009.23	H	L/M	43	43	43	43	43	43
				\$12,990.77								
				\$700,000.00								
Killingly - 07	AC	14A Residential Rehab 21A Admin		\$283,000.00	H	L/M	15	15	15	6	6	6
				\$17,000.00								
				\$300,000.00								
Mansfield - 07	AC	14C Public Hsg. Modern 21A Admin		\$396,208.08	H	L/M	36	36	36	36	36	36
				\$54,000.00								
				\$450,208.08								
Middlebury - 07	AC	03 ADA 21A Admin		\$588,501.13	PF	L/M	N/A	6575	6575	N/A	6575	6575
				\$70,125.00								
				\$658,626.13								

Middlefield - 07	AC	14A Residential Rehab 21A Admin	\$275,000.00 \$25,000.00 \$300,000.00	H	L/M	8	8	8	10	10	10
New Hartford - 07	C	14A Residential Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	10	10	10			
Pomfret - 07	AC	14C Public Hsg. Modern 21A Admin	\$425,500.00 \$24,500.00 \$450,000.00	H	L/M				31	31	31
Old Saybrook - 07		14A Residential Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	25	25	25			
Salisbury - 07	C	3A Senior Center 21A Admin	\$675,000.00 \$75,000.00 \$750,000.00	PF	L/M	N/A	975	975			
Southbury - 07	C	14C Public Hsg. Modern 21A Admin	\$408,500.46 \$75,000.00 \$483,500.46	H	L/M	48	48	48			
Sprague - 07	AC	03L Sidewalks 21A Admin	\$450,000.00 \$50,000.00 \$500,000.00	PF	L/M	N/A	1498	862	N/A	1498	862
Stafford - 07	C	03K Street Improvements 21A Admin	\$436,000.00 \$64,000.00 \$500,000.00	PF	L/M	N/A	812	706			
Thompson - 07	AC	03 ADA 21A Admin	\$415,000.00 \$45,000.00 \$460,000.00	PF	L/M	N/A	1781	1781	N/A	1781	1781
Tolland - 07	AC	3A Senior Center 21A Admin	\$710,000.00 \$40,000.00 \$750,000.00	PF	L/M	N/A	1310	1310	N/A	1310	1310
Torrington - 07	AC	14C Public Hsg. Modern 21A Admin	\$630,000.00 \$70,000.00 \$700,000.00	H	L/M	39	39	39	39	39	39
Vernon - 07	C	03K Street Improvements 21A Admin	\$435,000.00 \$65,000.00 \$500,000.00	PF	L/M	N/A	989	923			
Wallingford - 07	AC	14C Public Hsg. Modern 21A Admin	\$612,897.54 \$46,500.00 \$659,397.54	H	L/M	30	30	30	30	30	30
Winchester - 07	AC	14C Public Hsg. Modern 21A Admin	\$566,572.84 \$66,000.00 \$632,572.84	H	L/M	119	119	119	119	119	119
Windsor - 07		14A Residential Rehab 21A Admin	\$250,000.00 \$50,000.00 \$300,000.00	H	L/M	17	17	17			
Windsor Locks - 07	AC	03 ADA	\$450,639.19	PF	L/M	N/A	12043	6200	N/A	12043	6200

		21A Admin	\$63,555.78									
			\$514,194.97									
Wolcott - 07	C	14A Residential Rehab	\$280,000.00	H	L/M	10	10	10				
		21A Admin	\$20,000.00									
			\$300,000.00									
Woodbridge - 07	AC	14A Residential Rehab	\$275,000.00	H	L/M	11	11	11	11	11	11	11
		21A Admin	\$25,000.00									
			\$300,000.00									
East Haven '09		14A Housing Rehab. Prog.	\$45,427.16	H	L/M							
		21A Admin	\$0.00									
			\$45,427.16									
To be reallocated			\$ 391,072.82									
AFF. HSG. \$\$				02		2,028.75						
% of FUNDING - HOUSING	\$6,756,500			03		241,474.92						
	51%			05		104,535.83						
ECON. DEV. \$\$				06		50,000.00						
% of FUNDING - ECON. DEV.	\$0					398,039.50						
	0%											
% L/M I% L/M BENEFIT	91%											

Funds Rolled Over from previous years

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2008

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

New Milford	\$ 36,872
Oxford	\$ 6,522
Branford	\$151,420

Projects came in under budget and returned funds or did not drawdown

2007 rollover to 2008	\$372,096.50
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Lebanon '08	AC	14A 21A	Housing Rehab Admin		\$270,000.00	H	L/M	16	16	16	11	11	11
					\$30,000.00								
					\$300,000.00								
Monroe '08		14C 21A	Public hsg Modernization Admin		\$630,000.00	H	L/M	30	N/A	N/A			
					\$70,000.00								
					\$700,000.00								
New Milford '08	AC	03 21A	Street Improvements Admin	M	\$403,127.84	PF	L/M	N/A	2247	2247	N/A	2247	2247
					\$60,000.00								
					\$463,127.84								
Norfolk '08		14D 21A	Senior Hsg. Renovations Admin		\$605,000.00	H	L/M	28	N/A	N/A			
					\$70,000.00								
					\$675,000.00								
North Haven '08	C	03A 21A	Senior Center Rehab Admin		\$675,000.00	PF	L/M	N/A	4967	4967			
					\$75,000.00								
					\$750,000.00								
Oxford '08	AC	14C 21A	Senior Housing Rehab Admin		\$687,652.64	H	L/M	34	N/A	N/A	34	N/A	N/A
					\$5,825.20								
					\$693,477.84								
Plainfield '08	AC	03L 21A	Sidewalks Admin	M M	\$470,996.67	PF	L/M	N/A	2471	2471	N/A	2471	2471
					\$29,003.33								
					\$500,000.00								
Prospect '08	C	14A 21A	Housing Rehab Admin		\$280,000.00	H	L/M	4	N/A	N/A			
					\$20,000.00								
					\$300,000.00								
Putnam '08	C	14A 21A	Housing Rehab Admin		\$255,000.00	H	L/M	16	N/A	N/A			
					\$45,000.00								
					\$300,000.00								
Sprague '08	AC	03 21A	Public Facility Admin		\$445,100.00	PF	L/M	N/A	1498	862	N/A	1498	862
					\$54,900.00								
					\$500,000.00								
Stafford '08	AC	14A 21A	Housing Rehab Admin		\$270,000.00	H	L/M	10	N/A	N/A	8	N/A	N/A
					\$30,000.00								
					\$300,000.00								
Suffield '08	AC	03A 01	Senior Center Acquisiton		\$75,000.00	PF	L/M AQ	N/A	2207	2207	N/A	2207	2207
					\$675,000.00								
					\$750,000.00								
Torrington '08	AC	03 21A	Public Facility Admin		\$384,700.00	PF	L/M	N/A	6637	6637	N/A	6637	6637
					\$69,300.00								
					\$454,000.00								
Vernon '08	AC	03K 21A	Street Improvements Admin		\$440,000.00	PF	L/M	N/A	6987	6987			
					\$60,000.00								
					\$500,000.00								
Watertown '08	AC	14A 21A	Housing Rehab Admin		\$285,000.00	H	L/M	11	N/A	N/A	10	N/A	N/A
					\$15,000.00								
					\$300,000.00								

Winchester '08	AC	03L Sidewalks 21A Admin	\$435,000.00 \$65,000.00 \$500,000.00	PF	L/M	N/A	1429	1429	N/A	1429	1429
Windsor '08		03 Public Facility 21A Admin	\$402,000.00 \$63,000.00 \$465,000.00	PF	L/M	N/A	1746	1746			
Wolcott '08		14A Housing Rehab 21A Admin	\$280,000.00 \$20,000.00 \$300,000.00	H	L/M	7	N/A	N/A			
East Haven '09		14a Housing Rehab	\$5,700.00	H	L/M						
To be re-allocated			\$ 201,891.72								
AFF. HSG. \$\$ % of FUNDING - HOUSING	\$5,445,700 42%										
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.	\$460,000 4%										
% L/ % L/M BENEFIT	85%										
							Funds Rolled Over from previous years 07 372,096.50				

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2009

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

2008 rollover to 2009 \$409,498.56

\$156,290.94 of PY 2009 funds returned and rolled over to PY 2012*

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2010

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

The specific line items as well as the proposed and actual accomplishments are included in the spreadsheet for the latest year allocation from which the grant was funded.

2009 rollover to 2010	\$232,796.34
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				\$500,000.00									
Farmington '10	14a	Housing Rehab		\$280,000.00	H	L/M	12	12	12				
	21a	Admin		\$20,000.00									
				\$300,000.00									
Franklin '10	14a	Housing Rehab		\$270,000.00	H	L/M	10	10	10				
	21a	Admin		\$30,000.00									
				\$300,000.00									
Guilford '10	14c	Senior Housing Rehab		\$355,000.00	H	L/M	N/A	30	30				
	21a	Admin		\$20,000.00									
				\$375,000.00									
Hampton '10	14a	Housing Rehab		\$360,000.00	H	L/M	12	12	12				
	21a	Admin		\$40,000.00									
				\$400,000.00									
Jewett City '10	14c	Senior Housing Rehab		\$510,000.00	H	L/M	10	10	10				
	21a	Admin		\$65,000.00									
				\$575,000.00									
Killingly '10	14c	Senior Housing Rehab		\$590,000.00	H	L/M	15	15	15				
	21a	Admin		\$20,000.00									
				\$610,000.00									
Lebanon '10	14a	Housing Rehab		\$270,000.00	H	L/M	16	16	16				
	21a	Admin		\$30,000.00									
				\$300,000.00									
Morris '10	14c	Senior Housing Rehab		\$500,000.00	H	L/M	10	10	10				
	21a	Admin		\$55,000.00									
				\$555,000.00									
Naugatuck '10	03l	Flood and Drainage		\$450,000.00	PF	L/M	N/A	1919	1919				
	21a	Admin		\$50,000.00									
				\$500,000.00									
New Fairfield '10	14a	Housing Rehab		\$270,000.00	H	L/M	10	10	10				
	21a	Admin		\$30,000.00									
				\$300,000.00									
Newtown '10	14b	Senior Housing ADA		\$486,000.00	H	L/M	120	120	120				
	21a	Admin		\$60,000.00									
				\$546,000.00									
Plainville '10	14a	Residential Rehab		\$285,000.00	H	L/M							
	21a	Admin		\$15,000.00									
				\$300,000.00									
Plymouth '10	14a	Housing Rehab		\$280,000.00	H	L/M	16	16	16				
	21a	Admin		\$20,000.00									
				\$300,000.00									
Sprague '10	03k	Street Reconstruction		\$440,000.00	PF	L/M	N/A	1550	1550				
	21a	Admin		\$60,000.00									
				\$500,000.00									
Stafford '10	03k	Street Improvements		\$440,000.00	PF	L/M	N/A	1550	1550				

SMALL CITIES COMMUNITY DEVELOPMENT BLOCK GRANT
PROGRAM

FY 2011

PART 1

Program Income

DECD does not recoup program income from grantees as long as it is being expended in compliance with applicable regulations. All program income used by grantees must fund the same activity from which it was generated and must comply with Title I and all other CDBG program requirements.

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\$91,465 of PY 2011 funds returned and rolled over to PY 2012*

* \$355,201.70 is total amount rolled over to PY 2012

U.S. Department of Housing
and Urban Development

State Connecticut Reporting Period FY 2011

Grant Number B-2009-DC-09-001 Data As of June 30, 2012

1 Financial Status		2 National Objectives	
A. Total Funds		A. Period Specified for Benefit	FY 2011 to FY 2012
(1) Allocation	\$ 12,319,018.00	B. Amount Used To:	
(2) Program Income	\$ 0.00	(1) Benefit Low/Moderate Income Persons	\$11,038,500.00
B. Amount Obligated to Recipient	\$ 12,249,604.00	(2) Prevent/Eliminate Slums/Blight	\$0.00
C. Amount Drawn Down	\$ 2,648,170.00	(3) Meet Urgent Community Development Needs	\$0.00
D. Amount for State Administratic	\$ 346,380.00	(4) Acquisition/Rehabilitation Noncountabler	\$0.00
E. Technical Assistance	\$ 123,190.00	(5) Local Administration	\$1,233,500.00
F. Rollovers from Pr. Years	\$ 400,155.00	Total	\$12,272,000.00

3. Locality	3a. Status	4. Activity	4a. Status	5. Amount	6. Purpose	7. Nat'l Objective	8. Accomplishments					
							Proposed			Actual		
							a. # of Units/Loans	b. Total # of Persons/ Jobs	c. Total # of L/M Persons/ L/M jobs	d. # of Units/Loans	e. Total # of Persons/ Jobs	f. Total # of L/M Persons/
Ansonia '11		14A Housing Rehab		\$252,000.00	H	L/M	10					
		21A Admin		\$48,000.00								
				\$300,000.00								
Ashford '11		14A Housing Rehab		\$270,000.00	H	L/M	2					
		21A Admin		\$30,000.00								
				\$300,000.00								
Bethel '11		14A Housing Rehab		\$275,000.00	H	L/M	11					
		21A Admin		\$25,000.00								
				\$300,000.00								
Branford '11		03 ADA Improvements		\$450,000.00	PF	L/M			54			
		21A ADA Improvements Admin		\$50,000.00								
				\$500,000.00								
Clinton '11		14C Housing Rehab		\$610,000.00	H	L/M	32					
		21A Admin		\$70,000.00								
				\$680,000.00								
Cromwell '11		14A Housing Rehab		\$280,000.00	H	L/M	15					
		21A Admin		\$20,000.00								
				\$300,000.00								
Derby '11		14A Housing Rehab		\$280,000.00	H	L/M	15					
		21A Admin		\$20,000.00								
				\$300,000.00								
East Haven		14A Housing Rehab		\$280,000.00	H	L/M	15					
		21A Admin		\$20,000.00								

Sprague '11	14C	Village Renovation	\$610,000.00	PH/MOD	L/M	20				
	21A	Admin relocation	\$80,000.00 \$10,000.00							
			\$700,000.00							
Stafford '11	14A	Housing Rehab	\$270,000.00	H	L/M	12				
	21A	Admin	\$30,000.00							
			\$300,000.00							
Stonington '11	14C	Senior Housing Renovation	\$477,000.00	PH/MOD	L/M	32				
	21A	Stonington Admin	\$65,000.00							
			\$542,000.00							
Thomaston '11	14A	Housing Rehab	\$115,000.00	H	L/M	5				
	21A	Admin	\$10,000.00							
			\$125,000.00							
Wallingford '11	14C	Elderly Housing	\$635,000.00	PH/MOD	L/M	128				
	21A	Admin	\$65,000.00							
			\$700,000.00							
Watertown '11	14A	Housing Rehab	\$280,000.00	H	L/M	18				
	21A	Admin	\$20,000.00							
			\$300,000.00							
Windham '11	14A	Housing Rehab	\$270,000.00	H	L/M	5				
	21A	Admin	\$30,000.00							
			\$300,000.00							
Windsor Locks '11	03K	Streets Improvements	\$435,000.00	PF	L/M			1,443		
	21A	Admin	\$65,000.00							
			\$500,000.00							

Unallocated										
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AFF. HSG. \$\$ % of FUNDING - HOUSING	\$9,030,500 74%
ECON. DEV. \$\$ % of FUNDING - ECON. DEV.	\$0 0%
%% L/M BENEFIT	91%

Contract and Subcontract Activity

U.S. Department of Housing and Urban Development

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency			Check if		2. Location (City, State, Zip Code)								
DECD			PHA	<input type="checkbox"/>	505 Hudson Street								
			IHA	<input type="checkbox"/>	Hartford, CT 06106								
3a. Name of Contact Person		3b. Phone Number	4. Reporting Period		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.					6. Date Submitted to Field Office			
Donald LaPointe		860-270-8184	<input checked="" type="checkbox"/> July 1 - June 30 (Annual-FY)										
Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No.) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Ansonia SC1000201	\$22,000	1	1	No	60-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$30,200	1		No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
	\$28,400	1	4	No	11-3715293	No		No	E. Soto Renovations, LLC	151 New Park Avenue, Suite 27	Hartford	CT	06106
Ansonia SC1100201	\$25,400	1	1	No	06-1023690	No		No	Goulart Construction	15 Lori-Lynne Circle	Newtown	CT	06470
Ashford SC0900301	\$22,700	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$495	1	1	No		No	041-76-9191	No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$25,000	1	1	No		No	65-1182141	No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$1,900	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$2,200	1	1	No		No	65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$950	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$2,875	1	1	No		No	65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$5,800	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
Ashford SC1000301	\$3,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226
	\$11,397	3	1	No	06-0606469	No		No	Bozzuto's, Inc.	275 Schoolhouse Road	Cheshire	CT	06410
	\$4,125	3	1	No	06-0606469	No		No	Bozzuto's, Inc.	275 Schoolhouse Road	Cheshire	CT	06410
	\$10,770	3	1	No	06-0606469	No		No	Bozzuto's Inc	275 Schoolhouse Road	Cheshire	CT	06410
	\$5,771	3	1	No	06-0606469	No		No	Bozzuto's Inc	275 Schoolhouse Road	Cheshire	CT	06410
	\$17,061	3	1	No	06-0606469	No		No	Bozzuto's Inc	275 Schoolhouse Road	Cheshire	CT	06410

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

2. Location (City, State, Zip Code)

PHA

505 Hudson Street

IHA

Hartford, CT 06106

DECD

3a. Name of Contact Person

3b. Phone Number

4. Reporting Period

5. Program Code (Not applicable for CPD programs) See explanation of codes

6. Date Submitted

Donald LaPointe

860-270-8184

 July 1 - June 30 (Annual-FY)

at bottom of page. Use a separate sheet for each program code.

to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Ashford SC1100301	\$18,233	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$855	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$25,000	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$3,820	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$524	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$2,220	1	1	No		No	06-1516046	No	Schneider's Flooring	400 Talcottville Road	Vernon	CT	06066
	\$275	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$338	1	1	No		No	049-58-9289	No	Greg Lanz Plumbing & Heating	27 Lakeview Terrace	Stafford Springs	CT	06076
	\$1,965	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$5,900	1	1	No		No	06-0835133	No	Muzio Plumbing & Heating	18 West Stafford Road	Stafford Springs	CT	06076
Berlin SC0900701	\$65,346	1	1	No	80-0366781	No		No	Kenneth L Thomas II, LLC	207 Mulberry Lane	Orange	CT	06477
	\$116,358	1	1	No	20-3886290	No		No	J&J Paving, LLC	17 Townline Road	Wolcott	CT	06716
Bethel SC0900901	\$23,764	1	1	No	065-42-3830	No		No	Rutcon Construction	132 S. Highland Avenue	Ossing	NY	10562
	\$19,950	1	1	No	84-1716603	No		No	Rocky's Home Improvement	706 Redding Road	Bethel	CT	06801
	\$33,800	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$3,000	1	1	No	26-262938	No		No	Intergraded Building Services	167 Cherry Street	Milford	CT	06460
	\$6,500	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$35,000	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$2,980	1	1	No	06-1519824	No		No	DW Electric	16 Pleasant Street	Wolcott	CT	06716
Bethel SC1100901	\$45,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Branford SC0801401	\$7,631	1	1	No	06-1113755	No		No	East River Energy	401 Soundview Road, P.O. Box 388	Guilford	CT	06437

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business Number (Yes or No) 7e.	Prime Contractor Identification (ID) 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Branford SC1101401	\$469,000	1	1	No	06-1097761	No		No	Barr, Inc.	508 Pomfret Street	Putnam	CT	06260
Canterbury SC1002201	\$25,000	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$1,750	1	1	No		No		No	BT Electric, LLC	983 Providence Pike	Danielson	CT	06239
	\$10,350	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$585	1	1	No	02-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$25,000	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$800						041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,900						65-1182141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$1,100						048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$25,300	1	1	No	043-640-7939	No		No	Barr Construction	242 S. Windham Road	Willimantic	CT	06226
	\$1,550	1	1	No			040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$2,250	1	1	No			043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$1,300	1	1	No			049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$1,650	1	1	No			045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$1,366	3	1	No	06-1441195	No		No	Gerardi Insurance Services Inc.	16 Putnam Street	Putnam	CT	06260
	\$24,885	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$5,300	1	1	No		No	06-1411759	No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	\$905	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

2. Location (City, State, Zip Code)

PHA

505 Hudson Street

IHA

Hartford, CT 06106

DECD

3a. Name of Contact Person

3b. Phone Number

4. Reporting Period

5. Program Code (Not applicable for CPD programs) See explanation of codes

6. Date Submitted

Donald LaPointe

860-270-8184

 July 1 - June 30 (Annual-FY)

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j				
									Name	Street	City	State	Zip Code
	\$2,052	1	1	No		No	06-0953471	No	Dumont Brothers	235 Oak Street	Manchester	CT	06040
	\$21,400	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$20,810	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$635	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Clinton SC0902701	\$6,100	1	1	No	06-1216088	No		No	Madison Oil Company	30 Scotland Avenue	Madison	CT	06443
	\$17,600	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$5,145	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	\$4,500	1	1	No	06-1435116	No		No	Madison Oil Company	30 Scotland Avenue	Madison	CT	06443
	\$18,775	1	4	No	26-3441330	No		No	Vilwell Builders	33 Wolcott Road	Wolcott	CT	06711
	\$8,165	1	1	No	044-44-1025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	\$6,940	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$13,625	1	1	No	13-4323502	No		No	Gio's Remodeling, LLC	36 Sheffield Street	Waterbury	CT	06704
	\$6,440	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$7,685	1	1	No	04-4441025	No		No	Sterling Construction	60 Johnson Lane	Madison	CT	06443
	\$3,220	1	1	No	06-1266937	No		No	Superior Fuel	240 Railroad Hill Street	Waterbury	CT	06708
Clinton SC1002701	\$25,600	1	4	No	20-5442309	No		No	D&D Contractor	124 Vance Street	New Britain	CT	06052
	\$8,500	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Clinton SC1102701	\$64,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$363,880	1	1	No	06-1571986	No		No	BRD Builders, LLC	2099 Main Street	Hartford	CT	06120
Coventry Program Income	\$6,000	1	1	No	049-64-4109	No		No	Shroyer Construction	60 Hemlock Lane	Coventry	CT	06238
	\$3,600	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279

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									Name	Street	City	State	Zip Code
	\$3,600	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279
	\$4,668	1	1	No	20-8425268	No		No	Energy Unlimited, LLC	P.O. Box 298	Manchester	CT	06045
	\$17,410	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$975	1	1	No		No	040-24-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,150	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$4,750	1	1	No		No	047-42-3358	No	Serge Labarre	3 Whitney Road	Columbia	CT	06237
	\$1,100	1	1	No		No	043-78-5705	No	Jordan Herrick	12 Woodland Drive	Willimantic	CT	06226
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Cromwell SC 1103301	\$45,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Derby SC1103701	\$50,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
East Haven - Program Income	\$1,750	1	2	No	128-90-7122	No		No	Smith Brothers Builders	485 Hawley Avenue	Bridgeport	CT	06610
	\$600	1	2	No	128-90-7122	No		No	Smith Brothers Builders	485 Hawley Avenue	Bridgeport	CT	06610
	\$500	1	2	No	128-90-7122	No		No	Smith Brothers Builders	485 Hawley Avenue	Bridgeport	CT	06610
	\$3,200	1	1	Yes	05-0612209	No		No	Mount Carmel Const.	PO Box 2098	North Haven	CT	06437
	\$1,635	1	2	No	128-90-7122	No		No	Smith Brothers Builders	485 Hawley Avenue	Bridgeport	CT	06610
	\$4,220	1	1	No	03-0585331	No		No	Ultimate Construction, LLC	19C Andover Drive	West Hartford	CT	06110
East Haven SC0904401	\$9,600	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	09708
	\$5,800	1	1	No	54-2084697	No		No	M. Wilcox Heating, LLC	P.O. Box 306	Naugatuck	CT	06770
	\$6,675	1	1	No	20-4996602	No		No	Four Seasons Chimney & Roofing	8 White Street	Watertown	CT	06795
	\$5,275	1	1	No	20-4996602	No		No	Four Seasons Chimney & Roofing	8 White Street	Watertown	CT	06795
	\$9,300	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716

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5. Program Code (Not applicable for CPD programs) See explanation of codes

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									Name	Street	City	State	Zip Code
	\$16,250	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$4,690	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
East Haven SC1004401	\$52,185	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$5,350	1	1	No	26-3400-340	No		No	Aspen Heating & Cooling	23 Michael Street	East Haven	CT	06513
	\$4,750	1	4	No	26-3441330	No		No	Villwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$4,125	1	1	No	03-0585331	No		No	Ultimate Construction, LLC	19C Andover Drive	West Hartford	CT	06110
	\$16,075	1	4	No	26-3441330	No		No	Villwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$94,843	1	1	No	56-2315339	No		No	Hurricane Construction	42 Red Bluff Road	East Haven	CT	06513
	\$6,371	1	1	No	13-4323502	No		No	Gio's Remodeling, LLC	36 Sheffield Street	Waterbury	CT	06708
East Hampton SC0904201	\$45,810	1	1	No	52-2188342	No		No	A&B Excavation, LLC	33 Cove Road	Uncasville	CT	06716
	\$20,270	1	1	No	06-0810807	No		No	G&R Valley, Inc.	350 Chapel Road	South Windsor	CT	06382
	\$34,596	1	1	No	20-2048441	No		No	Stonington Steel, LLC	7 Pendleton Hill Road	North Stonington	CT	06519
	\$56,000	1	2	No	06-1521836	No		No	Micon Electrical Contractors	81 Cleveland Avenue	Hartford	MA	01086
	\$6,280	1	1	No	23-3035978	No		No	Atlantic Contracting Specialty	25 Kenwood Circle	Franklin	CT	06033
	\$25,000	1	1	No		No		No	RDC Sheetmetal Co.	18 Munson Road	Bethany	CT	06524
	\$17,900	1	3	No		No		No	Mohegan Wolf Painting	14 Mile River Road	Old Lyme	CT	06371
	\$15,000	1	1	No		No		No	Electrical Contractors, Inc.	3510 Main Street	Hartford	CT	06120
	\$3,800	1	1	No		No		No	ZeroDraft, CT	1068 Hartford Turnpike, Suite 3	Waterford	CT	06385
	\$9,000	1	2	Yes		No		No	Capital Masonry Co., Inc.	92 Weston Street	Hartford	CT	06120
	\$13,100	1	1	No		No		No	Hastedt Bros, LLC	191 Baltic Hanover Road	Sprague	CT	06330
	\$8,800	1	1	No		No		No	TDC Excavating, LLC	29 South A Street	Taftville	CT	06380

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 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

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 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

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									Name	Street	City	State	Zip Code
	\$26,603	1	1	Yes		No		No	Barall & Konover Floors	714 Blue Hills Avenue	Hartford	CT	06112
	\$22,500	1	2	No		No		No	MCM Acoustics	15 New Park Avenue	Hartford	CT	06106
	\$5,100	1	1	No		No		No	Wilco Sales & Service	38 Duka Street	Fairfield	CT	06825
	\$1,500	1	1	No		No		No	Wallco Installations	285 Old Field Road	Fairfield	CT	06824
	\$4,338	1	1	Yes		No		No	Centauro, LLC	50 Arlington Street	Hartford	CT	06106
	\$18,900	1	1	No		No		No	State Welding and Fabrication	107 N. Cherry Street	Wallingford	CT	06492
	\$2,000	1	4	Yes		No		No	Steel Vision Welding	36 Beebe Street	Naugatuck	CT	06770
East Windsor SC1104701	\$43,000	1	1	No	06-1097761	No		No	Barr, Inc.	353 Pomfret Street	Putnam	CT	06260
	\$408,765	1	1	No	06-1617358	No		No	Imperial Co. Restoration Contractor, Inc.	261 Main Street	Cromwell	CT	06416
	\$64,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$6,200	1	1	No		No	06-1518079	No	SNS Electric, LLC	135 Taugwank Road	Stonington	CT	06378
	\$5,500	1	1	Yes		No	02-0747909	No	Perfectly Plumb, LLC	215 Pickerel Lake Road	Colchester	CT	06415
Ellington SC0904801	\$9,937	1	1	No	06-1508715	No		No	Trim Out LLC	39 Bread and Milk Road	Coventry	CT	06238
	\$52,975	1	1	No	06-1239867	No		No	Haz Pros, Inc.	125A Brook Street	West Hartford	CT	06110
	\$4,850	1	1	No		No	123422-E	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$2,574	1	1	No		No	27-2592811	No	Belonick Plumbing	20 Wolcott Road	Terryville	CT	06786
	\$5,900	1	1	No		No	06-04766	No	CSB, Inc.	25 Brownstone Avenue	Portland	CT	06480
	\$40,000	1	4	No		No	26-1812889	No	Valenti Painting	927 Burnside Avenue	East Hartford	CT	06108
	\$10,256	1	1	No	06-0736221	No		No	Gottier Plumbing & Heating	107 West Street	Vernon	CT	06066
	\$4,900	1	1	No	103590	No		No	Rosa Electric	PO Box 723	Vernon	CT	06066
Ellington SC1004801	\$1,400	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457

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									Name	Street	City	State	Zip Code
	\$55,450	1	1	No	06-1239867	No		No	Haz Pros, Inc.	125 A Brook Street	West Hartford	CT	06110
	\$420	2	3	No	06-1501353	No		No	Boston Lead Company, Inc.	62 Washingotn Street	Middletown	CT	06457
	\$5,350	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$3,200	1	1	No		No	27-2592811	No	Belarick Plumbing	20 Wolcott Road	Terryville	CT	06786
	\$3,493	1	1	No		No	06-0689751	No	Dalene Hardwood Flooring	45 Nutmeg Road South	South Windsor	CT	06074
	\$8,400	1	1	No		No	049-52-3979	No	H. Warrek & Sons, LLC	60 Maple Street	Ellington	CT	06029
	\$1,170	1	1	No		No	26-3414351	No	Mac Electric, LLC	115 Stone Croft Lane	Coventry	CT	06238
	\$7,900	1	2	No		No	30-0626256	No	Del Home Improvements	34 Ludwig Road	Ellington	CT	06029
	\$5,510	1	4	No		No	055-56-0539	No	Bry-Ren James	16 Kibbe Road	Ellington	CT	06029
	\$5,595	1	1	No		No	06-1570892	No	Hanco Plumbing & Heating	60 Industrial Park Road, W-7G	Tolland	CT	06084
	\$3,225	1	1	No		No	26-3414351	No	Mac Electric, LLC	115 Stone Croft Lane	Coventry	CT	06238
Ellington - Program Income	\$30,000	1	1	No	06-1571986	No		No	BRD Builders LLC	2099 Main Street	Hartford	CT	06120
Ellington SC1104801	\$65,000	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	\$268,180	1	1	No	06-1571986	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	\$138,990	1	1	No	26-0228196	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
Enfield - Program Income	\$5,562	1	1	No	HTG 0308 813-53	No		No	Mike's Heating and Plumbing	44 Wilstar Circle	Enfield	CT	06082
	\$4,876.50	1	1	No	540085	No		No	Barnett Construction	127 Spencer Street	Suffield	CT	06078
	\$8,490	1	1	No	540085	No		No	Barnett Construction	127 Spencer Street	Suffield	CT	06078
	\$41,310	1	1	No	HIC 0558465	No		No	Trim Out	39 Bread and Milk Street	Coventry	CT	06238
	\$5,145	1	1	No	308207	No		No	Jeff O'Brien Plumbing & Heating	P.O. Box 667	Windsor	CT	06095
Essex SC1005001	\$16,000	1	1	No		No	049-70-6795	No	NM Plimbing, LLC	14 Pratte Avenue	Taftville	CT	06380

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									Name	Street	City	State	Zip Code
	\$19,000	1	1	No		No	26-3856302	No	D&D Quality Siding, LLC	355 Center Road, Suite 5B	Vernon	CT	06066
	\$367,720	3	1	No	11-3769550	No		No	TM Builders, LLC	1100 Route 32, Unit 3	Uncasville	CT	06382
	\$19,000	3	1	No		No	26-38556302	No	DD Quality Siding	335 Center Road, #5B	Vernon	CT	06066
	\$16,000	3	1	No		No	72-1570359	No	Amazing Hardwood	712 Bread & Milk Street	Coventry	CT	06238
Farmington SC0905201	\$104,889	1	1	Yes	20-0318636	No		No	Electrical Connection, Inc.	36 Holland Drive	Newington	CT	06111
Farmington SC1005201	\$4,277	1	1	No	1195055	No		No	Action Air Systems	131 Adams Street	Manchester	CT	06042
	\$11,400	1	4	No	26-3441330	No		No	Villwell Builders	33 Wolcott Road	Wolcott	CT	06716
	\$7,397	1	4	No	11-3715293	No		No	E. Soto Renovations, LLC	151 New Park Avenue, Suite 27	Hartford	CT	06106
Franklin SC1005301	\$12,000	1	1	No	57-308041	No		No	Riley's Heating Service	20 Fullertown Road	Baltic	CT	06330
	\$3,300	1	1	No		No	06-1160742	No	Manville Electric	88 Bramblerae	South Windsor	CT	06074
	\$2,300	1	1	No		No	06-0835133	No	Muzio Plumbing	18 W. Stafford Road	Stafford Springs	CT	06076
	\$995	1	1	No		No	06-1160742	No	Manville Electric	88 Bramblerae	South Windsor	CT	06074
	\$23,800	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$550	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$10,475	1	1	No		No	06-1411759	No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	\$2,610	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$520	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$23,225	1	1	No	10-0003510	No		No	Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238
	\$1,700	1	1	No		No	041-76-9191	No	Ist Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$500	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033

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 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$30,900	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$2,180	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,680	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$1,950	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$2,250	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
Granby Program Income	\$13,833	1	2	No	74-3249778	No		No	TG Home Improvement	3030 Main Street	Hartford	CT	06120
	\$6,800	1	1	No	045-84-2774	No		No	Home Town Septic	514 Salmon Brook Street	Granby	CT	06035
	\$7,700	1	1	No	030-58-5331	No		No	Ultimate Construction	PO Box 137	North Granby	CT	06060
	\$17,075	1	1	No	046-58-9895	No		No	Leake Builders LLC	514 Salmon Brook Street	North Granby	CT	06060
	\$4,850	1	1	No	044-52-7477	No		No	Lanza Water Proofing	514 Salmon Brook Street	North Granby	CT	06060
	\$4,850	1	1	No	45-3305480	No		No	Top Notch Abatement LLC	514 Salmon Brook Street	North Granby	CT	06060
Guilford SC1006001	\$11,400	3	1	No		No	68-0587705	Yes	Letis	173 Hunt Lane	East Haven	CT	06512
	\$12,098	3	1	No		No	06-0838469	Yes	Orange Fence	Boston Post Road	Orange	CT	06477
	\$49,000	3	1	No		No	06-1333437	No	R & L Acoustic	204 North Main Street	Naugatuck	CT	06770
	\$5,000	3	1	No		No		Yes	MDF Coatings	P.O. Box 1202	East Haven	CT	06512
	\$2,100	3	1	No		No	047-48-0877	Yes	GCM	248 Kares Drive	Orange	CT	06477
Hampton SC0906301	\$3,455	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Hampton SC1006301	\$33,870	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$1,100	1	1	No	06-0808712	No		No	Stavens Brothers	191 River Road	Willington	CT	06279
	\$21,375	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279
	\$24,240	1	1	No	06-1549227	No		No	RER Associates, LLC	75 Lustig Road	Willington	CT	06279

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

2. Location (City, State, Zip Code)

PHA

505 Hudson Street

IHA

Hartford, CT 06106

DECD

3a. Name of Contact Person

3b. Phone Number

4. Reporting Period

5. Program Code (Not applicable for CPD programs) See explanation of codes

6. Date Submitted

Donald LaPointe

860-270-8184

 July 1 - June 30 (Annual-FY)

at bottom of page. Use a separate sheet for each program code.

to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Business Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address				
									Name	Street	City	State	Zip Code
	\$9,499	1	1	No	06-1561505	No		No	7j Bantry Bay, LLC 72 Silver Brook Lane North Granby CT 06060				
	\$9,213	1	1	No	06-1331594	No		No	Dynamic Engineering 703 Brooklyn Turnpike Hampton CT 06247				
	\$42,260	1	1	No	06-1508715	No		No	Trim Out, LLC 39 Bread & Milk Street Coventry CT 06238				
	\$5,500	1	1	No	06-1226015	No		No	LaFramboise Well Drilling 647 Thompson Road Thompson CT 06277				
	\$43,280	1	1	No	06-1331594	No		No	Dynamic Engineering 703 Brooklyn Turnpike Hampton CT 06247				
Jewett City SC1005801	\$133,225	1	1	No	75-2988693	No		No	D/E/F Wiring Services 1171 Voluntown Road Griswold CT 06351				
	\$13,150	1	1	No	04-2732833	No		No	Dec-Tam Corporation 50 Concrete Street North Redding MA 01864				
	\$11,000	1	1	Yes	06-0890595	No		No	Barall & Konover Floors 714 Blue Hills Avenue Hartford CT 06112				
	\$2,885	1	1	No	54-2102831	No		No	Zero Draft 1068 Hartford Turnpike, Suite 3 Waterford CT 06355				
	\$18,466	1	3	No		No	32-0013742	No	TM Builders, LLC 1100 Route 32, Unit 3 Uncasville CT 06382				
	\$5,808	1	1	No		No	06-1378616	No	A&B Excavation, LLC 33 Core Road Uncasville CT 06382				
	\$8,000	1	1	Yes		No	27-4478153	No	Right Construction Two Corey Street Agawam MA 01001				
	\$10,000	1	1	No		No	49-2835910	No	Right Construction Two Corey Street Agawam MA 01001				
	\$6,000	1	4	No		No	36-4671448	No	Right Construction Two Corey Street Agawam MA 01001				
Killingly SC0906901	\$78,086	1	1	No	06-1549227	No		No	RER Associates 75 Lustig Road Willington CT 06279				
Killingly SC1006901	\$40,000	1	2	No		No	06-1575943	No	SSE Environmental 806 Windsor Street, P.O. Box 4579 Hartford CT 06147				
	\$60,000	1	1	No		No		No	Dan Mackin Jr Construction 119 Latham Drive Griswold CT 06351				
	\$44,511	1	4	No		No	33-1196029	No	Advanced Framing Systems, LLC P.O. Box 918 Naugatuck CT 06770				
	\$77,840	1	1	No		No		No	C & C Electrical Contractors, LLC 3 Juniper Lane Moosup CT 06354				
	\$97,500	1	1	No		No	06-1465177	No	Callahan's Plumbing & Heating 15 Putnam Lane Enfield CT 06082				
	\$26,000	1	1	No		No	06-1621810	No	Leach Construction 38 Miller Street New Britain CT 06053				

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
Killingly SC1106901	\$17,500	3	3	No	06-1501353	No		No	Boston Lead Company, LLC	62 Washington Street	Middletown	CT	06457
	\$21,050	3	1	No		No			Access Agency	1315 Main Street	Willimantic	CT	06226
	\$31,775	1	1	No	06-1604512	No		No	Gagnon Construction LLC	PO Box 49	Woodstock	CT	06281
	\$52,000	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	\$24,350	1	1	No	06-1604512	No		No	Gagnon Construction LLC	PO Box 49	Woodstock	CT	06281
	\$10,155	1	1	No	06-1604512	No		No	Gagnon Construction LLC	PO Box 49	Woodstock	CT	06281
	\$26,100	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
	\$52,262	1	1	No	012-84-1366	Yes		No	Right Construction	Two Corey Street	Agawam	MA	01001
Lebanon SC1007101	\$23,875	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06380
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06279
	\$775	1	1	No		No	54-2102831	No	Zero Draft	1068 Hartford Turnpike, Suite 3	Waterford	CT	06385
	\$1,551	1	1	No		No	06-0953471	No	Dumont Brothers	17 Jude Lane	Mansfield	CT	06250
	\$4,900	1	1	No		No	06-1411759	No	Fox Heating Service	PO Box 381	Tolland	CT	06084
	\$4,150	1	1	No		No	06-1631337	No	Russell Electric	40 Conklin Drive	Enfield	CT	06082
	\$12,250	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$900	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$1,542	1	1	No		No	049-58-9289	No	Greg Lanz Plumbing & Heating	27 Lakeview Terrace	Stafford Springs	CT	06076
	\$550	1	1	No		No	27-0463757	No	Briggs Electric	16 Savage Road	Preston	CT	06365
	\$19,300	1	1	No	046-52-5330	No		No	Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238
	\$3,500	1	1	No		No	046-66-6399	No	David Courtois	382 Turnpike Road	Ashford	CT	06278
	\$800	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Business Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$6,695	1	1	No	06-1244363	No		No	Dalmik Well Drilling	137 Providence Street	Putnam	CT	06260
	\$6,340	1	1	No	06-1244363	No		No	Dalmik Well Drilling	137 Providence Street	Putnam	CT	06260
	\$520	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$3,600	1	1	No	06-1230030	No		No	Heritage Oil	17 River Road	Willington	CT	06279
	\$21,860	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$1,700	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,400	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$950	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$1,275	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$23,500	1	1	No	046-52-5330	No		No	Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238
	\$25,500	1	1	No	046-52-5330	No		No	Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238
	\$1,200	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$9,000	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$520	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$20,955	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Ledyard SC1107401	\$50,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Litchfield SC0907401	\$9,400	1	1	No	06-1315042	No		No	Central Electric & Generator, Inc.	10 Williamsburg Drive	Cheshire	CT	06410
	\$85,180	1	1	No	06-1506709	No		No	Stanley Construction	6 White Deer Lane	Seymour	CT	06483
	\$24,000	1	1	No		No	06-1450810	No	J.R. Concrete Work, LLC	576 Andrew Mountain Road	Naugatuck	CT	067770
Mansfield Program income	\$11,000	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$9,310	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
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 860-270-8184

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									Name	Street	City	State	Zip Code
Middlefield SC0908201	\$15,375	1	1	No	14-1994156	No		No	A&A Trucking	119 Burr Hill Road	Killingworth	CT	06419
	\$15,500	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$36,385	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$2,355	1	1	No	05-0590816	No		No	David Hughes Engineering	32 Town Line Road	Wolcott	CT	06716
	\$3,250	1	1	No	30-0420554	No		No	Olmstead Contracting, LLC	57 Norway Street	Oakville	CT	06779
	\$17,150	1	1	No	14-1994156	No		No	A&A Trucking	119 Burr Hill Road	Killingworth	CT	06419
	\$21,125	1	1	No	14-1994156	No		No	Leake Builders, LLC	25 Oneil Road	Oxford	CT	06478
	\$24,009	1	1	No	009-50-9551	No		No	J. Russ Contracting, LLC	257 Main Street	Rockfall	CT	06481
	\$3,250	1	1	No	30-0420554	No		No	Olmstead Contracting, LLC	32 Town Line Road	Wolcott	CT	06716
Morris SC1008701	\$62,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$90,415	1	1	No	84-1716603	No		No	Rocky's Home Improvement	706 Redding Road	West Redding	CT	06896
	\$7,500	1	1	No		No	446-11-4976	No	Deyli Guerra	10 Oak Street	Brewster	NY	10509
	\$6,500	1	1	No		No	983-72-9537	No	Hugo Bojorquez	10 Oak Street	Brewster	NY	10509
	\$4,200	1	1	No		No	967-84-3683	No	Ferdy Lemus	2 Eastview Avenue	Brewster	NY	10509
	\$3,800	1	1	No		No	974-70-8940	No	Carlos Perez	13 Auburn Street	Danbury	CT	06810
	\$44,900	1	1	No	06-1506709	No		No	Stanley Construction	6 White Deer Lane	Seymour	CT	06483
	\$3,500	1	1	No		No	041-06-4327	No	OKO Construction	8 Jenny Lane	Oxford	CT	06478
	\$1,500	1	1	No		No	973-74-3037	No	Baran Home Improvement	92 New Street	Seymour	CT	06483
	\$600	1	1	No		No	271-62-4934	No	Curoso Plumbing	56 Foaw Drive	Plainville	CT	06062
	\$600	1	1	No		No	208-35-7196	No	DJP Electric	723 Longmill Avenue	Shelton	CT	06484
	\$89,320	1	1	No	06-0867174	No		No	Cocchiola Paving, Inc.	18 Falls Avenue	Oakville	CT	06779

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

2. Location (City, State, Zip Code)

PHA

505 Hudson Street

IHA

Hartford, CT 06106

DECD

3a. Name of Contact Person

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4. Reporting Period

5. Program Code (Not applicable for CPD programs) See explanation of codes

6. Date Submitted

Donald LaPointe

860-270-8184

 July 1 - June 30 (Annual-FY)

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to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$51,250	1	1	No	06-1571986	No		No	BRD Builders	2099 Main Street	Hartford	CT	06120
	\$17,995	1	1	No	20-1976628	No		No	SK Mechanical, LLC	266 Center Street	Manchester	CT	06040
	\$19,620	1	1	No	043-26-7270	No		No	New System Associates	34 Front Street	Indian Orchard	MA	01151
	\$23,400	1	1	No	06-1128842	No		No	Grela Well Drilling, Inc.	143 Main Street	Terryville	CT	06786
Naugatuck - Program Income	\$10,350	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$5,400	1	1	No	06-1486724	No		No	JM Cipriano & Sons, Inc.	P.O. Box 7014	Prospect	CT	06712
Naugatuck SCR0908801	\$65,600	1	1	Yes	06-0848144	No		No	Edo Construction Company, Inc.	76 Old Gate Lane	Milford	CT	06460
Naugatuck SC1008801	\$488,608	1	1	No	77-0636352	No		No	NJR Construction Company	56 Harwinton Avenue	Terryville	CT	06786
	\$56,457	1	4	No		No	06-1066256	No	Susaya Construction Co., Inc.	79 W. Dudleytown Road	Bloomfield	CT	06002
New Hartford SC0909201	\$2,695	1	1	No	06-1514978	No		No	Civil One Engineers	43 Sherman Hill Road	Woodbury	CT	06798
	\$22,980	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$20,544	1	1	No	06-1632409	No		No	Versatile Excavation	301 Watertown Road	Morris	CT	06763
	\$1,500	1	1	No	20-5355100	No		No	David Toce Engineering	114 Lepage Drive	Southington	CT	06489
	\$14,730	1	1	No	04-3665737	No		No	Team Sewer & Water, LLC	1114 Middlebury Road	Watertown	CT	06795
	\$31,400	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$1,500	1	1	No	20-5355100	No		No	David Toce Engineering	114 Lepage Drive	Southington	CT	06489
	\$6,500	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$31,400	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
New Fairfield SC1009101	\$28,700	1	4	No	11-3715293	No		No	E. Soto Renovations, LLC	151 New Park Avenue	Hartford	CT	06106
	\$15,400	1	1	No	06-1534063	No		No	T. Palmer Septic	P.O. Box 8196	New Fairfield	CT	06812
	\$22,200	1	1	No	74-3077900	No		No	Villa Gesell Construction LLC	40 Alexander Drive	Bridgeport	CT	06606

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency				Check if PHA <input type="checkbox"/> IHA <input type="checkbox"/>	2. Location (City, State, Zip Code) 505 Hudson Street Hartford, CT 06106								
DECD													
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184	4. Reporting Period <input checked="" type="checkbox"/> July 1 - June 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.					6. Date Submitted to Field Office			
Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$21,000	1	1	No	74-3077900	No		No	Villa Gesell Construction LLC	40 Alexander Drive	Bridgeport	CT	06606
	\$14,345	1	1	No	27-5282423	No		No	C.A. Marvin Septic	10 Middletown Drive	New Fairfield	CT	06812
New Fairfield SC1109101	\$6,995	1	1	No	06-1180022	No		No	Pembroke Pumping	45 Beaver Brook Road	Danbury	CT	06810
	\$23,875	1	1	Yes		No	90-071-3929	No	S&T Deleading & Construction	1334 Chapel Street, #1	New Haven	CT	06511
Newington Program Income	\$7,894	1	2	No	06-0962559	No		No	Sultana Estates	42 Shweky Lane	Southington	CT	06489
Newington SC0909401	\$318,493	1	1	No	06-1141281	No		No	Quality Associates	231 Silver Sands Road	East Haven	CT	06512
	\$7,130	1	1	Yes		No	26-2988361	No	C&C Concrete Curb	PO Box 2873	New Britain	CT	06050
	\$4,425	1	1	Yes		No	06-1433555	No	Birch Hill Landscape & Design	700 N. Mountain Road	Newington	CT	06111
	\$54,650	1	1	No		No	06-1408153	No	T&IT Electrical Contractors	420 Windsor Street	Hartford	CT	06120
Newtown Program Income	\$4,966	1	1	No	912197628	No		No	Captain Wood Carpenters	6 Fox Run Lane	Newtown	CT	06470
	\$3,150	1	1	No	617222	No		No	College Bound Handyman LLC	441 Toll Gate Road	Glastonbury	CT	06033
	\$5,300	1	1	No	392749	No		No	Bernardo Heating Plus, LLC	P.O. Box 4362	Stamford	CT	06907
	\$2,079	1	1	Yes	624851	No		No	Good Life Energy Savers, LLC	50 Beaver Brook Road, Suite 2	Danbury	CT	06810
Newtown SC1009701	\$506,617	1	1	No	23-2471265	No		No	Redstone Company	1124 Penn Avenue	Wyomissing	PA	19610
North Canaan SC1110001	\$50,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
North Canaan - Program Income	\$11,870	1	1	No	046-42-7917	No		No	Peter Webster Painters, Inc.	607 Yalesville Road	Cheshire	CT	06410
North Haven Program Income	\$70,800	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Old Saybrook SC0710601	\$5,250	1	1	No	043-64-5440	No		No	Ben Egri Contracting	144 South Street Ext.	Coventry	CT	06238
	\$5,750	1	1	No	043-64-5440	No		No	Ben Egri Contracting	144 South Street Ext.	Coventry	CT	06238
	\$790	1	1	No	045-62-2210	No		No	Mike's Tree Removal	33 Indian Drive	Clinton	CT	06413
	\$4,800	1	1	No	06-1584750	No		No	Shoreline Home Improvements	176 Tyler Street	East Haven	CT	06512

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

2. Location (City, State, Zip Code)

PHA

505 Hudson Street

IHA

Hartford, CT 06106

DECD

3a. Name of Contact Person

3b. Phone Number

4. Reporting Period

5. Program Code (Not applicable for CPD programs) See explanation of codes

6. Date Submitted

Donald LaPointe

860-270-8184

 July 1 - June 30 (Annual-FY)

at bottom of page. Use a separate sheet for each program code.

to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Business Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j				
									Name	Street	City	State	Zip Code
	\$6,640	1	1	No	45-2942330	No		No	Kwas Construction	97 Old Middlesex Turnpike	Old Saybrook	CT	06475
	\$18,895	1	1	No	06-1653248	No		No	RED Construction, LLC	P.O. Box 327	Chester	CT	06412
Plainfield Program Income	\$109,000	1	1	No	04-3397976	No		No	Freitas Construction, Inc.	36 Carmelina Circle	Ludlow	MA	01056
Plainville SC10110001	\$5,670	1	1	No	042-52-9303	No		No	Kevin T. Dean Heating & Cooling Services	234 County Road	Wolcott	CT	06716
	\$2,796	1	1	No	042-52-9303	No		No	Kevin T. Dean Heating & Cooling Services	234 County Road	Wolcott	CT	06716
	\$6,712	1	1	No	03-058-5331	No		No	Ultimate Construction LLC	19 C Andover Drive	West Hartford	CT	06110
Plainville SC11110001	\$50,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Plainville Program Income	\$8,000	1	1	No	13-4323502	No		No	Goi's Remodeling	36 Sheffield Street	Waterbury	CT	06704
	\$7,010	1	1	No	06-058-5331	No		No	Ultimate Construction LLC	19 C Andover Drive	West Hartford	CT	06110
Plymouth SC0911101	\$4,300	1	1	No	06-1580908	No		No	R. Ross Mechanical	10 Makara Street	Terryville	CT	06786
Plymouth SC1011101	\$4,300	1	1	No	06-1580908	No		No	R. Ross Mechanical	10 Makara Street	Terryville	CT	06786
Plymouth Program Income	\$1,754	1	1	No	20-5722308	No		No	Mystique Oil LLC	PO Box 9638	Bristol	CT	06011
	\$5,150	1	1	No	06-1556852	No		No	JP Dunn Heating & Cooling	169 Elm Street	Thomaston	CT	06787
Portland SC1111301	\$16,280	3	1	No	06-1586675	No		No	Capital Studio Architects	1379 Main Street	East Hartford	CT	06108
	\$15,160	3	1	No	06-1586675	No		No	Capital Studio Architects	1379 Main Street	East Hartford	CT	06108
	\$201,867	1	1	No	06-1264282	No		No	Electrical Energy Systems Corporation	215 Captain Lewis Drive	Southington	CT	06489
	\$352,400	1	1	Yes	45-1495345	Yes		No	Blake Construction, LLC	710 New Britain Avenue	Farmington	CT	06032
Preston Program Income	\$17,461	1	1	Yes	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
									Custom Carpentry	74 Middle Road	Preston	CT	06365
									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Prospect SC0811501	\$18,618	1	1	No	03-0556880	No		No	JTF Holdings, LLC	23 Gail Drive	Northford	CT	06472

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

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									Name	Street	City	State	Zip Code
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06798
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,600	1	1	No	06-1266937	No		No	Superior Tank	240 Railroad Hill Street	Waterbury	CT	06708
	\$2,345	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
Prospect Program Income	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,374	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$2,345	1	1	No	06-1411759	No		No	Fox Heating Services LLC	P.O. Box 381	Tolland	CT	06084
	\$9,400	1	1	No	54-2086660	No		No	Weatherite Systems	33 Union City Road	Prospect	CT	06712
Rocky Hill SC1111901	\$107,115	1	4	No	06-1473547	No		No	Unitech Sound & Security Systems, LLC	600 Four Rods Road, Unit #6	Berlin	CT	06037
	\$83,295	1	1	No	06-1376652	No		No	Garofalo & Saraceno Contractors, LLC	30 Stack Street	Middletown	CT	06457
Seymour SC1112401	\$46,000	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$46,000	3	1	No		No		No	Nafis & Young Engineers	1355 Middletown Avenue, #304	Northford	CT	06472
	\$408,000	1	1	Yes		No		No	B&W Paving	215 Flanders Road	Mystic	CT	06355
Shelton SC1112601	\$75,000	3	1	Yes	80-0228244	No		No	Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency				Check if PHA <input type="checkbox"/> IHA <input type="checkbox"/>	2. Location (City, State, Zip Code) 505 Hudson Street Hartford, CT 06106								
DECD													
3a. Name of Contact Person Donald LaPointe		3b. Phone Number 860-270-8184	4. Reporting Period <input checked="" type="checkbox"/> July 1 - June 30 (Annual-FY)		5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.					6. Date Submitted to Field Office			
Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$24,900	1	1	Yes	90-0713929	No		No	S&T Deleading & Construction	1334 Chapel Street, #1	New Haven	CT	06511
	\$24,200	1	1	Yes	22-3885381	No		No	IWA Construction	95 Peck Road	Bethany	CT	06524
Southbury Program Income	\$6,950	1	1	No	064-50-0930	No		No	J.M. Cipriano & Son, LLC	P.O. Box 7327	Prospect	CT	06712
Sprague SC1113301	\$77,400	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$739,835	1	1	No	06-1302300	No		No	Mattern Construction	26 M Bushnell Hollow Road	Baltic	CT	06330
	\$9,575	1	1	No		No	06-1199049	No	Dyco Industries Inc	229 South Satellite Road	South Windsor	CT	06079
	\$25,775	1	1	No		No	06-0653389	No	Geer Construction	852 Voluntown Road	Griswold	CT	06351
	\$28,365	1	1	No		No	45-4264703	No	Colmac, LLC	492 Chimneysweep Hill	Glastonbury	CT	06033
	\$11,535	1	1	Yes		No	45-3819892	No	G. Construction and Drywall, LLC	13 Myrna Drive	Marlborough	CT	06447
	\$98,000	1	1	Yes		No	45-5105874	No	JM D'Amato Construction Co., LLC	1059 Ekonk Hill Road	Voluntown	CT	06384
	\$45,000	1	1	No		No	52-2188342	No	A&B Excavation, LLC	33 Cover Road	Uncasville	CT	06302
	\$9,200	1	1	No		No	01-3307511	No	Richard Montiero	70 Spyglass Circle	Groton	CT	06340
	\$19,100	1	1	No		No	59-3214406	No	Builders Service/Quality Insulation	505 Norwich Avenue	Taftville	CT	06380
	\$2,200	1	1	No		No	06-1502821	No	Alpha Aero Draperies	170 Flanders Road	Niantic	CT	06357
	\$105,068	1	1	Yes		No	06-1483142	No	Izbicki Contracting, LLC	8 School House Road	Lisbon	CT	06351
	\$52,700	1	1	No		No	06-1518079	No	SNS Electric, LLC	135 Taugwank Road	Stonington	CT	06378
	\$20,000	1	4	No		No	06-1551793	No	Oscar's Abatement, LLC	29 1/2 Meadow Street	Hartford	CT	06114
Stafford - Program Income	\$1,450	1	1	No	06-1494766	No		No	William Fuel Oil Co, LLC	162 East Street, P.O. Box 100	Stafford Springs	CT	06076
	\$1,000	1	1	No	06-1172601	No		No	Soucy's Concrete Services	251 Hydeville Road	Stafford Springs	CT	06076
Stafford SC1113401	\$45,000	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111
	\$22,500	3	1	No	04-2674871	No		No	Community Opportunities Group, Inc.	129 Kingston Street	Boston	MA	02111

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if

PHA

IHA

2. Location (City, State, Zip Code)

505 Hudson Street

Hartford, CT 06106

DECD

3a. Name of Contact Person

Donald LaPointe

3b. Phone Number

860-270-8184

4. Reporting Period

 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes

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6. Date Submitted

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Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub- contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business (Yes or No. 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub- contractor Iden. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j				
									Name	Street	City	State	Zip Code
	\$490	3	1	Yes	06-1433868	No		No	Safe Homes	PO Box 1125	Waterbury	MA	06721
	\$845	3	1	Yes	06-1433868	No		No	Safe Homes	PO Box 1125	Waterbury	CT	06721
	\$34,219	1	1	No	06-1172601	No		No	Soucy's Concrete Services	251 Hydeville Road	Stafford Springs	CT	06076
Stonington SC1113701	\$45,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Thomaston - Program Income	\$5,310	1	1	No	03-0585331	No		No	Ultimate Construction LLC	19 C Andover Drive	West Hartford	CT	06110
	\$15,340	1	1	No	54-2086660	No		No	Wethertite Systems, LLC	33 Union City Road	Prospect	CT	06712
Tolland SC0914201	\$32,150	1	1	No	0446-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$900	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$750	1	1	No		No	65-118-2141	No	Eagle Construction	187 North Farms Road	Coventry	CT	06238
	\$9,000	1	1	No		No	046-66-6399	No	David Courtois	382 Turnpike Road	Ashford	CT	06278
Tolland SC1014201	\$412,763	1	1	No	04-3458589	No		No	Millennium Builders	50 Inwood Road	Rocky Hill	CT	06067
	\$4,200	1	1	Yes	26-0149235	No		No	AMA Environmental	27 Muddy Brook Road	Ellington	CT	06029
	\$1,271					No	27-3543550	No	Elite Environmental Solutions, LLC	P.O. Box 97	Windsor	CT	06095
	\$6,500	3	1	No	06-1011156	No		No	Tecton Architects, Inc.	1 Hartford Square West	Hartford	CT	06106
	\$15,890	1	1	No		No	20-5924573	No	Greenstone Landscaping & Stonework	209 Milton Road	Goshen	CT	06756
	\$26,952	1	1	No		No	005-76-7940	No	V & M Masonry LLC	46 New Street	Bristol	CT	06010
	\$62,500	1	1	No		No	13-5583389	No	Otis Elevator	242 Pitkin Street	East Hartford	CT	06108
	\$8,500	1	1	No		No	030-45-7435	No	New England Service Controls, LLC	52 Green Hollow Road	Moosup	CT	06354
	\$19,800	1	1	No		No	42-1553501	No	Eagle Rivet Roof Service	15 Bertton Drive	Bloomfield	CT	06002
	\$26,800	1	1	No		No	06-021560	No	The Chase Glass Company	73-75 James Street	East Hartford	CT	06108
	\$20,000	1	1	No		No	06-1199049	No	Dyco Industries Inc	229 South Satellite Road	South Windsor	CT	06074

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

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 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

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									Name	Street	City	State	Zip Code
	\$1,700	1	1	No		No	56-2550553	No	Stanley Access Technologies	65 Scott Swamp Road	Farmington	CT	06032
	\$3,109	1	1	No		No	043-40-1319	No	Witch Enterprises Inc.	467 Silver Street	Agawam	MA	01001
	\$618	1	1	No	06-1110237	No		No	Independent Materials Testing Lab, Inc.	57 North Washington Street	Plainville	CT	06062
	\$2,933	1	1	No		No	06-1614972	No	Builders Concrete East	PO Box 133	Willimantic	CT	06226
	\$4,845	1	1	No		No	26-1164311	No	Barker Steel LLC	PO Box 845475	Boston	MA	02284
	\$4,971	1	1	No		No	06-1081530	No	Mack Brick Company	2 Old Depot Hill Road	Enfield	CT	06082
	\$22,000	1	1	No		No	06-1348939	No	Eastern Electric	13 Barbara Lane	Stafford Springs	CT	06076
	\$2,197	1	1	No		No	04-3691660	No	Advanced Architectural Sheet Metal	244 Upton Road, Unit #1	Colchester	CT	06415
	\$560	1	1	No		No	06-1285799	No	C&I Welding LLC	84 Madley Road	Lebanon	CT	06249
	\$130	1	1	No	06-1444065	No		No	Granite Communications	222 Elm Street, Suite 14	New Haven	CT	06473
Torrington SCR0914301	\$4,332	1	1	No		No	20-4632249	No	Advanced Electrical Solutions	58 Glendale Drive	Bristol	CT	06010
	\$480	1	1	Yes		No	06-1322163	No	Santoro, Inc	207 Stamm Road	Newington	CT	06111
Torrington SC1014301	\$8,700	1	1	No	043-66-4704	No		No	RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$22,730	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
Torrington - Program Income	\$15,443	1	1	No	046-58-9895	No		No	Leake Builders, LLC	25 O'Neil Drive	Oxford	CT	06478
	\$26,182	1	1	No	046-58-9895	No		No	Leake Builders, LLC	25 O'Neil Drive	Oxford	CT	06478
	\$9,700	1	4	No	26-3441330	No		No	Vilwell Builders LLC	33 Wolcott Road	Wolcott	CT	06716
	\$4,332	1	1	No		No	20-4632249	No	Advanced Electrical Solutions	58 Glendale Drive	Bristol	CT	06010
	\$480	1	1	Yes		No	06-1322163	No	Santoro, Inc	207 Stamm Road	Newington	CT	06111
	\$7,405	1	1	No	13-4323502	No		No	Gio's Remodeling, LLC	34 Sheffield Street	Waterbury	CT	06704
Vernon SC1014601	\$928,825	2	1	No	43-2037646	No		No	A. Martins & Sons Construction, Inc.	679 Moore Street	Ludlow	MA	01056

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									Name	Street	City	State	Zip Code
	\$14,111	1	1	No			04-3178168	No	Commonwealth Guardrail	132 Apremont Way	Westfield	MA	01085
	\$7,620	1	1	No			02-8627340	No	Cut'N Edge Landscaping	122 Thresher Road	Hampden	MA	01036
	\$155,855	1	1	No			06-1475022	No	Galasso Materials, Inc.	60 South Main Street	East Granby	CT	06026
Vernon Program Income	\$14,111	1	1	No		No	04-3178168	No	Commonwealth Guardrail	132 Apremont Way	Westfield	MA	01085
	\$7,620	1	1	No		No	02-8627340	No	Cut'N Edge Landscaping	122 Thresher Road	Hampden	MA	01036
	\$155,855	1	1	No		No	06-1475022	No	Galasso Materials, Inc.	60 South Main Street	East Granby	CT	06026
	\$13,500	1	1	No		No	80-0768467	No	Penbrook Contracting Co. LLC	10 Lynde Street	Old Saybrook	CT	06475
Wallingford SC1114801	\$65,134	1	1	No	06-1559839	No		No	North East Communications & Electrical, LLC	896 Bear Hill Road	Middletown	CT	06457
	\$375,750	1	1	No	80-0366781	No		No	Kenneth L. Thomas, LLC	207 Mulbery Lane	Orange	CT	06477
	\$60,500	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Watertown SC1015301	\$39,500	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$4,680	1	1	No	04-3665737	No		No	Team Sewer & Water, LLC	1114 Middlebury Road	Watertown	CT	06795
	\$2,200	1	1	No	06-0809278	No		No	Eastern Water Solutions	5 Benson Road	Oxford	CT	06478
	\$18,850	1	4	No	26-3441330	No		No	Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$18,084	1	1	No	13-4323502	No		No	Gio's Remodeling, LLC	34 Sheffield Street	Waterbury	CT	06704
Wethersfield SC1015901	\$147,319	1	1	No	06-0867174	No		No	Cocchiola Paving	18 Falls Avenue	Oakville	CT	06779
	\$208,322	1	1	No	06-1350674	No		No	Silktown Roofing, Inc.	27 Pleasant Street	Manchester	CT	06040
Winchester Program Income	\$42,498	1	1	No		No	06-1550603	No	ME Carroll LLC	17 East Cotton Hill Road	New Hartford	CT	06057
Willington Program Income	\$8,300	1	1	No	200759588	No		No	Expediant Environmental Solutions	4348 Albany Post Road	Hyde Park	NY	12538
Willington SC0916001	\$17,360	1	1	No	040-46-1974	No		No	Charter Oak Builders, LLC	185 Windsorville Road	Broad Brook	CT	06016
	\$8,300	1	1	No	2007595588	No		No	Expediant Environmental Solutions	4348 Albany Post Road	Hyde Park	NY	12538

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

Check if
 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

DECD

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 July 1 - June 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. Use a separate sheet for each program code.

6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc. 7a.	Amount of Contract or Sub-contract 7b.	Type of Trade Code (See below) 7c.	Contractor or Subcontractor Business Racial/Ethnic Code (See Below) 7d.	Woman Owned Business Number (Yes or No) 7e.	Prime Contractor Identification (ID) Number 7f.	Sec 3 7g.	Sub-contractor IDen. (ID) No. 7h.	Sec 3 7i.	Contractor/Subcontractor Name and Address 7j.				
									Name	Street	City	State	Zip Code
	\$18,675	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,694	1	1	No	45-3305480	No		No	Top Notch Abatement LLC	PO Box 115	Thorndike	MA	01079
	\$5,980	1	1	No	06-1411759	No		No	Fox Heating Services LLC	PO Box 381	Tolland	CT	06084
Windham SC1016301	\$560	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$28,000	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$570	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,695	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$505	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,975	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$23,800	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$24,900	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$28,000	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$3,250	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$2,650	1	1	No		No	049-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,600	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$750	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$24,995	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$800	1	1	No		No	042-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$3,200	1	1	No		No	65-1182141	No	Eagle Construction LLC	187 North Farms Road	Coventry	CT	06238
	\$900	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$24,875	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency

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 PHA 2. Location (City, State, Zip Code)
 IHA 505 Hudson Street
 Hartford, CT 06106

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									Name	Street	City	State	Zip Code
	\$2,750	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,750	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,650	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$1,500	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$250	1	1	No		No	047-42-3358	No	Serge Labarr	3 Whitney Road	Columbia	CT	06237
	\$25,000	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$3,200	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$13,000	1	1	No	045-70-7400	No		No	Mystic Plumbing & Heating	150 Cornell Road	Plainfield	CT	06374
	\$3,450	1	1	No	56-2336466	No		No	CT Cellar Doors	P.O. Box 153	Oakville	CT	06779
	\$2,160	1	1	No	06-1495600	No		No	C & R Insulation	784 Route 32	North Franklin	CT	06254
	\$530	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$6,500	1	1	No	045-70-7400	No		No	Mystic Plumbing & Heating	150 Cornell Road	Plainfield	CT	06374
	\$995	1	1	No	043-78-5705	No		No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$75	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$545	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$30,000	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$3,500	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$3,600	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,700	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$480	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
Windham SC1116301	\$69,000	3	1	No	048-46-9754	No		No	Community Consulting	16 Washburn Street	Willimantic	CT	06226

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3b. Phone Number
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									Name	Street	City	State	Zip Code
	\$20,650	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$520	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$23,775	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$1,350	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,750	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,175	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$1,750	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$555	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$27,775	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$585	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$2,750	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,575	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,250	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$1,475	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$885	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,950	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$925	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,750	1	1	No		No	65-1182141	No	Eagle Construction LLC	187 North Farms Road	Coventry	CT	06238
	\$525	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$24,875	1	1	No	10-0003510	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$1,200	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232

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									Name	Street	City	State	Zip Code
	\$2,250	1	1	No		No	65-1182141	No	Eagle Construction LLC	187 North Farms Road	Coventry	CT	06238
	\$800	1	1	No		No	33-1166340	No	Tree Amigos LLC	PO Box 68	Windham	CT	06280
	\$825	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
Windsor SC0916401	\$5,775	1	1	No	06-1332435	No		No	LaFleur's Oil	93 Three Rod Road	Windsor	CT	06095
	\$4,850	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$5,750	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$5,775	1	1	No	06-1332435	No		No	LaFleur's Oil	93 Three Rod Road	Windsor	CT	06095
	\$4,850	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$5,750	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$8,300	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$5,500	1	1	No	06-1262366	No		No	Kennedy's Plumbing	624 Wethersfield Avenue	Hartford	CT	06106
	\$19,330	1	1	No	271-83-5431	No		No	J. Signor Exterior	125 Foxcroft Road	West Hartford	CT	06117
	\$5,289	1	1	No		No		No	Woodhaven Development	335 Prospect Hill Road	Windsor	CT	06095
	\$9,800	1	1	No	049-56-0130	No		No	Al LeBlanc	115 North Quaker Lane	West Hartford	CT	06117
	\$2,675	1	1	No	049-70-7102	No		No	Regina Construction	1397 Old Colchester Road	Oakdale	CT	06370
	\$1,450	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$7,271	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$8,975	1	2	Yes	047-66-1649	No		No	Bridget Mason	146 Mountain Road	Windsor	CT	06095
	\$15,000	1	1	No	06-1508715	No		No	Trim Out	39 Bread & Milk Street	Coventry	CT	06238
	\$4,200	1	1	Yes	06-1415495	No		No	Tank Works Removal	360 E. Center Street	Manchester	CT	06040
	\$3,100	1	4	No	06-1551793	No		No	Oscar's Abatement, LLC	29 1/2 Meadow Street	Hartford	CT	06110

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									Name	Street	City	State	Zip Code
	\$6,150	1	1	No	06-1262366	No		No	Kenney's Plumbing	624 Wethersfield Avenue	Hartford	CT	06108
	\$29,265	1	1	No	06-1508715	No		No	Trim Out	39 Bread & Milk Street	Coventry	CT	06238
	\$6,895	1	1	No	048-60-9902	No		No	Joseph Corso	23 Lindsay Lane	Broad Brook	CT	06016
	\$6,150	1	1	No	06-0960913	No		No	Jeff O'Brien Plumbing	P.O. Box 667	Windsor	CT	06095
	\$37,340	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$26,420	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Windsor SCR0916401	\$12,915	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
Windsor Locks SC1116501	\$60,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$336,095	1	1	No	20-1040916	No		No	GEG Construction	175 Michon Street	Indian Orchard	MA	01151
Wolcott SC0916601	\$25,060	1	1	No	06-665737	No		No	Team Sewer & Water	621 Main Street	Watertown	CT	06795
	\$1,800	3	1	No	06-1501353	No		No	Boston Lead Company, LLC	62 Washington Street	Middletown	CT	06457
Wolcott SC1016601	\$50,000	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Wolcott - Program Income	\$10,200	3	1	No	06-1330300	No		No	L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$410	1	1	No	200759588	No		No	Expediant Environmental Solutions	4348 Albany Post Road	Hyde Park	NY	12538
Woodstock SC1016901	\$24,433	1	1	No	047-54-1244	No		No	B. Musumeci Construction	48 Grey Fox Landing	Woodstock	CT	06281
	\$23,400	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$25,000	1	1	No	06-0920081	No		No	A&B Cooling & Heating	PO Box 1356	South Windsor	CT	06074
	\$23,480	1	1	No	047-54-1244	No		No	B. Musumeci Construction	48 Grey Fox Landing	Woodstock	CT	06281
	\$4,500	1	1	No				034-66-0993	Adam Plumbing & Heating	457 Liberty Highway	Putnam	CT	06260
	\$850	1	1	No				06-1523646	Ken's Electric	303 Moodeck Road	Putnam	CT	06260
	\$16,218	1	1	No	03-0431185	No		No	James Luczak	75 Lustig Road	Willington	CT	06279

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									Name	Street	City	State	Zip Code
	\$24,900	1	1	No	046-52-5330	No		No	Lagace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$850	1	1	No		No	041-76-9191	No	1st Choice Electrical	215 Long Hill Road	Andover	CT	06232
	\$1,705	1	1	No		No	65-1182141	No	Eagle Construction LLC	187 North Farms Road	Coventry	CT	06238
	\$1,200	1	1	No		No	048-34-5029	No	Chamberlain Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$25,000	1	1	No	06-0920081	No		No	A & B Cooling & Heating	P. O. Box 1356	South Windsor	CT	06074
	\$8,850	1	1	No		No	84-1662575	No	Chowanec Well Drilling LLC	98 Old Willimantic Road	Columbia	CT	06237
	\$2,550	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,595	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$2,900	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$975	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$545	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06331
	\$16,025	1	1	No	043-64-7939	No		No	Barr Construction	242 South Windham Road	Willimantic	CT	06226
	\$1,650	1	1	No		No	040-74-4017	No	Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$1,875	1	1	No		No	043-78-5705	No	Herrick Electric	12 Woodland Drive	Willimantic	CT	06226
	\$1,500	1	1	No		No	049-68-5176	No	Steve Adams	100 Cross Road	Chaplin	CT	06235
	\$500	1	1	No		No	045-78-0496	No	Brandon Stone	100 Cross Road	Chaplin	CT	06235
	\$11,225	1	1	No	06-1549227	No		No	RER Associates	75 Lustig Road	Willington	CT	06279
	\$4,105	1	1	No		No	049-54-6328	No	M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$128	1	1	No		No	27-1759664	No	Central CT Condominium Repair, LLC	17 Karosi Road	Ashford	CT	06278
	\$530	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$25,000	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076

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									Name	Street	City	State	Zip Code
	\$2,345	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$7,290	1	1	No		No	06-0835133	No	Muzio Plumbing & Heating	18 W. Stafford Road	Stafford Springs	CT	06076
	\$530	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06331
	\$26,567	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
	\$4,245	1	1	No		No	06-1160742	No	Mainville Electric	88 Bramblebrae	South Windsor	CT	06074
	\$9,815	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	\$530	1	1	No	01-0567871	No		No	CT Lead Paint Solutions	1245 Hebron Avenue	Glastonbury	CT	06033
	\$10,815	1	1	No	01-0893653	No		No	Scott Gebo & Sons Construction, LLC	100 Thompson Pike	Dayville	CT	06241
	\$19,895	1	1	No	06-1295159	No		No	Baker's Carpentry	98 Monson Road	Stafford Springs	CT	06076
Insert New Rows Here													

Totals:	All Projects	\$16,571,929	Racial/Ethnic Totals			
	Women Owned	\$ 1,459,873	White	\$ 15,527,875	Hispanic	\$ 782,510
	Prime Contractor Sec 3	\$ 482,762	Black	\$ 170,587	Asian/Pacific	\$ -
	Sub Contractor Sec 3	\$ 30,598	Native	\$ 55,686	Portuguese	\$ -

CPD
1=New Construction
2=Education Training
3=Other

To: Type of Trade Codes:
Housing/Public Housing:
1=New Construction
2=Substantial Rehab
3=Repair
4=Service
5=Project Mangt

6=Professional
7=Tenant Services
8=Education/Training
9=Arch/Engng Appraisal
0=Other

7d: Racial/Ethnic Codes:
1=White Americans
2=Black Americans
3=Native Americans
4=Hispanic Americans
5=Asian/Pacific Americans
6=Portuguese

7=Public/Indian Housing

Previous editions are obsolete
G:drive/contract subcontract activity-Hud

Form HUD-2516(8/98)

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 16,571,929
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 513,360
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	.03 %
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	0 %
D. Total number of Section 3 businesses receiving non-construction contracts	0

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, Searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs as directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **Public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the numbers of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities**Block 1: Construction Contracts**

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self-explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceiling higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per cent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
 2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
 3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
 - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
 6. Reporting Period: Indicate the time period (months and year) this report covers.
 7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

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Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
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- HUD Field Office: Enter the Field Office name .
1. Recipient: Enter the name and address of the recipient submitting this report.
 2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
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 - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
 6. Reporting Period: Indicate the time period (months and year) this report covers.
 7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities

Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self -explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

Appendix K.

Key	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
Acronyms	
CHFA	Connecticut Housing Finance Authority
DECD	Department of Economic and Community Development, State of Connecticut
OFR	Office of Financial Review
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development