

2016 Program Year

State of Connecticut Consolidated Annual Performance and Evaluation Report

Small Cities Community Development Block Grant Program
Housing Opportunities for Persons with AIDS Program
HOME Investment Partnerships Program
Emergency Solutions Grant Program
National Housing Trust Fund Program

Submitted to the
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By
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Executive Summary

2016-17

The 2016 Consolidated Annual Performance and Evaluation Report (CAPER) summarizes activities undertaken, in the administration of the four programs described below, by Connecticut State agencies during the 2016-17 Program Year (PY16) which began 7/1/2016 thru 6/30/2017. During PY16, these four programs were administered by the Department of Housing (DOH).

Small Cities Community Development Block Grant Program (SC/CDBG)

The SC/CDBG Program assists smaller cities/towns across the state to address their affordable housing, community development and economic development needs.

HOME Investment Partnerships Program (HOME)

The HOME Program funds the acquisition, construction and rehabilitation of affordable housing around the state.

Emergency Solutions Grant Program (ESG)

The ESG Program provides funds to emergency shelters, transitional housing for the homeless, and essential Housing both to assist the homeless and to prevent homelessness.

Housing Opportunities for Persons with AIDS Program (HOPWA)

The HOPWA Program aids not for profit organizations in meeting the housing and social service needs of persons with AIDS and HIV related illnesses and their families.

National Housing Trust Fund (NHTF)

The NHTF Program funds the production of affordable housing through new construction or substantial rehabilitation when it is determined that the units produced will remain affordable for the minimum required time period under the NHTF.

Each of these programs is funded by formula grants from the United States Department of Housing and Urban Development (HUD). The annual allocation amounts for the four programs for PY13 are listed below.

Table 1: Program Resource Allocation for PY 2016-2017	
HOME Investment Partnerships (HOME)	\$ 6,570,671
Small Cities Community Development Block Grant (CDBG)	\$ 12,162,864
Emergency Shelter Grants (ESG)	\$ 2,179,417
Housing Opportunities for Persons with AIDS (HOPWA)	\$ 218,321
National Housing Trust Fund	\$ 3,000,000
Total	\$ 24,131,273

Source: DOH

To maintain eligibility to administer these programs, the State must periodically prepare and submit a series of documents for HUD approval. In addition to the CAPER, these documents include a five-year Consolidated Plan for Housing and Community Development (ConPlan) and annual one-year Action Plans. A description for each of the documents required by HUD follows.

The ConPlan is a five-year strategic plan that describes the housing needs of low and moderate-income residents, examines the housing market, outlines strategies to meet the needs and lists all resources available to implement those strategies, and outlines goals, objectives and measures. The ConPlan sets a unified vision, long-term strategies and short-term action steps to meet priority needs. Included in the ConPlan is a Citizen Participation Plan which provides information about how to access Consolidated Plan documents and how to participate in the consolidated planning process through which these documents are developed.

The annual Action Plan is the yearly implementation plan for the five-year ConPlan that describes how the state will use the allocated funds for the four federal programs for a given Program Year. The annual Action Plan also outlines the state's proposed accomplishments for the program year based on the performance measurement system presented in the 2015-19 ConPlan and HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs.

The Consolidated Annual Performance and Evaluation Report (CAPER) is the annual report to HUD that details the progress the state has made in carrying out the ConPlan and the annual Action Plan. The CAPER describes resources made available, the investment of those resources, the amount and source of leveraged funds, the source and use of program income, geographic distribution and location of investments, the number of families and persons assisted and actions taken to affirmatively further fair housing. Performance Measures are also reported based on actual outcomes for proposed accomplishments that appeared in the corresponding program year Action Plan.

The 2015-19 ConPlan, subsequent annual Action Plans and CAPERS are available on the DOH web site at www.ct.gov/doh. The 2016 CAPER is the second reporting year of the 2015-2019 ConPlan. The Performance Measurement System used in this document is as outlined in the 2015-19 ConPlan.

NARRATIVE STATEMENTS

- **Affirmatively furthering fair housing**

Summary of impediments identified in analysis:

Impediments identified in the analysis are summarized in the Executive Summary of the [Analysis of Impediments to Fair Housing Choice](#) which is located on the publications page of the DOH website under **Housing Plans**.

The following actions were taken to overcome the effects of impediments identified through the analysis in the program year:

Actions taken to overcome the effects of impediments identified through the state's Analysis of Impediments in the program year are detailed in this CAPER document in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing).

- **Actions taken to address the needs of homeless persons and the special needs of persons that are not homeless but require supportive housing**

Description of the Continuum of Care:

The State of Connecticut currently has 2 Continua of Care (COC). DOH co-chairs, with AIDS-CT the largest COC in the state. The CT-Balance of State (CT-BOS) CoC has 105 individual grants totaling \$34,391,375 that provides rental subsidies and support services for homeless individuals and families. The CT-BOS has a steering committee made up of 6 state agency partners as well as 12 private non-profit agencies. The steering committee is in charge of setting policies aimed at ending homelessness. In 2016-17, the State of Connecticut was certified by the federal government as having effectively ended all veteran's homelessness

In addition to participation on the CT-BOS, DOH was an active participant in Connecticut's Interagency Committee on Supportive Housing. This group is made up of 10 separate state agencies that provides supportive housing to a variety of different subpopulations. The

Interagency Committee collaborates to include supportive housing as a priority population in any affordable housing development seeking state funds.

The following actions were taken in the program year to address emergency shelter and transitional housing needs of homeless:

DOH, in addition to funding emergency shelters and transitional living programs, also provides services through Connecticut's 8 Coordinated Access Networks, homeless diversion programs, homeless housing support services, CT Rapid Rehousing Program, food pantries, Secure Jobs and connections with other state services. It is the department's expectations that these services will assist with the reduction in the re-occurrences of homelessness by assisting the target population with services to achieve housing stability, based upon their individual needs and vulnerabilities

DOH has required all emergency shelter and transitional living programs to enter information into the CT Homeless Management Information System (CTHMIS). Various services provided include but are not limited to: Advocacy, Intake, needs assessment and case management services; health/mental health services; shelter and housing assistance; transportation/provision of bus tokens, substance abuse counseling, information and referral, budgeting, etc.

DOH continues to implement and manage the CT Rapid Rehousing program. This is a regional program which assists literally homeless households with housing location services and placement, rental assistance as needed, and up to 12 months of in-home housing stabilization case management services.

DOH is an active member of the Balance of State Continuum of Care, as well the CTHMIS Steering Committee.

Significant homeless subpopulations assisted were:

The chronically homeless accounted for the significant homeless subpopulations assisted during the program year. Services were targeted through the Individual and Family Support Program Unit at DOH. Also, the department utilized funding (HOPWA and state) to provide services to persons with HIV/AIDS and their Families.

The following efforts were made in the program year to help homeless make transition to permanent housing and independent living:

Efforts made in the program year to help homeless make transition to permanent housing and independent living are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

The following efforts were made to address special needs of persons that are not homeless but require supportive housing:

Efforts made in the program year to address special needs of persons that are not homeless but require supportive housing are summarized in Section V (ESG Program Requirements) and Section VI (HOPWA Program Requirements) of this document.

Participation in a Continuum(s) of Care application in FFY 2016 competition:

The DOH provided 31 Certifications of Consistency with the Consolidated Plan for Continuum of Care Applications during the program year.

The following Continuums of Care projects were funded in the FFY 2016 homeless assistance competition:

DOH had three applications for a rapid rehousing programs in the amount of \$1,013,469, one permanent supportive housing application in the amount of \$214,734 and two coordinated access support service only applications in the amount of \$251,177.

The following Continuums of Care federal resources were awarded to the State of Connecticut during Program Year 2016 competition:

State of Connecticut DOH for \$1,479,380.

State of Connecticut DMHAS fifty-one (51) grants for \$23,015,584.

Other Actions

- **Actions to address obstacles to meeting underserved needs**

The following actions were taken in the program year to address obstacles to meeting underserved needs:

DOH continues to include a priority for capital funding in its rating and ranking criteria for projects/activities which addressed meeting underserved needs. This included a priority for municipalities whose current percentage of affordable housing is below ten (10) percent.

DOH continues to include a priority for the inclusion of supportive housing in its rating and ranking criteria for projects/activities.

- **Actions to foster and maintain affordable housing**

The following actions were taken in the program year to foster and maintain affordable housing:

DOH continues to include a priority in its rating and ranking criteria for awarding both state and federal funding for projects/activities which contributed to the preservation of affordable housing. Through this action, more than 483 units of affordable housing were preserved under the SC/CDBG program (267 Rehab/Home Ownership and 216 Public Housing Rental Units).

- **Actions to eliminate barriers to affordable housing**

The following actions were taken in the program year to eliminate barriers to affordable housing:

DOH continued its association with selected contractors to administer a comprehensive rehabilitation effort through a one-stop process for housing rehabilitation activities including, but not limited to, addressing lead-based paint hazard reduction, code violations, energy conservation improvements and fair housing education. The state's actions to eliminate barriers to affordable housing are discussed in Section II; subsection G. (Continuing Efforts to Affirmatively Further Fair Housing) of this document. Under the Home Program, DOH continues to require that all rehabilitated or developed affordable housing activities be marketed in an affirmative and non-discriminatory manner, with an emphasis on marketing to those least likely to apply.

- **Actions to overcome gaps in institutional structures and enhance coordination**

The following actions were taken in the program year to overcome gaps in institutional structures and enhance coordination:

During PY16-17 DOH continued its participation in various planning committees, and steering groups in order to foster improved coordination between institutional groups and overcome gaps in institutional structures. These included: The Long Term Care Planning Committee; Assisted Living Demonstration Interagency Team; Balance of State – Continuum of Care Steering Committee; Multifamily Advisory Committee; Interagency Council on Supportive Housing and Homelessness; Connecticut Housing Coalition Steering Committee; Connecticut Healthy Homes Inter-Organizational Partners; and the CCEH Homeless Prevention and Rapid Re-housing Task Force.

- **Actions to improve public housing and resident initiatives**

The following actions were taken in the program year to improve public housing and resident initiatives:

During the program year the State made housing related activities a priority and DOH worked closely with public housing authorities to assist in bringing them up to standard. This included projects that added bedrooms to small elderly units, provided services to residents, maintained properties by updating heating systems and completing structural improvements such as roof repairs, energy efficient windows and security improvements such as installation of lighting and electronic systems. The State continued to support the Resident Participation and Rights Act, codified under section 8-64c of the Connecticut General Statutes, that further strengthen tenant's rights in public housing projects, through the formal adoption of [regulations](#).

- **Actions to evaluate and reduce lead-based paint hazards**

The following actions were taken in the program year to evaluate and reduce lead-based paint hazards:

During PY16-17 DOH continued its efforts to reduce the hazards of lead-based paint through a coordinated outreach effort to provide lead-based paint hazard reduction information to rehabilitation and construction contractors. In addition, SC/CDBG funds used to support homeownership rehabilitation loans were increased to absorb the costs associated with the lead-based paint hazard reduction methods requirements. For the HOME program, all rehabilitation activities both rental and homeowner require that lead based paint hazards be remediated in all units and abated wherever possible.

- **Actions to ensure compliance with program and comprehensive planning requirements (including monitoring)**

The following actions were taken in the program year to ensure compliance with program and comprehensive planning requirements (including monitoring):

DOH provided 31 "Certifications of Consistency with the Consolidated Plan" to applicants applying for funding under HUD's NOFA for the Continuum of Care-Homeless Assistance Programs. Additionally, another 8 "Certifications of Consistency with the Consolidated Plan" were provided under other circumstances.

DOH held one SC/CDBG workshop on the agency's competitive application process, one workshop on Affirmative Fair Housing Marketing Plans and one workshop on Tenant Selection/Lease Review.

DOH conducted 26 close-out monitoring visits for the SC/CDBG program, 7 physical inspections and/or income test monitoring visits for the HOME program and 29 Fair Housing/Civil Rights monitoring visits for SC/CDBG projects.

- **Actions to reduce the number of persons living below the poverty level (anti-poverty strategy)**

The following actions were taken in the program year to reduce the number of persons living below the poverty level:

The five programs covered by the state's Consolidated Plan – SC/CDBG, HOME, ESG, HOPWA and NHTF – directly support the overall State Anti-Poverty Strategy by addressing the housing and/or non-housing community development needs of persons at or below the poverty level. All of the activities undertaken by the state under these programs (as articulated further with in this document) during the program year furthered the state's effort to reduce the number of persons living below the poverty level.

Anti-Poverty Strategy:

In addition to the four programs covered by the ConPlan, the State, through several agencies and organizations, employs numerous policies and programs to reduce the number of families in the state living at or below the poverty level. These programs and the organizations that administer them are described within the Institutional Structure section of state's ConPlan.

Additionally, the State has several statutory and federally mandated interrelated plans that further articulate and constitute the State's Anti- Poverty Strategy. These plans include, but are not limited to, those enumerated below each of which is more fully described on the website for which links are provided in their brief description:

- **[Child Poverty and Prevention Council Progress Report - Jan. 2013](#)**

The statutory purpose of the Child Poverty and Prevention Council is to develop and promote the implementation of a ten-year plan to reduce the number of children living in poverty in the state by fifty percent; and establish prevention goals and recommendations and measure prevention service outcomes to promote the health and well-being of children and families.

- **[Connecticut Economic Development Strategy 2015](#)**

This plan is a strategic plan that reviews numerous factors that influence the state's economic climate, from its transportation network, housing market and education system to its relative tax burden, energy costs and health care system. The plan then recommends specific strategies and initiatives for the future.

- **[State of Connecticut 2015-19 Consolidated Plan for Housing and Community Development](#)**

In accordance with Section 8-37t of the Connecticut General Statutes and 24 CFR Part 91, Connecticut's Consolidated Plan for Community Development provides the framework for the allocation of federal formula grant funding and describes the methods for the intended distribution of state resources, to address the housing and community development needs of extremely low-, low- and moderate-income households in the state over the next five years. This plan is the basis for the policies, strategies, goals and

objectives which will be implemented by the State of Connecticut with regard to housing and housing related activities.

- **Conservation & Development Policies: The Plan for Connecticut 2013-2018**

On April 8, 2013, the Continuing Legislative Committee on State Planning and Development voted, in accordance with section 16a-30 of the general statutes, to submit the draft State Plan of Conservation and Development Policies Plan Update: 2013-2018 to the General Assembly with its recommendation of approval. This vote was the culmination of a revision process that began with the passage of Public Act 10-138, which required the Office of Policy and Management to develop the update to the State Plan of Conservation and Development through a bottom-up process known as cross-acceptance. Over the past two years, OPM has conducted an unprecedented level of outreach to, and received input from, municipalities, regional planning organizations, affected state agencies, various advocacy groups and the public as it developed this important revision to our state's comprehensive plan.

- **State of Connecticut Temporary Assistance for Needy Families (TANF) State Plan Federal Fiscal Years October 1, 2014 through September 30, 2017**

This plan describes Connecticut's programs that furnish financial assistance and services to needy families in a manner to fulfill the purposes of the Temporary Assistance for Needy Families (TANF) program. Connecticut administers a variety of programs through a number of state agencies under the TANF program.

- **Administrative Plan for the Rental Assistance Payments Program "RAP" July 1, 2015**

This plan outlines how the State administers, through DOH, the Rental Assistance Program (RAP). RAP, created by legislation in 1985 through Substitute Senate Bill No. 883, is intended to supplement the Federal Section 8 Housing Program (now known as the Housing Choice Voucher Program) by providing an opportunity for low-income families to live in decent, safe and sanitary housing (see sections 17b-812-1 through 17b-812-12 of the Regulations of Connecticut State Agencies). The program requirements are described in and implemented through this administrative plan.

- **Connecticut Child Care Development Fund (CCDF) Plan FY 2016-2018**

The Plan describes the CCDF program to be administered by Connecticut for the period 6/1/2016 – 9/30/2018 as conditionally approved by OCC. The Plan serves as the application for CCDF funds by providing a description of, and assurance about, the grantee's child care program and all services available to eligible families.

- **Connecticut Children's Behavioral Health Plan October 1, 2014**

This plan fulfills the requirements of Public Act 13-127, one part of the Connecticut General Assembly's response to the tragedy in Newtown in December 2012. The Legislation called for the development of a "comprehensive implementation plan, across agency and policy areas, for meeting the mental, emotional and behavioral health needs of all children in the state, and preventing or reducing the long-term negative impact of mental, emotional and behavioral health issues of children." This Plan provides Connecticut with a unique and timely opportunity to align policy and systems to support youth and families and to promote healthy development for all our children.

- **State of Connecticut Department of Developmental Services Five Year Plan 2017-2022**

This plan is a comprehensive five- year plan that serves as a strategic statement of the DDS's direction and an outline of its priorities in carrying out its mission to improve the quality of life for citizens of Connecticut who have disabilities.

- **Partnership for Strong Communities - Opening Doors**

Opening Doors is a framework to prevent and end homelessness in Connecticut that is aligned with the Federal Opening Doors plan to end homelessness. The leadership structure for planning and oversight of Opening Doors – CT is the Reaching Home Campaign, which is guided by the vision that no one should experience homelessness and that no one should be without a safe, stable place to call home.

**State of Connecticut
2016 Consolidated Annual Performance and Evaluation Report**

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I. Assessment of Progress

Connecticut's 2015-19 Consolidated Plan and subsequent Action Plans outline overarching Principles, Goals, Strategies, Objectives, Outputs, Outcomes, and Indicators based on HUD's Outcome Performance Measurement System for Community Planning and Development Formula Grant Programs as contained in the Federal Register dated March 7, 2006 (Volume 71, Number 44). The state continues to make available HOME, SC/CDBG, ESG, HOPWA, and NHTF funds to eligible recipients based on the priorities set forth in the state's 2015-19 ConPlan and this document.

Below is a summary of the Performance Measures as contained in the 2015-19 ConPlan, which serve as an outline of the annual proposed accomplishments for the 2016-17 Action Plan.

The State of Connecticut, through the Department of Housing, is committed to creating a Connecticut where affordable housing, in strong, vibrant, and inclusive communities, is accessible to individuals and families across the state and homelessness is a thing of the past. Housing developments will be clustered around pedestrian-friendly areas, in close proximity to employment and commercial centers, schools, public transportation, and around established infrastructure. Connecticut will revitalize its urban and regional centers with mixed-use, mixed-income housing and community development, providing a safe and clean environment to attract an economically and socially diverse workforce. Chronic homelessness and in particular chronic veteran's homelessness will be a thing of the past. The state recognizes that a realistic and comprehensive housing and community development strategy is vital to the future prosperity of Connecticut and that serious challenges continue to be present that must be addressed if Connecticut is to remain competitive and maintain its quality of life.

Housing is a key component of attaining and sustaining local viability and, community health and ensuring a thriving community. Ensuring affordable housing options for both owners and renters is an important contributing factor to future community health. Additionally, many of Connecticut's most vulnerable citizens are in need of quality affordable housing with the availability of services. In order to address these needs, it is important to add new housing as well as preserve affordable housing presently serving households in need in a coordinated and efficient manner.

Housing development is linked to Connecticut's other public policy areas which include, education, transportation, energy cost and availability, public health and safety, environmental quality, and economic development. These areas are not independent of each other. They are interconnected and interdependent. Just as transportation is not just a network of roads and bridges, housing is not just shelter. It is an integral part of the state's socio-economic fabric. Public policy and investment decisions made in one area directly and indirectly impact the other areas. As such, the state must comprehensively consider these relationships and take a multifaceted and balanced approach to addressing Connecticut's housing and community development needs.

Responsible Development and Sustainable Communities' principles consider and connect all of the aforementioned public policy areas. These principles are in conformance with the state's Conservation and Development Policies Plan for Connecticut (C&D Plan), and the current Analysis of Impediments to Fair Housing Choice 2015. Because Responsible Growth and Sustainable Communities principles make the most efficient uses of energy,

land, travel time and other societal resources over the long-term they are incorporated into the state's Consolidated Plan. The state will use its federal formula grant funding and state resources to address Connecticut's housing and community development needs through the application of Responsible Growth and Sustainable Communities principles and by giving funding priority to projects that address multiple needs and leverage existing infrastructure and resources.

To this end, DOH and CHFA continue to carry out the state's fair housing strategy in order to promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development and livability principles and strategies.

The state is actively working to preserve and increase the supply of quality affordable rental housing available to low-and-moderate-income households and improve the ability of low-and-moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership.

The state continues to emphasize programs targeted at homelessness prevention and rapid re-housing and supportive housing as the primary means to prevent and end homelessness in Connecticut. The state continues to work to expand permanent supportive housing in Connecticut to break the cycle of long-term, chronic homelessness.

The state is working to revitalize communities by providing communities in need with:
Assistance to undertake housing, community and economic development initiatives.
Assistance to help undertake community infrastructure, facility, and service projects affecting public health, safety and welfare.

The state continues to encourage the maximization of existing infrastructure and resources and the re-use of blighted and brownfield properties through the application of responsible growth principles and strategies and provide incentives for community revitalization efforts as per the state's responsible growth strategies and the growth management policies specified in the new Conservation and Development Policies: The Plan for Connecticut (C&D Plan). Conservation and Development Policies Plan 2013-2018

A. OVERARCHING GOALS

The overall goal of the programs covered by this section of the plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for low- and moderate-income persons and where applicable extremely low-income persons. The primary means towards this end is to extend and strengthen partnerships among all levels of government and the private sector, including for-profit and non-profit organizations, in the production, preservation and operation of affordable housing.

Goals:

1. Work To Ensure Decent Housing Is Available To All.
Decent housing includes assisting homeless persons to obtain appropriate housing and assisting persons at risk of becoming homeless; retention of the affordable housing stock; and increasing the availability of permanent housing in standard condition and affordable cost to low-income and moderate-income families, particularly to members of disadvantaged minorities, without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability. Decent

housing also includes increasing the supply of supportive housing, which combines structural features and services needed to enable persons with special needs, including persons with HIV/AIDS and their families, to live with dignity and independence; and providing housing affordable to low-income persons accessible to job opportunities.

2. Work to Ensure That All of the State's Residents Live in a Suitable Living Environment.

A suitable living environment includes improving the safety and livability of neighborhoods; increasing access to quality public and private facilities and services; reducing the isolation of income groups within a community or geographical area through the spatial de-concentration of housing opportunities for persons of lower income and the revitalization of deteriorating or deteriorated neighborhoods; restoring and preserving properties of special historic, architectural, or aesthetic value; and conservation of energy resources.

3. Work to Ensure That All of the State's Residents Have Access to Economic Opportunities.

Expanded economic opportunities includes job creation and retention; establishment, stabilization and expansion of small businesses (including micro businesses); the provision of public services concerned with employment; the provision of jobs involved in carrying out activities under programs covered by this plan to low-income persons living in areas affected by those programs and activities; availability of mortgage financing for low-income persons at reasonable rates using nondiscriminatory lending practices; access to capital and credit for development activities that promote the long-term economic and social viability of the community; and empowerment and self-sufficiency opportunities for low-income persons to reduce generational poverty in federally assisted and public housing.

Note: Different programs have different income group targets. For the purposes of this document, when used with regard to funding activities and/or with goals and objectives, the terms "low-income", "low and moderate income", "low-moderate income" and "low-mod income" include the "very low" and "extremely low" income groups as directed by federal regulations for the programs that specifically target such groups. Please see the Code of Federal Regulations for specific guidance with the applicability of such targets.

Objectives, Outputs, Outcomes, and Indicators

Each goal is supported by specific objectives (objectives are either specific actions to be taken or specific milestones to be achieved) designed to help achieve the goal. Each of these objectives is, in turn, followed by an output a corresponding proposed outcome and an indicator. Outputs are the products of the activities undertaken to meet the objectives and outcomes are the benefits that result from undertaking those activities. Indicators are the metric that will gauge the performance of the state in meeting the objectives and ultimately the goal to which they relate.

Basis for Assigning Priority

Each objective also has a proposed funding source (or sources), a targeted population and geographic target, and a priority rating. Each objective is supported by a brief discussion of the need/basis for assigning the priority and identifying obstacles to meeting underserved needs summarized from the Needs Assessment and Housing Market Analysis sections of the Consolidated Plan.

Priority ratings were established after a thorough examination of Connecticut's housing and community development needs and the state's current and historical housing market. Based on the state's review of all relevant and available data, specific issues were selected at the Department of Housing. Issues chosen to be assigned high priority funding status within this plan were selected based on four primary factors: (1) the primary eligibility criteria of the respective funding; (2) the availability of "other" funds to address the issue, (3) the issue's relative demonstrated need (as identified in the needs assessment), and (4) the impact of the issue on the prevention and reduction of homelessness.

High Priority Needs and Funding

As stated above, only those issues deemed to be a high priority to the state have been identified in this plan. All other issues are, by default, deemed to be a lower priority in terms of federal funding attention.

This does not exclude the state from funding lower priority projects. The high priority designation serves to emphasize to the public, the areas in which the state will concentrate its efforts over the next five years, in terms of housing and community development. Further, it defines where the state will focus its usage of the federal funds accessed through the five state administered federal programs governed by this plan.

A proposed project that addresses a high priority need is not guaranteed funding based solely on the fact that it will address a high priority need. All projects funded by the state must be financially and logistically feasible as well as meet all of the eligibility criteria of the proposed funding source. When two or more projects are competing for funding dollars (all things being equal), the project addressing the high priority need will be given funding preference.

Note: for the purposes of this plan, "Other Funds" include all available state, federal or private funds other than those allocated to the state under the CDBG, ESG, HOME, HOPWA and NHTF programs.

Geographic Targeting

The state targets its federal funds to certain geographic areas consistent with the priorities set in the recommended State Plan of Conservation and Development, except as prohibited by federal or state law. For example, the state's allocation of SC/CDBG and ESG funds may only be used in non-entitlement areas. However, since there is a major emphasis on directing resources to areas in need of revitalization, resources are focused, to the greatest extent possible, in targeted areas.

The existing Section 8 Housing Choice Voucher/Certificate, Section 8 Moderate Rehabilitation, Resident Service Coordinator grant, Elderly Rental Assistance Payments, State Rental Assistance Payments, and other "housing support" programs are exempt from the state's geographic targeting.

The following federal resources will be directed toward specific geographic areas as described below:

- Emergency Solutions Grant (ESG) - Emergency Solutions Grant funds are awarded through a formula established by the federal government. The state's allocation of ESG funds may be used anywhere in Connecticut without restriction.

- Home Investment Partnerships Program (HOME) - The HOME Program was established under the Cranston-Gonzalez National Affordable Housing Act of 1990. The state's allocation of HOME funds may be used anywhere within the State of Connecticut.
- Federal Low-Income Housing Tax Credits (FLIHTC) - Federal Low-Income Housing Tax Credits may be used anywhere within the State of Connecticut. However, in accordance with federal law, states are required to develop allocation criteria that disperse the tax credits across the state through an IRS-approved competitive process. CHFA is Connecticut's tax credit administering agency and has an approved competitive process that allows points to be given to rental housing projects. CHFA's allocation plan must be consistent with the recommended State Plan of Conservation and Development.
- Small Cities Community Development Block Grant (SC/CDBG) – Small Cities Community Development Block Grant funds are awarded through a formula established by the federal government. The state's allocation of SC/CDBG funds may not be used in the following jurisdictions: Bridgeport, Bristol, Danbury, East Hartford, Fairfield, Greenwich, Hamden, Hartford, Manchester, Meriden, Middletown, Milford Town, New Britain, New Haven, New London, Norwalk, Norwich, Stamford, Stratford, Waterbury, West Hartford, West Haven. These jurisdictions receive their own allocations of CDBG funds directly from the federal government and are not eligible for use of the state allocation designated for small cities.
- The majority of HOPWA dollars allocated to Connecticut are apportioned through the Eligible Metropolitan Statistical Area (EMSA) of Bridgeport, Hartford, New Haven and their surrounding areas. DOH receives a small amount of “Balance of State Dollars” and uses a competitive procurement process for HOPWA services to ensure statewide coverage for the balance of state catchment area: Windham County, New London County, Middlesex County and Litchfield County.
- National Housing Trust Fund (NHTF) – Funding will be available in all 169 communities. Priority will be given to activities in higher opportunity areas as demonstrated through Opportunity Mapping at the DOH website in accordance with the most recent Analysis of Impediments to Fair Housing Choice. Priority will be given to applications for projects in the higher "opportunity areas.”

B. PERFORMANCE MEASUREMENT

AFFORDABLE HOUSING

Goal

Enhance a suitable living environment, create decent housing, provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on ending chronic homelessness and preventing future homelessness.

Affordable Housing Strategies

Fair Housing and Housing Choice

Fair housing initiatives promote equal housing opportunity for all of Connecticut's citizens and increase housing choice opportunities through the application of responsible development principles and strategies.

Consistent with the recommendations in the Analysis of Impediments to Fair Housing Choice 2015 (AI2015), the state will implement the following strategies, within available programs and appropriations:

1. Collaborate with other State Agencies to Affirmatively Further Fair Housing
2. Convene stakeholders to review proposed legislative solutions to existing impediments to fair housing choice
3. Ensure State and local planning documents affirmatively further fair housing
4. Maximize the effectiveness of State programs that promote mobility
5. Promote fair housing enforcement and education
6. Encourage the creation and rehabilitation of affordable housing in a variety of locations
7. In cooperation with the Fair Housing Enforcement Office of HUD, the state will endeavor to redraft and update the Connecticut Fair Housing Regulations, and
8. Initiate testing and use of the Connecticut Opportunity Map

Supply of Quality Affordable Housing

The state will work to preserve and increase the supply of quality affordable rental housing available to low- and moderate-income households and improve the ability of low- and moderate-income residents to access homeownership opportunities and, within available resources, assist distressed households in maintaining homeownership. While increasing the supply of low- and moderate income homes available for ownership, the quality of the living environment can be improved by incorporating responsible development strategies such as mixed-use and transit-oriented developments.

The following strategies and goals will help to preserve and expand the supply of quality affordable rental housing and to expand and maintain homeownership:

- Interdepartmental Cooperation - DOH will work cooperatively with other state agencies over the next five years in its effort to not only provide quality affordable housing, but to rebuild ailing urban and suburban centers into healthy communities.
- Support Other Housing Providers - DOH and CHFA will, to the extent possible, support the applications of housing providers for affordable housing funds for which DOH is not an eligible applicant. This includes support for persons and organizations applying for Section 202, Continuum of Care grants, Federal Historic Tax Credits, USDA, and other federal and private funding.
- Financial Resources – DOH, CHFA, and the Office of Policy and Management (OPM) will continue to work at the state and federal level to increase the amount of resources available to build or renovate quality affordable housing.

- Low Income Housing Tax Credits - CHFA, through revision of the Low Income Tax Credit Qualified Allocation Plan will ensure that the Low Income Housing Tax Credit program addresses the needs and priorities of this Consolidated Plan for Housing and Community Development.
- Rental Housing – DOH and CHFA will individually and jointly finance quality affordable new rental housing and preserve existing state-assisted and/or CHFA financed housing stock by using private, federal, local, and state resources.
- Housing Rehabilitation - DOH will use its Small Cities Community Development Block Grant (SC/CDBG) program, as well as other programs, to rehabilitate eligible owner-occupied and small rental housing.
- Homeownership Counseling - CHFA will continue its counseling process for first time borrowers to reduce default rates and will also work to reduce single family delinquencies and foreclosures through proactive intervention indicators.
- Homeownership for Persons with Disabilities – DMHAS, DSS, DOH, and CHFA will promote homeownership opportunities for persons with disabilities who have been unable to access private financing.
- Mortgage Assistance - CHFA will continue to implement the Emergency Mortgage Assistance and CT FAMILIES (Connecticut Fair Alternative Mortgage Lending Initiative & Education Services) program as well as counseling initiatives and mediation efforts to assist economically distressed households maintain homeownership.
- Encourage and promote mixed use and transit-oriented development. To these ends the DOH will prioritize funding requests for affordable housing projects that include mixed-uses and/or are located close to public transportation facilities and are on established bus routes.
- Encourage and support municipal efforts to create higher density residential zoning districts through the Incentive Housing Zone Program and other programs.
- Green Building - Encourage green building by the use of sustainable construction in new buildings that meet Leadership in Energy and Environmental Design (LEED) standards or similar standards and through the use of tax credits, and in coordination with the Green Bank.
- Healthy Homes - DOH, CHFA, the Department of Energy and Environmental Protection (DEEP), the Department of Public Health (DPH), DSS, local governments and property owners will work to help abate lead paint through the Connecticut Lead Action for Medicaid Primary Prevention Project (LAMPP) or other similar programs and work with DPH on the implementation of its 'Healthy Homes Initiative' which has been designed to promote and mainstream healthy housing principles to ensure that Connecticut's housing supply is dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.
- Continued use of the Connecticut Opportunity Map.

2016-2017 Affordable Housing Objectives

Fair Housing and Housing Choice

In the second year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

- Within available appropriations, the DOH will continue to support the Connecticut Fair Housing Center with their efforts to assist the State of Connecticut to fulfill the recommendations in the state's AI2015 for state level action.
- DOH will continue to conduct regular monitoring of its funding recipients in the areas of civil rights and fair housing and enforcement.
- Update and redraft the Connecticut Fair Housing Regulations consistent with guidance from the Fair Housing Enforcement Office HUD.

Quality Affordable Housing

In the second year of the 2015-19 ConPlan, the state will focus its resources to achieve the following:

With Regard to New Affordable Rental Housing

- DOH will work to create 1,000 new quality affordable rental housing units.

With Regard to New Homeownership Opportunities

- DOH will work to create 100 new affordable homeownership opportunities.

With Regard to Preserving Existing Affordable Rental Units

- DOH will work to preserve 1,000 existing affordable rental housing units.

With Regard to Maintaining Homeownership

- DOH will work to maintain homeownership for 60 households.

The above referenced figures were based on historic program performance, current unit production costs and anticipated financial resources at the time the 2015-19 ConPlan was developed.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan and associated Action Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

To achieve the aforementioned one year Objectives for Affordable Housing Strategies, the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance suitable living environments for low- and moderate-income through Fair Housing and Housing Choice.

Output:

- Within available resources, fund the activities of the Fair Housing Center related to outreach and education with an emphasis on preventing discrimination and increasing housing choice opportunities annually.
- Improved availability/accessibility and affordability by promoting and funding at least one inter-municipal or regional partnership for a housing and/or community development project that benefits low- and moderate-income persons/households to increase housing choice.
- Continue to fund mobility counseling/tenant education programs to encourage/assist/educate approximately 7,951 DOH Section 8 Housing Choice Voucher (S8 HCV) and State Rental Assistance Payments Program (RAP) participants with moves to areas of higher opportunity.
- Support the upgrading of existing infrastructure within areas where the majority of residents are of low-and-moderate-income to increase housing choice.
- Support up to five infrastructure projects to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low-and-moderate-income areas.
- Improve availability/accessibility by supporting the construction and/or rehabilitation and/or expansion of five existing public facilities that primarily serve low-and-moderate-income persons, including but not limited to: transitional housing, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by supporting projects designed to make current facilities accessible or to provide new-handicapped accessible facilities annually.

Outcome:

- Increased opportunities for housing choice.

Indicator(s):

- Number of fair housing educational and outreach opportunities achieved
- Increased housing choice for low-and-moderate-income residents.
- Number of regional projects funded that promote fair housing and further the state's fair housing efforts.
- Number of inter-municipal or regional housing projects supported.
- Number of infrastructure projects conducted per year.
- Number of DOH S8 HCV and RAP participants educated through this program that move to areas of de-concentrated poverty.
- Number of DOH S8 HCV and RAP participant moves from an area of very low or low opportunity to a higher opportunity area.

Objective 2:

Enhance suitable living environments through the creation of decent affordable housing.

Output:

- Produce up to 1,000 newly constructed or rehabilitated rental units that serve households.
- Produce up to 100 newly constructed or created homeowner units that serve low-and-moderate income households.
- Rehabilitate up to 100 homeowner units that serve low-and-moderate income households.
- Preserve up to 1000 rental units that serve low- and moderate-income households.
- Fund up to one single-family moderate rehabilitation program.
- Create and/or preserve up to 100 residential units through the adaptive re-use of historic structures.
- Support energy conservation/efficiency activities that would primarily serve low-and-moderate-income persons.

Outcome:

Increased rental and homeowner housing opportunities that serve low-and-moderate income households in a variety of geographies.

Indicators:

- Number of newly constructed ownership units.
- Number of newly constructed rental units.
- Number of newly rehabilitated rental units.
- Number of newly rehabilitated ownership units.
- Number of single-family moderate rehabilitation programs funded each year.
- Number of single-family units rehabbed each year.
- Number of new multifamily housing units created in areas of high opportunity.
- Number of residential units created/preserved by re-use of historic structures.
- Number of energy efficiency programs funded each year.

Objective 3:

To enhance suitable living environments through financial intermediaries.

Output:

- Provide economic opportunities in the form of rent subsidies.
- Provide economic opportunities in the form of mortgage assistance.
- Assign priority consideration to the creation of flexible mechanisms that include gap financing and regulatory relief so that the production of affordable homeownership units can be significantly increased throughout the state.
- Produce affordable homeownership units through increased funding flexibility and reduced regulatory burden.
- Coordinate grants and loans from the Housing Trust Fund, Affordable Housing (AHP) and HOME Programs, treating each pool of funding as a source of flexible capital.
- Develop housing projects by allowing developers to seek subsidized capital from a pool of flexible capital.

- Promote and leverage transit oriented development, mixed-use development, brownfield redevelopment and other responsible development principles and strategies.

Outcome:

Expansion of access to rental and homeowner housing that serves low-and-moderate income households.

Indicators:

- Number of rent subsidies.
- Number of mortgage assistance.
- Number of at risk properties identified.
- Number of homeowners assisted.
- Number of mortgages purchased annually in federally targeted urban areas.
- Number of program and investment partnerships created.
- Leverage of CHFA’s current programs and leverage of local, state and federal resources.
- Number of moderate and high income households encouraged to move to urban neighborhoods through the creation of a homestead tax exemption.
- Number of rental housing units constructed, rehabbed or preserved using CHFA’s multi-family housing development mortgage programs and tax credit equity funding programs.
- Number of housing units funded using CHFA’s Low Income Housing Tax Credit Program and tax-exempt bonds.
- Number of housing projects developed through pools of flexible capital.
- Number of affordable homeownership units produced thru flexible mechanisms and regulatory relief.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME, State, Section 8 HCV	Low-Mod Income	Statewide
Objective 2	HOME, State, CHFA, CDBG, State/Federal Weatherization Programs	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas, CDBG Eligible Communities, Statewide
Objective 3	HOME, State, CHFA	Low-Mod Income, CHFA Targeted Populations	Statewide, CHFA Targeted Areas,

PUBLIC HOUSING

Goal

Provide decent housing and enhance suitable living environments for residents of public housing.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Public Housing Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Public Housing Goal the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Address the housing needs of residents of public housing through preservation of existing housing units, the creation of replacement units and additional rent subsidies.

Output:

- Preserve federally assisted housing units annually by working with current owners and prospective purchasers of these projects to retain them in service to low-income households over the long-term with a focus on projects nearing the end of their current mortgage service periods and those in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Invest in the maintenance/rehabilitation/modernization of 1,000 existing publicly-assisted rental housing units annually to preserve them as a long-term resource.
- Preserve or replace state or federally assisted housing units annually by working with current owners and prospective developers of these projects to retain them in service to low-income households with a focus on projects in need of capital reinvestment to provide quality rental housing through a new extended use period.
- Encourage local public housing authorities and DOH to respond to all notices of funding availability from HUD to increase the supply of Federal Section 8 Housing Choice Vouchers by 50 new vouchers.

Outcome:

- New/improved availability/accessibility and affordability in public housing.

Indicators:

- Number of public housing units preserved/rehabilitated annually.
- Number of units and properties redeveloped and maintained via capital funding each year.

- Number and amount of new funding opportunities identified and developed annually.
- Number of new Section 8 vouchers each year.

Geographic Distribution & Relative Priority			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State SC/CDBG HOME CHFA Section 8	Low-Mod Income, CHFA Targeted Populations	State-Wide, CHFA Targeted Areas, SC/CDBG Eligible Communities

HOMELESSNESS PREVENTION & SUPPORTIVE HOUSING

GOAL

Enhance suitable living environment, create decent housing, and address the shelter, housing and service needs of the homeless, and those threatened with homelessness with an emphasis on ending chronic homelessness, including veteran’s homelessness, as well as preventing future homelessness.

OBJECTIVES, OUTPUTS, OUTCOMES AND INDICATORS

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments to expand the Rapid Re-housing Program (RRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

Output:

- Utilize all eligible resources administered by the DOH to reduce the reoccurrence of homelessness by assisting families who are leaving homeless shelters and transitional living programs to achieve housing stability by providing support services.
- Participate in Zero 2016, the national campaign to end chronic homelessness by the end of 2016.
- Continue RRP to promote quick access to housing for those who are eligible.
- Utilize the Coordinated Access Network (CAN) to produce better placements and outcomes for the most vulnerable individuals in need as assistance.
- Implement the CT811 PRA program to locate project-based rental subsidies in areas where community-based services are available.
- Carry out the Homeless Prevention and Response Fund which will provide forgivable loans and grants to landlords to renovate multifamily homes in exchange for participation in the scattered site supportive housing program or the rapid re-housing program.

Outcome:

Eliminate chronic homelessness, and veteran's homelessness, and reduce the occurrence of homelessness.

Indicator(s):

- Number of homelessness reoccurrences among assisted families leaving shelters and transitional living programs.
- Increases in efficiency or elimination of duplications identified.
- Timely placement of the most vulnerable individuals/families.
- Number of clients served by DSS, DCF and DHMAS through homeless prevention, rapid re-housing and follow-up services is increased.

Objective 2:

Enhance suitable living environments that assist families and individuals to remain in permanent housing.

Output:

- Maintain the state-funded Eviction Foreclosure Prevention Program that assists families and individuals to remain in permanent housing.
- Increase the supply of permanent supportive housing opportunities for individuals and families experiencing homelessness or at risk of becoming homeless, particularly those with special needs by providing financing for renovation of existing buildings. Create 50 new supportive housing units.
- Fully implement CAN statewide, and improve coordination and consistency across the CAN's

Outcome:

New and improved sustainability in permanent housing for risk families and individuals.

Indicator(s):

- Funding level and dollars committed to the Eviction Foreclosure Prevention Program.
- Number of at risk families and individuals assisted and remain in permanent housing.
- Number of supportive housing units created.
- Number of project-based rental subsidies placed.

Objective 3:

End chronic veteran's homelessness.

Output:

- Place all known homeless veterans in permanent supportive housing or in service-enhanced housing with subsidies.

Outcome:

- All known homeless veterans are placed in permanent supportive housing or in service-enhanced housing with subsidies.

Indicator(s):

- Number of veteran’s using a VASH voucher
- Number of veteran’s placed in permanent supportive housing

Objective 4:

Maintain the state’s network of “Homeless Shelters.”

Output:

- Continue to fund “Homeless Shelters” across the state.

Indicator(s):

- Funding leveraged (ESS/ESG).
- Number of shelters DOH funds (ESS/ESG).
- Number of beds by type (men, women, children) (ESS/ESG).
- Number of clients (ESS/ESG).
- Number of services/type provided (ESS/ESG).

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	MFP, State, CT811 PRA, ESS/ESG	Low-Mod Income	State-Wide
Objective 2	EFPP, State, ESS/ESG, TANF	Low-Mod Income	State-Wide
Objective 3	MFP, State, CT811 PRA, VASH	Low-Mod Income	State-Wide
Objective 4	ESS/ESG, State	Low-Mod Income	State-Wide

OTHER SPECIAL NEEDS

GOAL

Create decent housing, a suitable living environment and economic opportunities for low- and moderate-income persons with special needs.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Special Needs – General

Objective 1:

Improve coordinate of the efforts of state agencies and quasi-public entities involved in housing and the provision of social services to persons with special needs.

Output:

- Participate in Interagency Councils and/or Interagency Committees that meet regularly to insure coordination of efforts for persons with special needs.

Outcome:

- Coordination between state agencies and quasi-public entities is increased, maintained and sustained leading to more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 2:

Support and promote the coordination of multiple agency resources and inter-agency cooperation.

Output:

- Utilize the various agency plans to identify opportunities for coordination between state agencies.

Outcome:

- Agency priorities are better aligned, and efficiencies are implemented resulting in more efficient, timely and targeted use of resources which will ultimately lead to more special needs persons being assisted.

Indicator(s):

- Number of special needs persons assisted over time.

Objective 3:

Provide permanent housing, employment and support services, and rental subsidies to meet the needs of each individual by providing appropriate services which anticipate client needs and address changes in age, health, income and other circumstances to ensure long-term housing stability and independence.

Output:

- Link permanent housing, employment and support services, and rental subsidies programs to meet the needs of each individual.

Outcome:

- Improved delivery and effectiveness of programs and services.

Indicator(s):

- Number of clients that received appropriate services across programs.
- Change in the number of clients that received appropriate services over time.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide

Elderly and Frail Elderly

Objective 1:

Maintain the housing choice opportunities for the elderly and the frail elderly, including access to appropriate services.

Output:

- Provide funding to preserve existing housing opportunities that serve the elderly and the frail elderly.
- Promote cross-population of housing and service options through a continuum of opportunities in one location (rental – congregate – assisted living – nursing facility).

Outcome:

- Elderly and frail elderly persons are able to live within their community of choice in quality, accessible, affordable housing and obtain the services they need to do so.

Indicator(s):

- Vacancy rate at existing facilities.
- Number of clients being served by each program.
- Average age of occupant by facility.

Objective 2:

Promote efficiency in service delivery to the elderly and frail elderly.

Output:

- Increase client caseload per case manager.

Outcome:

- More elderly and frail elderly state residents will receive assistance and be able to live independently longer with a higher quality of life.

Indicator(s):

- Number of new client cases managed.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-Wide

Persons with Disabilities

Objective 1:

Increase the number of linkages among federal agencies, state agencies and consumers in providing resources to continue family cohesion with those individuals with disabilities through placement in stable living situations and providing them with appropriate supportive services.

Output:

- Increase and/or maintain linkages among federal agencies, state agencies and consumers.

Outcome:

- Family cohesion with those individuals with disabilities is increased which ultimately increases quality of life for all members.

Indicator:

- Number of families with individuals with disabilities that are placed in a stable living situations with appropriate services.

Objective 2:

Increase the accessibility of DMHAS provided client support services connected to affordable housing for individuals with disabilities.

Output:

- The number of individuals with disabilities receiving DMHAS support services tied to affordable housing is increased.

Outcome:

- Accessible, affordable housing is made available to individuals with disabilities that require it. State and federal resources designed to aid disabled persons are coordinated and leveraged increasing the quality of life for the recipients.

Indicator(s):

- Number of DMHAS clients with disabilities accessing services and affordable housing.
- Measured decrease in average wait period to receive services and affordable housing.
- Year over year change in the number of individuals with disabilities accessing services and affordable housing.

Objective 3:

Continue to provide for accessibility modifications.

Output:

- Accessibility modifications for 10 to 25 housing units per year are funded.

Outcome:

- New/Improved Availability/Accessibility.
- The supply of housing accessible to the disabled is increased providing more housing options for the disabled allowing them to live within the community of their choice.

Indicator(s):

- Number of accessibility modifications funded.

Objective 4:

Expand accessibility modification activities to: 1) specifically target persons with disabilities who are ready and willing to leave nursing facilities and return to community living; and 2) provide a full range of supportive services, including but not limited to employment training, social, health, recreational, housing and transportation services to ensure successful transition and long-term independence.

Output:

- Bond funds are provided to complete accessibility modifications for persons leaving nursing facilities under MFP.
- RAP set-aside for up to 50 eligible persons in support of the MFP is established.

Outcome:

- Independent living is restored to those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase in the quality of life for these individuals.

Indicator(s):

- Number of accessibility projects completed.
- Number of persons returned to independent living as a result of accessibility modifications being made.
- Number of eligible persons transitioning from nursing homes provided RAP certificates.

Objective 5:

Create a continuum of affordable housing with support services and increase the supply of appropriate housing so that the disabled can live independently within their community of choice.

Output:

- Create linkages between various housing types that serve the disabled.
- The number of accessible housing units in the state is increased.

Outcome:

- New/improved affordability.
- Independent living is maintained for those capable of living independently with the aid of appropriate support services. Lower costs to the state and increase the quality of life for these individuals.

Indicator(s):

- Number of linkage opportunities identified.
- Number of linkage opportunities achieved.
- Year over year change in number of clients being transitioned without displacement.
- Number of new accessible housing units created.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide
Objective 2	State	Low-Mod Income	State-wide
Objective 3	State/HOME/SC/CDBG	Low-Mod Income	State -Wide/SC/CDBG Eligible Communities
Objective 4	State	Low-Mod Income	State-Wide
Objective 5	State/HOME	Low-Mod Income	State-Wide

Persons With HIV/AIDS And Their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

Output:

- Existing HIV/AIDS programs are maintained and expanded.

Outcome:

- New/improved availability/accessibility.
- Persons living with HIV/AIDS continue to receive appropriate care and services.
- Funding leveraged (beyond HOPWA).
- Number of service providers DOH funds (HOPWA).
- Number of clients receiving assistance (HOPWA).
- Type of assistance (HOPWA).

Indicator(s):

- Dollars leveraged/amount of additional funding received.
- Number of people served by the programs.
- Year over year change in the number of clients accessing services.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

Output:

- Number of people accessing supportive housing services is increased by 10.

Outcome:

- New/improved availability/accessibility.
- Supportive housing services become available to more persons living with HIV/AIDS.

Indicator(s):

- Number of people accessing supportive housing services.
- Year over year change in number of people accessing supportive housing services.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

Output:

- AIDS/HIV supportive housing programs are evaluated annually and modified as necessary to improve services and benefits to clients.

Outcome:

- New/improved availability/accessibility.
- Supportive housing programs become more efficient and effective in helping persons living with HIV/AIDS live longer and better lives.

Indicator(s):

- Number of evaluations conducted.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 2	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities
Objective 3	State/HOPWA	Low-Mod Income	State-Wide/ HOPWA Eligible Communities

Persons With Alcohol Or Other Drug Addiction

Objective 1:

Continue existing substance abuse programs at levels permitted by funding availability. Link employment services, housing subsidies and long term supportive care to meet the needs of each beneficiary, by adapting services which anticipate and deal with changes in age, health, income and other circumstances. These actions will influence long term stability.

Output:

- Increase the number of clients who are provided appropriate services.

Outcome:

- More persons with substance abuse issues receive appropriate care leading to a better quality of life and a lower incidence of the negative consequences and costs associated with substance abuse.

Indicator(s):

- Number of clients receiving appropriate services.
- Number of substance abuse clients that received appropriate services.
- Year over year change of the number of substance abuse clients that received appropriate services.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

Ex-Offenders

Objective 1:

Increase the availability of permanent supportive housing as a housing option, assist individuals leaving the correction system, facilitate their integration back into the community. Individuals leaving the corrections system and in need of long-term supports could either immediately, or after living for a short time in a halfway house, live in supportive housing. The Connecticut Department of Correction (DOC) will work with other state agencies to maximize the use of various funding streams to assist persons to reintegrate into their communities after release from DOC facilities.

Output:

- The state will work to increase the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.
- The state will utilize funding from the Connecticut Collaborative on Re-Entry (CCR) to aid supportive housing efforts which will be targeted to a small set of individuals with high recidivism rates resulting from complex unmet needs, histories of long-term homelessness, chronic health conditions, and untreated mental illness and addiction.

Outcome:

- Recidivism rates will be reduced as a result of the increase in the availability of permanent supportive housing, the number of halfway house beds, and other supervised community placements that will enhance re-entry efforts.

Indicator(s):

- Year over year change in the number of halfway house beds and other supervised community placements, enhance re-entry efforts, and pilot approaches.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State	Low-Mod Income	State-Wide

NON-HOUSING COMMUNITY DEVELOPMENT

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives of the Consolidated Plan. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments, create decent housing and provide economic opportunities by promoting and funding at least one inter-municipal or regional partnership for community development project.

Output:

- Support one inter-municipal or regional project per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- State, local and regional revitalization efforts and resources will be coordinated to maximize return on investment and chances of success.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive regional cooperative and cost sharing agreements and strategies

which develop viable communities and primarily benefit low-and-moderate-income persons.

- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of inter-municipal/regional projects funded under the SC/CDBG program annually.
- Cost savings for local municipalities and the state due to regional partnerships.
- Number of low-and-moderate-income persons served annually.

Objective 2:

Enhance suitable living environments by supporting the upgrading of existing infrastructure within areas where the majority of residents are of low- and moderate-income.

Output:

- Support up to four infrastructure projects per year under the SC/CDBG program to include reconstruction of streets, sidewalks, water lines, and drainage problems in predominately low- and moderate-income areas.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Infrastructure projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional infrastructure improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of infrastructure projects funded under the SC/CDBG program per year.
- Number of low-and-moderate-income persons served annually.

Objective 3:

Enhance suitable living environments by supporting the construction and/or rehabilitation and/or expansion of existing public facilities that primarily serve low- and moderate-income persons, including but not limited to: homeless shelters, battered women shelters, daycare centers, and efforts to meet the needs of the physically handicapped population by

supporting projects designed to make current facilities accessible or to provide new handicapped accessible facilities.

Output:

- Support up to three public facilities projects per year under the SC/CDBG Program.

Short-Term Outcome:

- New/Improved availability/accessibility.
- Public Facilities projects will assist in the creation of a safe and sanitary living environment, benefit low-and-moderate-income persons, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional public facility improvement strategies which develop viable communities and primarily benefit low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of public facilities projects conducted per year.
- Number of low-and-moderate-income persons served annually.

Objective 4:

Enhance suitable living environments and create decent housing by supporting energy conservation/efficiency projects that would that primarily serve low-and-moderate-income persons.

Output:

- Fund up to two projects per year under state/federal weatherization programs that would improve energy efficiency.

Short-Term Outcome:

- New/Improved availability/accessibility and/ or affordability.
- Energy costs borne by low- and moderate-income persons and/or by the state will be reduced freeing up resources that can be used to provide other needed assistance to low-and-moderate-income persons.

Long-Term Outcome:

- The state will move closer to energy independence/self sufficiency, air quality will improve as will the quality of life of the state's citizens.

Indicator(s):

- Number of low-and-moderate-income persons served annually.
- Number of units with improved energy efficiency annually.

Objective 5:

Assist in the creation of a safe and sanitary living environment, benefit low-to moderate-income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state through the redevelopment of brownfield redevelopment projects.

Output:

- Provide federal/state/local community development resources for up to ten brownfield redevelopment projects over the next five-years.

Short-Term Outcome:

- Brownfield remediation will assist in the creation of a safe and sanitary living environment, benefit low-to moderate- income people, aid in the elimination of slums or blight and provide community facilities and services affecting public health, safety and welfare all of which will lead to a better quality of life for the citizens of the state.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will enhance the quality of life for the citizens of the state.
- Local governments will be encouraged to create, coordinate and implement comprehensive local and regional land use and development/redevelopment strategies which develop viable communities and primarily benefit low-and moderate-income persons.
- Strategies which facilitate the coordination of available brownfield remediation resources with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.
- Will indirectly reduce sprawl due to reuse of land and avoiding development of raw land.

Indicator(s):

- Level of funding provided for brownfield redevelopment projects during the next five years.
- Number of brownfield projects undertaken as a result of the funding provided.
- Number of brownfields/acres and/or buildings brought back to productive use.

Objective 6:

Implement one Section 108 Program activity in the next five years.

Output:

- Identify potential projects eligible for Section 108 Program funding.
- Support one Section 108 program activity on behalf of one non-entitlement community.

Short-Term Outcome:

- New/Improved availability/accessibility to funds.

- Creation and/or retention of permanent, private sector job opportunities principally for low- and moderate-income persons.
- Increased employment opportunities for low-and-moderate-income persons.

Long-Term Outcome:

- The vibrancy of our communities will be improved which will in turn enhance the quality of life for the citizens of the state.
- Local governments will be encouraged and assisted in developing comprehensive economic development strategies to create viable communities by providing economic opportunities, principally for low-and-moderate-income persons.
- Strategies which facilitate the coordination of SC/CDBG funding with other federal/state/local community development resources will be developed and implemented leading to the maximization of return on investment and benefit.

Indicator(s):

- Number of projects funded under the Section 108 Program annually.
- Number of jobs created by Section 108 projects funded annually.
- Percent of jobs created benefiting low-and-moderate-income persons.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 2	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 3	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 4	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 5	State/SC/CDBG	Low-Mod Income	State -Wide/ SC/CDBG Eligible Communities
Objective 6	Section 108	Low-Mod Income	State -Wide

COMMUNITY REVITALIZATION

GOAL

Enhance suitable living environments, create decent housing and provide economic opportunities for low- and moderate-income persons through community development activities that promote responsible growth principles to develop viable urban communities and suitable living environments.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

The unit counts presented in relation to the Community Revitalization Objectives enumerated below are not in addition to the unit counts stated in the Affordable Housing section of this plan. As stated above funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. In order to fulfill the stated Community Revitalization Goals the state will endeavor to undertake a combination of the following activities, initiatives and specific objectives:

Objective 1:

Enhance sustainable living environments, create decent housing and provide economic opportunities for low- and moderate- income persons through community revitalization activities that promote responsible growth principals to develop viable urban communities and suitable living environments.

Output:

- Consolidate state bond allocations for shovel ready projects.
- Coordinated state agency activities to encourage and promote support of one Community Revitalization Strategy or Neighborhood Revitalization Zone.

Outcomes:

New and improved sustainable communities.

Indicator(s):

- Number of responsible development projects developed and/or implemented as a result of the implementation of the federal Recovery Zone Economic Development Bond Program.
- Bond allocations consolidated for shovel ready projects.
- Number of state agency activities coordinated to encourage and promote support of Community Revitalization Strategies and Neighborhood Revitalization Zones.
- Number of Community Revitalization Strategies and Neighborhood Revitalization Zones supported using the SC/CDBG Program involving two or more state agencies.

Objective 2:

Enhance suitable living environments and create decent housing in areas of need.

Output:

- Support up to one municipality in rezoning efforts to enable for higher-density housing, mixed-use developments, and/or transit-oriented developments.
- Support local efforts to develop appropriate urban infill housing to make better use of limited urban land. Support 20 to 60 units of infill housing in urban areas using the HOME/State Housing Programs.

- Promote and support mixed-income housing developments in areas that currently under-serve low-and-moderate-income households.
- Give preference to one mixed-income infill project creating at least 10-25 units of housing in areas that currently under-serve low-and-moderate-income households using the HOME/State Housing Programs.
- Promote mixed-use and/or transit-oriented developments with residential ownership opportunities for low-and-moderate- income households in areas of need.

Outcomes:

- New and improved sustainability.
- New and improved affordability.
- New and improved availability/accessibility.

Indicator(s):

- Number of municipalities funded for zoning changes that enable higher density housing, mixed-use developments, and transit-oriented developments.
- Number of municipalities funded through the Incentive Housing Zone Program.
- Number of municipalities that pursued building higher density housing after adopting the incentive housing overlay zones.
- Number of municipalities supported in rezoning efforts to enable higher-density residential uses, mixed-use developments, and/or transit-oriented developments.
- Number of units of infill housing in urban areas created.
- Number of mixed-income housing units created.
- Number of mixed-use and /or transit-oriented development projects in an urban or suburban area supported for low-and-moderate-income households.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, SC/CDBG	Low-Mod Income	State-Wide
Objective 2	State, HOME, SC/CDBG	Low-Mod Income	State-Wide

BARRIERS TO AFFORDABLE HOUSING

GOAL

The state will reduce or eliminate the impact of barriers at the state, local and regional level in order to promote fair housing choice in Connecticut.

Objective 1:

Encourage the creation and rehabilitation of affordable housing in a variety of locations through competitive funding rounds

Output:

- Continue to assign a high point value for developments that achieve fair housing goals in historically under-served communities
- Increase funding flexibility to seize immediate development opportunities to increase affordable housing units in high opportunity communities.
- Evaluate the effectiveness of funding rounds in facilitating the creation of new family affordable housing units to ensure the availability of affordable family housing in diverse areas.

Outcomes:

New affordable housing opportunities in historically under-served communities.

Indicator(s):

- Number of applications received that target communities of high or moderate opportunity.
- Number of applications received that promote fair housing choice, racial and economic integration.

Objective 2:

Collaborate with other agencies to affirmatively further fair housing

Output:

- Participate in new and existing opportunities to align policies and funding with other agencies

Outcomes:

Provide improved housing choice and opportunities in alignment with investments by other agencies

Indicator(s):

- Number of housing opportunities generated in alignment with other state investments.
- Number of collaborative opportunities

Objective 3:

Convene stakeholders to review potential legislative solutions to existing impediments

Output:

- Convene partner agencies, organizations and stakeholders in the redrafting of the Connecticut Fair Housing Regulations and Waiting List Regulations

Outcomes:

Provide clear concise regulatory direction on meeting and addressing fair housing requirements in state-supported housing

Indicator(s):

- Number of collaborative opportunities with partner agencies, organizations and stakeholders
- Passage of revised regulations

Objective 4:

Maximize effectiveness of mobility programs

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

Objective 5:

Improve fair housing education and enforcement

Output:

- Work with mobility counselors under contract to improve processes

Outcomes:

Provide increased opportunities for mobility.

Indicator(s):

- Number of clients successfully moved to an improved opportunity area

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	State, HOME	Low-Mod Income	State-Wide
Objective 2	State, HOME	Low-Mod Income	State-Wide
Objective 3	State	Low-Mod Income	State-Wide
Objective 4	State, Sec 8 HCV	Low-Mod Income	State-Wide
Objective 5	State, SC- CDBG, HOME, Sec 8 HCV	Low-Mod Income	State-Wide

LEAD-BASED PAINT HAZARDS

GOAL

Enhance suitable living environment and create decent housing for low- and moderate-income persons through the evaluation and reduction of lead-based paint hazards and the implementation of Healthy Homes principles, assessment practices, and evidence-based interventions.

Objectives, Outputs, Outcomes and Indicators

It is important to note that funded activities can fulfill multiple objectives. Also, multiple programs and funding sources are often used/combined to fund projects/units. As such an aggregation of the unit/project counts noted below would be an overstatement of what the State of Connecticut can achieve with available resources.

Objective 1:

Enhance suitable living environments and ensure the availability of a decent housing supply that is free of lead-based paint, dry, clean, pest-free, ventilated, safe, without contaminants, maintained and accessible.

Output:

- Actively participate in the Statewide Healthy Homes Initiative meetings, as scheduled.
- Collaborate with the DPH Healthy Homes Initiative on the development and implementation of a uniform healthy homes assessment and intervention approach.
- Support the abatement or remediation of lead-based paint and lead-based paint hazards, damaged asbestos containing materials, elevated indoor radon levels, and other identified or known environmental hazards in housing units in collaboration with other state agencies and in accordance with applicable federal and state laws. Lead-based paint testing, and remediation or abatement activities will be conducted in accordance with Regulations of CT State Agencies section 19a-111 et.al., the final lead safe housing rule – [Title X of the Lead-based Paint Hazard Reduction Act of 1992 (24 CFR Pt 35)] the EPA Lead Paint: Renovation, Repair and Painting Program (RRP) effective April 22, 2010, and through healthy homes assessments and intervention strategies established in concert with the Department of Public Health
- Fund up to three housing rehabilitation projects per year with the goal of making 20 units per year healthy homes (as defined in concert with the Statewide Healthy Homes Initiative).
- Build the technical capacity local housing agencies by sponsoring one (1) National Center for Healthy Homes (NCHH) training courses.
- Support the training and certification of DOH and/or local housing staff who are interested in seeking national certification as “healthy homes specialists”.

Outcomes:

- Improved accessibility to housing free of lead-based paint and other environmental health and safety hazards.

Indicator(s):

- Number of housing lead-safe rehab projects per year.
- Number of housing units made lead-safe per year.

- Number of housing units that have had a healthy homes assessment conducted.
- Number of housing units where a healthy homes intervention activity is performed.
- Number of low-and-moderate income persons served per year.
- Number of National Center for Healthy Homes training courses coordinated with DPH per year.
- Number of DOH and local housing agency staff trained in the National Center for Healthy Homes Course.
- Number of DOH and local housing agency staff certified as “Healthy Homes Specialists” by the National Environmental Health Association.

GEOGRAPHIC DISTRIBUTION & RELATIVE PRIORITY			
Objective	Funding Source	Targeted Population	Geographic Target
Objective 1	HOME SC/CDBG Other/ State	Low-Mod Income	State-Wide SC/CDBG Small Cities

II. Civil Rights Compliance / Employment Outreach / Nondiscrimination /Actions to Affirmatively Further Fair Housing

All information on activities or requirements provided in this section were carried out by DOH during PY 16.

A. Civil Rights Compliance

Recipients of HOME and SC/CDBG funds are required to undertake activities to demonstrate their compliance with applicable anti-discrimination laws and regulations. Because of the various activities eligible under these programs some or all of the following may apply:

- For housing projects with 5 or more units with one owner in common, affirmatively market housing units to persons identified as least likely to apply;
- Utilize newspapers and community resources targeted to members of minority groups to advertise the availability of housing, employment and contracting opportunities;
- Develop and implement a Tenant Selection Plan and Tenant Grievance Procedure;
- Include the Affirmative Action/Equal Opportunity Employer Statement and/or Fair Housing Statement and disability logo in all advertisements/notices;
- Provide employment and training opportunities to Section 3 residents and businesses and if required, have in place and implement a Section 3 Plan;
- Utilize the Connecticut Department of Administrative Services web site Directory of Small, Minority- and Women-Owned Businesses to solicit bids and to outreach to these firms. Points were given in the SC/CDBG application process to those Small Cities who could document utilization of these firms;
- Develop and implement a Fair Housing Action Plan;
- Provide a certification to affirmatively further Fair Housing;
- Develop and post a Fair Housing Policy Statement;
- Develop, post and implement an Affirmative Action Plan or Affirmative Action Policy Statement;
- Recipients are required to include in any sub-contracts the necessary affirmative action and equal employment opportunity provisions to demonstrate the subcontractor's compliance with applicable state and federal laws and regulations;
- Develop and post an American with Disabilities Act (ADA) Notice and Grievance Procedure;
- Post at their offices fair housing and anti-discrimination posters and equal opportunity in employment postings in English and in Spanish;
- Applicants are strongly encouraged to develop and implement or update a Section 504 Self-Evaluation and Transition Plan every 3 years. Points are given in the application process for those CDBG applicants who update and implement their plans; and
- Recipients are monitored to ensure that they implement the Fair Housing Action Steps as identified in their Fair Housing Action Plan. Points are given in the CDBG application process based on the number of documented action steps that were undertaken in the past three years.

B. Employment Outreach

To ensure that the DOH recipients of HOME and SC/CDBG funds provide equal opportunities in employment, contracting and the provision of services and benefits, DOH has incorporated requirements and guidelines pertaining to affirmative action, racial and economic integration and economic development opportunities for small, minority- and women-owned businesses in either the application or in the contract for financial assistance.

For the HOME Program, the dollar value of contracts reported for MBE, WBE and Section 3 is calculated based on HOME projects completed during the program year and may include financing from other than the HOME Program. There were no HOME contracts completed during the program year. There were five (5) HOME assisted rental properties assisted during the program year, all of which were minority owned, representing \$388,330 in assistance.

For the SC/CDBG Program, the dollar amount of contracts reported for MBE, WBE and Section 3 is calculated based on contracts awarded during the program year and may include financing from other than the SC/CDBG Program. The dollar amount of contracts that included SC/CDBG funding awarded to Minority-Owned Business Enterprises (MBE), was \$1,302,089.97 of which \$283,268.50 was awarded to firms owned by persons who are Black Americans, and \$966,466.47 was awarded to firms owned by persons who are Hispanic Americans. The dollar amount of contracts that included SC/CDBG funding awarded to Women-Owned Business Enterprises (WBE) was \$416,797.01 In addition, a total of \$1,396,617 was awarded in contracts for Section 3 firms.

In addition, DOH contracted with the Fair Housing Center to provide training opportunities for State employees, and grantees/contractors to address their Affirmative Fair Housing Marketing obligations. These included:

- Fair Housing Marketing Plan Training on May 15, 2017 in Hartford.
- Tenant Selection/Lease Review on May 30, 2017 in Hartford.
- Fair Housing Training at the Small Cities Application Workshop on January 23, 2017 in Hartford.

C. Nondiscrimination/Fair Housing

DOH will continue to administer the HOME and SC/CDBG programs in a nondiscriminatory manner, in accordance with equal opportunity, affirmative action and fair housing requirements. Recipients of HOME and SC/CDBG funds for housing related activities are required to comply with the following civil rights laws and regulations:

- Title VI of the Civil Rights Act of 1964;
- Title VIII of the Civil Rights Act of 1968, as amended;
- The Americans with Disabilities Act;
- Executive Orders 11063, 11246, and 12892;
- Section 3 of the Housing and Urban Development Act of 1968, as amended;
- Minority Small Business Enterprises – good faith effort, 24CFR 85.36(e);
- The Age Discrimination Act of 1975, as amended;
- Section 104(b) of Title I of the Housing and Community Development Act of 1974, as amended;

- Section 109 of Title I of the Housing and Community Development Act of 1974, as amended;
- Section 503 and 504 of the Rehabilitation Act of 1973, as amended;
- Sections 92.202 and 92.252, 24 CFR Part 92; and
- 24 CFR Part 85.36(e).

D. Applicable State Requirements

The following may be applicable to the HOME and SC/CDBG programs depending on the activities undertaken:

- Regulations of Connecticut State Agencies, Sections 8-37ee-300 through 8-37ee-314, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-1 through 8-37ee-17;
- Connecticut General Statutes (CGS) Sections 8-37t, 8-37-bb and 8-37dd promoting racial and economic integration;
- CGS Section 46a-64b regarding discriminatory housing practices; and
- 24 CFR Part 85.36 regarding good faith efforts to hire minority and women owned businesses.

The following are applicable to only the HOME program:

- Connecticut Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71 of the CGS;
- CGS Section 32-9e, Set-aside program for small-, minority- and women-owned firms; and
- 24 CFR 92.351a – Affirmative Marketing.

E. Program Assurances

Recipients must also comply with program assurances that they will affirmatively further fair housing in all their programs. Recipients must comply with the requirements of 24 CFR 91.25(a) (1), 24 CFR 91.325(a) (1), 24 CFR 91.425(a) (1) and 24 CFR 570.487(b). Each recipient is given a Fair Housing Handbook developed by DOH. The handbook contains information on state and federal fair housing laws, housing discrimination complaint procedures, model fair housing policies and guidelines, duty to affirmatively further fair housing, an overview of disability discrimination in housing, trends in fair housing, pertinent legal decisions, the State Analysis of Impediments to Fair Housing and a resource directory.

Accordingly, recipients of HOME and SC/CDBG funds, in compliance with their Certification to Affirmatively Further Fair Housing, were required to submit a Fair Housing Action Plan to DOH for review and approval. The plan must be consistent with the DOH's Fair Housing Action Plan Implementation Guidelines. All recipients of housing funds whether state or federal must provide the FHAP as a condition for funding. This plan has been and process has been adopted by DOH and will be implemented in the same fashion.

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG applications. During the review process, applications are evaluated for compliance with Title VI

and for Fair Housing/Equal Opportunity, and the ADA. In the evaluation system there is separate criteria for Fair Housing and Equal Opportunity for which points are awarded.

F. 24 CFR 92.351a – Affirmative Marketing – HOME Program

Recipients of HOME funds with projects with 5 or more HOME–assisted units must adopt DOH’s affirmative marketing procedures and requirements to affirmatively market units. DOH mandates that recipients utilize the Regulations of Connecticut State Agencies, Sections 8-37ee-1 through 8-37ee-17, and the Affirmative Fair Housing Marketing and Selection Procedures Manual, under Section 8-37ee-300 through 8-37ee-314 when planning and carrying out affirmative fair housing marketing activities. The DOH Affirmative Fair Housing Marketing Plan (AFHMP) format though stylistically different, mirrors the information required on the federal form HUD-935.2A Affirmative Fair Housing Marketing Plan-Multifamily Housing (5/2010). The State of Connecticut Affirmative Fair Housing Selection and Procedures Manual provides detailed information on the fair housing marketing process including how to prepare a marketing plan, timeframes, application process, tenant selection process and methodology, and how to proceed if insufficient number of least likely to apply applicants. The Manual also contains post occupancy requirements, training necessary for housing managers, and reporting requirements. This information is given to each HOME applicant with the application for financing. HOME funds are not awarded until the applicant’s AFHMP and required attachments are approved by DOH.

Assessing the Effectiveness of Affirmative Marketing for HOME projects

To assess the effectiveness of affirmative marketing, DOH has implemented a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis. Recipients provide the percentage of “least likely to apply” (LLA) residing in the project and currently on the project’s waiting list. The goal is a minimum of 20% of the total tenants and/or applicants on the waiting list. The report asks whether the owner’s marketing activities have been successful in attracting LLA, and, if not, what changes they will make to their marketing strategies in furtherance of this goal. The result for the reporting period for the last fiscal year was that 38% of the projects are meeting or exceeding the 20% goal.

G. Continuing Efforts to Affirmatively Further Fair Housing

DOH continues to provide the most recent statewide [Analysis of Impediments \(AI\) to Fair Housing Choice](#) on our website. What follows is a review of progress made on the previous year’s goals as outlined in the State AI.

Objective 1 – Increasing housing access for protected classes

- DOH entered into a contract from 7/1/16 to 6/30/18 with the Corporation for Independent Living for a total of \$1,000,000 from the Affordable Housing (Flex) Fund to finance the “Money Follows the Person Transition Program” for accessibility modifications to dwellings for people exiting long term care institutions and moving back into the community of their choice. In FY16-17 a total of 25 dwelling units were modified using these funds.
- DOH awarded \$34,683,485 in state bond funds to rehabilitate a total of nine (9) state public housing projects, preserving 539 units of family, elderly, congregate and limited equity cooperative housing. As a part of the contracting process, submission of an up-

to-date Affirmative Fair Housing Marketing Plan (AFHMP) and Tenant Selection Plan (TSP) that are in conformance with state regulations was mandatory. DOH has spent a considerable amount of time providing technical assistance to owners and managers of this housing in order to achieve compliance. Individual and group training was offered to applicants. More group training sessions will be offered to these housing providers by DOH in the next fiscal year and beyond.

- DOH is on the Board of Directors for the “Money Follows the Person” Medicaid Rebalancing Program and is active on its Housing Committee and others as required.
- DOH is on the Board of Directors for the Long Term Care Planning Committee, Supportive Housing Preservation Committee; Interagency Council on Supportive Housing and Homelessness; and CCEH Homeless Prevention and Rapid Re-housing Task Force.

Objective 2 – Increasing supply of affordable housing.

- DOH awarded \$54,370,000 under the Affordable Housing (Flex) and State Housing Trust Funds to twenty-five (25) housing projects during the SFY of 2016-17, which will result in more than 1,095 units of housing.
- 553 housing units were completed during SFY 2016-17, of which 464 were affordable.

Objective 3 – Begin systematic data collection on fair housing issues.

- DOH collects data on a quarterly basis from its grantees relative to Section 3 practices, affirmative fair housing action steps and activities.
- DOH is implementing a “Performance Report on Affirmative Fair Housing Marketing Results” which must be submitted to DOH on an annual basis detailing the percentage of “least likely to apply” (LLA) in residence and currently on the project’s waiting list.

Objective 4 – Increase training of state employees in the area of fair housing.

- The Connecticut State Legislature reaffirmed its commitment to civil rights and fair housing by authorizing \$600,000 for the SFY 2016-17 to the CT Fair Housing Center (FHC) to continue its work. As part of its duties the FHC provided training and technical assistance on an on-going basis to state employees from DOH, DSS, CHFA and DMHAS who work on fair housing issues and compliance.
- FHC worked with DOH staff to update the SC/CDBG application, process, and training materials for the SC/CDBG Application Workshop.

Objective 5 – Fair Housing outreach, education, and enforcement activities.

- Performed intakes and gave fair housing advice to 571 Connecticut households;
- Investigated 571 complaints of discrimination;
- Requested reasonable accommodations and reasonable modifications for 49 Connecticut residents with disabilities;
- Obtains reasonable accommodations and reasonable modifications for 35 Connecticut households without litigation or court action;
- Performed 39 tests designed to investigate any claims of housing discrimination;
- Provided 1,852 hours of legal assistance to the victims of housing discrimination;

- Opened up more than 500 units of housing to Connecticut residents in the protected classes.
- Provided information on the fair housing laws either orally or in writing to the victims of housing discrimination to ensure that they understand their rights and responsibilities under the fair housing laws educating 348 Connecticut residents;
- Spent 83 hours sending emails to members of the private bar to update them on the changes and developments in the law;
- Expanded homeowners' access to legal advice on foreclosure prevention by assisting the Judicial Branch with its foreclosure advice tables in New Haven, Bridgeport, and Stamford, and expanding this service to other courts around the state including Hartford and Waterbury by providing 266 hours of legal advice, training, and outreach support to the Judicial Branch and the volunteer attorneys participating in the program;
- Provided 169 hours of legal representation to homeowners in foreclosure and by appearing at a "lawyer for the day program" in foreclosure court at least once every month.
- Completed 67 intakes for homeowners in foreclosure and provided 284 hours of legal advice;
- Represented 20 homeowners in foreclosure in an effort to save their home and /or obtain a mortgage modification;
- Taught 20 classes to provide information on the legal foreclosure process to 215 households facing foreclosure in Norwalk, Stamford, New Haven and Hartford;
- Revised and updated the Center's "Statewide Moving Forward" guide to ensure that all resources are up to date and distributed to 1069 Connecticut residents in both English and Spanish;
- Created and distributed 300 "Moving Forward Guide" pamphlets for homebuyers that outline the steps to take when buying a home as well as highlight potential housing discrimination during the process;
- 775 copies of the Representing Yourself in Foreclosure Guide were distributed to Connecticut residents;
- Investigated mortgage lending in Connecticut's cities and to people of color to determine if redlining or lending discrimination is occurring with first time homebuyers by reviewing HMDA data. The Center uncovered at least one bank that seems to be redlining in Connecticut and another that seems to be steering people of color to subprime loans. In addition to analyzing HMDA data, the Center performed fair lending tests on several lenders.
- Reviewed Affirmative Fair Housing Marketing Plans and conducted a Tenant Selection/Lease Review.

The following education and training opportunities were provided:

- Trained 130 State employees on how to review Affirmative Fair Housing Marketing Plans on May 15, 2017 in Hartford;
- Trained 146 State employees on Tenant Selection/Lease Review on May 30, 2017 in Hartford; and
- Provided training to 30 people attending the Small Cities Application Workshop;

Objective 6 - Monitoring and enforcement of fair housing laws and policies.

The CFHC, with financial assistance from DOH, carried out the following:

- The Center is still working on completing 5 home sales tests to determine if households of color are steered in a discriminatory way;
- Monitored the performance of homeless shelters to determine if there is discrimination on the basis of gender identity or expression by performing 5 fair housing tests;
- Performed 5 mortgage lending tests to determine if there is discrimination in the home sales market;
- The Center is still deciding on next steps to ensure compliance with the anti-discrimination laws in regard to discrimination found as the result of the Center's monitoring activities.
- The Center has been in touch with mobility counselors to give them copies of the "Moving Forward Guide" for renters and to ask them to make referrals to the Center. The Center is monitoring its intakes to determine if referrals are being made by mobility counselors.

Small Cities Actions to Affirmatively Further Fair Housing

HUD has requested that "the state highlight the achievements of the SC-CDBG it funds in future PERs".

DOH has placed increased emphasis on the actions and achievements of the SC-CDBG beneficiaries. Applicants are scored in the application process on their ability to carry out the Local Action Steps outlined in the state's Analysis to Impediments to Fair Housing (AI). They are also monitored at project completion on the progress they have made or are making toward fulfilling the outcomes of the steps they have chosen. New applicants (defined as those that have not received a SC-CDBG grant in the past three years or more) are also rated on actions they have taken in furtherance of fair housing. The following achievements are based on a review of 29 grantees. The results are as follows:

Accomplishments by Action Step

- **Action Step 1** - Identify developable land within the municipality for developers of affordable housing – 18 towns;
- **Action Step 2** – Participate in regional planning efforts to ensure that there is affordable housing in a variety of locations – 14 towns;
- **Action Step 3** – Report municipal and regional racial and ethnic composition data in municipal POCDs – 6 towns;
- **Action Step 4** – Publish the municipality's POCD on its website – 17 towns;
- **Action Step 5** – Review occupancy ordinances, regulations and/or guidelines to ensure that the rules are not unnecessarily restrictive for families with children. At a minimum they should be in line with reasonable local fire and building codes – 6 towns;
- **Action Step 6** – Determine whether the zoning ordinances and other occupancy rules are enforced in a non-discriminatory way – 2 towns;
- **Action Step 7** – Review zoning ordinances to determine if they require special permits for affordable housing or require large lot sizes, low density requirements, or other policies that would make the development of affordable housing expensive and propose changes to such requirements – 4 towns;
- **Action Step 8** – If the municipality's zoning ordinance does not include a statement that people with disabilities have the right to request a reasonable accommodation of a change in any zoning ordinance, add this to the existing zoning ordinance – 5 towns;

- **Action Step 9** – if a municipality uses a residency or employment preference to select affordable housing tenants, it should conduct an analysis to determine if such requirements have an illegal disproportionate impact on non-Hispanic Blacks, Hispanics, people with disabilities, single-parent families, and people with housing subsidies – 1 town;
- **Action Step 10** – Maintain and make easily available comprehensive, current lists of available housing units, with a special emphasis on units in high-opportunity neighborhoods. Consider additional funding for housing authorities to support this effort – 9 towns;
- **Action Step 11** – Appoint a fair housing officer, have him or her trained on their duties and responsibilities as a fair housing officer, and publicize the person’s name, contact information, and job responsibilities – 23 towns;
- **Action Step 12** – Sponsor or work with housing provider associations to sponsor fair housing trainings for housing providers – 2 towns;
- **Action Step 13** – Refer complaints of housing discrimination to HUD, CHRO, or a private fair housing agency – 6 towns;
- **Action Step 14** – Provide Spanish (and possibly other languages) as an option on the main telephone line for reporting fair housing complaints or asking housing related questions – 0 towns; and
- **Action Step 15** – Pool resources to provide language access to LEP individuals on a regional basis including translating and making available vital housing forms in Spanish – 1 town.

III. HOME Program Requirements

A. Resource Allocation PY 2016-17

The following table (Table 16) provides a summary of the resource allocation for program year 2016-17.

Table 16: HOME Program Resource Allocation for PY 2016-2017	
State Administration (10%)	\$ 657,067
CHDO Set-aside (15%)	\$ 985,600
Subtotal	\$ 1,642,667
Program Income	\$ 14,600
Allocation available for other eligible activities	\$ 4,942,604
FY 2016-17 Allocation	\$ 6,570,671

B. Disbursements PY 2016-17

The following table (Table 17) provides a summary of disbursements for program year 2016-17.

Table 17: Summary of HOME Program Disbursements For Projects During PY 16-17	
FFY	Disbursement Amount
2009	\$3,075,780.00
2014	\$3,675,754.48
2015	\$9,072,258.69
2016	\$61,688.00
2017	\$0.00
Total	\$15,885,481.17

C. Summary of Allocations and Expenditures

The following table (Table 18) provides a summary of allocations and expenditures.

Table 18: Summary of HOME Program Allocations and Expenditures							
FFY 16-17 HOME Allocation	Total HOME Allocations to Date	Amount of HOME Funds Expended During PY 16-17	Total Amount of HOME Funds Expended to Date	FFY 16-17 Allocated Admin Funds	Total Admin Allocated To Date	Amount of Admin Funds Expended During PY 16-17	Total Amount of Admin Funds Expended to Date
\$ 6,570,671	\$ 247,909,186	\$ 6,530,149	\$ 232,253,364	\$ 657,067	\$ 24,790,918	\$ 1,603,898	\$ 21,583,269

D. Contracted PY 2016-17 The following table (Table 19) provides a summary of contracts during the program year.

Table 19: HOME Program Projects Contracted During Program Year 7/1/2016 to 6/30/2017											
Recipient Name	Project Name	Project Location	Project Description	Estimated Project Cost	Proposed HOME Investment \$	Proposed Funding Type	Year Funded From	Activity	Unit Type	Home Units	Committed
The Access Agency	Accessing Home Danielson	Killingly	Acq./Rehab	\$2,364,800	\$2,008,000	Loan	PY 15	Rehab	R	9	Yes

E. Summary of Geographic Distribution

The following table (Table 20) provides a summary of geographic distribution.

Table 20: HOME Projects Contracted During PY 16-17 Summary of Geographic Distribution	
County	HOME \$
Windham	\$ 2,008,000
Total	\$ 2,008,000

F. Summary of Activities

The following table (Table 21) provides a summary of activities undertaken during the program year.

Table 21: HOME Program Project Contracted During PY 2016-17 Summary by Activity		
Activity	HOME Funding	Number of Projects
Rehab/Rental	\$2,008,000	1
Total	\$ 2,008,000	1

G. Leveraged Funds

The following table (Table 22) provides a summary of leveraged funds.

Table 22: HOME Projects Contracted During PY16-17 Identifying Funding Leveraged							
Project Name	Project Number	Project Location	Proposed HOME Investment	Public Sector Financing	Private Financing/ Equity	Total Financing Leveraged	Total Estimated Project Cost (\$)
Accessing Home Danielson	HM406901	Killingly	\$ 2,008,000	\$ 0	\$356,800	\$ 356,800	\$ 2,364,800
Total			\$ 2,008,000	\$ 0	\$356,800	\$ 356,800	\$ 2,364,800

H. Match Funds

The following tables (Table 23a and Table 23b) provide a summary of matching funds.

Table 23a: Summary of HOME Match Activity for PY 2016-17							
Match Contributed During PY	Excess Match Banked from Prior PY s	Total Match Funds Available	Source of Match Funds	Disbursement Requiring Match	Required Match %	Match Liability Amount	Excess Match to Carry Over to Next PY
\$0	\$23,744,130.58	\$23,744,130.58	State Funds	\$8,912,533.52	12.50%	\$1,114,066.69	\$22,630,063.89

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Table 23b: HOME Match Liability History

Fiscal Year	Match Percentage	Total Disbursement	Disbursements Requiring Match	Match Liability Amount	HOME matching funds provided	Total HOME Matching Funds Provided	Balance per Fiscal Year
1992	25%	\$8,836,483.10	\$0.00	\$0.00	\$15,000,000.00	\$15,000,000.00	\$15,000,000.00
1993	25%	\$7,687,259.00	\$7,082,859.00	\$1,770,714.75	\$17,924,131.53	\$32,924,131.53	\$31,153,416.78
1994	25%	\$3,850,801.08	\$3,172,001.08	\$793,000.27	\$4,736,422.67	\$37,660,554.20	\$35,096,839.18
1995	25%	\$6,672,989.73	\$5,883,389.72	\$1,470,847.43		\$37,660,554.20	\$33,625,991.75
1996	25%	\$8,084,326.89	\$7,226,826.88	\$1,806,706.72		\$37,660,554.20	\$31,819,285.03
1997	25%	\$7,006,306.16	\$6,590,406.16	\$1,647,601.54		\$37,660,554.20	\$30,171,683.49
1998	25%	\$3,398,893.88	\$2,219,988.60	\$554,997.15		\$37,660,554.20	\$29,616,686.34
1999	25%	\$2,684,788.60	\$2,398,193.88	\$599,548.47		\$37,660,554.20	\$29,017,137.87
2000	25%	\$4,691,397.14	\$3,264,527.80	\$816,131.95	\$300,000.00	\$37,960,554.20	\$28,501,005.92
2001	25%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90		\$37,960,554.20	\$26,453,269.02
2002	25%	\$25,565,862.69	\$22,688,077.84	\$5,672,019.46	\$13,393,233.00	\$51,353,787.20	\$34,174,482.56
2003	12.5%	\$10,746,242.32	\$9,688,684.38	\$1,211,085.55		\$51,353,787.20	\$32,963,397.01
2004	12.5%	\$13,164,467.80	\$11,870,651.02	\$1,483,831.38		\$51,353,787.20	\$31,479,565.63
2005	25%	\$11,569,009.26	\$9,872,451.00	\$2,468,112.75		\$51,353,787.20	\$29,011,452.88
2006	25%	\$6,811,972.53	\$5,744,907.25	\$1,436,226.81	\$500,000.00	\$51,853,787.20	\$28,075,226.07
2007	25%	\$15,321,802.41	\$13,883,604.34	\$3,470,901.08		\$51,853,787.20	\$24,604,324.99
2008	25%	\$9,727,683.65	\$8,465,697.99	\$2,116,424.49	\$200,000.00	\$52,053,787.20	\$22,687,900.50
2009	25%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50		\$52,053,787.20	\$19,899,738.00
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99	\$2,511,286.00	\$54,565,073.20	\$20,104,595.01
2011	12.5%	\$15,756,442.03	\$15,075,076.74	\$1,884,384.59		\$54,565,073.20	\$18,220,210.42
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86	\$2,766,986.00	\$57,332,059.20	\$17,716,303.56
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45	\$1,676,000	\$59,008,059.20	\$17,636,258.11
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$ 722,233.37		\$59,008,059.20	\$16,914,024.74
2015	12.5%	\$2,759,153.35	\$2,559,153.35	\$319,894.16	\$7,175,000	\$66,173,059.20	\$23,744,130.58
2016	12.5%	\$9,846,211.60	\$8,912,533.52	\$1,114,066.69	0	\$66,173,059.20	\$22,630,063.89

I. Program Income Activity

The following table (Table 24a) provides a summary of projects for which Program Income was committed during the Program Year.

No projects were funded using Program Income during the Program Year.

The following table (Table 24b) provides a summary of program income expenditure activity.

Table 24b: HOME Program Income Activity for PY 2016-17			
Balance Carried Forward from Previous PY	Amount Received During PY 16-17	Amount Expended During PY 16-17	Balance to be Carried Forward to Next PY
\$ 0	\$ 110,294.70	\$ 0	\$ 110,294.70

J. MBE/WBE Activity

The following table (Table 25) provides a summary MBE/WBE activity.

Table 25: HOME Program -Summary of Dollar Value of MBE & WBE Contracts Closed during PY 2016-17					
Project Name	Project Sponsor	Project Location	Dollar Amount MBE	Dollar Amount WBE	Total
ACCESSING HOME Danielson	The ACCESS Agency Inc.	Willimantic	\$ -	\$ 338,330	\$ 338,330
Total			\$ -	\$ 338,330	\$ 338,330

** the dollar value of MBE & WBE contracts are based on HOME Projects closed during the PY and include financing from other than HOME Program.*

K. Property Acquisition/ Relocation

Table 26 has been omitted due to no activity relative to Property Acquisition/Relocation.

L. Community Housing Development Organization Awards

The following table (Table 27) provides a summary of HOME projects contracted during PY 16-17 that represent awards to State-designated CHDOs.

There were no CHDO projects awarded during the Program Year.

M. Compliance Monitoring

The following table (Table 28) provides a summary of compliance monitoring.

Table 28: HOME Program Summary of Rental Projects Monitored During PY 2016-17											
Project Location	Project Name	Project Number	Activity	Unit Type	HOME Units	Stage of Project	Type of Monitorings	Date of Monitoring	# of Visits	Physical Inspection	Affordability
Thomaston	Thomaston Valley	HM200217	NC	R	14	Occ	Unit/File Insp	10/8/2016	1	HQS Compliant	PassIncTest
Trumbull	Huntington Place	HM040144401	NC	R	11	Occ	Unit/File Insp	10/27/2016	1	HQS Compliant	PassIncTest
NewHaven	Gilbert Avenue	HM0511801	Rehab	R	10	Occ	Unit/File Insp	6/1/2015	1	Phys Def/Corr Made	PassIncTest
New Britain	Skrentney Block	20010890080570001A	Rehab	R	5	Occ	Unit/File Insp	3/24/2017	1	Phys Def/Corr Made	PassIncTest
New Britain	SkrentneyInFill	HM0608901	NC	R	4	Occ	Unit/File Insp	3/24/2017	1	Phys Def/Corr Made	PassIncTest
Plymouth	Quail Hollow 1		NC	R	6	Occ	Unit/File Insp	6/6/2017	1	HQS Compliant	PassIncTest
Plymouth	Quail Hollow II	20001110020579153A	NC	R	8	Occ	Unit/File Insp	6/6/2017	1	HQS Compliant	PassIncTest
Activity Key:			Stages Key:			Type of Monitoring Key:					
NC = New Construction			Dev =Construction			Unit Inspection					
Rehab = Rehabilitation			Compl =Initial Occupancy			Sponsor Files/Labor Files					
HO = Homeownership			Occ =Ongoing occupancy			Site Visit					
R = Rental						Inspec. Files					

N. Technical Assistance/Training

The following table (Table 29) provides a summary of technical assistance/training.

Table 29: HOME and CDBG-SC Program Workshops & Technical Assistance Provided During 2016-17 Program Year					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
CHAMP 10 NOFA and Application Workshop	Presentation of NOFA (HOME), updates on changes to CONAPP and changes to process	10/17/2016	Hartford, CT	Non-profit, for profits housing development organizations and housing authorities	55
Housing Innovations: Housing for Homeless Youth and other Supportive Housing	Presentation of NOFA (HOME/FLEX/NHTF), updates on changes to CONAPP	10/5/2016	One-on-One Technical Assistance, as necessary	Non-profit housing development organizations and service providers	30
Affordable Homeownership NOFA and Webinar	Presentation of NOFA (HOME/FLEX/HTF), updates on changes to CONAPP and changes to process	12/14/2016	Webinar	Non-profit, for profits housing development organizations and housing authorities	35
CDBG-SC and Affirmatively Furthering Fair Housing	CDBG-SC Application Workshop, updates on changes to application, changes to the process, and impact relative to affirmatively furthering fair housing	1/23/2017	Hartford, CT	Applicants for Small Cities grants	75

O. Closed Out PY 2016-17

Table 30 has been omitted as there were no projects closed out during the program year 2016-17.

IV. SC/CDBG Program Requirements

A. Resource Allocation PY 2016-17

The following table (Table 31) provides a summary of the resource allocation for program year 2016-17.

Table 31: SC/CDBG Program Resource Allocation for PY 2016-2017	
State Administration (2%)	\$ 243,257
Additional \$100,000 State Administration	\$ 100,000
Technical Assistance (1%)	\$ 121,628
Subtotal	\$ 464,885
Urgent Need (up to \$500,000)	\$ 0
Allocation available for all other eligible activities	\$ 11,697,978
FY 2014-15 Allocation	\$ 12,162,864

Source: DOH

B. Allocation and Expenditure for Administration

The following table (Table 32) provides a summary of allocations and expenditures for administration.

Table 32: SC/CDBG Program Funds Expended on Administration During PY 2016-17			
FFY 13-14 SC/CDBG Program Allocation Funding Allowed for Admin.		Amount of SC/CDBG Program Funding Expended on Admin.	Amount of Matching Funds Provided by State Toward Admin.
\$ 100,000	State Admin.	\$ 343,257	\$ 243,257
\$ 243,257	2% of Allocation		

Source: DOH

Note: State matching funds are required for the 2% of Allocation used for administration. The required match amount is calculated on a dollar-for-dollar basis. The source of the state matching funds is General Funds.

C. Contracted PY 2016-17

The following table (Table 33) provides a summary of contracts during the PY 2016-17.

Table 33: SC/CDBG Program Projects Contracted During Program Year 7/1/2016 to 6/30/2017											
Recipient/ Location Project#	Grant #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	HO/ Rental	NC/ Rehab	Public Hsng	Multi-family/ Elderly	Date AG Signed Contract
Ansonia	SC1600201	Ansonia Hsng Rehab Prog.	\$ 400,000	2016	HR	10	HO	Rehab			9/22/2016
Ashford	SC1600301	Ashford Food Program	\$ 56,847	2016	PS	10		Rehab			9/26/2016
Cheshire	SC1602501	Cheshire Housing Rehab	\$ 400,000	2016	HR	10	HO	Rehab	Yes		9/22/2016
Colchester	SC1602801	ADA & Capital Improve at Dublin Village	\$ 800,000	2016	PHMOD	40	R	Rehab		E	9/15/2016
Durham	SC1603801	Energy Improve / Upgrades at Mauro Meadows Hsng Complex	\$ 700,000	2016	PHMOD	24	R	Rehab	Yes	E	9/15/2016
East Granby	SC1604001	Roofing Site and Energy Improvements at Metacomt Village	\$ 800,000	2016	PHMOD	28	R	Rehab		E	8/22/2016
East Windsor	SC1604701	ADA Fire Safety/Emergency Improv. at Park Hill Elderly Housing	\$ 599,000	2016	PHMOD	84	R	Rehab		E	9/21/2016
Ellington	SC1604801	Ellington Housing Rehabilitation Program	\$ 450,000	2016	HR		HO	Rehab	Yes		9/19/2016
Granby	SC1605601	Salmon Brooke Fire Safety, Security, ADA & Energy Improvements	\$ 800,000	2016	PHMOD	32	R	Rehab		E	9/26/2016
Groton	SC1605901	Pequot Village Elderly Housing Renovations	\$ 800,000	2016	PHMOD	104	R	Rehab		E	10/16/2016
Hampton	SC1606301	Hampton Regional Housing Rehabilitation Program	\$ 450,000	2016	HR	14	HO	Rehab	Yes		9/15/2016
Killingly	SC1606901	Maple Court Congregate Rehabilitation Project	\$ 800,000	2016	PHMOD	43	R	Rehab		E	11/21/2016
Rocky Hill	SC1611901	Harold J. Murphy Senior Housing Improvements	\$ 800,000	2016	PHMOD	30	R	Rehab		E	9/15/2016
Seymour	SC1612401	Seymour Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab			9/26/2016
Shelton	SC1612601	Helen Devauz Housing Complex-PHMOD	\$ 800,000	2016	PHMOD	40	R	Rehab		E	10/6/2016
Southbury	SC1613001	Southbury Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab	Yes		9/22/2016
Sprague	SC1613301	River St. Reconstruction-1st and 2nd Ave	\$ 500,000	2016	Street						10/6/2016
Trumbull	SC1614401	Stern Village Senior Housing Energy Improvements	\$ 800,000	2016	PHMOD	176	R	Rehab	Yes	E	9/26/2016
Voluntown	SC1614701	Greenwood Manor Public Housing Modernization	\$ 700,000	2016	PHMOD	20	R	Rehab		E	9/5/2016
Wallingford	SC1614801	McGuire Court Fire safety, Roof Replacements and Site Improvements	\$ 800,000	2016	PHMOD	44	HO	Rehab		E	9/19/2016
Woodbury	SC1616801	Woodbury Housing Rehabilitation Program	\$ 400,000	2016	HR	10	HO	Rehab			9/26/2016
Total			\$ 12,655,847			739					

D. Summary of Geographic Distribution

The following table (Table 34) provides a summary of geographic distribution.

Table 34: CDBG Projects Contracted During PY 2016-17 Summary of Geographic Distribution	
County	CDBG \$
Fairfield	1,600,000
Hartford	2,999,000
Litchfield	400,000
Middlesex	700,000
New Haven	2,400,000
New London	2,800,000
Tolland	450,000
Windham	1,306,847
Total	\$ 12,655,847

E. Summary of Activities

The following table (Table 35) provides a summary of activities undertaken during the program year.

Table 35: SC/CDBG Program Projects Contracted During PY 2016-17 Summary by Activity		
Activity	Total Funding	Number of Projects
Homeowner Rehabilitation	\$ 2,900,000	7
Public Housing Rehabilitation	\$ 9,199,000	12
Total Housing	\$ 12,099,000	19
Public Service	\$ 56,847	1
Water/Sewer/Street Improvements	\$ 500,000	1
Total Public Facilities	\$ 556,847	2
Total	\$ 12,655,847	21

F. Leveraged Funds

The following table (Table 36) provides a summary of leveraged funds.

Table 36: CDBG Projects Contracted During PY 16-17 Identifying Funding Leveraged					
Project Description	Project Number	Project Location	Proposed CDBG Investment	Total Financing Leveraged	Total Estimated Project Cost (\$)
Ansonia Housing Rehabilitation Program	SC1600201	Ansonia	\$400,000.00	\$ -	\$ 400,000.00
Ashford Food Program	SC1600301	Ashford	\$56,847.34	\$ -	\$ 56,847.34
Cheshire Housing Rehabilitation Program	SC1602501	Cheshire	\$400,000.00	\$ 10,000.00	\$ 410,000.00
ADA & Capital Improvements at Dublin Village	SC1602801	Colchester	\$800,000.00	\$ -	\$ 800,000.00
Energy Improvements & Upgrades at Mauro Meadows Housing Complex	SC1603801	Durham	\$700,000.00	\$ -	\$ 700,000.00
Roofing Site and Energy Improvements at Metacomet Village	SC1604001	East Granby	\$800,000.00	\$ 41,000.00	\$ 841,000.00
ADA Fire Safety & Emergency Improvements at Park Hill Elderly Housing	SC1604701	East Windsor	\$599,000.00	\$ 79,871.00	\$ 678,871.00
Ellington Housing Rehabilitation Program	SC1604801	Ellington	\$450,000.00	\$ 50,000.00	\$ 500,000.00
Salmon Brooke Fire Safety, Security, ADA & Energy Improvements	SC1605601	Granby	\$800,000.00	\$ -	\$ 800,000.00
Pequot Village Elderly Housing Renovations	SC1605901	Groton	\$800,000.00	\$ 80,000.00	\$ 880,000.00
Hampton Regional Housing Rehabilitation Program	SC1606301	Hampton	\$450,000.00	\$ 90,000.00	\$ 540,000.00
Maple Court Congregate Rehabilitation Project	SC1606901	Killingly	\$800,000.00	\$ 29,950.00	\$ 829,950.00
Harold J. Murphy Senior Housing Improvements	SC1611901	Rocky Hill	\$800,000.00	\$ 103,700.00	\$ 903,700.00
Seymour Housing Rehabilitation Program	SC1612401	Seymour	\$400,000.00	\$ -	\$ 400,000.00
Helen Devauz Housing Complex-PHMOD	SC1612601	Shelton	\$800,000.00	\$ 26,000.00	\$ 826,000.00
Southbury Housing Rehabilitation Program	SC1613001	Southbury	\$400,000.00	\$ -	\$ 400,000.00
River St. Reconstruction-1st and 2nd Ave	SC1613301	Sprague	\$500,000.00	\$ 50,000.00	\$ 550,000.00
Stern Village Senior Housing Energy Improvements	SC1614401	Trumbull	\$800,000.00	\$ 272,554.00	\$ 1,072,554.00
Greenwood Manor Public Housing Modernization	SC1614701	Voluntown	\$700,000.00	\$ 15,000.00	\$ 715,000.00
McGuire Court Fire safety, Roof Replacements and Site Improvements	SC1614801	Wallingford	\$800,000.00	\$ 33,500.00	\$ 833,500.00
Woodbury Housing Rehabilitation Program	SC1616801	Woodbury	\$400,000.00	\$ -	\$ 400,000.00
Total			\$ 12,655,847	\$ 881,575	\$ 13,537,422.34

Source: DOH

Key: Refer to the Key Appendix

G. 1% Technical Assistance Funds

The following table (Table 37) provides a summary of 1% technical assistance funds.

Table 37: SC/CDBG 1% Technical Assistance Funds Expended During PY: 2016-2017	
Activity	Amount
Conference/Seminar/Workshop	\$ 990.00
Payroll	\$ 50,222.71
Catering	\$ 420.00
Total	\$ 51,632.71

Source: DECD, OFA

H. De-obligated Funds

The following table (Table 38) provides a summary of de-obligated funds.

Table 38: SC/CDBG Program De-Obligated: 2016-2017				
Town	Project #	Fund Year	Old Activity	Funds De-Obligated
Town of Plainfield	SC1210901	2012	Public Housing Modernization	\$ 198,606
Town of Franklin	SC1405301	2014	Public Housing Modernization	\$ 298,203
Town of Franklin	SC1405301	2014	Administration	\$ 26,500
Town of Plainville	SC1110001	2011	Housing Rehab	\$ 290,500
Town of Litchfield	SC1207401	2012	Public Housing Modernization	\$ 16,337
Total				\$ 539,646

Source: DOH, OFA

I. MBE/WBE Activity

The following table (Table 39) provides a summary MBE/WBE activity.

Table 39: SC/CDBG Program - Summary of Dollar Value of MBE & WBE Contracts for PY 2016-2017				
Grantee	Project Number	Dollar Amount MBE	Dollar Amount WBE	Total
Ashford	SC1500201	\$ 0	\$77,640	\$77,640
Bethlehem	SC1401001	\$28,286	\$ 0	\$28,286
Branford	SC1401401	\$ 0	\$25,000	\$25,000
Cheshire	SC1602501	\$ 0	\$25,270	\$25,270
Ellington	SC1604801	\$18,000	Both	\$18,000
Hampton	SC1506301	\$ 0	\$29,995	\$29,995
Hampton	SC1606301	\$18,000	\$ 0	\$18,000
Killingly	SC1406901	\$ 0	\$292,895	\$292,895
Killingly	SC1506901	\$ 0	\$47,615	\$47,615
Lebanon	SC1507101	\$ 0	\$74,305	\$74,305
Ledyard	SC1507201	\$ 0	\$9,535	\$9,535
Middlebury	PI	\$105,824	\$ 0	\$105,824
Naugatuck	PI	\$10,000	\$ 0	\$10,000
Plainville	PI	\$27,900	\$ 0	\$27,900
Plainville	SC1511001	\$74,360	\$ 0	\$74,360
Preston	SC1411401	\$12,000	\$ 0	\$12,000
Roxbury	SC1312001	\$ 0	\$8,500	\$8,500
Salem	SC1412101	\$100,850	\$ 0	\$100,850
Seymour	SC1612401	\$ 0	\$17,250	\$17,250
Simsbury	SC1512801	\$ 0	\$108,563.25	\$108,563.25
Southbury	SC1517001	\$ 0	\$40,500	\$40,500
Southington	SC1513101	\$41,456	\$64,943.54	\$106,399.54
Thomaston	PI	\$37,894	\$ 0	\$37,894
Thomaston	SC1414001	\$344,945	\$ 0	\$344,945
Tolland	PI	\$ 0	\$28,667.66	\$28,667.66
Torrington	SC1514301	\$116,630	\$ 0	\$116,630
Vernon	SC1314601	\$160,829.47	\$ 0	\$160,829.47
Voluntown	SC1614701	\$ 0	\$22,000	\$22,000
Windsor	SC1641401	\$14,000	\$ 0	\$14,000
Wolcott	SC1516601	\$96,376.50	\$ 0	\$96,376.50
Woodbury	SC1616801	\$ 0	\$10,580	\$10,580
Total		\$1,187,550.97	\$883,259.45	\$2,070,810.42

Source: DOH

J. Program Objectives

Statutory Requirements of Section 104(e)

Please note the objectives listed here are separate and non-relating to the Goals and Objectives contained in the Consolidated Plan.

Assessment of the Relationship of the Use of Funds to State's Objectives

DOH has established two program priority objectives and nine secondary objectives for the SC/CDBG Program. The program priority objectives are the creation or preservation of affordable housing and the enhancement of employment opportunities for low and moderate-income persons. The nine additional objectives range from housing issues to coordinated strategies for neighborhood revitalization.

Program Priority Objectives:

Affordable Housing

Affordable housing continues to be the highest priority for DOH's SC/CDBG program. The SC/CDBG program has defined Affordable Housing as that housing which meets the Section 8, Fair Market Rent (FMR) limits after rehabilitation or construction. DOH continues to require that FMRs be applied for a minimum of five years after unit completion. The following is a breakdown of funding dedicated to Affordable Housing activities.

Table 40: SC/CDBG Program Summary of Affordable Housing Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Affordable Housing	% of Funding for Affordable Housing
1996	\$ 14,124,080	\$ 911,453	\$ 5,007,102	35%
1997	\$ 13,952,390	\$ 1,048,560	\$ 5,189,373	37%
1998	\$ 13,523,650	\$ 983,877	\$ 3,848,354	28%
1999	\$ 13,660,420	\$ 1,114,059	\$ 2,929,505	21%
2000	\$ 13,695,880	\$ 954,302	\$ 5,737,318	42%
2001	\$ 14,266,670	\$ 1,148,546	\$ 5,083,525	36%
2002	\$ 14,269,580	\$ 1,017,857	\$ 5,142,332	36%
2003	\$ 14,970,890	\$ 1,442,345	\$ 6,879,462	46%
2004	\$ 15,289,457	\$ 1,260,500	\$ 4,789,500	31%
2005	\$ 14,554,078	\$ 1,222,123	\$ 5,753,600	40%
2006	\$ 13,135,742	\$ 300,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 6,752,000	51%
2008	\$ 12,860,432	\$ 1,435,000	\$ 6,475,000	50%
2009	\$ 12,800,000	\$ 1,350,000	\$ 8,425,000	66%
2010	\$ 12,471,500	\$ 1,280,500	\$ 9,207,000	74%
2011	\$ 12,272,000	\$ 1,233,500	\$ 9,030,500	74%
2012	\$ 11,352,263	\$ 1,121,442	\$ 9,832,840	87%
2013	\$ 11,850,000	\$ 1,162,000	\$ 11,350,000	96%
2014	\$ 12,851,155	\$ 706,500	\$ 10,902,866	85%
2015	\$ 11,994,526	\$ 663,720	\$ 9,976,306	83%
2016	\$ 12,655,847	\$ 652,920	\$ 10,902,866	86%

Source: DOH

Economic Development

In contrast to affordable housing, funding for economic development activities was at a very low level from FFY '85 – FFY '92. Though the rating and ranking system continued to give priority to economic development projects, very few applications containing such activities had been submitted for funding.

To increase economic development activities, the State created an intensive SC/CDBG economic development technical assistance program and an economic development set-aside. Although the result of this effort was a dramatic increase in both the amounts of SC/CDBG funds requested for economic development activities as well as the number of activities funded, the economic development set-aside was eliminated for FFY '97 and FFY '98 but included again for FFY '99 and FFY '00. The set-aside was again eliminated in 2001 and has not been re-established.

In addition, training has been conducted specifically on Economic Development (ED). This training was for staff as well as applicants. It included an updated ED handbook, review of requirements and how to submit an ED application. Following is a breakdown of funding dedicated to Economic Development activities.

Table 41: SC/CDBG Program Summary of Economic Development Activity				
FFY	Amount Obligated to Recipients	Funds for Local Administration	Economic Development	% of Funding for Economic Development
1996	\$ 14,124,080	\$ 911,453	\$ 2,414,423	17%
1997	\$ 13,952,390	\$ 1,048,560	\$ 1,657,630	12%
1998	\$ 13,523,650	\$ 983,877	\$ 1,338,654	10%
1999	\$ 13,660,420	\$ 1,114,059	\$ 986,667	7%
2000	\$ 13,695,880	\$ 954,302	\$ 750,145	5%
2001	\$ 14,266,670	\$ 1,148,546	\$ 1,527,376	11%
2002	\$ 14,269,580	\$ 1,017,857		0%
2003	\$ 14,970,890	\$ 1,442,345	\$ 450,653	3%
2004	\$ 15,289,457	\$ 1,260,500		0%
2005	\$ 14,554,078	\$ 1,222,123	\$ 985,000	7%
2006	\$ 13,135,742	\$ 300,000		0%
2007	\$ 13,219,057	\$ 1,220,750		0%
2008	\$ 12,830,432	\$ 1,435,000		0%
2009	\$ 12,800,000	\$ 1,350,000		0%
2010	\$ 12,471,500	\$ 1,280,500		0%
2011	\$ 12,272,000	\$ 1,233,500		0%
2012	\$ 11,352,263	\$ 1,121,442		0%
2013	\$ 11,850,000	\$ 1,162,000		0%
2014	\$ 12,851,155	\$ 706,500		0%
2015	\$ 11,994,526	\$ 663,720		0%
2016	\$ 12,655,847	\$ 652,920		0%

Source: DOH

Program Secondary Objectives:

Shelter for the Homeless

Shelter for the homeless has been identified as statewide priority. In addition to SC/CDBG funding, there are both state and federal programs to assist homeless shelters. Though shelters for the homeless remain a program objective, the establishment of the ESG program has greatly reduced the requests of SC/CDBG funds to be used for this purpose.

Revitalization of Deteriorated Residential and /or Business Districts

The revitalization of deteriorated residential and/or business districts has been identified as a priority for DOH under neighborhood revitalization strategies in the 1999 Annual Action Plan. Priority is given to SC/CDBG proposals, which demonstrate a coordinated effort to revitalize such districts.

Leveraging of non-SC/CDBG Funds

The leveraging of non-SC/CDBG funds is taken into consideration under the application evaluation system under the evaluation criteria of "project feasibility". The leveraging of non-SC/CDBG funds results in higher application scores and higher funding priority. Once projects are approved for funding this information is traced through quarterly reports.

Provision of Housing

SC/CDBG applications that include the provision of housing in proximity to jobs and community facilities receive greater community impact scores and thus have a higher funding priority.

Enforcement of Housing and Health Codes

DOH's SC/CDBG program has adopted the Section 8 Housing Quality Standards as the minimum standard for all housing rehabilitation activities funded through this program. In addition, all grantees are required to meet local health and housing codes. Code enforcement programs are also encouraged as long as they meet HUD requirements concerning area-wide low and moderate-income benefit.

Equal Opportunity and Affirmative Action

The promotion and enforcement of equal opportunity and affirmative action laws and regulations in housing, economic development, and employment is a standard requirement of all SC/CDBG proposals. During the application review process applications are reviewed for compliance with Title VI and for Fair Housing/Equal Opportunity. In the application evaluation system there is a separate evaluation criteria for Fair Housing and Equal Opportunity for which points are awarded.

Promotion of Land Use

The promotion of land use policies that encourage equal opportunity in housing, economic development, and employment. The State has passed legislation easing the planning and zoning burdens for projects proposing to create affordable housing in zoning restrictive communities. The SC/CDBG program encourages such programs and applications to receive extra points under the Community Impact and Fair Housing/Equal Opportunity categories.

Promotion of Community Facilities

The promotion of community facilities as part of a neighborhood revitalization effort is a key SC/CDBG program component as evidenced by the high percent of such facilities funded each year.

Promotion of Coordinated Strategies to Meet the Needs of Connecticut Communities

DOH continues to fund applications for neighborhood revitalization and public facility projects through the current rating and ranking system. Each applicant for funding is required to provide information in the application pertaining to project need in the community and the impact of the project on the community. The proposed applications activities are reviewed in light of the information provided.

K. Benefit to L.M.I. Persons

Evaluation of the Extent to Which the Program Benefited Low and Moderate Income Persons:

The figures below are given to document the extent to which DOH's SC/CDBG funds have benefited low and moderate-income persons. This information clearly documents that the vast majority of SC/CDBG funds benefit low and moderate-income persons.

Table 42: SC/CDBG Program Summary of Low Moderate Income Benefit Activity					
FFY	Amount Obligated to Recipients	Funds for Local Administration	Amount Meeting National Objective	Amount of Funding to which Benefit Test Applies	% of Low/Moderate Benefit
1996	\$ 14,124,080	\$ 911,453	\$ 12,789,282	\$ 12,289,282	87%
1997	\$ 13,952,390	\$ 1,048,560	\$ 12,853,855	\$ 12,840,241	92%
1998	\$ 13,523,650	\$ 983,877	\$ 12,464,934	\$ 12,060,125	89%
1999	\$ 13,660,420	\$ 1,114,059	\$ 11,964,878	\$ 11,964,878	88%
2000	\$ 13,695,880	\$ 954,302	\$ 12,203,362	\$ 11,968,415	87%
2001	\$ 14,266,670	\$ 1,148,546	\$ 12,311,495	\$ 11,267,747	79%
2002	\$ 14,269,580	\$ 1,017,857	\$ 12,155,316	\$ 11,490,613	81%
2003	\$ 14,970,890	\$ 1,442,345	\$ 14,644,230	\$ 14,204,230	95%
2004	\$ 15,289,457	\$ 1,260,500	\$ 14,462,969	\$ 14,112,969	92%
2005	\$ 14,554,078	\$ 1,222,123	\$ 13,585,877	\$ 12,713,100	87%
2006	\$ 13,135,742	\$ 300,000	\$ 2,896,000	\$ 2,636,000	20%
2007	\$ 13,219,057	\$ 1,220,750	\$ 12,024,250	\$ 12,024,250	91%
2008	\$ 12,830,432	\$ 1,435,000	\$ 11,869,000	\$ 11,869,000	93%
2009	\$ 12,800,000	\$ 1,350,000	\$ 12,300,000	\$ 12,300,000	96%
2010	\$ 12,471,500	\$ 1,280,500	\$ 11,930,713	\$ 11,930,713	96%
2011	\$ 12,272,000	\$ 1,233,500	\$ 11,149,000	\$ 11,149,000	91%
2012	\$ 11,352,264	\$ 1,121,442	\$ 10,230,822	\$ 10,230,822	90%
2013	\$ 11,850,000	\$ 1,162,000	\$ 10,688,000	\$ 10,688,000	90%
2014	\$ 12,851,155	\$ 706,500	\$ 12,144,655	\$ 12,144,655	95%
2015	\$ 11,994,526	\$ 663,720	\$ 11,330,806	\$ 11,330,806	94%
2016	\$ 12,655,847	\$ 652,920	\$ 12,002,927	\$ 12,002,927	95%

Source: DOH

K. Compliance Monitoring

The following table (Table 43) provides a summary of compliance monitoring.

Table 43: CDBG Program Summary of Projects Monitored During PY 2016–17						
Project Location	Project Name	Project Grant Year	Activity	Dollar Amount	Type of Monitoring	Date of Monitoring
East Haddam	Oak Terrace	SC14	PH/MOD	\$791,210	Close-out	7/26/16
Salisbury	Housing Rehab Program	SC13	HR	\$600,000	Close-out	08/02/16
Derby	Housing Rehab Program	SC11	HR	\$300,000	Close-out	08/16/16
Canterbury	Housing Rehab Program	SC13	HR	\$400,000	Close-out	08/23/16
Hampton	Housing Rehab Program	SC13	HR	\$450,000	Close-out	08/30/16
New Fairfield	Housing Rehab Program	SC13	HR	\$400,000	Close-out	09/13/16
Marlborough	Housing Rehab Program	SC12	HR	\$300,000	Close-out	09/20/16
Ansonia	Housing Rehab Program	SC13	HR	\$400,000	Close-out	09/27/16
Torrington	Housing Rehab Program	SC13	HR	\$400,000	Close-out	10/04/16
Torrington	Housing Rehab Program	SC14	HR	\$400,000	Close-out	10/5/16
Beacon Falls	Housing Rehab Program	SC13	HR	\$400,000	Close-out	10/18/16
Woodbridge	Housing Rehab Program	SC12	HR	\$300,000	Close-out	10/25/16
East Hampton	Chatham Acres	SC13	PH/MOD	\$800,000	Close-out	11/1/16
Thompson	Gladys Green/Pineview Court	SC13	PH/MOD	\$800,000	Close-out	11/8/16
Naugatuck	Housing Rehab Program	SC13	HR	\$400,000	Close-out	11/22/16
Ellington	Regional Housing Rehab Program	SC13	HR	\$450,000	Close-out	12/06/16
Franklin	Housing Rehab Program	SC14	HR	\$400,000	Close-out	02/28/17
Newington	Cedar Village	SC14	PH/MOD	\$800,000	Close-out	03/07/17
Suffield	Broder Place, Maple Court, and Laurel Court	SC14	PH/MOD	\$800,000	Close-out	03/28/17
Brookfield	Housing Rehab Program	SC13	HR	\$400,000	Close-out	04/25/17
Ledyard	Housing Rehab Program	SC13	HR	\$300,000	Close-out	05/09/17
Coventry	Regional Housing Rehab Program	SC14	HR	\$500,000	Close-out	5/16/17
Waterford	Housing Rehab Program	SC13	HR	\$400,000	Close-out	06/06/17
Groton	Housing Rehab Program	SC13	HR	\$400,000	Close-out	06/20/17

Source: DOH

M. Technical Assistance/Training

The following table (Table 44) provides a summary of technical assistance/training.

Table 44: SC/CDBG Program Workshops and Technical Assistance Provided During PY 2016-2017					
Event Name	Event Description	Date of Event	Location of Event	Type of Attendees	# of Attendees
Competitive Funding Application Workshop	Explanation of competitive funding round for 2016 allocation year	1/23/17	Hartford, CT	Town Officials and Consultants	60

Source: DOH

N. Closed Out PY 2016-17

The following table (Table 45) provides a summary of closed out projects for program year 16-17.

Table 45: SC/CDBG Program Projects Closed Out* during Program Year: 7/1/2016 to 6/30/2017								
Recipient/ Location	Project #	Project Description	Grant Awarded	Year Funded From	Activity	# Units	Type Rental/ HO	NC/ Rehab
Ansonia	SC1300201	Housing Rehab Program	\$400,000	2013	HR	8	HO	Rehab
Beacon Falls	SC1300601	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Brookfield	SC1300601	Housing Rehab Program	\$400,000	2013	HR	11	HO	Rehab
Canterbury	SC1302201	Housing Rehab Program	\$400,000	2013	HR	12	HO	Rehab
Coventry	SC1403201	Regional Housing Rehab Program	\$500,000	2014	HR	28	HO	Rehab
East Haddam	SC1404101	Oak Terrace	\$791,210	2014	PH/MOD	36	R	Rehab
Ellington	SC1304801	Regional Housing Rehab Program	\$450,000	2013	HR	12	HO	Rehab
Franklin	SC1405301	Housing Rehab Program	\$400,000	2014	HR	3	HO	Rehab
Groton	SC1305901	Housing Rehab Program	\$400,000	2013	HR	12	HO	Rehab
Hampton	SC1306301	Regional Housing Rehab Program	\$450,000	2013	HR	14	HO	Rehab
Lebanon	SC1307101	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Mansfield	SC1207801	Housing Rehab Program	\$300,000	2012	HR	16	HO	Rehab
Marlborough	SC1207901	Housing Rehab Program	\$300,000	2012	HR	12	HO	Rehab
Naugatuck	SC1308801	Housing Rehab Program	\$400,000	2013	HR	20	HO	Rehab
New Fairfield	SC1309101	Housing Rehab Program	\$400,000	2013	HR	13	HO	Rehab
Newington	SC1409401	Cedar Village Senior Housing Rehab	\$800,000	2014	PH/MOD	40	R	Rehab
Plainfield	SC1210901	Housing Rehab Program	\$300,000	2012	HR	6	HO	Rehab
Preston	SC1211401	Housing Rehab Program	\$300,000	2012	HR	9	HO	Rehab
Putnam	SC1311601	Housing Rehab Program	\$400,000	2013	HR	9	HO	Rehab
Salisbury	SC1312201	Regional Housing Rehab Program	\$600,000	2013	HR	13	HO	Rehab
Stafford	SC1413401	Housing Rehab Program	\$400,000	2014	HR	13	HO	Rehab
Suffield	SC1413901	Broder Place, Maple Court, and Laurel Court Housing Rehab	\$800,000	2014	PH/MOD	70	R	Rehab
Thompson	SC1314101	Gladys Green/Pineview Court	\$800,000	2013	PH/MOD	70	R	Rehab
Waterford	SC1315201	Housing Rehab Program	\$400,000	2013	HR	10	HO	Rehab
Woodbridge	SC1216901	Housing Rehab Program	\$300,000	2012	HR	5	HO	Rehab
Woodstock	SC1316901	Housing Rehab Program	\$400,000	2013	HR	15	HO	Rehab

O. Certifications of Consistency with the Consolidated Plan

The following table (Table 46) provides a summary of certifications of consistency with the consolidated plan issued during the program year 2016-17.

Table 46: Summary of Certifications of Consistency with the Consolidated Plan Provided During PY 2016-2017		
Activity	Issued To:	Date
Branford Manor Rehab	Groton Housing Authority	8/8/2016
Annual PHA Plan	Vernon Housing Authority	10/19/2016
Cedar Court Apartment Rehab	City of Norwalk Housing Authority	12/16/2016
Youth Homeless Program	Dept. of Mental Health and Addiction Services	3/2/2017
Lead Hazard Reduction Demonstration Grant Program	Department of Housing	3/16/2017
Annual PHA Plan	Wallingford Housing Authority	4/4/2017
Annual PHA Plan	Willimantic Housing Authority	4/18/2017
Annual PHA Plan	Enfield Housing Authority	6/20/2017

V. ESG Program Requirements

A. State Summary Report SFY 2017

1. Grantee and Community Profile

The Department of Housing (DOH) is complying with the federal reporting requirements and submits its Annual Report for the period 07/01/16– 6/30/2017.

As a lead agency for the provision of emergency shelter services and rapid rehousing program to individuals and/or families who are literally homeless, DOH administers Connecticut's Emergency Solutions Grant (ESG) Program.

DOH administered ESG funds by providing assistance to eight (8) non-profit organizations. In addition, DOH provided state and other funding to these same providers who are experienced, well established in their communities and provide quality services. The funding received from ESG enabled the organizations to provide shelter beds, case management services and rapid re-housing services to literally homeless persons, prevention of homelessness and the Homeless Management Information System (HMIS) to track all ESG data.

The pool of federal and state dollars, allocated to non-profit agencies was utilized for the provision of temporary shelter for homeless individuals and families. The funds provided operational costs, essential services such as case management, health, education, employment and training as well as HMIS costs and rapid re-housing. Federal ESG funds were targeted and expended as follows:

<u>ESG category</u>	<u>Annual Target % of ESG allocation (\$2,179,417.00)</u>	<u>Annual actual % of ESG allocation (\$2,179,417.00)</u>
Emergency Shelter Operations	50%	39%
HMIS	4%	4%
Rapid Re-Housing	41%	47%
Administration	5%	4%
Homeless Prevention	0%	6%

Through competitive procurement, DOH awarded six (6) ESG funded shelters: three (3) shelters that support families and three (3) shelters for both families and individuals. These shelters used the ESG funds for shelter operations, rapid re-housing and/or prevention services. These shelters were previously established due to the documented need to support homeless individuals and families. In addition to ESG funded shelters, DOH also funded an additional 32 shelters utilizing state and federal funds, which brought the total funded shelters to 37. DOH utilized ESG monies for rapid re-housing for this fiscal year. DOH awarded a non-profit, AIDS Connecticut (ACT), the right to act as the ESG financial assistance fiduciary agency. At a minimum 40% of the annual ESG allocation went to ACT to administer financial assistance requested by housing relocation and stabilization agencies. DOH leveraged existing resources to provide Housing Relocation and Stabilization Services to ensure that literally homeless households would have a better chance of remaining stably housed. Through competitive procurement, eight (8) agencies were awarded assistance to aid our homeless population with housing relocation and up to 12 months of stabilization services and in-home case management. These eight agencies receive referrals for services and financial assistance through their local Coordinated Access Network (CAN).

DOH regularly consulted with the Connecticut Coalition to End Homelessness, Inc. for the provision of technical assistance, programmatic training needs, program development service model recommendations and program evaluation.

DOH works collaboratively with the Connecticut Coalition to End Homelessness (CCEH) and Nutmeg Consulting, LLC. Through a DOH contract with CCEH, Technical Assistance and Training (TA & T) is provided to emergency shelter programs and rapid rehousing programs. DOH is able to meet its goal of

providing quality supportive housing to homeless people and their families through its partnership with CCEH, and the local service providers. DOH contractually requires its providers utilize a Homeless Management Information System (HMIS) for data entry and reporting compliance purposes. CCEH subcontracts with Nutmeg Consulting for HMIS support, training and technical assistance and user support services. (Noteworthy: DOH provided a portion of funding for the Point in Time Count (conducted during last week in January 2017).)

DOH allocated Federal and State funds for a combined total of \$15,654,174.00 for the provision of housing assistance and supportive services to homeless people.

Most state contractors provided a core of services, which include, but are not limited to the following:

- Intake, needs assessment and case management services;
- Educational & vocational services;
- Health/Mental health Services;
- Shelter and housing assistance;
- Substance abuse counseling;
- Rapid Rehousing;
- Transportation / provision of bus tokens;
- Outreach; and
- Workshops on life skills, budgeting, parenting skills, nutrition, etc.

Other related services provided by certain service providers include health care, consumable supplies, food and meal services, employment assistance, client support and child care.

2. Formula Program Accomplishments

OTHER – non-ESG monies

The purpose of using this funding is to ensure all residents achieve greater self-sufficiency, stabilize their environment and to assist them in moving into permanent housing.

DOH provided funding for the transitional living program to roughly 13 contractors throughout the state for the provision of multi-family or single room residency living arrangements. Transitional living programs served as a bridge for individuals and/or families after a period of homelessness. A variety of support services were provided to these individuals during their stay in the program. Stays ranged from a period of six months to two years. The goal was to have these individuals and/or families gain self-sufficiency and permanent housing.

Permanent Supportive Housing was strongly supported in Connecticut. In January 2002, a Memorandum of Understanding (MOU) was entered into by several state agencies including the Office of Policy and Management (OPM), DOH, the Department of Mental Health and Addiction Services (DMHAS), the Department of Social Services (DSS), the Connecticut Housing Finance Authority (CHFA) and the Corporation for Supportive Housing (CSH). This MOU supported the statewide PILOTS Supportive Housing Initiative and the purpose was to increase service-supported, affordable housing for people with mental illness or substance abuse problems who face homelessness. DOH devoted both Section 8 and State Rental Assistance to project-based programs developed as part of this initiative. DOH actively participated in the Balance of State Continuum of Care Steering Committee and HMIS Steering Committee Meetings. DOH was also a member of the Reaching Home Steering Committee.

In the capital budget, \$26 million was provided over the biennium for a homeless prevention and response fund. This fund provided forgivable loans and grants to landlords to renovate multifamily homes. In exchange, landlords agreed to let renovated units be used for scattered site supportive housing or participation in a rapid rehousing program.

Homeless Prevention & Supportive Housing

GOAL: Enhance suitable living environment, create decent housing, and provide economic opportunities for low- and moderate-income persons and address the shelter, housing and service needs of the homeless, those threatened with homelessness with an emphasis on preventing homelessness.

Objective 1:

Enhance suitable living environments to expand Homeless Prevention Rapid Re-housing Program (HPRP) services. The reoccurrence of homelessness is reduced and those experiencing homelessness are quickly transitioning into permanent housing. Additionally homelessness is averted for those individuals and families in danger of becoming homeless.

- Utilized housing stabilization case managers with the CT Rapid Rehousing program to reduce the reoccurrence of homelessness by assisting families who are homeless / leaving emergency shelters to achieve housing stability by providing housing support services.
- Continue the statewide Rapid Rehousing program to assist literally homeless households with housing find and placement, rental assistance as needed and 12 months of in home housing stabilization case management.
- Contractually required Homeless management information system utilization for emergency shelter, transitional living programs and Rapid Rehousing programs to obtain unduplicated client level data.
- Maintain the community coordinated access networks for the homeless crisis system statewide.

Objective 2:

Maintain the state's network of Homeless Shelters and rapid rehousing

- Utilized Rapid Rehousing, administered by DOH, to reduce the reoccurrence of homelessness by assisting families who are literally homeless to achieve housing stability by providing housing support services.
- Contractually required Homeless management information system utilization for emergency shelter and transitional living programs to obtain unduplicated client level data.
- Encourage homeless shelter and transitional living participation in the Point In Time (PIT) count conducted by CCEH. The department shall offer a portion of funding to support such activity, when funds are available.

VI. HOPWA Program Requirements

A. HOPWA Executive Summary FY 2016-17

This is the State of Connecticut's 2016 Executive Summary for Housing Opportunities for Persons with AIDS (HOPWA).

1. Date of Executive Summary update: 8/30/2017
2. Grantee Name: Department of Housing
3. Grant Type: Formula
4. Grant Selection: Continuing
5. Grantee and Community Profile

As a lead agency for the provision of housing assistance and supportive services to Persons with AIDS and their families, the State of Connecticut Department of Housing (DOH) administers Connecticut's HOPWA formula grant for the Balance of State, which serves the the Middlesex and New London Counties.

DOH works collaboratively with AIDS Connecticut (ACT) that receives a DOH contract to provide technical assistance to all services providers and to perform an annual "Standards of Care" Review, a coordinated effort between DOH staff representatives and the staff of ACT. With the partnership of ACT and the local providers, DOH is able to meet its goal of providing quality supportive housing to persons with HIV/AIDS in the State of Connecticut.

DOH allocated a total of \$4,228,665.7 Federal and State funds for the provision of housing assistance and supportive services to persons with HIV/AIDS and their families.

In FY 2016-17, the Department of Housing received \$218,321 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2016 to June 30, 2017. It is a "balance of state" program that served 30 unduplicated persons with HIV/AIDS and their families through agreements between DOH and 2 non-profit organizations located in Middlesex and New London Counties.

6. Formula Program Accomplishments

DOH and ACT carried out the following activities during the FY 2016-17:

- DOH awarded contracts starting July 1, 2016 through a competitive procurement process for FY 2016 through 2017. The Sub-recipients provided scattered-site apartments, STRMU and a range of support services to clients in Litchfield and Middlesex and New London counties during this period.

- During the reporting period, DOH and its Project Sponsors provided tenant-based rental assistance to 16 households. Thirty (30) unduplicated households received supportive services which included the following: case management/client advocacy/access to benefits & services.
- Of the households serviced during this reporting period, 1 household obtained employment.
- The Department provided training and technical assistance for CTHMIS utilization to HOPWA funded agencies, utilizing non-HOPWA
- The Department and project sponsors participated in quarterly HOPWA grantee meetings convened by HUD-local.
- The Department staff (programmatic and fiscal) participated and completed HOPWA on-Line Financial Management Training.

7. Program Partners

The following non-profit organizations are located in the Eastern Connecticut:

- a. Columbus House, Inc.
 Allison Cunningham, Executive Director
 586 Ella Grasso Blvd.
 New Haven, CT 06516
Acunningham@columbushouse.org
 203-401-4400
 TOTAL HOPWA \$162,492

Alliance for Living
 Kelly Thompson
 154 Broad Street
 New London, CT 06320
www.allianceforliving.org
 TOTAL HOPWA \$55,000

Is the contractor: ALL OF THE ABOVE

- a non-profit organization? – Yes
- a faith-based organization? - No

DOH allocated HOPWA funds to the project sponsors for tenant based rental assistance, Short Term Rent Mortgage and Utility assistance, case management, life management, operation costs, administration cost and daily support services.

- b. Waiting list

Organizations do maintain a waiting list. This is in response to a community/state-wide need that outstrips demand for appropriate housing for this HIV/AIDS homeless population. When applications are received, the sub-recipient's staff

reviews the individual's application and determines program eligibility. As vacancies occur within the programs, they will be filled on a first come, first served basis for households, if the household's housing needs have not been met elsewhere within the community. Organizations maximize collaborative and community partnerships to leverage housing opportunities for mutual HOPWA clients. Given the number of interviews the agency will conduct, the waiting list is then updated every few weeks. Occasionally, names are taken off the list as their life circumstances change and they move or find other appropriate housing opportunities.

If a person is not accepted, a letter of denial is sent to the person and/or organization giving reasons for the denial. Depending on the circumstances of the individual, an option to re-apply may be extended.

B. Formula Program Accomplishments

Other Special Needs

GOAL: Create decent housing and a suitable living environment and economic opportunities for low- and moderate-income persons with special needs and address the shelter, housing and service needs of persons with special needs.

Persons with HIV/AIDS and their Families

Objective 1:

Continue to fund existing HIV/AIDS programs and seek additional federal funding for existing HIV/AIDS programs.

- The original goal was to serve 21 individuals and/or families in Middlesex and New London counties. The goal was exceeded and 26 individuals and families benefited from TBRA dollars.
- Through existing State resources, the Department of Housing provides funding for 22 HIV/AIDS programs.

Objective 2:

Increase access to supportive housing services for people living with HIV/AIDS and increase number of clients over five years.

- DOH is a partner in the Reaching Home Campaign, a network of state and local providers that has been created to increase supportive housing throughout Connecticut. The Reaching Home Campaign utilizes an on-going evaluation and self-assessment model to revise its goals.
- DOH encourages programs that fall into "balance of state" – Litchfield, Tolland, New London and Middlesex Counties, to seek additional federal, state and grant funding, the possible restructuring of 3 major AIDS-specific funding streams can impact how much funding is available to continue to provide services. The 3 AIDS-specific funding streams are as follows: HOPWA, Center for Disease Control's (CDC) Enhanced

Comprehensive HIV Prevention Plan (ECHPP) and Ryan White HIV/AIDS Treatment Modernization Act.

- Utilizing state monies, an “AIDS/HIV Residential Housing Fund” to assist households with security deposit, short term subsidies, etc. when no other resources are available to assist with housing stability.

Objective 3:

Assess the effectiveness of supportive housing programs for people living with HIV/AIDS periodically through the use of performance measures and ongoing mechanisms to track client preferences and needs.

- Each sub grantee, which provides supportive AIDS housing, is expected to provide an acceptable level of quality support services, in addition to an acceptable occupancy rate in their housing program. The level of acceptability for DOH is set by the outcome measures, which vary from 80% to 100%. Each program is thoroughly audited once a year by an outside contractor hired by ACT, in conjunction with the Department of Housing. The audit process is the Quality Assurance Review Process (QARP). This half- day audit is comprised of 22 standards covering Health and Safety, Client Intake and Services, Administration, Occupancy Rates, Confidentiality, Case Management Services. A score of 80% or higher is considered a passing score.

***Please note that the QARP, also known as audit, does not replace or supersede HUD monitoring requirements. This process is examining the quality of service provided to the target population. The Department conducted a HOPWA monitoring visit in addition to the QARP. Monitoring Letters were sent from the Department to sub grantee at the conclusion of the monitoring visit that outlined successes, compliance, findings, deficiencies and need for corrective action plans, as appropriate.*

- In addition, DOH has worked in collaboration with DMHAS and Corporation for Supportive Housing to create a quality assurance monitoring and review process for all state-funded supportive housing programs in Connecticut. Monitoring has been ongoing. DMHAS contracts with the Corporation for Supportive Housing (CSH) who in turn has contracted Housing Innovations (HI) to conduct site visits at Connecticut supportive housing projects funded by the Department of Mental Health and Addiction Services (DMHAS) and the Department of Housing (DOH). These visits assess the quality of services provided in the Demonstration, Pilots, and Next Steps programs, with the goal of making those services most effective. DOH staff coordinates rent subsidy contract monitoring with HI services site visits. The standards are entitled: “SOCIAL SERVICE STANDARDS FOR PERMANENT SUPPORTIVE HOUSING”. Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Housing, Department of Mental Health and Addiction Services, Ryan White, Middlesex and Litchfield County Housing Authorities, Community Health Centers and various other local community resources.
- The department requires providers to utilize HMIS for data entry purposes.

B. Consolidated Annual Performance and Evaluation Report

See Appendix J.

VII. Citizen Participation

A. Summary

The State of Connecticut 2016 Consolidated Annual Performance Evaluation Report (CAPER) was made available in accordance with Connecticut's 2010 - 2015 Consolidated Plan for Housing and Community Development, Citizens Participation Plan. DOH solicited public input on the draft 2016 CAPER during a 15 day Public Comment Period from August 31, 2017 through September 14, 2017. Notification for the public commentary period was published in two newspapers on August 31, 2017 including one in Spanish. The legal notice as well as a copy of the draft 2016 CAPER was made available on the DOH web site during the comment period. Additionally the legal notice was forwarded to all 169 Municipal Chief Elected Officials, approximately 75 Community Partners and the state's regional Councils of Government. A copy of the legal notice and a listing of the newspaper publications is contained in the Appendixes of this document.

B. Comments Received

As indicated above, the State of Connecticut sought written public comment during the period August 31, 2017 through September 14, 2017.

No comments were received during the public comment period.

VIII. Appendix

- A. Legal Notice
- B. Newspaper Publication List
- C. HOME Program Annual Performance Report, HUD Form 40107
- D. HOME Program: Section 3 Summary Report, HUD Form 60002
- E. HOME Program Match Report, HUD Form 40107-A
- F. CDBG/SC Program State Grant Performance Evaluation Report (PER)
- G. CDBG/SC Program: Contract and Subcontract Activity, HUD Form 2516
- H. CDBG/SC Program: Section 3 Summary Report, HUD Form 60002
- I. ESG Program: Consolidated Annual Performance and Evaluation Report
- J. HOPWA Program: Consolidated Annual Performance and Evaluation Report
- K. KEY

Appendix A
Legal Notice
Notice of Public Comment Period
State of Connecticut
Consolidated Annual Performance and Evaluation Report
for the 2016-2017 Program Year

Pursuant to the provisions of 24 CFR 91, the State of Connecticut Department of Housing (DOH), has prepared the Performance and Evaluation Report (PER) for the 2016-2017 Program Year. This report contains detailed information on the five federal formula grant programs governed by the State's 2015-2019 Consolidated Plan for Housing and Community Development: HOME Investment Partnerships (HOME), Small Cities Community Development Block Grant (SC/CDBG), Emergency Solutions Grant (ESG), Housing Opportunities for Persons with AIDS (HOPWA), and National Housing Trust Fund (NHTF). Funding for the 2016-2017 Program Year for the four programs represents \$24,131,273. All of these programs were administered by the Department of Housing.

The PER is available for review and public comment from August 31, 2017 through September 14, 2017. A copy of the PER and related documents are available on the Department of Housing's web site, <http://www.ct.gov/doh/site/default.asp> or at the Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106 by appointment. You may contact Michael Santoro for further information at 860-270-8171.

Comments on the PER may be sent to Michael Santoro, Department of Housing, 505 Hudson Street, Second Floor, Hartford, CT 06106-7107 or CT.Housing.Plans@ct.gov thru September 14, 2017. All comments received will be included in the final version of the CAPER.

Department of Housing programs are administered in a nondiscriminatory manner, consistent with equal employment opportunities, affirmative action, and fair housing requirements. Questions, concerns, complaints or requests for information in alternative formats must be directed to the ADA (504) Coordinator, at (860) 270-8000.

Publication Date: August 31, 2017

**Appendix B
Newspaper Publication**

**Hartford Courant
285 Broad Street
Hartford, CT 06115
Distribution: All Counties in Connecticut**

**La Voz Hispana Connecticut
51 Elm Street, Suite 307
New Haven, CT 06510
Distribution: Hartford, Bridgeport, New Haven, Waterbury, Stamford
Norwalk, Meriden, New Britain, Danbury**

**Connecticut Post
410 State Street
Bridgeport, CT 06604
Distribution: All Counties in Connecticut**

This report is only required for HOME funded projects and is ONLY due for the quarter ending June 30th.

Annual Performance Report

HOME Program

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

OMB Approval no. 2506-0171
(exp 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/M) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send One copy to the appropriate HUD Field Office and one copy to; HOME Program, Rm 7176, 451 7th Street, S.W., Washington, DC, 20410	Starting 7/1/2016	Ending 6/30/2017	9/15/2017

Part I Participant Identification

1. Participant Number 32-0410548	2. Participant Name CT Department of Housing		
3. Name of Person Completing project Michael Santoro	4. Name of Person Completing project		
5. Address 505 Hudson Street	6. City Hartford	7. State CT	8. Zip Code 06106-7107

Part II Program Income

Enter the following program income amounts for the reporting period. In block 1, enter the balance on hand at the beginning, in block 2, enter the amount generated; in block 3 enter the amount expended; and in block 4, enter the amount for Tenant Based Rental Assistance.

1. Balance on Hand at Beginning of Reporting Period	2. Amount Received During Reporting Period	3. Total Amount expended during reporting period	4. Amount expended for tenant-Based rental Assistance	5. Balance on hand at the end of reporting period
0	0	0	0	0

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	0	0	0	0	0
2. Dollar Amount	0	0	0	0	0
B. Sub -Contracts					
1. Number	0	0	0	0	0
2. Dollar amount	0	0	0	0	0
	a. Total	b. Women Bus. Enterprises	c. Male		
C. Contracts					
1. Number	0	0	0		
2. Dollar amount	0	0	0		
B. Sub-Contracts					
1. Number	0	0	0		
2. Dollar amount	0	0	0		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental properties and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	5	0	0	0	3	2
2. Dollar Amount	\$388,330	0	0	0	232998	155332

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost
1. Parcels Acquired	0	0
2. Business Displaced	0	0
3. Nonprofit organization Displaced	0	0
4. Households Temporarily Relocated, not Displaced	0	0

Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number	0	0	0	0	0	0
6. Households Displaced - Cost	0	0	0	0	0	0

Section 3 Summary Report
Economic Opportunities for
Low - and Very Low Income Persons

U.S Dept. of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

Form HUD-60002

OMB Approval No: 2529-0043

HUD Field Office	
1. Recipient Name & Address (street, city, state, zip)	CT Department of Housing 505 Hudson Street Hartford, CT 06106-7107
2. Federal Identification (contract/award no.)	32-0410548
3. Dollar Amount of Award	\$4,327,686.00
4. Contact Person	Michael Santoro
5. Phone (include area code)	(860) 270-8171
6. Reporting Period	7/1/16 to 6/30/17
7. Date report Submitted	9/15/2017
8. Program Code* (use a separate sheet for each program code)	6
9. Program Name	HOME

*Program codes

1 = Flexible Subsidy

2 = Section 202/811

3 = Public Indian Housing A= Development, B = Operation, C = Modernization

4 = Homeless Assistance

5 = HOME

6 = HOME State Administered

7 = CDBG Entitlement

8 = CDBG State Administered

9 = Other CD Programs

10 = Other Housing Programs

Part I : EMPLOYMENT AND TRAINING

A	B	C	D	E	F
Job category	Number of New Hires	Number of new Hires that are Section 3 residents	% of Aggregate Number of Staff Hours of new hires that are Section 3 residents	% of Total Staff Hours of Section 3 Employees and Trainees	Number of Section 3 Employees and Trainees
Professionals	0.00				
Technicians	0.00				
Office / Clerical	0.00				
Construction by Trade (List)	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Other (List)	0.00				
TOTAL	0.00				

Part II : CONTRACTS AWARDED

1. Construction Contracts

A. Total dollar amount of contracts awarded on the project	\$2,708,016.00
B. Total dollar amount of contracts awarded to Section 3 businesses	\$0.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	\$0.00 %
D. Total Number of Section 3 businesses receiving contracts	\$0.00

2. Non-Construction Contracts

A. Total dollar amount of all non construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to section 3 businesses	\$
C. Percentage of the total dollar amount that that was awarded to section 3 businesses	%
D. Total number of section 3 receiving non-construction contracts	

Part III : SUMMARY

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. **(Check all that apply.)**

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.
One General contractor utilized "iSqFt", a bid solicitation tool for soliciting all types of subcontractors for eligible projects.

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 Home Matching Liability Report

DATE: 08-31-17
 TIME: 14:43
 PAGE: 1

CONNECTICUT

Fiscal Year	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
2000	25.0%	\$4,691,397.14	\$3,264,527.80	\$816,131.95
2001	25.0%	\$9,624,703.09	\$8,190,947.60	\$2,047,736.90
2002	25.0%	\$24,771,275.69	\$22,688,077.84	\$5,672,019.46
2003	12.5%	\$10,619,127.62	\$9,688,684.38	\$1,211,085.54
2004	12.5%	\$12,924,023.84	\$11,870,651.02	\$1,483,831.37
2005	25.0%	\$11,355,818.82	\$9,732,451.00	\$2,433,112.75
2006	25.0%	\$6,804,889.39	\$5,744,907.25	\$1,436,226.81
2007	25.0%	\$15,232,221.41	\$13,883,604.34	\$3,470,901.08
2008	25.0%	\$9,517,342.65	\$8,465,697.99	\$2,116,424.49
2009	25.0%	\$12,124,023.23	\$11,152,650.02	\$2,788,162.50
2010	12.5%	\$19,797,828.40	\$18,451,431.99	\$2,306,428.99
2011	12.5%	\$15,821,598.50	\$15,075,076.74	\$1,884,384.59
2012	25.0%	\$13,720,902.71	\$13,083,571.47	\$3,270,892.86
2013	25.0%	\$8,338,854.05	\$7,024,181.82	\$1,756,045.45
2014	12.5%	\$6,695,228.86	\$6,177,866.99	\$772,233.37
2015	12.5%	\$2,759,153.35	\$2,559,153.35	\$319,894.16
2016	12.5%	\$9,846,211.60	\$8,912,533.52	\$1,114,066.69

100% ▼

IDIS - PR28

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Connecticut
 Performance and Evaluation Report
 For Grant Year 2016
 As of 09/15/2017
 Grant Number B16DC090001

Part I: Financial Status**A. Sources of State CDBG Funds**

- 1) State Allocation
- 2) Program Income
- 3) Program income receipted in IDIS
- 3 a) Program income receipted from Section 108 Projects (for SI type)
- 4) Adjustment to compute total program income
- 5) Total program income (sum of lines 3 and 4)
- 6) Section 108 Loan Funds
- 7) Total State CDBG Resources (sum of lines 1,5 and 6)

B. State CDBG Resources by Use

- 8) State Allocation
- 9) Obligated to recipients
- 10) Adjustment to compute total obligated to recipients
- 11) Total obligated to recipients (sum of lines 9 and 10)
- 12) Set aside for State Administration
- 13) Adjustment to compute total set aside for State Administration
- 14) Total set aside for State Administration (sum of lines 12 and 13)
- 15) Set aside for Technical Assistance
- 16) Adjustment to compute total set aside for Technical Assistance
- 17) Total set aside for Technical Assistance (sum of lines 15 and 16)
- 18) State funds set aside for State Administration match
- 19) Program Income
- 20) Returned to the state and redistributed
- 20 a) Section 108 program income expended for the Section 108 repayment
- 21) Adjustment to compute total redistributed
- 22) Total redistributed (sum of lines 20 and 21)
- 23) Returned to the state and not yet redistributed
- 23 a) Section 108 program income not yet disbursed
- 24) Adjustment to compute total not yet redistributed
- 25) Total not yet redistributed (sum of lines 23 and 24)
- 26) Retained by recipients
- 27) Adjustment to compute total retained
- 28) Total retained (sum of lines 26 and 27)

C. Expenditures of State CDBG Resources

Public reporting burden for this collection of information is estimated to average .50 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection information unless that collection displays a valid OMB control number.

Executive Orders 12432 and 11625 requires Federal agencies to promote Minority Business Enterprise (MBE) participation in their programs and prescribes additional arrangements for developing and coordinating a National Program for MBE. Pursuant to Executive Order 12432, the Department of Commerce requires an annual report on MBE achievements. The information provided on Public and Indian housing Programs will be used to monitor and evaluate HA performance and to develop and submit the Annual Report to the President. Responses to the collection of information are voluntary. The information requested does not lend itself to confidentiality.

Privacy Act Notice - The United States Department of Housing and Urban Development, Federal Housing Administration, is authorized to solicit the information in this form by virtue of Title 12, United States Code, Section 1701 et seq. and regulations promulgated thereunder at Title 12, Code of Federal Regulations. It will not be disclosed or released outside the United States Department of Housing and Urban Development without your consent, except as permitted by law.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
 Department of Housing

Check if
 PHA

2. Location (City, State, Zip Code)
 IHA 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. U. 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc.	Amount of Contract or Sub-contract	Type of Code (See below)	Contractor or Subcontractor Business Racial/Ethnic Code (See Below)	Woman Owned Business Yes = 1 No = 2	Prime Contractor Identification (ID) Number	Sec 3 Sub-contractor Idem. (ID)	Contractor/Subcontractor Name and Address				State	Zip Code		
7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street	City	State	Zip Code	
Ansonia SC1500201									Lisa Low & Associates					
AN15-012-WH	\$3,300.00	1	1	2	46-2451039	No			Walsh Family Contracting	6 Mouthrop Street	Ansonia	CT	06401	
AN15-031	\$23,975.00	1	1	2	635667	No			Dovel Services, LLC	848 South Road	Harwinton	CT	06716	
	\$375.00	1	1	2		No			Valmark Electric		Torrington	CT		
	\$200.00	1	1	2		No			Elite Systems		Burlington	CT		
AN15-034	\$25,827.00	1	1	2	47-1792921	No			NEPC	86 Allen Street	Terryville	CT	06786	
	\$4,840.00	1	1	2		No			Ray Baker Plumbing LLC			CT		
	\$3,783.00	1	1	2		No			Lickwar Electric LLC			CT		
AN15-034-S	\$8,725.00	1	1	2		No			Basement Systems	60 Silvermine Road	Seymour	CT	06483	
AN15-012-BC	\$7,061.00	1	1	2	47-1792921	No			NEPC	86 Allen Street	Terryville	CT	06786	
AN15-043	\$29,050.00	1	1	2	26-3441330	No			VillWell Builders I, LLC	33 Wolcott Road	Wolcott	CT	06716	
	\$4,450.00	1	1	2		No	65-1248667		BR Plumbing LLC	462 Cedar Mountain Rd	Thomasaton	CT		
	\$1,600.00	1	1	2		No	06-1519824		DW Electrical LLC	16 Pleasant Street	Wolcott	CT		
AN15-036	\$19,101.00	1	1	2	46-1473941	No			Leake Builders LLC	25 O'Neill Road	Oxford	CT		
	\$1,978.00	1	1	2		No	26-1306130		H&D Plumbing & Heating	301 Clough Rd	Waterbury	CT		
	\$1,010.00	1	1	2		No	56-2587589		JT Electric	59 Academy Hill Road	Derby	CT	06418	
AN15-038	\$16,280.00	1	1	2	570192	No			V. Nanfito Roofing	558 Hanover Street	Meriden	CT	06450	
AN15-039	\$17,600.00	1	1	2	06-1023690	No			Goulat Construction	15 Lori Lynne Circle	Newtown	CT	06470	
	\$2,600.00	1	1	2		No	010-688399		Cutrona Electric	2 Hardscrabble road	Sheman	CT		
AN15-41-H	\$10,550.00	1	1	2	46-2451039	No			Walsh Family Contracting	6 Mouthrop Street	Ansonia	CT	06401	
AN15-042	\$28,804.00	1	1	2	570192	No			V. Nanfito Roofing	558 Hanover Street	Meriden	CT	06450	
AN15-047	\$21,750.00	1	1	2	06-1023690	No			Goulat Construction	15 Lori Lynne Circle	Newtown	CT	06470	
AN15-032	\$12,575.00	1	1	2	570192	No			V. Nanfito Roofing	558 Hanover Street	Meriden	CT	06450	
AN15-044	\$22,331.00	1	1	2	46-1473941	No			Leake Builders LLC	25 O'Neill Road	Oxford	CT		
AN15-46-R	\$11,800.00	1	1	2	635667	No			Dovel Services, LLC	848 South Road	Harwinton	CT	06716	
AN15-46-H	\$8,800.00	1	1	2	532394	No			RN Contractor	1 Balmoral Ave	Watertown	CT	06795	
AN15-49	\$5,950.00	1	1	2	570192	No			V. Nanfito Roofing	558 Hanover Street	Meriden	CT	06450	
AN15-023	\$12,400.00	1	1	2	560087	No			Titan Enterprises	540 Main Street	Monroe	CT	06401	
	\$2,772.00	1	1	2	03-0561528	No			AAA Legal Services LLC	477 Main Street	Monroe	CT	06468	
	\$8,640.00	1	1	1	46-5310837	No			Gilbertco Lead Inspections LLC	Main Street	Ansonia	CT	06401	
	\$69,000.00	1	1	1	81-0810386	No			Lisa Low & Associates	293 Riggs Street	Oxford	CT	06778	
Ashford SC1600301									Peter Huckins					
Admin	\$5,685.00	3	1	2	048-46-9754	No			Community Consulting	16 Washburn Street	Willimantic	CT	06226	
Food Program	\$24,618.94	3	1	2	06-0606469	No			Bozzuto's Inc.	275 Schoolhouse Rd	Cheshire	CT	06410	

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3b. Phone Number
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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i. Name	Street	City	State Zip Code
Beacon Falls SC1300601	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT 06478
Beacon Falls PI	NA										
Bethel SC1500901								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Reynolds Ridge ADA	\$96,300.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Reynolds Ridge ADA	\$697,862.00	1	1	2	06-1506709	No		Stanley Construction LLC	6 White Deer Lane	Seymour	CT 06487
Reynolds Ridge ADA	\$12,000.00	1	1	2		No	93-7743037	Baran Home Improvement	9 Wycliffe Street	Seymour	CT 06487
Reynolds Ridge ADA	\$10,200.00	1	1	2		No	80-0666707	Electroservices	88 Somel Road	Waterbury	CT 06704
Reynolds Ridge ADA	\$18,000.00	1	1	2		No	04-0693275	Okon Construction	8 Jenny Street	Oxford	CT 06478
Bethlehem Program Income	NA							Lisa Low & Associates	293 Riggs Street	Oxford	CT 06478
Bethlehem SC1401001								Lisa Low & Associates	293 Riggs Street	Oxford	CT 06478
14-001	\$23,050.00	1	1	2	043-66-4704	No		RN Contractor	1 Balmoral Drive	Watertown	CT 06795
	\$2,000.00	1	1	2		No	06-1552875	Tony Pereira	343 Porter Ave	Middlebury	CT 06782
	\$630.00	1	1	2		No	06-1545553	Jorge Cariera	74 Watertown Rd	Middlebury	CT 06782
14-011	\$28,300.00	1	1	2	46-2875180	No		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$5,000.00							Elite Chimney			
14-013	\$16,355.00	1	5	2	65-1213424	No		Q& H Contracting	108 Wood Avenue	Bridgeport	CT 06605
	\$2,737.00							AI's Electric LLC	42 Candee avenue	West Haven	CT
	\$794.00							Bob Holmiller Heating	290 Seabreeze Dr.	Stafford	CT
14-016	\$8,400.00	1	4	2	26-3441330	No		Vilwell Builders LLC	33 Wolcott Rd	Wolcott	CT 06716
	\$1,700.00	1	1	2		No	06-1519824	DW Electrical LLC	16 Pleasant Street	Wolcott	CT
14-014	\$29,900.00	1	1	2	06-1023690	No		Gouliart Construction	15 Lori Lynn Circle	Newtown	CT
14-015	\$27,300.00	1	1	2	06-1023690	No		Gouliart Construction	15 Lori Lynn Circle	Newtown	CT
14-020	\$27,575.00	1	1	2	46-2875180	No		Dovel Services	848 South Rd	Harwinton	CT 06791
14-023	\$20,275.00	1	1	2	46-2875180	No		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$1,075.00						124060	Valmark Electric		Torrington	CT
14-026	\$27,800.00	1	1	2	043-66-4704	No		RN Contractor	1 Balmoral Drive	Watertown	CT 06795
	\$600.00	1	1	2		No	06-1552875	Tony Pereira	343 Porter Ave	Middlebury	CT 06782
	\$1,800.00	1	1	2		No	06-1545553	Jorge Cariera	74 Watertown Rd	Middlebury	CT 06782
14-025	\$21,800.00	1	1	2	043-66-4704	No		RN Contractor	1 Balmoral Drive	Watertown	CT 06795
14-028	\$21,500.00	1	1	2	06-1023690	No		Gouliart Construction	15 Lori Lynn Circle	Newtown	CT
	\$950.00	1	1	2		No	06-0989022	Jim Renz	10 Topstone Drive	Bethel	CT
	\$800.00	1	1	2		No	010-00399	Cutrona Electric	2 Hardscrabble Road	Sheman	CT
14-029	\$13,580.00	1	1	2	046-58-9895	No		Leake Builders LLC	25 Oneill Rd	Oxford	CT 06478
14-032	\$29,900.00	1	4	2	26-3441330	No		Vilwell Builders LLC	33 Wolcott Rd	Wolcott	CT 06716
Branford SC1401401 Parks								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
	\$77,500.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
	\$154,608.00	1	1	2	06-1315042	No		Central Electric & generator Inc	894 Meriden Road	Cheshire	CT 06410

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
	\$177,067.00	1	1	2		No			B & W Paving & Landscaping LLC	70 Foster Rd	Waterford	CT	06385
	\$7,000.00	1	1	2		No	46-4943529		Yard Group Landscaping LLC	70 Foster Rd	Waterford	CT	06385
	\$25,000.00	1	1	1		No	27-1894116		Advance Resources LLC	70 Foster Rd	Waterford	CT	06385
Branford P1 00050432642	NA												
Brookfield SC 1301001	NA								Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Cheshire SC 1602501													
16-001	\$29,150.00	1	1	2	06-1606641	No			V. Nanfito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
	\$300.00						06-1606948		AVT Construction Inc	558 Hanover Street	Meriden	CT	06451
	\$1,950.00						06-1243864		Depavia Electric	PO Box 99	South Britain	CT	06487
16-005	\$14,520.00	1	1	2	046-589895	No			Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$2,485.00						26-1306130		H&D Plumbing	31 Clough Road	Waytebury	CT	06708
	\$850.00						56-2587589		JT Electric	59 Academy Hill Rd	Derby	CT	06418
16-007	\$21,697.00	1	1	2	046-589895	No			Leake Builders, LLC	25 O'Neill Road	Oxford	CT	06478
	\$610.00						26-1306130		H&D Plumbing	31 Clough Road	Waytebury	CT	06708
	\$880.00						56-2587589		JT Electric	59 Academy Hill Rd	Derby	CT	06418
16-017	\$9,162.00	1	1	2	06-1556852	No			JP Dunn Heating & Cooling	701 Northfield Rd	Northfield	CT	06778
16-02	\$1,750.00	1	1	2	611706	No			Walsh Family Contracting	6 Mouthrop street	Ansonia	CT	06401
	\$520.00	1	1	2	06-1180153	No			Robert Green Associates LLC	66 old Watbury Road	Terryville	CT	
	\$3,270.00	1	1	1	46-5310837	No			Gilbertco Lead Inspection	Main Street	Ansonia	CT	06401
	\$22,000.00	1	1	1	81-0810396	No			Lisa Low Associates	293 Riggs Street	Ansonia	CT	06401
Clinton Program Income									L. Wagner & Associates				
027-70	\$10,000.00	1	1	2	81-0688954	No			All-N-All Roofing	229 Killingworth Tpk, #19	Clinton	CT	06413
Colchester SC 1602801									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Dublin Village ADA	\$110,000.00	3	1	2	06-1330300	No			L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Dublin Village ADA	\$699,500.00	1	1	2	75-2988693	No			DEF Services Group	1171 Voluntown Road	Griswold	CT	06351
Dublin Village ADA	\$24,897.50	1	1	2		No	81-1648513		Abcon Abatement & Demolition	392 Quinipiac Ave	New Haven	CT	06513
028-164-T	\$850.80	1	1	2	46-25175849	No			Able Tree Care LLC	82 Young Street	East Hampton	CT	
028-164-W	\$9,850.00	1	1	2	06-0808712	No			Staven Brothers Inc.	PO Box 406	Willington	CT	
Coventry Program Income									Community Consulting	16 Washburn St	Willimantic	CT	06226
2017-01	\$29,650.00	1	1	2	046-52-5330	No			Legace Siding LLC	175 Hop River Road	Coventry	CT	06238
	\$2,000.00						041-76-9191		1st Choice Electric	215 Long Hill Road	Andover	CT	06232
	\$4,000.00						048-345029		Chamberlin Plumbing	12 Russmar Trail	Columbia	CT	06237
	\$6,000.00						041-76-9191		1st Choice Electric	215 Long Hill Road	Andover	CT	06232
2017-02	\$30,000.00	1	1	2	043-64-7939	No			Bar Construction	242 S. Windham Rd	Willimantic	CT	06226
	\$575.00						043-78-5705		Henick Electric	12 Woodland Dr.	Windham	CT	06280
	\$7,750.00						040-74-4017		Kenny Caton	9 Howard Drive	Willimantic	CT	06226

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
	\$7,000.00					040-76-8435			Eric Neborsky	66 Spring Hill	Stors	CT	06286
2017-03	\$495.00	1	1	2	27-0091121	No			RJ Ouellette Plumbing & Heating Inc.	2322 Boston Turnpike	Coventry	CT	06238
Vertical Lift	\$21,393.00	1	1	2	81-3401423	No			Freedom Lifts LLC	666 Upper maple St	Danielson	CT	06239
ADA Kitchen	\$8,100.00	1	1	2	20-8205093	No			Fuss & O'Neill	148 Hartford Road	Manchester	CT	06040
						048-34-5029			Bruce Chamberlin (\$6250)	12 Russmar Trail	Columbia	CT	06237
	\$785.00	1	1	2	38-3868950	No			CT Lead Paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033
	\$17,180.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Rd.	Willington	CT	06279
						27-0463757			Briggs Electric (\$1940)	16 Savage Rd	Preston	CT	06365
						049-54-6328			M. Morin Carpentry (\$1305)	76 Discovery Rd	Vernon	CT	06066
	\$19,450.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Rd	Willington	CT	06279
2015-02	\$515.00	1	1	2	38-3868950	No			CT Lead Paint Solutions	1245 Hebron Ave	Glastonbury	CT	06033
2015-02	\$22,600.00	1	1	2	043-64-7939	No			Bar Construction	242 S. Windham Rd	Willimantic	CT	06226
						040-74-7417			Kenny Caton	9 Howard Dr.	Willimantic	CT	06226
						043-78-5705			Herrick Electric	12 Woodland Dr.	Windham	CT	06280
2015-04	\$22,900.00	1	1	2	046-52-5330	No			Lagace Siding, LLC	175 Hop River Road	Coventry	CT	06238
						041-76-9191			1st Choice Electric (\$2450)	215 Long Hill Road	Andover	CT	06232
						65-1182141			Eagle construction (\$1812)	187 North Fams Rd.	Coventry	CT	06238
						048-34-5029			Chamberlin Heating & Plumbing (\$258	12 Russmar Trail	Columbia	CT	06237
2015-06	\$28,600.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Rd	Willington	CT	06279
									Gios Remodeling, LLC	36 Sheffield Street	Waterbury	CT	06704
Derby SC1403701									L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
037-59	\$28,150.00	1	1	2	84-1716603	No			Rocky's Home Improvement	706 Redding Road	West Redding	CT	06896
	\$3,700.00	1	1	2		No	983-72-9537		H&W Construction	772 Route 22	Brewster	NY	10509
	\$4,200.00	1	1	2		No	062-90-5037		Oswaldo Lewis	158 Steele Lane	Brewster	NY	10509
	\$2,200.00	1	1	2		No	040-58-6822		Randy Heeler	5 Hawleyton Drive	Danbury	CT	06811
037-63	\$15,350.00	1	1	2	048-90-6937	No			Banka Home Improvement	62 Brookwood Lane	Bethany	CT	
	\$675.00	1	1	2		No	27-1442496		Jessy Cedor Plumbing LLC	18 Cool Street	Winstead	CT	06098
	\$850.00	1	1	2		No	80-0666706		Electro Services LLC	88 Somel Road	Waterbury	CT	06704
Durham SC1603801									L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$97,000.00	3	1	2	06-1330300	No			L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$532,000.00	1	1	2	06-1430560	No			Total Interiors, LLC	2290 Foxon Road	North Branford	CT	06471
	\$136,950.00	1	1	2		No	45-2903503		Venillo Contracting, LLC	P.O Box 1687	Manchester	CT	06040
	\$55,274.00	1	1	2		No	06-1524489		Dyna Electric, Inc.	P.O Box 1687	Manchester	CT	06040
East Granby SC1604001									L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Metacomet Village	\$100,000.00								L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
East Haddam SC1504101		3	1	2	06-1330300	No			L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Oak Grove SH	\$111,900.00	3	1	2	06-1330300	No			L Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Oak Grove SH	\$198,864.00	1	1	2	06-1004500	No			Sullivan Paving Co. Inc.	P.O Box 337	Ivoryton	CT	06442
Oak Grove SH	\$4,600.00	1	1	2		No	26-2070016		Luther Fence, Inc.	145 Leonard Drive	Groton	CT	06340
Oak Grove SH	\$7,500.00	1	1	2		No	46-0595346		Fuller Paving	195 Jones Hollow road	Marlborough	CT	06447

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street				
	\$490,500.00	1	1	2	06-0893519	No			Barry Associates, Inc.	17 Halls Mills Road	Preston	CT	06365	
	\$27,828.00						06-1032855		JH LA Piere Jr. & Sons LLC	6 Arlington Road	Windsor Locks	CT	06096	
	\$19,000.00						06-1352566		AIG Inc.	16 Hamilton Street	West Haven	CT	06515	
East Hampton PI 241018	NA								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
East Haven PI 941-773-2769									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
044-226	\$4,700.00	1	1	2	06-1606641	No			V. Nanfito Roofing & Siding Inc	558 Hanover Street	Meriden	CT	06454	
East Windsor PI 814-63156	NA								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
East Windsor SC1604701									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
Park Hill	\$94,500.00	3	1	2	06-1330300	No			L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
Park Hill	\$454,100.00	1	1	2	75-2988693	No			DEF Services Group	1171 Voluntown Road	Griswold	CT	06351	
Ellington Program Income	NA								Peter Sarbon					
Ellington SC1604801									Community Opportunities Group					
Grant Management Services	\$82,500.00	3	1	2	04-2674871	No			Community Opportunities Group	129 Kingston Street, 3rd Floo	Boston	MA	02111	
HRP lead Paint Inspection S	\$18,000.00	3	3	1	06-1501353	No			Boston Lead Company, LLC	62 Washington Street	Middletown	CT	06457	
SO-245	\$21,980.00	1	1	2	6-1508715	No			Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238	
WL-251	\$29,294.00	1	1	2	6-1508715	No			Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238	
WL-252	\$29,525.00	1	1	2	6-1508715	No			Trim Out LLC	39 Bread and Milk Street	Coventry	CT	06238	
Enfield - Program Income														
143 Moody	\$2,589.64	1	1	2	06-1329438	No			Rich's oil	12 Moody Road	Enfield	CT		
17 Grand	\$7,300.00	1	1	2	06-1466870	No			Jeff O'Brien plumbing	661 Bloomfield Ave	Bloomfield	CT		
	\$4,242.00	1	1	2	06-1078599	No			Woodhaven Development Corp	335 Prospect Hill Road	Windsor	CT		
	\$872.00	1	1	2	06-1466870	No			Jeff O'Brien plumbing	661 Bloomfield Ave	Bloomfield	CT		
4 Polk Drive	\$2,800.00	1	1	2	06-1250617	No			Barnett Construction	127 Spencer Street	Suffield	CT		
5 First Ave	\$1,250.00	1	1	2	06-1466870	No			Jeff O'Brien plumbing	661 Bloomfield Ave	Bloomfield	CT		
105 South Road	\$225.00	1	1	2	06-1466870	No			Jeff O'Brien plumbing	661 Bloomfield Ave	Bloomfield	CT		
5 Sun Street	\$19,535.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
9 The hamlet	\$7,390.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
9 The hamlet	\$2,595.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
52 Booth road	\$5,350.00	1	1	2	06-1329438	No			Rich's oil	12 Moody Road	Enfield	CT		
7 Spier Ave	\$19,800.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
31 Donna	\$9,200.00	1	1	2	06-1250617	No			Barnett Construction	127 Spencer Street	Suffield	CT		
7 Victory	\$6,900.00	1	1	2	81-1491515	No			Affordable Restorations	14 Pine Drive	Windsor Locks	CT		
2 Martin Terrace	\$495.00	1	1	2	06-1607586	No			Mike's Plumbing & Heating	44 Wilstar Circle	Enfield	CT		
12 Lake Drive	\$5,993.36	1	1	2	06-1329438	No			Rich's oil	12 Moody Road	Enfield	CT		
12 Lake Drive	\$4,200.00	1	1	2	51-041-5019	No			Advanced Electrical Systems LLC	81 Wells Road	Broad Brook	CT	06016	
627 Taylor Road	\$9,925.00	1	1	2	049-56-0130	No			Al Le Blanc & Son	115 Quaker Lane	West Hartford	CT	06119	
34 Powder Ridge Road	\$8,810.00	1	1	2	51-0579513	No			Dzen Residential Roofing LLC	105 Edwin Road	South Windsor	CT	06074	

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i. Name	Street	City	State Zip Code
4 Carpet Street	\$8,250.00	1	1	2	81-1491515	No		Affordable Restoration LLC	14 Pine Drive	Windsor Locks	CT 06096
5 Morgan Place	\$6,380.00	1	1	2	81-1491515	No		Affordable Restoration LLC	14 Pine Drive	Windsor Locks	CT 06096
Essex SC1505001								Community Consulting			
	\$332,717.01	1	1	1	45-1495345	No		Blake Contracting LLC	15 Lewis Street	Hartford	CT 06103
	\$4,000.00						049-54-3030	McCardle Carpentry	219 No. Brooksvale Rd	Cheshire	CT 06410
	\$3,600.00						27-0746999	Castle View Enterprises	79 Johnson Ave	Meriden	CT 06457
	\$6,000.00						47-2048688	LED Electric	79 Garden Street E.	Hartford	CT 06108
	\$4,000.00						049-54-6328	Kennedy Carpentry	1186 S. Main Street	Cheshire	CT 06410
Granby SC1605601								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Salmon Brook Imp	\$99,000.00	3	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Salmon Brook Imp	\$583,715.00	1	1	2	06-0870123	No		Lupachino and Salvatore Inc	15 Northwood Drive	Bloomfield	CT 06002
Salmon Brook Imp	\$12,238.00	1	1	2		No	06-1195055	Action Air Systems	131 Adams Street	Manchester	CT 06042
Salmon Brook Imp	\$6,920.00	1	1	2		No	06-1333130	Raintech Sound & Communication Inc	250 Sheldon Road	Manchester	CT 06042
Salmon Brook Imp	\$92,000.00	1	1	2		No	26-2167422	Nordic Air Heating & Cooling LLC	PO Box 724	Southington	CT 06489
Salmon Brook Imp	\$4,500.00	1	1	2		No	06-1168533	Four Seasons landscaping Inc	836 Palisado Ave	Windsor	CT 06095
Salmon Brook Imp	\$10,500.00	1	1	2		No	06-1433146	Garity Asphalt Reclaiming Inc	22 Peters Road	Bloomfield	CT 06002
Salmon Brook Imp	\$65,000.00	1	1	2		No	47-0959675	Taylor Electric LLC	438 Pleasant Valley Road	Windsor	CT 06074
Salmon Brook Imp	\$22,500.00	1	1	2		No	59-3214406	Quality Insulation of Eastern CT	505 Norwich Avenue	Tatville	CT 06380
Salmon Brook Imp	\$12,904.00	1	1	2		No	45-5389693	Door & Security Solutions LLC	8 Horseshoe Lane	Farmington	CT 06032
Salmon Brook Imp	\$6,280.00	1	1	2		No	16-1327139	EG&G Construction, Inc	56 South Canal Street	Plainville	CT 06062
Salmon Brook Imp	\$65,000.00	1	1	2		No	06-0882414	Simscoff-Echo Farms, Inc	2 Farms Vallet Road	Simsbury	CT 06070
Groton SC1605901								D/E/F Services Group, Ltd.	1171 Voluntown Road	Griswold	CT 06351
Groton SC1305901								NA			
059-25	\$23,135.00	1	4	2	06-0971347	No		Pawcatuck Roofing	20 S. Anguilla Road Box 9B	Pawcatuck	CT 06379
	\$40,457.50	1	1	2	049-58-9730	No		MDG Construction	PO Box 23	Voluntown	CT 06384
	\$5,100.00	1	1	2		No	04-3810601	Delea Mechanical LLC	23A Krug Rd.	Preston	CT 06365
	\$6,670.00	1	1	2		No	464-04-2326	Green Valley Electric	115 Center Cemetery Road	Woodstock	CT 06281
	\$5,457.50	1	1	2		No		Independent Mechanical	PO Box 304	Uncasville	CT 06382
	\$3,750.00	1	1	2	75-2988693	Yes		DEF Services Inc.	1171 Voluntown Rd.	Griswold	CT 06351
	\$4,000.00	1	2	2		No	06-2418798	SES Environment	2019 Main Street	Hartford	CT
59-22	\$14,312.00	1	1	1		No		Brownstone Contracting Co.	10 Lynde St	Old Saybrook	CT 06475
		1	2	2	06-0962559	No		Sultana Estates, Inc.	42 Shweky Lane	Southington	CT 06489
Haddam Program Income								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Hampton SC1506301								Peter Sanborn			
270C-073	\$27,895.58	1	1	2	35-2510773	No		Berard Builders	339 South Bear Hill Road	Hampton	CT 06247
305-H-093 (P1)	\$19,521.90	1	1	2	06-1508715	No		TrimOut	39 Bread and Milk Street	Coventry	CT 06238
	\$10,435.10	1	1	2	06-1508715	No		TrimOut	39 Bread and Milk Street	Coventry	CT 06238
304H-093	\$26,985.00	1	1	2	06-1508715	No		TrimOut	39 Bread and Milk Street	Coventry	CT 06238

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3b. Phone Number
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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
298C-080	\$29,995.00	1	1	1	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279
249-B-006	\$29,741.00	1	1	2	06-1508715	No			TimOut	39 Bread and Milk Street	Coventry	CT	06238
Hampton SC1606301									Peter Sarbon				
Lead Paint Inspection Service	\$18,000.00	3	3	1	06-1501353	No			Boston Lead Company, LLC DBA En	62 Washington Street	Middletown	CT	06457
Borough of Jewett City SC06									L Wagner & Associates	51 Lakeside Blvd	Waterbury	CT	06708
Ashland Manor	\$116,700.00	3	1	2	06-1330300	No			L Wagner & Associates	51 Lakeside Blvd	Waterbury	CT	06708
	\$438,252.00	1	1	2	75-2988693	No			DEF Services	1171 Voluntown Road	Griswold	CT	06351
Killingly SC1406901									NA				
HR 8	\$24,500.00	3	1	2	06-1501353	No			Boston Lead Company LLC	62 Washington Street	Middletown	CT	06457
	\$30,380.00	3	1	2		No			Northeast District Department of Health	69 Main Street, Unit 4	Brooklyn	CT	06234
	\$1,386.00	3	1	2		No			Fox Heating Service	P O Box 381	Tolland	CT	06084
FY 1401	\$32,401.00	1	1	2		No	20-2376798		Harmon C. French Carpentry	25 Adelaide Street	Danielson	CT	06239
FY 1403	\$25,471.00	1	1	2		No	20-2376798		Harmon C. French Carpentry	25 Adelaide Street	Danielson	CT	06239
FY 1404	\$31,615.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
FY 1405	\$10,400.00	1	1	2		No			Thurlow Trucking & Excavation LLC	P O bOX 263	Ballouville	CT	06263
FY 1406	\$23,695.00	1	1	2		No	20-2376798		Harmon C. French Carpentry	25 Adelaide Street	Danielson	CT	06239
FY 1409	\$31,700.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
FY 1413	\$18,535.00	1	1	2		No	20-2376798		Harmon C. French Carpentry	25 Adelaide Street	Danielson	CT	06239
FY 1408	\$20,985.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
FY 1405	\$13,585.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
FY 1417	\$14,700.00	1	1	2		No			Thurlow Trucking & Excavation LLC	P O bOX 263	Ballouville	CT	06263
FY 14#3	\$20,596.00	1	1	2	012-84-1366	Yes			Right Construction	9-11 Railroad Street	W.Springfield	MA	01089
FY 14#10	\$195,000.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
Killingly SC1506901									NA				
HR9	\$24,500.00	3	1	2	06-1501353	No			Boston Lead Company LLC	62 Washington Street	Middletown	CT	06457
	\$32,830.00	3	1	2		No			Northeast District Department of Health	69 Main Street, Unit 4	Brooklyn	CT	06234
15-1	\$30,000.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
15-6	\$17,615.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
15-3	\$14,860.00	1	1	2	012-84-1366	Yes			Right Construction	9-11 Railroad Street	W.Springfield	MA	01089
15-4	\$28,380.00	1	1	2	012-84-1366	Yes			Right Construction	9-11 Railroad Street	W.Springfield	MA	01089
15-2	\$31,660.00	1	1	2	012-84-1366	Yes			Right Construction	9-11 Railroad Street	W.Springfield	MA	01089
15-5	\$23,000.00	1	1	2	012-84-1366	Yes			Right Construction	9-11 Railroad Street	W.Springfield	MA	01089
15-12	\$15,350.00	1	1	2		No			LaFramboise Well Drillers	647 Thompson Road	Thompson	CT	06277
SC1606901													
MCII	\$39,890.00	3	1	2		No			J Associates Architects	84 Market Square	Newington	CT	06111
MCII	\$648,420.00	1	1	2		No			DPM Contracting LLC	61 Railroad Avenue	Siuthington	CT	06489
Lebanon SC1507101									Community Consulting	16 Washburn Street	Willimantic	CT	06226
2015-02	\$28,415.00	1	1	1	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279
	\$1,079.45						06-1176513		Jolley Precast Inc.	463 Putnum Road	Danielson	CT	06239

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street	City	State	Zip Code
	\$550.00						27-0463757		Briggs Electric	16 Salvage Rd	Preston	CT	06365
	\$3,535.00						049-546328		M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$2,799.90						90-0897607		Shoreline Spray Foam	5 Horsley Ave	New Haven	CT	06512
2015-03	\$24,950.00	1	1	2	043-64-7939	No			Bar Construction	242 So. Windham Road	Willimantic	CT	06226
	\$3,675.00						040-74-7417		Kenny Caton	9 Howard Road	Willimantic	CT	06226
	\$2,100.00						043-78-5705		Herrick Electric	23 Greystone St.	Willimantic	CT	06226
	\$855.00	1	1	2	048-38-8101	No			CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-04	\$3,600.00	1	1	2	65-1272364	No			Christian family Construction Services	120 Putnam Road	Dayville	CT	06241
	\$500.00	1	1	2	30-0185184	No			Art Ogden Escavating	6 Chery Valley Rd.	Columbia	CT	06237
	\$650.00	1	1	2					William Tkacz	76 Cone Road	Hebron	CT	06248
	\$8,040.00	1	1	2					BMH House Repair & Home Improven	409 Providence Turnpike	Hampton	CT	06247
	\$590.00						048-38-8101		CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-05	\$22,495.00	1	1	2	20-2463492	No			American Integrity Restoration	60 Village Place	Glastonbury	CT	06033
	\$2,175.00						040-66-7342		William Rogers	48 Greenwood Street	E. Hartford	CT	
	\$3,850.00						042-68-1730		Mark Roberts	122 Meeting House Hill Rd	N. franklin	CT	06254
	\$3,000.00						049-96-6058		NI Construction	13 Woodcrest Drive	Waterbury	CT	
	\$3,750.00						040-15-2023		Lukasz Micholski	70 Neanda Street	New Britain	CT	
	\$1,970.00						02-5542264		Jeff Kimball	183 Pulpit Rock Road	Woodstock	CT	06281
	\$1,750.00						16-1418033		Mike Parfa	60 Hunt Rd	Columbia	CT	06226
	\$590.00	1	1	2	048-38-8101				CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-06	\$16,770.00	1	1	1	06-1549227				RER Associates	75 Lustig Road	Willington	CT	06279
	\$550.00						27-0463757		Briggs Electric	16 savage road	Preston	CT	06365
	\$1,800.00						06-1444943		JM Tree Service	372 Crystal Lake Rd	Tolland	CT	06084
	\$3,100.00						046-62-7126		Peak Perfection Roofing	60 Frank Street	Putnam	CT	06260
	\$590.00	1	1	2	048-38-8101				CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-07	\$26,430.11	1	1	2	047-38-9488				General Builders & Remodelers	87 O'Connell Rd	Colchester	CT	06415
	\$3,075.11						06-1635915		CT Pellet & Wood Stove Services	218 Summit Street p	Plantsville	CT	06479
2015-08	\$25,000.00	1	1	2	043-64-7939	No			Bar Construction	242 So. Windham Road	Willimantic	CT	06226
	\$4,150.00						045-78-0496		Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$3,250.00						043-78-5705		Jordan Herrick	59 Gray Stone Rd	Willimantic	CT	06226
	\$4,000.00						20-0400602		Aaron Demow	320 South Ridge Rd.	Tolland	CT	06084
	\$825.00						048-38-8101		CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-09	\$29,120.00	1	1	1	06-1549227				RER Associates	75 Lustig Road	Willington	CT	06279
	\$550.00						27-0463757		Briggs Electric	16 savage road	Preston	CT	06365
	\$3,900.00						06-1411759		Fox Heating Service	37 Westford Ave	Stafford	CT	06076
	\$270.00						049-54-6328		M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$640.00	1	1	2	048-38-8101	No			CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033
2015-10	\$30,000.00	1	1	2	043-64-7939	No			Bar Construction	242 So. Windham Road	Willimantic	CT	06226
	\$3,500.00						045-78-0496		Kenny Caton	9 Howard Drive	Willimantic	CT	06226
	\$475.00						043-78-5705		Jordan Herrick	59 Gray Stone Rd	Willimantic	CT	06226
2015-11	\$590.00	1	1	2	048-38-8101	No			CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033

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3b. Phone Number
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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
	\$24,450.00	1	1	2	040-78-1231	No			Halleigh Electric	231 Hoxie Road	Lebanon	CT	06249
	\$3,200.00						47-5671020		Hy-Tek Plumbing & Heating	241 Krug Road	Preston	CT	06365
	\$3,200.00						08-2645903		Kevin Regan	67 Wales Head Rd	Andover	CT	06232
	\$1,700.00						048-76-8001		John Boukuff	384 Woodland Rd.	Mansfield	CT	06268
2015-12	\$29,000.00	1	1	2	046-52-5330	No			Lagace Siding LLC	175 Hop Riiver Rd.	Coventry	CT	06238
	\$2,835.00						65-1182141		Eagle Construction	189 North Farms Rd.	Coventry	CT	06238
2015-13	\$18,400.00	1	1	2	06-1566592	No			Wentworth Septic Service	528 Exeter Road	Lebanon	CT	06249
Ledyard SC1507201													
072-94-H	\$7,200.00	1	1	2	06-1200716	No			Andersen Oil Company	4 Colby Drive	Ledyard	CT	06339
	\$2,600.00	1	1	2		No	06-1596871		Action Abatement	348-B Maple Avenue	Uncasville	CT	06382
072-102-H	\$8,420.00	1	1	2	20-0492630	No			R& W Heating Solutions, LLC	10 Whitter Road	Salem	CT	06420
	\$1,600.00	1	1	2		No	041-665082		Lake Shore Chimney	6 Beta Avenue	Old Lyme	CT	06371
072-85	\$15,380.00	1	1	2	044-44-1025	No			Starling Painting & Construction	60 Johnson Lane	Madison	CT	06443
	\$1,650.00	1	1	2		No	06-1278039		East River Overhead Door, LLC	5 Tipping Place	Madison	CT	06443
	\$398.00	1	1	2		No	06-1592807		Beaver Electric	8 Fielding Terrace	E. Windsor	CT	06382
072-78	\$15,336.00	1	1	2	06-1557940	No			JWP Building LLC	1 knollwood Drive	Canterbury	CT	06331
	\$2,200.00	1	1	2			20-237398		Walter Electric LLC	PO BOX 5	East Lyme	CT	06333
	\$2,200.00	1	1	2		No	06-1068472		RC Plumbing & Heating	420 Main Street	Baltic	CT	06330
072-90-R	\$31,415.81	1	1	2	27-0132286	No			D'Amato Builders and Advisors LLC	40 Connecticut Ave	Norwalk	CT	06360
	\$8,750.00	1	1	2		No	06-1578423		SW Debs LLC	503 Fabyan Road	N. Grovernsdale	CT	06255
	\$1,600.00	1	1	2		No	06-1370344		Northern Lights	4 Hanover Farms Road	Bolton	CT	06043
072-79	\$9,535.00	1	1	1	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
	\$260.54	1	1	2		No	06-1411759		Fox Heating Service	37 Westford Ave.	Stafford Springs	CT	
	\$350.00	1	1	2		No	27-1463757		Briggs Electric	16 Savage Road	Preston	CT	06279
	\$3,570.00	1	1	2		No	049-54-6328		M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
Various	\$1,530.00	3	1			No	06-1501353		Boston Lead	62 Washington Street	Middletown	CT	06457
072-38	\$5,885.66	1	1	2	06-1390750	No			G. Donovan Associates, Inc.	627 Route 32	North Franklin	CT	06254
072-102-S	\$17,590.00	1	1	2	06-1613366	No			Lussier & Sons Septic Service	25 Elmwood Way	Clinton	CT	06413
072-102-ST	\$485.00	1	1	2	06-0980881	No			Bob's Septic Tank Cleaning	160 Raymond Hill Rd	Uncasville	CT	06382
072-102-H	\$8,420.00	1	1	2	20-0492630	No			R&W Heating Energy Solutions LLC	10 Whitter Road	Salem	CT	06420
072-103	\$32,420.48	1	1	2	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
	\$550.00	1	1	2		No	27-0463757		Briggs Electric	16 Salvage Street	Preston	CT	06365
	\$5,000.00	1	1	2		No	049-54-6328		M. Morin Carpentry	76 Discovery Road	vernon	CT	06066
	\$8,985.00	1	1	2		No	20-0492630		R& W Heating Solutions, LLC	10 Whitter Road	Salem	CT	06420
072-104	\$21,515.00	1	1	2	06-1549227	No			RER Associates LLC	75 Lustig Road	Willington	CT	06279
	\$800.00	1	1	2		No	27-0463757		Briggs Electric	16 Salvage Street	Preston	CT	06365
	\$5,000.00	1	1	2		No	049-54-6328		M. Morin Carpentry	76 Discovery Road	Vernon	CT	06066
	\$6,685.00	1	1	2		No	20-0492630		R& W Heating Solutions, LLC	10 Whitter Road	Salem	CT	06420
Lisbon SC1507301									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
115-003	\$23,395.00	1	1	2	06-1557940	No			JPW Building LLC	Knollwood Drive Unit 5	Canterbury	CT	06331
115-010	\$19,300.00	1	1	2	06-12994	No			American General Building Services	PO Box 155	Old Mystic	CT	06372

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street	City	State	Zip Code
	\$1,000.00	1	1	2		No	47-2684093		Waterford Electric	PO Box 163	Waterford	CT	06379
	\$5,800.00	1	1	2		No	06-1354489		Best Energy	4 Mechanic Street	Pawcatuck	CT	
115-006	\$21,935.00	1	1	2	06-0604577	No			American Intergly Restoration LLC	60 Village Place	Glastonbury	CT	06033
	\$4,100.00	1	1	2		No	47-2514655		Costa Plumbing	358 Spring Street	Glastonbury	CT	06033
	\$3,325.00	1	1	2		No	06-1418033		Darla & Sons Electrical	69 Hunt Road	Glastonbury	CT	06033
115-011	\$15,844.00	1	1	2	558376	No			J Prince Construction	5 Fleet Street	Waterbury	CT	06705
	\$1,228.00	1	1	2	03-0561528	No			AAA Legal Services	Main Street	Monroe	CT	
115-002	\$750.00	1	1	2	06-1433868	No			Safe Homes Inc.	PO Box 1125	Waterbury	CT	06721
	\$3,350.00	1	1	2	06-1225491	No			Mystic Air Quality Consultants Inc.	1204 North street	Groton	CT	06340
	\$24,500.00	1	1	2	81-0810386				Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Litchfield Program Income	NA								L. Wagner & Associates	51 Lakeside Boulevard East	Waterbury	CT	06451
Litchfield SC 1507401													
Bantam Falls	\$117,300.00	3	1	2	06-1330300	No			L. Wagner & Associates	51 Lakeside Boulevard	Waterbury	CT	06451
	\$228,366.23	1	1	2	27-2097915	No			JHS Restoration, Inc.	170 Strong Road	South Windsor	CT	06074
	\$53,000.00	1	1	2		No	47-2036254		J&M Aesthetics LLC	30 Burlington Road	Unionville	CT	06085
	\$27,235.00	1	1	2		No	59-3214406		New England Building Products	45 Golden Street	Meriden	CT	06450
	\$149,000.00	1	1	2	06-1070676	No			West State Mechanical, Inc	3000 South main street	Torrington	CT	06790
	\$9,200.00	1	1	2		No	46-3432458		Horton Electrical Services	97 River Road	Canton	CT	06019
	\$333,745.00	1	1	2	04-3458589	No			Millennium Builders, Inc.	50 Inwood Road	Rocky Hill	CT	06067
	\$194,500.00	1	1	2		No	20-5924573		Greenstone LLC	209 Milton Road	Goshen	CT	06756
	\$109,860.50	1	1	2		No	06-1057763		A & J Construction	150 Laurel Hill Road	Brockfield	CT	06804
Montville SC 1508601									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
015-03	\$27,900.00	1	1	2	06-1189108	No			Square V	227 Chestnut Hill Road	Colchester	CT	06415
015-004-S	\$23,500.00	1	1	2	5459	No			GM Construction	160 Preston Allen road	Lisbon	CT	06351
015-002	\$23,000.00	1	1	2	06-1606641	No			V. Nanlito Roofing & Siding	558 Hanover Street	Meriden	CT	06451
	\$3,600.00	1	1	2		No	06-1243864		Pedro DePaiva	PO Box 99	New Britain	CT	06487
015-023	\$15,220.00	1	1	2	47-4517599	No			Dynamic Energy	183 Providence New London North Stonington	CT	06359	
	\$2,775.00	1	1	2	03-0561528	No			AAA Legal Services LLC	Main Street	Monroe	CT	
	\$6,770.00	1	1	2	06-1225491	No			Mystic Air Quality Consultants Inc.	1204 North Road	Groton	CT	06340
	\$34,000.00	3	1	2	81-0810386	No			Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Middlebury Program Income		1							L. Wagner & Associates	51 Lakeside Blvd	Waterbury	CT	06708
081-117	\$23,695.00	1	2	2	90-0899326	No			London Way LLC	181 Blue Hills Avenue	Hartford	CT	06112
081-122	\$45,604.00	1	2	2	06-0962559	No			Sultana Estates, Inc	42 Shweky Lane	Southington	CT	06489
081-109	\$28,025.00	1	4	2	26-3441330	No			Vilwell Builders LLC	33 Wolcott Rd	Wolcott	CT	06716
081-119	\$19,020.00	1	1	2	46-2875180	No			Dovel Services	848 Soth road	Harwinton	CT	
081-123	\$8,500.00	1	4	2	26-3441330	No			Vilwell Builders LLC	33 Wolcott Rd	Wolcott	CT	06716
Naugatuck Program Income													
088-175	\$4,390.00	1	1	2	06-1770526	No			Coviello Plumbing & Heating	280 Railroad Hill Street	Waterbury	CT	
088-176-R	\$17,153.00	1	1	2	146-589895	No			Leake Builders	25 O'Neill Road	Oxford	ct	

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street				
088-176-H	\$9,537.50	1	1	2	06-1770526	No			Coviello Plumbing & Heating	280 Railroad Hill Street	Waterbury	CT		
088-176-H	\$3,250.00	1	1	2		No	36-465785		Connecticut Asbestos Abatement LLC	47 Linden Street	West Haven	CT		
088-181	\$9,150.00	1	1	2	06-1112508	No			Andy's Oil Service Inc	329 Walnut Street	Waterbury	CT		
088-183	\$10,000.00	1	4	2	26-3441330	No			Villwell Builders inc.	33 Wolcott Road	Wolcott	CT	06716	
New Fairfield Program Income New Hartford PI#385003691351	NA								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
Newington PI CT-01-0092-2005														
094-184	\$12,600.00	1	1	2	27-5546579	No			Integrated Building Solutions, LLC	287 Main Street	Cromwell	CT		
New Milford PI	NA								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
North Canaan Program Income North Stonington SC1410201	NA								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708	
									Lisa Low & Associates					
NS14-002	\$27,150.00	1	1	2	06-12994	No			American General Building	PO BOX 155	Old Mystic	CT		
	\$2,300.00	1	1	2		No	47-2684093		Waterford Electric		Waterford	CT		
	\$500.00	1	1	2		No			Richard E. White & Sons	189 Norwich Westerly Road	North Stonington	CT		
NS14-004	\$29,027.00								Nutmeg Building & Remodeling		Ledyard	CT		
	\$3,300.00	1	1	2		No	20-2376798		Walter Electric	PO Box 5	East Lyme	CT		
	\$700.00	1	1	2		No	56-2357522		Brown and Son Plumbing & Heating L		Gales ferry	CT		
NS14-011	\$5,250.00	1	1	2	38-380814	No			Girard General Contracting	12 Crocker Hill Rd	Ledyard	CT		
NS14-001	\$11,600.00	1	1	2	06-25452	No			Uplands Construction	183 Providence New London	North Stonington	CT		
NS14-005	\$8,200.00	1	1	2	06-25452	No			Uplands Construction	183 Providence New London	North Stonington	CT		
NS13-013	\$13,600.00	1	1	2	06-12994	No			American General Building	PO BOX 155	Old Mystic	CT		
	\$7,000.00	1	1	2	06-1354489	No			Best Energy	4 Mechanic St	Pawcatuck	CT		
	\$500.00	1	1	2		No			Richard E. White & Sons	189 Norwich Westerly Road	North Stonington	CT		
NS14-014	\$30,965.00	1	1	2	06-25452	No			Uplands Construction	183 Providence New London	North Stonington	CT		
NS14-012	\$7,400.00	1	1	2	26-958207	No			Caswell & Sons Concerte	31 Yawbox Valley Road	North Stonington	CT		
NS14-016	\$23,992.00	1	1	2	583953	No			JPW Building LLC	1 Knollwood Drive	Canterbury	CT		
	\$1,600.00	1	1	2		No	20-2376798		Walter Electric	PO Box 5	East Lyme	CT		
NS14-006-S	\$11,700.00	1	1	2	048-40-4128	No			D'Arcy Cleveland & Sons Excavation	247 N Angulla Rd	Pawcatuck	CT		
NS14-006-W	\$13,881.00	1	1	2	06-0809278	No			Eastern West Solutions	5 Benson Road	Oxford	CT		
NS14-006-HR	\$7,258.00	1	1	2	06-25452	No			Uplands Construction	183 Providence New London	North Stonington	CT		
Oxford SC1410801									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478	
14-003	\$36,900.00	1	1	2	06-1023690	No			Goufart Construction	15 Lori Lynn Circle	Newtown	CT		
	\$4,500.00						06-0989022		Jim Rrenz	10 Topstone Drive	Bethel	CT		
	\$1,500.00						104923		Thomaston Electric	4 Litchfield Street	Thomaston	CT	06787	
	\$250.00						388506		JP Dunn	701 Northfield Road	Northfield	CT		
	\$1,000.00								CPM Environmental	81 Silvermine Road	Seymour	CT		
14-004	\$30,400.00	1	1	2	46-2875180	No			Dovel Services	848 South Rd	Hawinton	CT	06791	

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street	City	State Zip Code
	\$450.00					281741			Fazzino Plumbing		Wallingford	CT
	\$1,750.00					124060			Valmark Electric		Torington	CT
	\$200.00								Elite		Burlington	CT
14-006	\$30,410.00	1	1	2	06-1023690	No			Goulart Construction	15 Lori Lynn Circle	Newtown	CT
14-007	\$14,300.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT 06795
	\$500.00	1	1	2		No	06-1552875		Tony Pereira	343 Porter Ave	Middlebury	CT 06762
	\$1,600.00	1	1	2		No	06-1545553		Jorge Camiera	74 Watertown Rd	Middlebury	CT 06762
14-008	\$15,250.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT 06795
14-009	\$6,600.00	1	1	2		No	635667		Dovel Services	848 South Rd	Harwinton	CT 06791
14-001	\$34,850.00	1	1	2	06-1023690	No			Goulart Construction	15 Lori Lynn Circle	Newtown	CT
	\$1,950.00	1	1	2		No	010-68-8399		Sal Cutrona	2 Hardscrabble Rd	Sheerman	CT
14-012	\$29,800.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT 06795
	\$1,400.00	1	1	2		No	06-1545553		Jorge Camiera	74 Watertown Rd	Middlebury	CT 06762
14-013	\$28,930.00	1	1	2		No	635667		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$200.00								Valmark Electric		Torington	CT 06479
	\$250.00	1	1	2		No	06-1556852		JP Dunn Heating and Cooling	7101 Northfield Road	Northfield	CT 06778
14-015	\$20,100.00	1	1	2		No	635667		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$11,000.00								Valmark Electric		Torington	CT 06479
	\$300.00	1	1	2		No	06-1556852		JP Dunn Heating and Cooling	7101 Northfield Road	Northfield	CT 06778
14-011	\$25,225.00	1	1	2		No	635667		Dovel Services	848 South Rd	Harwinton	CT 06791
												CT 06473
Plainville PI 532-07184									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
110-346-E	\$1,472.00	1	1	2	06-1523388	No			K-CO Electric LLC	6 Hale Court	Plainville	CT 06062
110-340	\$12,250.00	1	4	2	06-1052060	No			Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
	\$8,000.00	1	1	2		No	06-1556852		JP Dunn Heating and Cooling	7101 Northfield Road	Northfield	CT 06778
110-306	\$15,650.00	1	4	2	06-1052060	No			Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
	\$4,000.00	1	1	2		No	043-80-2690		No Limit Construction	11 Bradley Lane	Sandy Hook	CT
110-313	\$11,430.00	1	1	2	046-36-9567	No			Bakaysa Renovations	324 Candlewood Drive	Forestville	CT
110-315	\$11,810.00	1	1	2	45-4644569	No			Apache Industries	1405 Guerseystown Road	Watertown	CT
110-317-T	\$2,200.00	1	1	2	45-4644569	No			Apache Industries	1405 Guerseystown Road	Watertown	CT
110-317-R	\$19,519.75	1	1	2	45-4644569	No			Apache Industries	1405 Guerseystown Road	Watertown	CT
	\$9,200.00	1	1	2		No	635667		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$2,960.00	1	1	2		No	06-1504065		MJM Electrical	59 Bridge Street	Thomaston	CT
	\$750.00	1	1	2		No	65-122-0462		Fazzino Heating & Plumbing	20 Kellen Road	Wallingford	ct
	\$400.00	1	1	2		No	06-1235662		Pierpoint Gutters	856 North Main Street	Thomaston	CT
110-320	\$3,418.00	1	1	2	20-5601241	No			Air Connections LLC	1 Townline Road	Plainville	CT
110-319		1	1	2	06-1161743	No			Roberge Plumbing & HVAC	433 East Street	Plainville	CT
SC1511001												
110-321	\$9,100.00	1	4	2	06-1052060	No			Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
110-331	\$5,350.00	1	4	2	26-3441330	No			Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
110-331 P2	\$2,070.00	1	1	2		No			E. Halberli Electric	125 Research Turnpike	Meriden	CT 06450

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i. Name	Street	City	State Zip Code
110-335-P1	\$12,950.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
110-335-P2	\$7,390.00	1	1	2	06-1052060	No		Spring Brook Ice & Fuel	19 Woodland Street	New Britain	CT 06051
110-341-H	\$10,924.00	1	1	2	47-1792921	No		New England Professional Contractor	86 Allen Street	Terryville	CT 06786
110-341-R	\$7,500.00	1	1	2	043-66-4704	No		RN Contractor	1 Balmoral Drive	Watertown	CT 06795
110-349	\$1,850.00	1	1	2	1237654	No		Oxford ellington Construction Group LL	62 Oakwood Road	Manchester	CT 06042
110-345-P2	\$2,550.00	1	1	2	06-1052060	No		Spring Brook Ice & Fuel	19 Woodland Street	New Britain	CT 06051
110-351	\$8,120.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
110-322	\$3,000.00	1	1	2		No	635667	Dovel Services	848 South Rd	Harwinton	CT 06791
110-323	\$12,700.00	1	1	2		No	635667	Dovel Services	848 South Rd	Harwinton	CT 06791
110-325	\$10,250.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
110-326	\$7,500.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
	\$4,500.00	1	1	2		No	043-82-3285	Litchfield County Mechnical	8 Fitzpatrick Road	Harwinton	CT
110-333	\$17,557.00	1	1	2	046-58-9895	No		Leake Builders LLC	25 Oneill Rd	Oxford	CT 06478
110-336	\$7,890.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
	\$4,200.00	1	4	2		No	98-5882331	Charco Construction LLC	817 North Main Street	Waterbury	CT
	\$600.00	1	1	2		No	06-1519824	DW Electrical LLC	16 Pleasant Street	Wolcott	CT
110-338	\$9,000.00	1	4	2	26-3441330	No		Villwell Builders LLC	33 Wolcott Road	Wolcott	CT 06716
	\$3,500.00	1	4	2		No	98-5882331	Charco Construction LLC	817 North Main Street	Waterbury	CT
	\$1,500.00	1	1	2		No		B. Kane Electrical	PO Box 11049	Waterbury	CT 06782
Preston SC1411401								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Linclon Park Reno	\$79,500.00	1	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
Linclon Park Reno	\$681,376.58	1	1	2	06-1430560	No		Total Interiors	2290 Foxon Road	North Branford	CT 06471
Linclon Park Reno	\$140,000.00	1	1	2		No	55-0795422	Geo Plumbing & Heating	100 Squires Rd.	Madison	CT 06443
Linclon Park Reno	\$12,000.00	1	4	2		No	47-3797131	Cartos Carpentry & Construction Serv	205 Fairmont Ave.	New Haven	CT 06513
Linclon Park Reno	\$14,630.00	1	1	2		No	46-0954706	Mass Tank Inspection Services	29 Abbey lane	Middleboro	MA 02346
Linclon Park Reno	\$14,500.00	1	1	2		No	20-8827948	Algieri Landscaping LLC Suite 403	132 Parks Road	Preston	CT 06365
Linclon Park Reno	\$95,361.00		1	2		NO	81-0579193	Eagle Industries	15 Gray Lane	Ashway	RI 02804
Prospect PI#9417733681								L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT 06708
115-153	\$31,716.00	1	1	2	046-58-9895	No		Leake Builders LLC	25 Oneill Rd	Oxford	CT 06478
115-151	\$8,100.00	1	1	2	06-1367725	No		Rick's plumbing Services LLC	1050 Bridgeport Avenue	Milford	CT 06460
Putnam PI	NA										CT 06260
Rocky Hill SC1611901								L. Wagner & Associates	51 Lakeside Blvd.	Waterbury	CT
Harold J Murphy	\$110,000.00	1	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Blvd.	Waterbury	CT
	\$643,790.00	1	1	2	06-1571986			BRD Builders, LLC	2099 Main Street	Hartford	CT 06120
	\$8,220.00	1	1	2		No	27-5321975	A&J Home Improvement Contractor LI	1 Sherman Avenue	E. Hartford	CT 06108
	\$73,500.00	1	1	2			06-1518359	All Star Electric Company, LLC	372 N. Brookvale Road	Cheshire	CT 06410
	\$20,000.00	1	1	2		No	27-5306381	Best Co. Roofing LLC	35 Goodwill Avenue	Meriden	CT 06451
	\$10,600.00	1	1	2		No	55-0819011	Wood & Wood Seamless Gutters, LLC	PO BOX 247	Marlborough	CT 06447

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street				
	\$16,000.00	1	1	2		No	04-1661474		Callahans Plumbing & Heating	15 Putnum Lane	Enfield	CT	06082	
Roxbury SC1312001														
120-22	\$22,795.00	1	1	2	45-4644569	No			Apache Industries	1405 Guerseystown Road	Watertown	CT		
120-22-T	\$2,280.00	1	1	2	06-0995	No			Acom Tree Care, Inc.	PoBox 1057	Litchfield	CT		
120-24-H	\$8,500.00	1	1	1	26-0212906	No			Country Wide Mechanical Services	430 Middle Street	Bristol	CT		
120-24-R	\$12,250.00	1	1	2	84-1716603	No			Rocky's Home Improvement	706 West redding Road	Redding	CT		
	\$2,000.00	1	1	2		No	983729537		H& W Construction	792 Route 22	Brewster	NY		
	\$900.00	1	1	2		No	62905037		Oswaldo Lernus	32 Fairfield Road	Brewster	NY		
120-25	\$13,222.00	1	1	2	20-873548	No			Huntington Country Homes	25 Hiawatha Trail	Shelton	CT		
120-34-S	\$13,650.00	1	1	2	06-1424150	No			Paul Carlson Excavation	29A Bethlehem Road	Woodbury	CT		
120-34-R	\$14,802.50	1	1	2	462-675180	No			Dovel Services LLC	848 South Road	Harwinton	CT		
	\$3,050.00	1	1	2		No	510541566		Valmark Electric LLC	339 East Main Street	Torrington	CT		
	\$1,675.00	1	1	2		No	113683202		MacDonald Plumbing	213 Mallard Street	Torrington	CT		
120-19	\$23,380.00	1	1	2	46-4446301	No			JNC Contracting Associates, LLC	80 Shelter Rock Road	Danbury	CT	06810	
Salem SC1412101														
14-001	\$8,000.00	1	1	2	612994	No			AGBS	PO Box 155	Old mystic	CT		
	\$1,000.00						472684093		Waterford Electric	PO Box 163	Waterford	CT	06395	
14-003	\$28,900.00	1	4	2		No			Square V Electrical and General Contr	277 Chestnut Hill Road	Colchester	CT	06415	
	\$12,000.00						61583119		Mystic plumbing	150 Cornel Rd	Plainfield	CT	06374	
14-005	\$27,750.00	1	4	2		No			Square V Electrical and General Contr	277 Chestnut Hill Road	Colchester	CT	06415	
14-006	\$6,900.00	1	4	2		No			Square V Electrical and General Contr	277 Chestnut Hill Road	Colchester	CT	06415	
	\$6,000.00						61583119		Mystic plumbing	150 Cornel Rd	Plainfield	CT	06374	
14-009	\$31,705.00	1	1	2	27-0132286	No			D'Amato Builders and Advisors LLC	40 Connecticut Ave	Norwalk	CT	06360	
	\$2,140.00						26-2788181		Villaga Electric	255 Ledge Road	Dayville	CT		
	\$212.00						45-5200025		Armstrong Chimney & Stoves LLC	539 Norwich Ave	taftville	CT		
14-011	\$17,400.00	1	4	2		No			Square V Electrical and General Contr	277 Chestnut Hill Road	Colchester	CT	06415	
	\$1,400.00	1	4	2		No	278084		John O'Roney	150 Cornell Road	Plainfield	CT		
	\$6,500.00	1	4	2		No	278084		John O'Roney	150 Cornell Road	Plainfield	CT		
	\$500.00						388816		North East Chimney	37 Cody Street	West Hartford	CT		
14-012	\$24,167.00	1	1	2	06-1465276	No			Uplands Construction	183 Providence New London N. Stonington		CT		
14-014	\$24,835.00	1	1	2	643245	No			Tiemey Woodworks	167 Round Hill Rd	Salem	CT		
	\$14,050.00	1	1	2			51-303639		R & W Heating Energy Solutions	10 Witter Rd	Salem	CT		
	\$2,550.00	1	1	2			123422		Johnathan Spicer	5 Sarag Rd	Preston	CT		
14-008	\$38,100.00	1	1	2	06-1465276	No			Uplands Construction	183 Providence New London N. Stonington		CT		
14-018	\$25,450.00	1	1	2	612994	No			AGBS	PO Box 155	Old mystic	CT		
	\$3,000.00						472684093		Waterford Electric	PO Box 163	Waterford	CT	06395	
	\$3,000.00						06-1354487		Best Energy	4 Mechanic Street	Pawcatuck	CT		
	\$500.00								Fusaro Chimney		Mystic	CT		
14-013	\$27,987.00	1	1	2	612994	No			AGBS	PO Box 155	Old mystic	CT		
	\$1,500.00						472684093		Waterford Electric	PO Box 163	Waterford	CT	06395	

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 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. U 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc.	Amount of Contract or Sub-contract	Type of Trade Code (See below)	Contractor or Subcontractor Business Racial/Ethnic Code (See Below)	Woman Owned Business Yes =1 No = 2	Prime Contractor Identification (ID) Number	Sec 3 Sub-contractor Iden. (ID)	Contractor/Subcontractor Name and Address				City	State	Zip Code
7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
	\$1,000.00					06-1354487			Best Energy	4 Mechanic Street	Pawcatuck	CT	
14-016	\$16,612.00	1	1	2	27-0132286	No			D'Amato Builders and Advisors LLC	40 Connecticut Ave	Nowalk	CT	06360
14-017	\$13,206.00	1	1	2	583953	No			JPW Building	1 Knollwood Drive	Carterbury	CT	
Seymour SC1612401									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
16-024	\$28,000.00	1	1	2	06-532394	No			RN Contractor	1 Balmoral Ave	Watertown	CT	06795
	\$2,900.00						06-1552875		Tony Pereira	343 Porter Ave	Middlebury	CT	06782
	\$2,200.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
16-032	\$13,500.00	1	1	2	26-3441330	No			Villwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$1,150.00						61519824		DW Electric	16 Pleasant Street	Wolcott	CT	06716
16-034		1	1	2	26-3441330	No			Villwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
	\$750.00						61519824		DW Plumbing	16 Pleasant Street	Wolcott	CT	06716
	\$65.00	1	1	2	06-1180153	No			Robert Green Associates LLC	6 Old Waterbury Road	Terryville	CT	06786
	\$542.00	1	1	2	03-0561528				AAA Legal Services LLC	447 Main Street	Monroe	CT	06468
	\$2,250.00	1	1	1	46-5310837	No			Gilbertco Lead Inspectors Inc	287 Main Street	Ansonia	CT	06401
	\$15,000.00	1	1	1	81-0810386	No			Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Seymour SC1412401													
04-001	\$23,000.00	1	1	2	06-01461	No			Villa Gesell	40 Alexander Dr,	Bridgeport	CT	
	\$2,300.00						208357196		DJP electric	728 Lorch Hill Ave	Shelton	CT	
14-006	\$33,000.00	1	1	2	06-01461	No			Villa Gesell	40 Alexander Dr,	Bridgeport	CT	
	\$2,200.00						66155887		East Coast plumbing	86 Dale Court	Milford	CT	
	\$3,000.00						208357196		DJP electric	728 Lorch Hill Ave	Shelton	CT	
14-007	\$22,450.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$2,650.00						06-1552875		Tony Pereira	343 Porter Ave	Middlebury	CT	06782
	\$100.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
14-009	\$5,150.00	1	1	2	06-1023690	No			Goulart Construction	15 Lori Lynn Circle	Newtown	CT	
14-010	\$50,400.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$650.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
	\$3,100.00						06-1552875		Tony Pereira	343 Porter Ave	Middlebury	CT	06782
14-013	\$27,200.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$1,500.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
14-017	\$16,535.00	1	1	2	47-1792921	No			NEPC	56 Allen Street	Terryville	CT	
	\$290.00	1	1	2		No	20-8105724		Lickwar Electric	92 N Main Street	Terryville	CT	06786
14-020	\$20,100.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$1,100.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
	\$5,500.00						06-1552875		Tony Pereira	343 Porter Ave	Middlebury	CT	06782
14-021	\$28,450.00	1	1	2	043-66-4704	No			RN Contractor	1 Balmoral Drive	Watertown	CT	06795
	\$1,200.00						06-1545553		Jorge Cariera	74 Watertown Rd	Middlebury	CT	06782
Shelton Program Income									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478

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 Department of Housing

Check if
 PHA
 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 Oct. 1 - Sept. 30 (Annual FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. U 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc.	Amount of Contract or Sub-contract	Type of Trade Code (See below)	Contractor or Subcontractor Business Racial/Ethnic Code (See Below)	Woman Owned Business Yes =1 No = 2	Prime Contractor Identification (ID) Number	Sec 3 Sub-contractor Iden. (ID)	Contractor/Subcontractor Name and Address Tj.				
7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i. Name	Street	City	State Zip Code
Simsbury SC1512801											
OLM & VC Senior Housing	\$111,000.00	1	1	2	06-1330300	No		L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT
	\$108,563.25	1	1	1	27-2097915	No		JHS Restoration Inc.	170 Strong Road	S. Windsor	CT 06074
	\$36,000.00	1	1	2		No	81-1327099	Lenkeit Construction. LLC	43 Blanche Street	Chicopee	MA 01013
	\$548,995.17	1	1	2	06-0980850	No		Canton Village Construction CO. Inc.	106 Powder Mill Road	canton	CT 06019
	\$8,692.75	1	1	2		No	06-1433146	Gantly Asphalt Reclaiming Inc.	22 Peters Road	Bloomfield	CT 06002
Simsbury SC1513001											
								Lisa Low & Associates	293 Riggs Street	Oxford	CT 06478
15-008	\$20,297.00	1	1	2	47-1792921	Yes		NEPC	86 Allen Street	Terryville	CT 06786
	\$2,662.00	1	1	2		No	20-8105724	Lickwar Electric	92 N Main Street	Terryville	CT 06786
15-020	\$14,400.00	1	1	2	47-1792921	Yes		NEPC	86 Allen Street	Terryville	CT 06786
	\$1,130.00	1	1	2		No	20-8105724	Lickwar Electric	92 N Main Street	Terryville	CT 06786
	\$5,953.00	1	1	2		No	20-8105724	Lickwar Electric	92 N Main Street	Terryville	CT 06786
15-026	\$9,900.00	1	1	2		No	635667	Dovel Services	848 South Rd	Harwinton	CT 06791
15-028	\$12,465.00	1	1	2	47-1792921	Yes		NEPC	86 Allen Street	Terryville	CT 06786
	\$745.00	1	1	2		No		Ray Baker Plumbing	19 Rough Wing Rd	Naugatuck	CT 06670
15-029	\$12,095.00	1	1	2	47-1792921	Yes		NEPC	86 Allen Street	Terryville	CT 06786
15-022	\$20,175.00	1	1	2	06-1023690	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT
15-024	\$20,862.00	1	1	2	47-1792921	No		NEPC	86 Allen Street	Terryville	CT 06786
	\$2,625.00	1	1	2		No		Ray Baker Plumbing	19 Rough Wing Rd	Naugatuck	CT 06670
	\$1,030.00	1	1	2		No	20-8105724	Lickwar Electric	92 N Main Street	Terryville	CT 06786
	\$880.00	1	1	2		No		Advanced Pro	9 Church Street	Terryville	CT 06786
15-027	\$17,150.00	1	1	2	47-1792921	No		NEPC	86 Allen Street	Terryville	CT 06786
15-036	\$2,533.00	1	1	2	46-2451039	No		Walsh family Contracting	6 Mouthrop Street	Ansonia	CT 06401
15-030	\$17,275.00	1	1	2	06-1023690	No		Goulart Construction	15 Lori Lynn Circle	Newtown	CT
15-034	\$1,985.00	1	1	2	06-0809278	No		Eastern Water Solutions	5 Benson road	Oxfors	CT 06478
15-038	\$16,285.00	1	2	2		Yes	568717	Action Construction	45 Beaver Brook road	Danbury	CT 06810
15-033	\$22,950.00	1	1	2	532394	No		RN Contractor	1 Balmoral Ave	Watertown	CT
15-035	\$24,547.00	1	1	2	46-1473941	No		Leake Builders	25 O'Neill Rd	Oxford	CT 06478
	\$2,493.00	1	1	2	03-0561528	No		AAA Legal Services	Main Street	Monroe	CT
	\$4,492.00	1	1	2	46-5310837	No		Gilbertco Lead Inspection	Main Street	Ansonia	CT 06401
	\$40,500.00	3	1	1	81-0810386	No		Lisa Low & Associates	293 Riggs Street	Oxford	CT 06478
Southington SC1513101											
15-003-S	\$8,304.00	1	2	2	06-0962559	No		Sultana Estates	42 Shweky Lane	Southington	CT 06489
15-003	\$17,145.00	1	1	2	46-2875180	No		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$2,570.00	1	1	2		No		Fazzino Plumbing			
	\$875.00	1	1	2		No		Valmark Electric		Torrington	CT
	\$8,200.00	1	1	2		No		JP Dunn		Thomaston	CT
15-015	\$13,075.00	1	1	2	46-2875180	No		Dovel Services	848 South Rd	Harwinton	CT 06791
	\$1,775.00	1	1	2		No		Valmark Electric		Torrington	CT

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 IHA

2. Location (City, State, Zip Code)
 505 Hudson Street, Hartford, CT 06106

3a. Name of Contact Person
 Donald LaPointe

3b. Phone Number
 860-270-8184

4. Reporting Period
 Oct. 1 - Sept. 30 (Annual-FY)

5. Program Code (Not applicable for CPD programs) See explanation of codes at bottom of page. U 6. Date Submitted to Field Office

Grant/Project No. or HUD Case No. other identification of property, subdivision, dwelling unit, etc.	Amount of Contract or Sub-contract	Type of Trade Code (See below)	Contractor or Subcontractor Business Racial/Ethnic Code (See Below)	Woman Owned Business Yes =1 No = 2	Prime Contractor Identification (ID) Number	Sec 3 Sub-contractor Iden. (ID)	Contractor/Subcontractor Name and Address				City	State	Zip Code
7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street			
143-420	\$27,950.00	1	4	2	26-3441330	No			Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
143-462	\$6,495.00	1	1	2	03-1493117	No			Jim Pecatore Remodelling LLC	126 Winstead Rd	Torrington	CT	06790
143-467 P-1	\$14,200.00	1	1	2	06-283-1167	No			JP Dunn Heating & Cooling	701 Northfield Rd.	Northfield	CT	06778
143-478	\$8,450.00	1	1	2	06-283-1167	No			JP Dunn Heating & Cooling	701 Northfield Rd.	Northfield	CT	06778
143-467 P-2	\$7,010.00	1	1	2	03-1493117	No			Jim Pecatore Remodelling LLC	126 Winstead Rd	Torrington	CT	06790
Trumbull SC1614401									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
Stem Village	\$98,000.00	1	1	2	06-1330300	No			L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
	\$696,428.63	1	1	2	06-0893519	No			Bary Associates, Inc	17 Hall Mills Road	Preston	CT	06365
	\$99,000.00	1	1	2		No	06-1032855		JH LaPierre & Sons LLC	445 Spring Street	Windsor Locks	CT	06096
	\$14,000.00	1	1	2		No			AIG Inc.	16 Hamilton Street	West Haven	CT	06516
Vernon P1 01-358-516-6									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
146-96	\$7,075.00	1	1	2	34721165	No			The Oxford Ellington Group LLC	62 Oakwood Rd	Manchester	CT	06042
Vernon SC1314601									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
146-68.5	\$29,955.00	1	2	2	06-0962559	No			Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	06489
146-71	\$11,042.72	1	4	2	90-0636290	No			D & H Mechanical Services, LLC	163 Shenipsit Road	Tolland	CT	
	\$27,800.00	1	4	2	11-3715293	No			E. Soto Renovations, LLC	151 New Park Avenue, Suite	Hartford	CT	
146-80	\$10,703.00	1	2	2	06-0962559	No			Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	
146-83	\$23,645.00	1	2	2	06-0962559	No			Sultana Estates, Inc.	42 Shweky Lane	Southington	CT	
146-85	\$32,350.00	1	4	2	26-3441330	No			Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
146-86	\$9,958.75	1	4	2	26-3441330	No			Vilwell Builders, LLC	33 Wolcott Road	Wolcott	CT	06716
146-95	\$9,500.00	1	2	2	90-0899326	No			London Way LLC	181 Blue Hills Avenue	Hartford	CT	06112
146-98	\$5,875.00	1	2	2	90-0899326	No			London Way LLC	181 Blue Hills Avenue	Hartford	CT	06112
146-99	\$10,500.00	1	1	2	06-1444837	No			Ludlow Associates, LLC	5 School Street	Pequabuck	CT	
	\$6,671.00	1	1	2	06-1449384	No			Chase & Son Building & Remodeling	311 Jobs Hill Road	Ellington	CT	
Voulnetown SC1614701									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
	\$516,929.00	1	1	2	75-2988693	Yes			DEF Services Inc.	1171 Voulnetown Rd.	Griswold	CT	06351
	\$1,800.00	1	1	2		No			Barnes Moving & Storage	46 New London turnpike	Mystic	CT	06355
	\$22,960.00	1	1	2	06-1344820	No			Lazarus and Sargeant Ltd. Architect PI	50 N. Main Street	Wallingford	CT	06492
	\$22,000.00	3	1	1	81-0810386	No			Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Wallingford SC1614801									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
McGuire Court	\$69,000.00	3	1	2	06-1330300	No			L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708
McGuire Court	\$160,556.80	1	1	2	06-1606948	No			AVT Construction Inc.	558 Hanover Street	Meriden	CT	06451
McGuire Court	\$232,654.80	1	1	2	80-0366781	No			Kenneth L. Thomas II LLC	207 Mulberry Lane	Orange	CT	06477
McGuire Court	\$234,834.00	1	1	2	80-0366781	No			Kenneth L. Thomas II LLC	207 Mulberry Lane	Orange	CT	06477
Waterford Program Income									Lisa Low & Associates	293 Riggs Street	Oxford	CT	06478
Waterdown P1 163/51									L. Wagner & Associates	51 Lakeside Boulevard E	Waterbury	CT	06708

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7a.	7b.	7c.	7d.	7e.	7f.	7g.	7h.	7i.	Name	Street				
Wethersfield PSC1515901									Community Consulting					
James Delvin	\$8,837.28	1	1	2	41-3701002	No			Mercury Excelum Inc	215 South Main Street	East Windsor	CT	06088	
Wilmington Program Income	NA													
Winchester PI	NA													
Windham Program Income									Community Consulting					
2015-11	\$15,200.00	1	1	2	27-2113688	No			Bahr Painting & Restoration LLC	21 Woodchick Lane	Norwalk	CT	06854	
2016-01	\$25,000.00	1	1	2	049-62-8613	No			APR Improvements LLC	PO box 297	S. Windham	CT	06286	
Windham SC1416301									Community Consulting	16 Washburn Street	Willimantic	CT	06226	
2015-10	\$26,573.15	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
	\$550.00							27-0463757	Briggs Electric	16 Savage Rd	Preston	CT	06365	
	\$1,690.00							049-54-6328	M Morin Carpentry	76 Discovery Rd.	Vernon	CT	06066	
	\$1,860.00	1	1	2	38-3868950	No			CT Lead Paint Solutions	1245 Hebron Ave.	Glastonbury	CT	06033	
2015-11	\$9,800.00	1	1	2	20-2463492	No			American Integrity Restoation	60 Village Place	Glastonbury	CT	06033	
	\$5,350.00							06-1418033	Michael Parla	69 Hunt Rd	Columbia	CT		
	\$700.00							040-66-7342	William Rogers	48 Greenwood St	E. Hartford	CT		
	\$1,750.00							048-86-2653	Ramon Martinez	134 cipolla Dr.	E. Hartford	CT		
	\$2,000.00							02-5542264	Jeff Kimball	183 Pulpit Rock Rd.	Woodstock	CT		
	\$15,200.00	1	1	2	27-2113688	No			Bahr Painting & restoration LLC	21 Woodchuck Lane	Norwalk	CT	06854	
2015-12	\$16,055.00	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
	\$1,100.00							27-0463757	Briggs Electric	16 Savage Rd	Preston	CT	06365	
	\$510.00							049-54-6328	M Morin Carpentry	76 Discovery Rd.	Vernon	CT	06066	
	\$788.00							06-1411759	Fox Heating Service	37 Westford Ave	Stafford	CT	06076	
	\$1,000.00							048-82-1282	Gianni Calvo	1162 grant Hill Road	Coventry	CT		
	\$2,250.00							046-62-7126	Peak Perfection	60 Frank Street	Putnam	CT	06066	
2015-13	\$29,750.00	1	1	2	20-2463492	No			American Integrity Restoation	60 Village Place	Glastonbury	CT	06033	
	\$1,525.00							06-1418033	Michael Parla	69 Hunt Rd	Columbia	CT		
	\$690.00							040-66-7342	William Rogers	48 Greenwood St	E. Hartford	CT		
	\$2,500.00							02-5542264	Jeff Kimball	183 Pulpit Rock Rd.	Woodstock	CT		
2015-14	\$25,000.00	1	1	2	10-0003510				Lagace Siding LLC	175 Hop River Road	Coventry	CT		
	\$5,000.00							041-76-9191	Lagace Construction	215 Long Hill Rd.	Andover	CT		
2015-15	\$4,936.64	1	1	2	06-1549227	No			RER Associates	75 Lustig Road	Willington	CT	06279	
	\$1,100.00							27-0463757	Briggs Electric	16 Savage Rd	Preston	CT	06365	
	\$510.00							049-54-6328	M Morin Carpentry	76 Discovery Rd.	Vernon	CT	06066	
	\$4,100.00	1	1	2	02-0456255	No			Krukoff Excavation & Paving Inc	329 Turnpike Rd.	Ashford	CT	06278	
2015-16	\$4,000.00	1	1	2	01-0893653	No			Sussman & Pery, Inc.	16 Old Kent Road	Mansfield Center	CT		

Section 3 Summary Report
Economic Opportunities for
Low - and Very Low Income Persons

U.S Dept. of Housing
and Urban Development
Office of Fair Housing
And Equal Opportunity

Form HUD-60002

OMB Approval No: 2529-0043

HUD Field Office	
1. Recipient Name & Address (street, city, state, zip)	CT Department of Housing 505 Hudson Street Hartford, CT 06106-7107
2. Federal Identification (contract/award no.)	32-0410548
3. Dollar Amount of Award	\$4,327,686.00
4. Contact Person	Michael Santoro
5. Phone (include area code)	(860) 270-8171
6. Reporting Period	7/1/16 to 6/30/17
7. Date report Submitted	9/15/2017
8. Program Code* (use a separate sheet for each program code)	8
9. Program Name	CDBG State Administered

*Program codes

1 = Flexible Subsidy

2 = Section 202/811

3 = Public Indian Housing A= Development, B = Operation, C = Modernization

4 = Homeless Assistance

5 = HOME

6 = HOME State Administered

7 = CDBG Entitlement

8 = CDBG State Administered

9 = Other CD Programs

10 = Other Housing Programs

Part I : EMPLOYMENT AND TRAINING

A	B	C	D	E	F
Job category	Number of New Hires	Number of new Hires that are Section 3 residents	% of Aggregate Number of Staff Hours of new hires that are Section 3 residents	% of Total Staff Hours of Section 3 Employees and Trainees	Number of Section 3 Employees and Trainees
Professionals	0.00				
Technicians	0.00				
Office / Clerical	0.00				
Construction by Trade (List)	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Trade	0.00				
Other (List)	0.00				
TOTAL	0.00				

Part II : CONTRACTS AWARDED

1. Construction Contracts

A. Total dollar amount of contracts awarded on the project	\$25,549,418.80
B. Total dollar amount of contracts awarded to Section 3 businesses	\$1,396,617.00
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	5.466% %
D. Total Number of Section 3 businesses receiving contracts	13

2. Non-Construction Contracts

A. Total dollar amount of all non construction contracts awarded on the project/activity	\$30,393.04
B. Total dollar amount of non-construction contracts awarded to section 3 businesses	\$0.00
C. Percentage of the total dollar amount that that was awarded to section 3 businesses	\$0.00 %
D. Total number of section 3 receiving non-construction contracts	\$0.00

Part III : SUMMARY

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low-and very low-income persons, particularly those who are recipients of government assistance for housing. ***(Check all that apply.)***

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Appendix I - ESG Annual Performance Report

ESG allocation - DOH contractors - DOH contracts 7/1/16 - 6/30/17

	<u>Street Outreach</u>	<u>Emergency Shelter- 20687-2060100</u>			<u>Prevention</u>	<u>Rapid Re-Housing 20687-2060105</u>	<u>Data Collection - HMIS 20687- 2060100</u>	<u>Administration 7.5% max 20687- 2060101</u>	<u>Total ESG Funding</u>
DOH Contractor	Essential Services	Major Rehab/ Renovation	Essential Services	Shelter operations	Housing relocation and short term rental assistance	housing relocation, stabilization case management, short to long term rental	HMIS costs		
Prudence Crandall - New Britain (DV-No HMIS entry)				\$23,563.00				\$1,899.00	\$25,462.00
Community Renewal Team - East Hartford Shelter				\$355,245.00				\$28,642.00	\$383,887.00
Operation Hope of Fairfield				\$160,225.00				\$12,918.00	\$173,143.00
Columbus House - Middletown family shelter				\$226,200.00				\$18,237.00	\$244,437.00
TVCCA - Norwich				\$0.00	\$132,120.00	\$150,630.00		\$22,796.00	\$305,546.00
Pacific House (former shelter for the homeless)				\$73,845.00				\$5,795.00	\$79,640.00
AIDS CT - statewide rental assistance fiduciary						\$871,766.00		\$2,536.00	\$874,302.00
CT Coalition to end homelessness - HMIS							\$87,750.00	\$5,250.00	\$93,000.00
TOTAL				\$839,078.00	\$132,120.00	\$1,022,396.00	\$87,750.00	\$98,073.00	\$2,179,417.00

Allocation:

ESG Program - \$1,121,828.00
 ESG Admin - \$98,073.00
 ESG HMIS - \$87,750.00
 ESG Rapid rehousing - \$871,766.00
TOTAL ESG - \$2,179,417

Total funds for rapid rehousing = \$1,022,396.00 47% of total ESG allocation



Report Period..... 7/1/2016 - 6/30/2017
 Program(s)..... Thames Valley Council for Commun
 Organization(s)..... Association of Religious Communi

Annual Reporting Overflow Navigation Table

The number of questions on the new combined CoC APR and ESG CAPER was so large that the report technology could not fit all of the tables into one file. This 2nd "Overflow" file contains the questions that could not fit onto the first file. Please use the hyperlinks below to navigate to the different tables you need.

- [Q9. Contacts and Engagements](#)
- [Q23a: Exit Destination – All Persons](#)
- [Q23b: Homelessness Prevention Housing Assessment at Exit](#)
- [Q25: Veteran Specific Questions](#)
- [Q26: Chronically Homeless Specific Questions](#)
- [Q27: Youth Specific Questions](#)
- [Q29: Performance Measures](#)

Q9a: Number of Persons Contacted

Number of Persons Contacted	Total	First contact was at a place not meant for human habitation	First contact was at a non-residential service setting	First contact was at a residential service setting	First contact place was missing
Once	2	0	1	1	0
2-5 Times	0	0	0	0	0
6-9 Times	0	0	0	0	0
10+ Times	0	0	0	0	0
Total Persons Contacted					

Q9b: Number of Persons Engaged

Number of Persons Engaged	Total	First contact was at a place not meant for human habitation	First contact was at a non-residential service setting	First contact was at a residential service setting	First contact place was missing
Once	0	0	0	0	0
2-5 Times	0	0	0	0	0
6-9 Times	0	0	0	0	0
10+ Times	0	0	0	0	0
Total Persons Engaged					

Q23a: Exit Destination – All Persons

	Total	Without Children	With Children And Adults	With Only Children	Unknown
Permanent Destinations					
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing subsidy	1	1	0	0	0
Owned by client, with ongoing subsidy	0	0	0	0	0
Rental by client, no ongoing subsidy	37	3	34	0	0
Rental by client, with VASH subsidy	2	2	0	0	0
Rental by client, with GPD TIP subsidy	0	0	0	0	0
Rental by client, other ongoing subsidy	57	20	37	0	0
PH for formerly homeless persons	3	3	0	0	0
Staying or living with family, permanent tenure	6	2	4	0	0
Staying or living with friends, permanent tenure	3	1	2	0	0
Temporary Destinations					
ES, including hotel or motel paid for with ES voucher	9	9	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons	7	1	6	0	0
Staying or living with family, temporary tenure	45	18	27	0	0
Staying or living with friends, temporary tenure	24	18	6	0	0
Place not meant for human habitation	5	5	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel, paid by client	3	1	2	0	0

Institutional Settings					
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	3	3	0	0	0
Hospital or other residential non-psychiatric medical facility	1	1	0	0	0
Jail, prison, or juvenile detention facility	2	2	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Other Destinations					
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	0	0	0	0	0
Client Doesn't Know/Client Refused	13	10	3	0	0
Data Not Collected (no exit interview completed)	99	19	80	0	0
Total					

Q23b: Homelessness Prevention Housing Assessment at Exit					
	Total	Without Children	With Children And Adults	With Only Children	Unknown
Able to maintain the housing they had at project entry--Without a subsidy	0	0	0	0	0
Able to maintain the housing they had at project entry--With the subsidy they had at project entry	0	0	0	0	0
Able to maintain the housing they had at project entry--With an on-going subsidy acquired since	0	0	0	0	0
Able to maintain the housing they had at project entry--Only with financial assistance other than a	0	0	0	0	0
Moved to new housing unit--With on-going subsidy	0	0	0	0	0
Moved to new housing unit--Without an on-going subsidy	0	0	0	0	0
Moved in with family/friends on a temporary basis	0	0	0	0	0
Moved in with family/friends on a permanent basis	0	0	0	0	0
Moved to a transitional or temporary housing facility or program	0	0	0	0	0

Client became homeless – moving to a shelter or other place unfit for human habitation	0	0	0	0	0
Client went to jail/prison	0	0	0	0	0
Client died	0	0	0	0	0
Client doesn't know/Client refused	0	0	0	0	0
Data not collected (no exit interview completed)	0	0	0	0	0
Total					

Q25a: Number of Veterans				
	Total	Without Children	With Children And Adults	Unknown Type
Chronically Homeless Veteran	2	1	1	0
Non-Chronically Homeless Veteran	15	10	5	0
Not a Veteran	806	372	434	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	4	1	3	0
Total				

Q26b: Number of Chronically Homeless Persons by Household					
	Total	Without Children	With Children And Adults	With Only Children	Unknown
Chronically Homeless	191	110	81	0	0
Not Chronically Homeless	1337	276	1038	23	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total					



Report Period..... 7/1/2016 - 6/30/2017
 Program(s)..... Thames Valley Council for
 Organization(s)..... Commun
 Association of Religious
 Communi

Client Detail Sub Report

Q5a: HMIS or Comparable Database Quality

Data Element	Client Doesn't Know or Client Refused	Data Not Collected
First Name	0	0
Last Name	0	0
SSN	32	38
Date of Birth	0	2
Race	7	19
Ethnicity	1	12
Gender	0	0
Veteran Status (Adults)	0	4
Disabling Condition	0	71
Residence Prior to Entry (Head of Household and Adults)	1	19
Relationship to Head of Household	2	0
Destination (All Leavers)	13	104
Client Location for project entry (Head of Household)	0	7

Q6a: Report Validations Table

Data Element	Value
Total Number of Persons Served	1528
Number of Adults (age 18 or over)	827
Number of Children (under age 18)	699
Number of Persons with Unknown Age	2

Total Number of Leavers	768
Number of Adult Leavers	444
Total Number of Stayers	760
Number of Adult Stayers	383
Number of Veterans	17
Number of Chronically Homeless Persons	191
Number of Adult Heads of Household	727
Number of Child Heads of Household	1
Number of Unaccompanied Youth Under Age 25	34
Number of Parenting Youth Under Age 25 with Children	59

Q6b: Number of Persons Served					
	Total	Without Children	With Children And Adults	With Only Children	Unknow
Adults	827	384	443	0	0
Children	699	0	676	23	0
Client Doesn't Know/ Client Refused	0	0	0	0	0
Data Not Collected	2	2	0	0	0
Total					

Q7a: Households Served					
	Total	Without Children	With Children And Adults	With Only Children	Unknow
Total Households	736	369	364	10	0

Q7b: Point-in-Time Count of Households on the Last Wednesday					
	Total	Without Children	With Children And Adults	With Only Children	Unknow
January	282	138	142	9	0
April	295	129	163	10	0
July	249	109	138	9	0
October	241	112	127	9	0
Total					

Q10a: Gender of Adults				
	Total	Without Children	With Children And Adults	Unknown Type
Male	310	233	77	0
Female	517	151	366	0
Transgender Male to Female	0	0	0	0
Transgender Female to Male	0	0	0	0
Doesn't identify as male, female, or transgender	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total				

Q10b: Gender of Children				
	Total	With Children And Adults	With Only Children	Unknown Type
Male	358	349	9	0
Female	341	327	14	0
Transgender Male to Female	0	0	0	0
Transgender Female to Male	0	0	0	0
Doesn't identify as male, female, or transgender	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0
Data Not Collected	0	0	0	0
Total				

Q10c: Gender of Persons Missing Age Information					
	Total	Without Children	With Children And Adults	With Only Children	Unknow
Male	0	0	0	0	0
Female	2	2	0	0	0
Transgender Male to Female	0	0	0	0	0
Transgender Female to Male	0	0	0	0	0
Doesn't identify as male, female, or transgender	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0

Data Not Collected	0	0	0	0	0
Total					

Q10d: Gender by Age Ranges

	Total	Under Age 18	Age 18-24	Age 25-61	Age 62 and over	Client Doesn't Know/ Client Refused	Data Not Collecte
Male	668	358	21	269	20	0	0
Female	860	341	91	421	5	0	2
Transgender Male to Female	0	0	0	0	0	0	0
Transgender Female to Male	0	0	0	0	0	0	0
Doesn't identify as male, female, or transgender	0	0	0	0	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0	0	0
Data Not Collected	0	0	0	0	0	0	0
Total							

Q11: Age

	Total	Without Children	With Children And Adults	With Only Children	Unknow
Under 5	292	0	284	8	0
5-12	305	0	294	11	0
13-17	102	0	98	4	0
18-24	112	25	87	0	0
25-34	271	76	195	0	0
35-44	166	63	103	0	0
45-54	177	127	50	0	0
55-61	76	70	6	0	0
62+	25	23	2	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	2	2	0	0	0
Total					

Q12a: Race

	Total	Without Children	With Children And Adults	With Only Children	Unknow
White	614	198	407	9	0
Black or African American	765	164	588	13	0
Asian	2	1	1	0	0
American Indian or Alaska Native	39	5	33	1	0
Native Hawaiian or Other Pacific Islander	5	0	5	0	0
Multiple Races	67	12	55	0	0
Client Doesn't Know/Client Refused	7	3	4	0	0
Data Not Collected	21	1	20	0	0
Total					

Q12b: Ethnicity

	Total	Without Children	With Children And Adults	With Only Children	Unknow
Non-Hispanic/Non-Latino	976	299	665	12	0
Hispanic/Latino	539	86	442	11	0
Client Doesn't Know/Client Refused	1	0	1	0	0
Data Not Collected	12	1	11	0	0
Total					

Q13a1: Physical and Mental Health Conditions at Entry

Condition	Total	Without Children	With Children And Adults	With Only Children	Unknow
Mental Health Problem	355	200	155	0	0
Alcohol Abuse	39	35	4	0	0
Drug Abuse	73	49	24	0	0
Both Alcohol and Drug Abuse	66	57	9	0	0
Chronic Health Condition	244	112	132	0	0
HIV/AIDS	7	7	0	0	0
Developmental Disability	125	60	64	1	0
Physical Disability	147	105	42	0	0

Q13b1: Physical and Mental Health Conditions at Exit

Condition	Total	Without Children	With Children And Adults	With Only Children	Unknow
Mental Health Problem	219	137	82	0	0
Alcohol Abuse	23	22	1	0	0
Drug Abuse	49	32	17	0	0
Both Alcohol and Drug Abuse	47	42	5	0	0
Chronic Health Condition	112	63	49	0	0
HIV/AIDS	5	5	0	0	0
Developmental Disability	62	34	28	0	0
Physical Disability	77	56	21	0	0

Q13c1: Physical and Mental Health Conditions for Stayers

Condition	Total	Without Children	With Children And Adults	With Only Children	Unknow
Mental Health Problem	127	58	69	0	0
Alcohol Abuse	12	11	1	0	0
Drug Abuse	29	19	10	0	0
Both Alcohol and Drug Abuse	23	20	3	0	0
Chronic Health Condition	90	43	47	0	0
HIV/AIDS	2	2	0	0	0
Developmental Disability	45	16	28	1	0
Physical Disability	38	29	9	0	0

Q14a: Domestic Violence History

	Total	Without Children	With Children And Adults	With Only Children	Unknow
Yes	206	72	134	0	0
No	589	290	299	0	0
Client Doesn't Know/Client Refused	12	9	3	0	0
Data Not Collected	18	11	6	1	0

Total				
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Q14b: Persons Fleeing Domestic Violence

	Total	Without Children	With Children And Adults	With Only Children	Unknow
Yes	48	18	30	0	0
No	118	44	74	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected	40	10	30	0	0
Total					

Q15: Residence Prior to Project Entry

	Total	Without Children	With Children And Adults	With Only Children	Unknow
Homeless Situations					
Emergency Shelter	551	226	325	0	0
Transitional housing for homeless persons	5	1	4	0	0
Place not meant for human habitation	99	73	26	0	0
Safe Haven	7	4	3	0	0
Interim Housing	2	0	2	0	0
Institutional Settings					
Psychiatric hospital or other psychiatric facility	4	4	0	0	0
Substance abuse treatment facility or detox center	8	8	0	0	0
Hospital (non-psychiatric)	5	4	1	0	0
Jail, prison, or juvenile detention	0	0	0	0	0
Foster care home or foster care group home	0	0	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Residential project or halfway house with no homeless criteria	1	1	0	0	0
Other Locations					
PH for formerly homeless persons	0	0	0	0	0
Owned by client, no subsidy	0	0	0	0	0
Owned by client, with subsidy	1	0	1	0	0

Rental by client, no subsidy	20	8	12	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client with GPD TIP subsidy	0	0	0	0	0
Rental by client with other subsidy	9	7	2	0	0
Hotel/Motel, paid by client	10	2	8	0	0
Staying or living with friend(s)	35	15	20	0	0
Staying or living with family	51	21	30	0	0
Client Doesn't Know/Client Refused	1	1	0	0	0
Data Not Collected (no exit interview completed)	19	9	9	1	0
Total					

Q20a: Type of Non-Cash Benefit Sources

Label	Benefit at Entry	Benefit at Latest Annual Assessment for Stayers	Benefit at Exit for Leavers
Supplemental Nutrition Assistance Program	668	4	293
WIC	52	0	25
TANF Child Care Services	5	0	2
TANF Transportation Services	1	0	1
Other TANF-Funded Services	9	0	2
Other Source	7	0	15

Q21: Health Insurance

Label	At Entry	Latest Annual Assessment for Stayers	Exit for Leavers
Medicaid	526	4	205
Medicare	27	0	13

State Children's Health Insurance Program	556	0	222
VA Medical Services	4	0	3
Employer Provided Health Insurance	9	0	5
Health Insurance through COBRA	2	0	0
Private Pay Health Insurance	13	0	10
State Health Insurance for Adults	316	0	155
Indian Health Services Program	0	0	0
Other	0	0	0
No Health Insurance	60	0	23
Client Doesn't Know/Client Refused	0	0	0
Data not Collected	73	160	141
Number of Stayers not yet Required To Have an Annual ^ Source of Health Insurance		596	
1 Source of Health Insurance	1300	4	571
More than 1 Source of Health Insurance	74	0	21

Q22a2: Length of Participation – ESG Projects

Label	Total	Leavers	Stayers
0 to 7 days	81	46	35
8 to 14 days	44	32	12
15 to 21 days	36	30	6
22 to 30 days	89	57	32
31 to 60 days	160	95	65
61 to 90 days	154	80	74
91 to 180 days	273	100	173
181 to 365 days	372	162	210
366 to 730 days (1-2 Yrs)	261	156	105
731 to 1,095 days (2-3 Yrs)	45	8	37
1,096 to 1,460 days (3-4 Yrs)	11	2	9
1,461 to 1,825 days (4-5 Yrs)	2	0	2
More than 1,825 days (> 5 Yrs)	0	0	0

Data not Collected	0	0	0
Total			

Q22c: RRH Length of Time between Project Entry Date and Residential Move-in Date

	Total	Without Children	With Children And Adults	With Only Children	Unknow
7 days or less	53	31	22	0	0
8 to 14 days	22	8	14	0	0
15 to 21 days	30	7	23	0	0
22 to 30 days	38	15	23	0	0
31 to 60 days	112	35	77	0	0
61 to 180 days	71	26	45	0	0
181 to 365 days	4	1	3	0	0
366 to 730 days (1-2 Yrs)	2	0	2	0	0
Data Not Collected	143	83	59	1	0
Total					

Q22d: Length of Participation by Household Type

	Total	Without Children	With Children And Adults	With Only Children	Unknow
7 days or less	81	22	59	0	0
8 to 14 days	44	16	28	0	0
15 to 21 days	36	13	23	0	0
22 to 30 days	89	23	66	0	0
31 to 60 days	160	73	87	0	0
61 to 90 days	154	41	113	0	0
91 to 180 days	273	60	212	1	0
181 to 365 days	372	71	301	0	0
366 to 730 days (1-2 Yrs)	261	46	214	1	0
731 to 1,095 days (2-3 Yrs)	45	18	7	20	0
1,096 to 1,460 days (3-4 Yrs)	11	3	7	1	0
1,461 to 1,825 days (4-5 Yrs)	2	0	2	0	0

More than 1,825 days (> 5 Yrs)	0	0	0	0	0
Data Not Collected	0	0	0	0	0
Total					

Q23: Exit Destination – More Than 90 Days

	Total	Without Children	With Children And Adults	With Only Children	Unknown
Permanent Destinations					
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing subsidy	0	0	0	0	0
Owned by client, with ongoing subsidy	0	0	0	0	0
Rental by client, no ongoing subsidy	243	40	203	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client, with GPD TIP subsidy	0	0	0	0	0
Rental by client, other ongoing subsidy	58	10	48	0	0
PH for formerly homeless persons	11	9	2	0	0
Staying or living with family, permanent tenure	4	1	3	0	0
Staying or living with friends, permanent tenure	3	1	2	0	0
Temporary Destinations					
ES, including hotel or motel paid for with ES voucher	1	1	0	0	0
Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons	3	0	3	0	0
Staying or living with family, temporary tenure	4	2	2	0	0
Staying or living with friends, temporary tenure	0	0	0	0	0
Place not meant for human habitation	0	0	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel, paid by client	5	0	5	0	0
Institutional Settings					
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0

Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	2	2	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Other Destinations					
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	0	0	0	0	0
Other	1	1	0	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	10	4	6	0	0
Total					

[Hyperlink to ESG CAPER 23a and 23b](#)

Q24: Exit Destination – 90 Days or Less

	Total	Without Children	With Children And Adults	With Only Children	Unknown
Permanent Destinations					
Moved from one HOPWA funded project to HOPWA PH	0	0	0	0	0
Owned by client, no ongoing subsidy	0	0	0	0	0
Owned by client, with ongoing subsidy	0	0	0	0	0
Rental by client, no ongoing subsidy	36	8	28	0	0
Rental by client, with VASH subsidy	0	0	0	0	0
Rental by client, with GPD TIP subsidy	0	0	0	0	0
Rental by client, other ongoing subsidy	3	0	3	0	0
PH for formerly homeless persons	12	6	6	0	0
Staying or living with family, permanent tenure	3	0	3	0	0
Staying or living with friends, permanent tenure	1	1	0	0	0
Temporary Destinations					
ES, including hotel or motel paid for with ES voucher	21	11	10	0	0

Moved from one HOPWA funded project to HOPWA TH	0	0	0	0	0
Transitional housing for homeless persons	0	0	0	0	0
Staying or living with family, temporary tenure	4	3	1	0	0
Staying or living with friends, temporary tenure	3	1	2	0	0
Place not meant for human habitation	2	2	0	0	0
Safe Haven	0	0	0	0	0
Hotel or motel, paid by client	0	0	0	0	0
Institutional Settings					
Foster care home or group foster care home	0	0	0	0	0
Psychiatric hospital or other psychiatric facility	0	0	0	0	0
Substance abuse treatment facility or detox center	0	0	0	0	0
Hospital or other residential non-psychiatric medical facility	0	0	0	0	0
Jail, prison, or juvenile detention facility	2	2	0	0	0
Long-term care facility or nursing home	0	0	0	0	0
Other Destinations					
Residential project or halfway house with no homeless criteria	0	0	0	0	0
Deceased	1	1	0	0	0
Other	2	0	2	0	0
Client Doesn't Know/Client Refused	0	0	0	0	0
Data Not Collected (no exit interview completed)	0	0	0	0	0
Total					

[Hyperlink to Veterans, Chronically Homeless, Youth, and Performance Measures tables.](#)



Housing Opportunities for Persons with AIDS (HOPWA) Program

Consolidated Annual Performance and Evaluation Report (**CAPER**) Measuring Performance Outcomes

Revised 8/30/17

OMB Number 2506-0133 (Expiration Date: 12/31/2017)

The CAPER report for HOPWA formula grantees provides annual information on program accomplishments that supports program evaluation and the ability to measure program beneficiary outcomes as related to: maintain housing stability; prevent homelessness; and improve access to care and support. This information is also covered under the Consolidated Plan Management Process (CPMP) report and includes Narrative Responses and Performance Charts required under the Consolidated Planning regulations. The public reporting burden for the collection of information is estimated to average 42 hours per manual response, or less if an automated data collection and retrieval system is in use, along with 60 hours for record keeping, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Grantees are required to report on the activities undertaken only, thus there may be components of these reporting requirements that may not be applicable. This agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless that collection displays a valid OMB control number.

Overview. The Consolidated Annual Performance and Evaluation Report (CAPER) provides annual performance reporting on client outputs and outcomes that enables an assessment of grantee performance in achieving the housing stability outcome measure. The CAPER, in conjunction with the Integrated Disbursement Information System (IDIS), fulfills statutory and regulatory program reporting requirements and provides the grantee and HUD with the necessary information to assess the overall program performance and accomplishments against planned goals and objectives.

HOPWA formula grantees are required to submit a CAPER, and complete annual performance information for all activities undertaken during each program year in the IDIS, demonstrating coordination with other Consolidated Plan resources. HUD uses the CAPER and IDIS data to obtain essential information on grant activities, project sponsors, Subrecipient organizations, housing sites, units and households, and beneficiaries (which includes racial and ethnic data on program participants). The Consolidated Plan Management Process tool (CPMP) provides an optional tool to integrate the reporting of HOPWA specific activities with other planning and reporting on Consolidated Plan activities.

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Continued Use Periods. Grantees that received HOPWA funding for new construction, acquisition, or substantial rehabilitations are required to operate their facilities for HOPWA-eligible beneficiaries for a ten (10) years period. If no further HOPWA funds are used to support the facility, in place of completing Section 7B of the CAPER, the grantee must submit an Annual Certification of Continued Project Operation throughout the required use periods. This certification is included in Part 6 in CAPER. The required use period is three (3) years if the rehabilitation is non-substantial.

In connection with the development of the Department’s standards for Homeless Management Information Systems (HMIS), universal data elements are being collected for clients of HOPWA-funded homeless assistance projects. These project sponsor/subrecipient records would include: Name, Social Security Number, Date of Birth, Ethnicity and Race, Gender, Veteran Status, Disabling Conditions, Residence Prior to Program Entry, Zip Code of Last Permanent Address, Housing Status, Program Entry Date, Program Exit Date, Personal Identification Number, and Household Identification Number. These are intended to match the elements under HMIS. The HOPWA program-level data elements include: Income and Sources, Non-Cash Benefits, HIV/AIDS Status, Services Provided, and Housing Status or Destination at the end of the operating year. Other suggested but optional elements are: Physical Disability, Developmental Disability, Chronic Health Condition, Mental Health, Substance Abuse, Domestic Violence, Date of Contact, Date of Engagement, Financial

Assistance, Housing Relocation & Stabilization Services, Employment, Education, General Health Status, , Pregnancy Status, Reasons for Leaving, Veteran’s Information, and Children’s Education. Other HOPWA projects sponsors may also benefit from collecting these data elements.

Final Assembly of Report. After the entire report is assembled, please number each page sequentially.

Filing Requirements. Within 90 days of the completion of each program year, grantees must submit their completed CAPER to the CPD Director in the grantee’s State or Local HUD Field Office, and to the HOPWA Program Office: at HOPWA@hud.gov. Electronic submission to HOPWA Program office is preferred; however, if electronic submission is not possible, hard copies can be mailed to: Office of HIV/AIDS Housing, Room 7212, U.S. Department of Housing and Urban Development, 451 Seventh Street, SW, Washington, D.C.

Record Keeping. Names and other individual information must be kept confidential, as required by 24 CFR 574.440. However, HUD reserves the right to review the information used to complete this report for grants management oversight purposes, except for recording any names and other identifying information. **In the case that HUD must review client level data, no client names or identifying information will be retained or recorded. Information is reported in aggregate to HUD without personal identification. Do not submit client or personal information in data systems to HUD.**

Definitions

Adjustment for Duplication: Enables the calculation of unduplicated output totals by accounting for the total number of households or units that received more than one type of HOPWA assistance in a given service category such as HOPWA Subsidy Assistance or Supportive Services. For example, if a client household received both TBRA and STRMU during the operating year, report that household in the category of HOPWA Housing Subsidy Assistance in Part 3, Chart 1, Column [1b] in the following manner:

HOPWA Housing Subsidy Assistance		[1] Outputs: Number of Households
1.	Tenant-Based Rental Assistance	0
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year	0
4.	Short-term Rent, Mortgage, and Utility Assistance	0
5.	Adjustment for duplication (subtract)	0
6.	TOTAL Housing Subsidy Assistance (Sum of Rows 1-4 minus Row 5)	0

Administrative Costs: Costs for general management, oversight, coordination, evaluation, and reporting. By statute, grantee administrative costs are limited to 3% of total grant award, to be expended over the life of the grant. Project sponsor administrative costs are limited to 7% of the portion of the grant amount they receive.

Beneficiary(ies): All members of a household who received HOPWA assistance during the operating year including the one individual who qualified the household for HOPWA assistance as well as any other members of the household (with or without HIV) who benefitted from the assistance.

Central Contractor Registration (CCR): The primary registrant database for the U.S. Federal Government. CCR collects, validates, stores, and disseminates data in support of agency acquisition missions, including Federal agency contract and assistance awards. Both current and potential federal government registrants (**grantees**) are required to register in CCR in order to be awarded contracts by the federal government. Registrants must update or renew their registration at least once per year to maintain an active status. Although recipients of direct federal contracts and grant awards have been required to be registered with CCR since 2003, this requirement is now being extended to indirect recipients of federal funds with the passage of ARRA (American Recovery and Reinvestment Act). Per ARRA and FFATA (Federal Funding Accountability and Transparency Act) federal regulations, all **grantees** and sub-grantees or subcontractors receiving federal grant awards or contracts must have a DUNS (Data Universal Numbering System) Number.

Chronically Homeless Person: An individual or family who : (i) is homeless and lives or resides individual or family who: (i) Is homeless and lives or resides in a place not meant for human habitation, a safe haven, or in an emergency shelter; (ii) has been homeless and living or residing in a place not meant for human habitation, a safe haven, or in an emergency shelter continuously for at least 1 year or on at least 4 separate occasions in the last 3 years; and (iii) has an adult head of household (or a minor head of household if no adult is present in the household) with a diagnosable substance use disorder, serious mental illness, developmental disability (as defined in section 102 of the Developmental Disabilities Assistance and Bill of Rights Act of 2000 (42 U.S.C. 15002)), post traumatic stress disorder, cognitive impairments resulting from a brain injury, or chronic physical illness or disability, including the co-occurrence of 2 or more of those conditions. Additionally, the statutory definition includes as chronically homeless a person who currently lives or resides in an institutional care facility, including a jail, substance abuse or mental health treatment facility, hospital or other similar facility, and has resided there for fewer than 90 days if such person met the other criteria for homeless prior to entering that facility. (See 42 U.S.C. 11360(2)) This does not include doubled-up or overcrowding situations.

Disabling Condition: Evidencing a diagnosable substance use disorder, serious mental illness, developmental disability, chronic physical illness, or disability, including the co-occurrence of two or more of these conditions. In addition, a disabling condition may limit an individual's ability to work or perform one or more activities of daily living. An HIV/AIDS diagnosis is considered a disabling condition.

Facility-Based Housing Assistance: All eligible HOPWA Housing expenditures for or associated with supporting facilities including community residences, SRO dwellings, short-term facilities, project-based rental units, master leased units, and other housing facilities approved by HUD.

Faith-Based Organization: Religious organizations of three types: (1) congregations; (2) national networks, which include national denominations, their social service arms (for example, Catholic Charities, Lutheran Social Services), and networks of related organizations (such as YMCA and YWCA); and (3) freestanding religious organizations, which are incorporated separately from congregations and national networks.

Grassroots Organization: An organization headquartered in the local community where it provides services; has a social services budget of \$300,000 or less annually, and six or fewer full-time equivalent employees. Local affiliates of national organizations are not considered

“grassroots.”

HOPWA Eligible Individual: The one (1) low-income person with HIV/AIDS who qualifies a household for HOPWA assistance. This person may be considered “Head of Household.” When the CAPER asks for information on eligible individuals, report on this individual person only. Where there is more than one person with HIV/AIDS in the household, the additional PWH/A(s), would be considered a beneficiary(s).

HOPWA Housing Information Services: Services dedicated to helping persons living with HIV/AIDS and their families to identify, locate, and acquire housing. This may also include fair housing counseling for eligible persons who may encounter discrimination based on race, color, religion, sex, age, national origin, familial status, or handicap/disability.

HOPWA Housing Subsidy Assistance Total: The unduplicated number of households receiving housing subsidies (TBRA, STRMU, Permanent Housing Placement services and Master Leasing) and/or residing in units of facilities dedicated to persons living with HIV/AIDS and their families and supported with HOPWA funds during the operating year.

Household: A single individual or a family composed of two or more persons for which household incomes are used to determine eligibility and for calculation of the resident rent payment. The term is used for collecting data on changes in income, changes in access to services, receipt of housing information services, and outcomes on achieving housing stability. Live-In Aides (see definition for Live-In Aide) and non-beneficiaries (e.g. a shared housing arrangement with a roommate) who resided in the unit are not reported on in the CAPER.

Housing Stability: The degree to which the HOPWA project assisted beneficiaries to remain in stable housing during the operating year. See *Part 5: Determining Housing Stability Outcomes* for definitions of stable and unstable housing situations.

In-kind Leveraged Resources: These involve additional types of support provided to assist HOPWA beneficiaries such as volunteer services, materials, use of equipment and building space. The actual value of the support can be the contribution of professional services, based on customary rates for this specialized support, or actual costs contributed from other leveraged resources. In determining a rate for the contribution of volunteer time and services, use the rate established in HUD notices, such as the rate of ten dollars per hour. The value of any donated material, equipment, building, or lease should be based on the fair market value at time of donation. Related documentation can be from recent bills of sales, advertised prices, appraisals, or other information for comparable property similarly situated.

Leveraged Funds: The amount of funds expended during the operating year from non-HOPWA federal, state, local, and private sources by grantees or sponsors in dedicating assistance to this client population. Leveraged funds or other assistance are used directly in or in support of HOPWA program delivery.

Live-In Aide: A person who resides with the HOPWA Eligible Individual and who meets the following criteria: (1) is essential to the care and well-being of the person; (2) is not obligated for the support of the person; and (3) would not be living in the unit except to provide the necessary supportive services. See the *Code of Federal Regulations Title 24, Part 5.403 and the HOPWA Grantee Oversight Resource Guide for additional reference.*

Master Leasing: Applies to a nonprofit or public agency that leases units of housing (scattered-sites or entire buildings) from a landlord, and subleases the units to homeless or low-income tenants. By assuming the tenancy burden, the agency facilitates housing of clients who may not be able to maintain a lease on their own due to poor credit, evictions, or lack of sufficient income.

Operating Costs: Applies to facility-based housing only, for facilities that are currently open. Operating costs can include day-to-day housing

function and operation costs like utilities, maintenance, equipment, insurance, security, furnishings, supplies and salary for staff costs directly related to the housing project but not staff costs for delivering services.

Outcome: The degree to which the HOPWA assisted household has been enabled to establish or maintain a stable living environment in housing that is safe, decent, and sanitary, (per the regulations at 24 CFR 574.310(b)) and to reduce the risks of homelessness, and improve access to HIV treatment and other health care and support.

Output: The number of units of housing or households that receive HOPWA assistance during the operating year.

Permanent Housing Placement: A supportive housing service that helps establish the household in the housing unit, including but not limited to reasonable costs for security deposits not to exceed two months of rent costs.

Program Income: Gross income directly generated from the use of HOPWA funds, including repayments. See grant administration requirements on program income for state and local governments at 24 CFR 85.25, or for non-profits at 24 CFR 84.24.

Project-Based Rental Assistance (PBRA): A rental subsidy program that is tied to specific facilities or units owned or controlled by a project sponsor or Subrecipient. Assistance is tied directly to the properties and is not portable or transferable.

Project Sponsor Organizations: Any nonprofit organization or governmental housing agency that receives funds under a contract with the grantee to provide eligible housing and other support services or administrative services as defined in 24 CFR 574.300. Project Sponsor organizations are required to provide performance data on households served and funds expended. Funding flows to a project sponsor as follows:

HUD Funding → Grantee → Project Sponsor

Short-Term Rent, Mortgage, and Utility (STRMU) Assistance: A time-limited, housing subsidy assistance designed to prevent homelessness and increase housing stability. Grantees may provide assistance for up to 21 weeks in any 52 week period. The amount of assistance varies per client depending on funds available, tenant need and program guidelines.

Stewardship Units: Units developed with HOPWA, where HOPWA funds were used for acquisition, new construction and rehabilitation that no longer receive operating subsidies from HOPWA. Report information for the units is subject to the three-year use agreement if rehabilitation is non-substantial and to the ten-year use agreement if rehabilitation is substantial.

Subrecipient Organization: Any organization that receives funds from a project sponsor to provide eligible housing and other support services and/or administrative services as defined in 24 CFR 574.300. If a subrecipient organization provides housing and/or other supportive services directly to clients, the subrecipient organization must provide performance data on household served and funds expended. Funding flows to subrecipients as follows:

HUD Funding → Grantee → Project Sponsor → Subrecipient

Tenant-Based Rental Assistance (TBRA): TBRA is a rental subsidy program similar to the Housing Choice Voucher program that grantees can provide to help low-income households access affordable housing. The TBRA voucher is not tied to a specific unit, so tenants may move to a different unit without losing their assistance, subject to individual program rules. The subsidy amount is determined in part based on household income and rental costs associated with the tenant's lease.

Transgender: Transgender is defined as a person who identifies with, or presents as, a gender that is different from his/her gender at birth.

Veteran: A veteran is someone who has served on active duty in the Armed Forces of the United States. This does not include inactive military reserves or the National Guard unless the person was called up to active duty.

Housing Opportunities for Person with AIDS (HOPWA) Consolidated Annual Performance and Evaluation Report (CAPER) Measuring Performance Outputs and Outcomes

OMB Number 2506-0133 (Expiration Date: 10/31/2017)

Part 1: Grantee Executive Summary

As applicable, complete the charts below to provide more detailed information about the agencies and organizations responsible for the administration and implementation of the HOPWA program. Chart 1 requests general Grantee Information and Chart 2 is to be completed for each organization selected or designated as a project sponsor, as defined by CFR 574.3. In Chart 3, indicate each subrecipient organization with a contract/agreement of \$25,000 or greater that assists grantees or project sponsors carrying out their administrative or evaluation activities. In Chart 4, indicate each subrecipient organization with a contract/agreement to provide HOPWA-funded services to client households. These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definition section for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A. Do not leave any section blank.

1. Grantee Information

HUD Grant Number #CTH15F999		Operating Year for this report From (mm/dd/yy) 07/01/2016 To (mm/dd/yy) 06/30/2017		
Grantee Name State of Connecticut Department of Housing				
Business Address		505 Hudson Street		
City, County, State, Zip		Hartford	Hartford	CT 06106
Employer Identification Number (EIN) or Tax Identification Number (TIN)		32-0410548		
DUN & Bradstreet Number (DUNs):		078847898	Central Contractor Registration (CCR): Is the grantee's CCR status currently active? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide CCR Number: 807854435	
Congressional District of Grantee's Business Address		CT 1 st District		
*Congressional District of Primary Service Area(s)		2nd 4th		
*City(ies) and County(ies) of Primary Service Area(s)		Cities: Middletown and New London		Counties: Middlesex and New London
Organization's Website Address N/A		Is there a waiting list(s) for HOPWA Housing Subsidy Assistance Services in the Grantee service Area? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section what services maintain a waiting list and how this list is administered.		

* Service delivery area information only needed for program activities being directly carried out by the grantee.

2. Project Sponsor Information

Please complete Chart 2 for each organization designated or selected to serve as a project sponsor, as defined by CFR 574.3. Use this section to report on organizations involved in the direct delivery of services for client households. These elements address requirements in the Federal Financial Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Project Sponsor Agency Name Columbus House, Inc		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Alison Cunningham, Executive Director, Columbus House		
Email Address	Acunningham@columbushouse.org		
Business Address	586 Ella T. Grasso Blvd.		
City, County, State, Zip,	New Haven, CT 06519		
Phone Number (with area code)	203-401-4400		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	22-2511873	Fax Number (with area code)	
DUN & Bradstreet Number (DUNs):	13-176-4912		
Congressional District of Project Sponsor's Business Address	3 rd , 2 nd		
Congressional District(s) of Primary Service Area(s)	2 nd		
City(ies) and County(ies) of Primary Service Area(s)	Cities: Middletown, New London, New Haven, Norwich	Counties: Middlesex, New London, New Haven	
Total HOPWA contract amount for this Organization for the operating year	\$162,492		
Organization's Website Address	http://www.columbushouse.org/		
Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <i>Please check if yes and a faith-based organization.</i> <input type="checkbox"/> <i>Please check if yes and a grassroots organization.</i> <input type="checkbox"/>		Does your organization maintain a waiting list? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If yes, explain in the narrative section how this list is administered.	

Project Sponsor Agency Name Alliance for Living, Inc.		Parent Company Name, if applicable N/A	
Name and Title of Contact at Project Sponsor Agency	Kelly Thompson		
Email Address	Kthompson@allianceforliving.org		
Business Address	154 Broad Street		
City, County, State, Zip,	New London, CT 06320		
Phone Number (with area code)	860-447-0884		
Employer Identification Number (EIN) or Tax Identification Number (TIN)	06-1245514	Fax Number (with area code)	

DUN & Bradstreet Number (DUNs):	78-416-3784	
Congressional District of Project Sponsor's Business Address	2nd	
Congressional District(s) of Primary Service Area(s)	2nd	
City(ies) <u>and</u> County(ies) of Primary Service Area(s)	cities: All cities in New London County	Counties: New London
Total HOPWA contract amount for this Organization for the operating year	\$55,000	
Organization's Website Address	http://www.allianceforliving.org/	
<p>Is the sponsor a nonprofit organization? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>Please check if yes and a faith-based organization. <input type="checkbox"/></p> <p>Please check if yes and a grassroots organization. <input type="checkbox"/></p>	<p>Does your organization maintain a waiting list? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p>If yes, explain in the narrative section how this list is administered.</p>	

3. Administrative Subrecipient Information

Use Chart 3 to provide the following information for each subrecipient with a contract/agreement of \$25,000 or greater that assists project sponsors to carry out their administrative services but no services directly to client households. Agreements include: grants, subgrants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders. (Organizations listed may have contracts with project sponsors) These elements address requirements in the Federal Funding and Accountability and Transparency Act of 2006 (Public Law 109-282).

Note: Please see the definitions for distinctions between project sponsor and subrecipient.

Note: If any information does not apply to your organization, please enter N/A.

Subrecipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Subrecipient				
Email Address				
Business Address				
City, State, Zip, County				
Phone Number (with area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs):				
North American Industry Classification System (NAICS) Code				
Congressional District of Subrecipient's Business Address				
Congressional District of Primary Service Area				
City (ies) <u>and</u> County (ies) of Primary Service Area(s)	Cities:			Counties:
Total HOPWA Subcontract Amount of this Organization for the operating year				

4. Program Subrecipient Information

Complete the following information for each subrecipient organization providing HOPWA-funded services to client households. These organizations would hold a contract/agreement with a project sponsor(s) to provide these services. For example, a subrecipient organization may receive funds from a project sponsor to provide nutritional services for clients residing within a HOPWA facility-based housing program. Please note that subrecipients who work directly with client households must provide performance data for the grantee to include in Parts 2-7 of the CAPER.

Note: Please see the definition of a subrecipient for more information.

Note: Types of contracts/agreements may include: grants, sub-grants, loans, awards, cooperative agreements, and other forms of financial assistance; and contracts, subcontracts, purchase orders, task orders, and delivery orders.

Note: If any information is not applicable to the organization, please report N/A in the appropriate box. Do not leave boxes blank.

Sub-recipient Name	N/A			Parent Company Name, if applicable
Name and Title of Contact at Contractor/ Sub-contractor Agency				
Email Address				
Business Address				
City, County, State, Zip				
Phone Number (included area code)				Fax Number (include area code)
Employer Identification Number (EIN) or Tax Identification Number (TIN)				
DUN & Bradstreet Number (DUNs)				
North American Industry Classification System (NAICS) Code				
Congressional District of the Sub-recipient's Business Address				
Congressional District(s) of Primary Service Area				
City(ies) and County(ies) of Primary Service Area	Cities:		Counties:	
Total HOPWA Subcontract Amount of this Organization for the operating year				

5. Grantee Narrative and Performance Assessment

a. Grantee and Community Overview

Provide a one to three page narrative summarizing major achievements and highlights that were proposed and completed during the program year. Include a brief description of the grant organization, area of service, the name(s) of the program contact(s), and an overview of the range/type of housing activities provided. This overview may be used for public information, including posting on HUD's website. *Note: Text fields are expandable.*

In PY 2016-2017 DOH received \$218,321 in Federal Housing Opportunities for Persons with AIDS (HOPWA) funds for the program year, which covered the time period from July 1, 2015 to June 30, 2016. It is a "balance of state" program that served 26 unduplicated persons with HIV/AIDS and their families through agreements between the state and 2 non not-for-profit organizations located in Middlesex, and New London Counties.

Alliance For Living, Inc., 154 Broad Street, New London, CT 06320, P: 860.447.0884, serving New London County: Alliance for Living is the only HIV/AIDS service organization and resource center in southeastern Connecticut. They are a group of dedicated professionals who are passionate about helping people living with HIV/AIDS in our community. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supportive housing services beginning with a subsidy program in 1998.

The services they provide include the following:

- **Case Management:** Case Managers assist clients in coordinating services to address their individual needs. This includes medical, dental, and legal referrals; financial (entitlement program) help; transportation; prescription assistance; nutritional supplements, education seminars and assistance with employment.
- **Housing:** Specifically, Alliance for Living provides permanent housing through tenant based rental assistance portion and short term assistance through STRMU. The goal of this program is to stabilize the client's housing and empower them to secure permanent, affordable housing.
- **Medication Adherence Programs:** This program is designed to assist members in maintaining their medication regimens. A registered nurse works with clients to address any issue they are having with their medication or overall health.
- **Medical Nutrition Therapy:** Designed to assess, evaluate and treat nutritional issues clients are experiencing, a Registered Dietician conducts a nutritional assessment and designs a nutritional intervention. This program works in collaboration with the Medical Case Management team and the Medication Adherence Nurse.

Alliance for Living's Supportive Housing Program strives to achieve and maintain housing stability for all clients in the program. The Housing Coordinator and case management staff work together as a team to ensure that housing program participants are maintaining sources of income, medical insurance and eligible benefits while in housing. The team uses an empowerment model to provide housing participants with the skills to contact landlords if they are having problems, connect with providers if they need assistance and to pay their program rent on time. The staff has attended in-service trainings on motivational interviewing along with other trainings related to self-empowerment and wellbeing related to housing.

The Alliance for Living's Supportive Housing Program operates with the support from the funding provided by DOH, HUD, and the Department of Mental Health and Addiction Services (DMHAS). The Supportive Housing Program cares for twenty-one (21) Tenant Based Rental Assistance, three (3) that

are now recently funded by DOH. In addition, they are close to the population defined chronically homeless and housed fourteen (14) individual and family households with HUD funding. Also, Alliance for Living provides case management support for chronically homeless individuals recently housed by HUD/DMHAS. Alliance for Living is lined up with the Coordinated Access Network and meets compliance with the HUD homeless definitions, Housing First approach and a Harm Reduction model to reduce the impediments of entering into housing and remaining housed.

Alliance for Living and the Supportive Housing program operates under the leadership of president/CEO Kelly Thompson and programming is supervised by Housing Director Frank Silva. Case Management staff is supported under the supervision of Carol Jones, Director of Medical Case Management.

Columbus House, Inc., 586 Ella T. Grasso Blvd, New Haven, CT 06519, P: 203.401.4400; Columbus House, Inc, is not-for-profit- social service organization that provides housing and services for homeless adults. It is a participating agency in the Greater New Haven Coordinated Access Network (CAN) and is a Region 2 DMHAS provider.

Mission Statement for Columbus House Inc.

The mission of Columbus House, Inc. is “to provide shelter and housing to people who are homeless or at risk of homelessness and to foster their personal growth and independence.”

The agency operates the following: mobile outreach and engagement services to homeless clients living on the streets, in the woods or in other places unfit for human habitation. CHI operates a 31 (adult male/female) bed emergency program; a 17 bed Recovery House for men; a 14 bed 2-year transitional program for veterans; a 9 bed permanent supportive housing residence for 9 dually diagnosed women; emergency shelter for 7 families; a 24-7 transportation service that drives people in recovery to detox centers in the southern regions of in state of CT; two seasonal (November – April) emergency shelters in New Haven (75 beds men only) and Wallingford (10 beds men and 5 beds women). CHI provides case management services at 7 permanent supportive housing congregate sites, and 200-plus units in scattered site supportive housing programs. CHI manages over \$100, 000 in Rapid Re-Housing funds, supporting people with short term financial assistance to help their quick transition out of homelessness.

The Pathways to Independence (PTI) and Critical Time Intervention Plus (CTIP) programs funded by DMHAS serves people who are literally and chronically homeless that need access to mainstream services and recovery support that will assist them in their ability to secure and maintain permanent housing. The CTIP program is supported by 35 DOH Rental Assistance Program (RAP) vouchers for chronically homeless adults.

CHI opened the DOH funded Respite Program which serves high utilizers of the shelter and inpatient medical services. These homeless adults are in need of recuperative care that cannot be provided in a shelter setting. The program serves 12 homeless adults referred that cannot be provided in a shelter setting. The program serves 12 homeless adults referred directly from Yale New Haven Hospital (YNHH).

The Veteran’s Administration funded Supportive Services for Veteran Families (SSVF) Program to its range of services. The SSVF program is located in New Haven, New London and Middlesex Counties. SSVF provides supportive services to very low-income Veteran families in or transitioning to permanent housing. Through the SSVF Program the lives of very low-income Veteran families’ improve through housing stability. This program provides

eligible Veteran families with outreach, case management, and assistance in obtaining VA and other benefits. These benefits may include employment assistance, behavioral health care, legal services and financial assistance.

CHI in partnership with The Housing Authority of the City of New Britain and Pennrose Development provides services to 14 formerly homeless elderly adults (62 and older). This development is located in New Britain and holds 70 units, serving very low income elderly residents. Columbus House has placed a full time Case Manager on site to provide supportive services and linkages to community providers.

CHI adopted the Housing First model 10 years ago, developing internal policies and strategies for our Permanent Supportive Housing programs based on the following standards: low-barrier to entry, community integration and recovery, lease compliance and housing retention, separation of housing and service, and tenant choice. **Low-Barrier Approach to Entry:** Clients entering supportive and independent housing with our assistance have minimal prerequisites. Our overall approach is dictated by the notion that *all* people experiencing homelessness are housing-ready. **Community Integration and Recovery:** Our case managers encourage clients to build upon natural social support systems, including social and religious organizations.

As an expression of the mission, CHI has committed resources to serve populations particularly at risk of and in need of special preventative or supportive services. Among the groups served through ongoing initiatives, CHI has maintained a variety of program offerings to address the needs of adults infected by HIV/AIDS.

The HOPWA program of CHI operates services designed to meet these annual objectives:

- (a) Guarantee the access of HIV/AIDS infected adults to the transitional and emergency housing opportunities of Columbus House.
- (b) Provide specialized case management to provide health care management, money management, relapse prevention, and community integration to homeless adults living with HIV/AIDS.
- (c) Support the movement of HIV/AIDS clients into long-term supportive or independent housing.

The Scattered Site HOPWA Housing Program provides subsidized housing and case management to individuals. The apartments are located throughout the city of Middletown. Efforts are made to ensure that apartments match the needs of residents including location, size and accessibility. Once individuals are housed, in effort to maintain long-term housing, case management services are offered to assist clients in meeting their personal needs and identifying and overcoming barriers that may jeopardize their housing. Residents must want and have the ability to live independently and demonstrate a willingness to cooperate with the HOPWA case manager.

HOPWA funds are used to provide rental assistance and support services provided by a full-time 37.5 hours per week case manager. Housing information, resources and supervision is provided a minimum of 4 plus hours per month by the Sr. Manager of Middlesex Services. Operational support is offered in-kind via the region's Director and Chief program Officer.

The program contacts are:

Letticia Brown-Gambino, Chief Program Officer

Andrew Black, Director of Veteran and Middlesex Services

Catherine Shanley , Senior Manager of Middlesex Services
LeAnne Parent, HOPWA Case Manager

b. Annual Performance under the Action Plan

1. Outputs Reported.

The original goal was to serve 26 individuals and/or families in Balance of State counties. The actual number of individuals and families who benefitted from TBRA and STRMU dollars was 30.

2. Outcomes Assessed.

Both programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

3. Coordination. Report on program coordination with other mainstream housing and supportive services resources, including the use of committed leveraging from other public and private sources that helped to address needs for eligible persons identified in the Consolidated Plan/Strategic Plan.

Program coordination and/or leveraging of funding sources was provided by the State of Connecticut Department of Social Services; DMHAS; DOH; Middlesex and New London County Housing Authorities, community health centers and various other local community resources.

4. Technical Assistance. Describe any program technical assistance needs and how they would benefit program beneficiaries.

No technical assistance is required at this time.

c. Barriers and Trends Overview

1. Describe any barriers (including regulatory and non-regulatory) encountered in the administration or implementation of the HOPWA program, how they affected your program’s ability to achieve the objectives and outcomes discussed, and, actions taken in response to barriers, and recommendations for program improvement. Provide an explanation for each barrier selected.

Housing affordability, housing availability, and history of evictions tend to be common barriers to

<input type="checkbox"/> HOPWA/HUD Regulations	<input type="checkbox"/> Planning	<input checked="" type="checkbox"/> Housing Availability	<input checked="" type="checkbox"/> Rent Determination and Fair Market Rents
<input type="checkbox"/> Discrimination/Confidentiality	<input type="checkbox"/> Multiple Diagnoses	<input checked="" type="checkbox"/> Eligibility	<input type="checkbox"/> Technical Assistance or Training
<input type="checkbox"/> Supportive Services	<input type="checkbox"/> Credit History	<input checked="" type="checkbox"/> Rental History	<input type="checkbox"/> Criminal Justice History
<input checked="" type="checkbox"/> Housing Affordability	<input type="checkbox"/> Geography/Rural Access	<input checked="" type="checkbox"/> Other, please explain further	<input type="checkbox"/> Security Deposits

successfully housing individuals/family. Other barriers in the more rural areas were lack of transportation and affordability of a taxicab/transportation to medical appointments.

Affordable rents are difficult to find particularly if they are looking for safe and desirable neighborhoods. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant.

It was reported that there were difficulties with tenants that struggle with attending medical appointments and with medication adherence. Medical case managers are now meeting regularly with these individuals and housing staff.

2. Describe any trends in the community that may affect the way in which the needs of persons living with HIV/AIDS are being addressed, and provide any other information important to the future provision of services to this population.

Participation in the statewide Coordinated Access Network continues to be helpful for emergency housing assessments. The goal is to provide permanent supportive housing. Diversion from shelters and housing assessments enable case managers to provide appropriate supports too the neediest families.

Other trends that have been documented are the availability of safe, affordable and accessible housing.

3. Identify any evaluations, studies, or other assessments of the HOPWA program that are available to the public.
Not Applicable

d. Unmet Housing Needs: An Assessment of Unmet Housing Needs

In Chart 1, provide an assessment of the number of HOPWA-eligible households that require HOPWA housing subsidy assistance but are not currently served by any HOPWA-funded housing subsidy assistance in this service area.

In Row 1, report the total unmet need of the geographical service area, as reported in *Unmet Needs for Persons with HIV/AIDS*, Chart 1B of the Consolidated or Annual Plan(s), or as reported under HOPWA worksheet in the Needs Workbook of the Consolidated Planning Management Process (CPMP) tool.

Note: Report most current data available, through Consolidated or Annual Plan(s), and account for local housing issues, or changes in HIV/AIDS cases, by using combination of one or more of the sources in Chart 2.

If data is collected on the type of housing that is needed in Rows a. through c., enter the number of HOPWA-eligible households by type of housing subsidy assistance needed. For an approximate breakdown of overall unmet need by type of housing subsidy assistance refer to the Consolidated or Annual Plan (s), CPMP tool or local distribution of funds. Do not include clients who are already receiving HOPWA-funded housing subsidy assistance.

Refer to Chart 2, and check all sources consulted to calculate unmet need. Reference any data from neighboring states' or municipalities' Consolidated Plan or other planning efforts that informed the assessment of Unmet Need in your service area.

Note: In order to ensure that the unmet need assessment for the region is comprehensive, HOPWA formula grantees should include those unmet needs assessed by HOPWA competitive grantees operating within the service area.

1. Planning Estimate of Area's Unmet Needs for HOPWA-Eligible Households

1. Total number of households that have unmet housing subsidy assistance need.	9
2. From the total reported in Row 1, identify the number of households with unmet housing needs by type of housing subsidy assistance: <ul style="list-style-type: none"> <li data-bbox="180 331 646 359">a. Tenant-Based Rental Assistance (TBRA) <li data-bbox="180 401 735 464">b. Short-Term Rent, Mortgage and Utility payments (STRMU) <ul style="list-style-type: none"> <li data-bbox="228 499 573 527">• Assistance with rental costs <li data-bbox="228 533 662 560">• Assistance with mortgage payments <li data-bbox="228 567 581 594">• Assistance with utility costs. <li data-bbox="180 625 743 688">c. Housing Facilities, such as community residences, SRO dwellings, other housing facilities 	9 0 0 0 0 0

2. Recommended Data Sources for Assessing Unmet Need (check all sources used)

X	= Data as reported in the area Consolidated Plan, e.g. Table 1B, CPMP charts, and related narratives
	= Data established by area HIV/AIDS housing planning and coordination efforts, e.g. Continuum of Care
	= Data from client information provided in Homeless Management Information Systems (HMIS)
X	= Data from project sponsors or housing providers, including waiting lists for assistance or other assessments on need including those completed by HOPWA competitive grantees operating in the region.
	= Data from prisons or jails on persons being discharged with HIV/AIDS, if mandatory testing is conducted
	= Data from local Ryan White Planning Councils or reported in CARE Act Data Reports, e.g. number of clients with permanent housing
	= Data collected for HIV/AIDS surveillance reporting or other health assessments, e.g. local health department or CDC surveillance data

End of PART 1

PART 2: Sources of Leveraging and Program Income

1. Sources of Leveraging

Report the source(s) of cash or in-kind leveraged federal, state, local or private resources identified in the Consolidated or Annual Plan and used in the delivery of the HOPWA program and the amount of leveraged dollars. In Column [1], identify the type of leveraging. Some common sources of leveraged funds have been provided as a reference point. You may add Rows as necessary to report all sources of leveraged funds. Include Resident Rent payments paid by clients directly to private landlords. Do NOT include rents paid directly to a HOPWA program as this will be reported in the next section. In Column [2] report the amount of leveraged funds expended during the operating year. Use Column [3] to provide some detail about the type of leveraged contribution (e.g., case management services or clothing donations). In Column [4], check the appropriate box to indicate whether the leveraged contribution was a housing subsidy assistance or another form of support.

Note: Be sure to report on the number of households supported with these leveraged funds in Part 3, Chart 1, Column d.

A. Source of Leveraging Chart

[1] Source of Leveraging	[2] Amount of Leveraged Funds	[3] Type of Contribution	[4] Housing Subsidy Assistance or Other Support
Public Funding			
Ryan White-Housing Assistance			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Ryan White-Other			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Housing Choice Voucher Program			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Low Income Housing Tax Credit			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
HOME			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Shelter Plus Care			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Emergency Solutions Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public: DMHAS	\$40,719	Personnel	<input type="checkbox"/> Housing Subsidy Assistance <input checked="" type="checkbox"/> Other Support
Other Public: DOH	\$13,750		<input checked="" type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Public:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Private Funding			
Grants			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
In-kind Resources			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private: Broadway Cares Grant			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Private:			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Other Funding			
Grantee/Project Sponsor/Subrecipient (Agency) Cash			<input type="checkbox"/> Housing Subsidy Assistance <input type="checkbox"/> Other Support
Resident Rent Payments by Client to Private Landlord	\$24,561		
TOTAL (Sum of all Rows)	\$79,030		

2. Program Income and Resident Rent Payments

In Section 2, Chart A., report the total amount of program income and resident rent payments directly generated from the use of HOPWA funds, including repayments. Include resident rent payments collected or paid directly to the HOPWA program. Do NOT include payments made directly from a client household to a private landlord.

Note: Please see report directions section for definition of program income. (Additional information on program income is available in the HOPWA Grantee Oversight Resource Guide).

A. Total Amount Program Income and Resident Rent Payment Collected During the Operating Year

Program Income and Resident Rent Payments Collected		Total Amount of Program Income (for this operating year)
1.	Program income (e.g. repayments)	0
2.	Resident Rent Payments made directly to HOPWA Program	26,262
3.	Total Program Income and Resident Rent Payments (Sum of Rows 1 and 2)	26,262

B. Program Income and Resident Rent Payments Expended To Assist HOPWA Households

In Chart B, report on the total program income and resident rent payments (as reported above in Chart A) expended during the operating year. Use Row 1 to report Program Income and Resident Rent Payments expended on Housing Subsidy Assistance Programs (i.e., TBRA, STRMU, PHP, Master Leased Units, and Facility-Based Housing). Use Row 2 to report on the Program Income and Resident Rent Payment expended on Supportive Services and other non-direct Housing Costs.

Program Income and Resident Rent Payment Expended on HOPWA programs		Total Amount of Program Income Expended (for this operating year)
1.	Program Income and Resident Rent Payment Expended on Housing Subsidy Assistance costs	0
2.	Program Income and Resident Rent Payment Expended on Supportive Services and other non-direct housing costs	0
3.	Total Program Income Expended (Sum of Rows 1 and 2)	0

End of PART 2

PART 3: Accomplishment Data Planned Goal and Actual Outputs

In Chart 1, enter performance information (goals and actual outputs) for all activities undertaken during the operating year supported with HOPWA funds. Performance is measured by the number of households and units of housing that were supported with HOPWA or other federal, state, local, or private funds for the purposes of providing housing assistance and support to persons living with HIV/AIDS and their families.

Note: The total households assisted with HOPWA funds and reported in PART 3 of the CAPER should be the same as reported in the annual year-end IDIS data, and goals reported should be consistent with the Annual Plan information. Any discrepancies or deviations should be explained in the narrative section of PART 1.

1. HOPWA Performance Planned Goal and Actual Outputs

HOPWA Performance Planned Goal and Actual		[1] Output: Households				[2] Output: Funding	
		HOPWA Assistance		Leveraged Households		HOPWA Funds	
		a.	b.	c.	d.	e.	f.
		Goal	Actual	Goal	Actual	HOPWA Budget	HOPWA Actual
HOPWA Housing Subsidy Assistance		[1] Output: Households				[2] Output: Funding	
1.	Tenant-Based Rental Assistance	16	16	3	3	129,800	123,844
2a.	Permanent Housing Facilities: Received Operating Subsidies/Leased units (Households Served)	0	0			0	0
2b.	Transitional/Short-term Facilities: Received Operating Subsidies/Leased units (Households Served) (Households Served)	0	0			0	0
3a.	Permanent Housing Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0			0	0
3b.	Transitional/Short-term Facilities: Capital Development Projects placed in service during the operating year (Households Served)	0	0			0	0
4.	Short-Term Rent, Mortgage and Utility Assistance	5	10	5	10	14,940	15,759
5.	Permanent Housing Placement Services	0	0			0	0
6.	Adjustments for duplication (subtract)	0	0				
7.	Total HOPWA Housing Subsidy Assistance (Columns a. – d. equal the sum of Rows 1-5 minus Row 6; Columns e. and f. equal the sum of Rows 1-5)	21	26	8	13	144,740	139,603
Housing Development (Construction and Stewardship of facility based housing)		[1] Output: Housing Units				[2] Output: Funding	
8.	Facility-based units; Capital Development Projects not yet opened (Housing Units)						
9.	Stewardship Units subject to 3 or 10 year use agreements						
10.	Total Housing Developed (Sum of Rows 8 & 9)						
Supportive Services		[1] Output Households				[2] Output: Funding	
11a.	Supportive Services provided by project sponsors/subrecipient that also delivered HOPWA housing subsidy assistance	26	26			50,709	55,316
11b.	Supportive Services provided by project sponsors/subrecipient that only provided supportive services.						
12.	Adjustment for duplication (subtract)						
13.	Total Supportive Services (Columns a. – d. equal the sum of Rows 11 a. & b. minus Row 12; Columns e. and f. equal the sum of Rows 11a. & 11b.)	0	0			50,709	55,316
Housing Information Services		[1] Output Households				[2] Output: Funding	
14.	Housing Information Services	0	4			11,497	12,772
15.	Total Housing Information Services	0	4			11,497	12,772

Grant Administration and Other Activities		[1] Output Households				[2] Output: Funding	
16.	Resource Identification to establish, coordinate and develop housing assistance resources						
17.	Technical Assistance (if approved in grant agreement)						
18.	Grantee Administration (maximum 3% of total HOPWA grant)					10,630	10,630
19.	Project Sponsor Administration (maximum 7% of portion of HOPWA grant awarded)						
20.	Total Grant Administration and Other Activities (Sum of Rows 16 – 19)					10,630	10,630
Total Expended						[2] Outputs: HOPWA Funds Expended	
						Budget	Actual
21.	Total Expenditures for program year (Sum of Rows 7, 10, 13, 15, and 20)					217,576	217,873

2. Listing of Supportive Services

Report on the households served and use of HOPWA funds for all supportive services. Do NOT report on supportive services leveraged with non-HOPWA funds.

Data check: Total unduplicated households and expenditures reported in Row 17 equal totals reported in Part 3, Chart 1, Row 13.

Supportive Services		[1] Output: Number of <u>Households</u>	[2] Output: Amount of HOPWA Funds Expended
1.	Adult day care and personal assistance	0	0
2.	Alcohol and drug abuse services	0	0
3.	Case management	26	52,351
4.	Child care and other child services	0	0
5.	Education	0	0
6.	Employment assistance and training	0	0
7.	Health/medical/intensive care services, if approved Note: Client records must conform with 24 CFR §574.310	0	0
8.	Legal services	1	2,965
9.	Life skills management (outside of case management)	0	0
10.	Meals/nutritional services	0	0
11.	Mental health services	0	0
12.	Outreach	0	0
13.	Transportation	0	0
14.	Other Activity (if approved in grant agreement). Specify:	0	0
15.	Sub-Total Households receiving Supportive Services (Sum of Rows 1-14)	14	
16.	Adjustment for Duplication (subtract)	1	
17.	TOTAL Unduplicated Households receiving Supportive Services (Column [1] equals Row 15 minus Row 16; Column [2] equals sum of Rows 1-14)	13	55,316

3. Short-Term Rent, Mortgage and Utility Assistance (STRMU) Summary

In Row a., enter the total number of households served and the amount of HOPWA funds expended on Short-Term Rent, Mortgage and Utility (STRMU) Assistance. In Row b., enter the total number of STRMU-assisted households that received assistance with mortgage costs only (no utility costs) and the amount expended assisting these households. In Row c., enter the total number of STRMU-assisted households that received assistance with both mortgage and utility costs and the amount expended assisting these households. In Row d., enter the total number of STRMU-assisted households that received assistance with rental costs only (no utility costs) and the amount expended assisting these households. In Row e., enter the total number of STRMU-assisted households that received assistance with both rental and utility costs and the amount expended assisting these households. In Row f., enter the total number of STRMU-assisted households that received assistance with utility costs only (not including rent or mortgage costs) and the amount expended assisting these households. In row g., report the amount of STRMU funds expended to support direct program costs such as program operation staff.

Data Check: The total households reported as served with STRMU in Row a., column [1] and the total amount of HOPWA funds reported as expended in Row a., column [2] equals the household and expenditure total reported for STRMU in Part 3, Chart 1, Row 4, Columns b. and f., respectively.

Data Check: The total number of households reported in Column [1], Rows b., c., d., e., and f. equal the total number of STRMU households reported in Column [1], Row a. The total amount reported as expended in Column [2], Rows b., c., d., e., f., and g. equal the total amount of STRMU expenditures reported in Column [2], Row a.

Housing Subsidy Assistance Categories (STRMU)		[1] Output: Number of Households Served	[2] Output: Total HOPWA Funds Expended on STRMU during Operating Year
a.	Total Short-term mortgage, rent and/or utility (STRMU) assistance	10	20,466
b.	Of the total STRMU reported on Row a, total who received assistance with mortgage costs ONLY.	0	0
c.	Of the total STRMU reported on Row a, total who received assistance with mortgage and utility costs.	0	0
d.	Of the total STRMU reported on Row a, total who received assistance with rental costs ONLY.	6	10,687
e.	Of the total STRMU reported on Row a, total who received assistance with rental and utility costs.	0	0
f.	Of the total STRMU reported on Row a, total who received assistance with utility costs ONLY.	4	5,072
g.	Direct program delivery costs (e.g., program operations staff time)		4,707

End of PART 3

Part 4: Summary of Performance Outcomes

In Column [1], report the total number of eligible households that received HOPWA housing subsidy assistance, by type. In Column [2], enter the number of households that continued to access each type of housing subsidy assistance into next operating year. In Column [3], report the housing status of all households that exited the program.

Data Check: The sum of Columns [2] (Number of Households Continuing) and [3] (Exited Households) equals the total reported in Column[1].

Note: Refer to the housing stability codes that appear in Part 5: Worksheet - Determining Housing Stability Outcomes.

Section 1. Housing Stability: Assessment of Client Outcomes on Maintaining Housing Stability (Permanent Housing and Related Facilities)

A. Permanent Housing Subsidy Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Tenant-Based Rental Assistance	16	15	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing	1	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>
Permanent Supportive Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/Unknown		
			9 Death		<i>Life Event</i>

B. Transitional Housing Assistance

	[1] Output: Total Number of Households Served	[2] Assessment: Number of Households that Continued Receiving HOPWA Housing Subsidy Assistance into the Next Operating Year	[3] Assessment: Number of Households that exited this HOPWA Program; their Housing Status after Exiting		[4] HOPWA Client Outcomes
Transitional/ Short-Term Housing Facilities/ Units	0	0	1 Emergency Shelter/Streets		<i>Unstable Arrangements</i>
			2 Temporary Housing		<i>Temporarily Stable with Reduced Risk of Homelessness</i>
			3 Private Housing		<i>Stable/Permanent Housing (PH)</i>
			4 Other HOPWA		
			5 Other Subsidy		
			6 Institution		
			7 Jail/Prison		<i>Unstable Arrangements</i>
			8 Disconnected/unknown		
			9 Death		<i>Life Event</i>

B1: Total number of households receiving transitional/short-term housing assistance whose tenure exceeded 24 months	
---------------------------------------------------------------------------------------------------------------------	--

Section 2. Prevention of Homelessness: Assessment of Client Outcomes on Reduced Risks of Homelessness (Short-Term Housing Subsidy Assistance)

Report the total number of households that received STRMU assistance in Column [1].
 In Column [2], identify the outcomes of the households reported in Column [1] either at the time that they were known to have left the STRMU program or through the project sponsor or subrecipient’s best assessment for stability at the end of the operating year.
 Information in Column [3] provides a description of housing outcomes; therefore, data is not required.
 At the bottom of the chart:

- In Row 1a., report those households that received STRMU assistance during the operating year of this report, and the prior operating year.
- In Row 1b., report those households that received STRMU assistance during the operating year of this report, and the two prior operating years.

Data Check: The total households reported as served with STRMU in Column [1] equals the total reported in Part 3, Chart 1, Row 4, Column b.

Data Check: The sum of Column [2] should equal the number of households reported in Column [1].

Assessment of Households that Received STRMU Assistance

[1] Output: Total number of households	[2] Assessment of Housing Status		[3] HOPWA Client Outcomes
10	Maintain Private Housing without subsidy <i>(e.g. Assistance provided/completed and client is stable, not likely to seek additional support)</i>	8	<i>Stable/Permanent Housing (PH)</i>
	Other Private Housing without subsidy <i>(e.g. client switched housing units and is now stable, not likely to seek additional support)</i>	1	
	Other HOPWA Housing Subsidy Assistance		
	Other Housing Subsidy (PH)		
	Institution <i>(e.g. residential and long-term care)</i>		
	Likely that additional STRMU is needed to maintain current housing arrangements	1	<i>Temporarily Stable, with Reduced Risk of Homelessness</i>
	Transitional Facilities/Short-term <i>(e.g. temporary or transitional arrangement)</i>		
	Temporary/Non-Permanent Housing arrangement <i>(e.g. gave up lease, and moved in with family or friends but expects to live there less than 90 days)</i>		
	Emergency Shelter/street		<i>Unstable Arrangements</i>
	Jail/Prison		
	Disconnected		
	Death		<i>Life Event</i>
1a. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the prior operating year (e.g. households that received STRMU assistance in two consecutive operating years).			0
1b. Total number of those households that received STRMU Assistance in the operating year of this report that also received STRMU assistance in the two prior operating years (e.g. households that received STRMU assistance in three consecutive operating years).			0

Section 3. HOPWA Outcomes on Access to Care and Support

1a. Total Number of Households

Line [1]: For project sponsors/subrecipients that provided HOPWA housing subsidy assistance during the operating year identify in the appropriate row the number of households that received HOPWA housing subsidy assistance (TBRA, STRMU, Facility-Based, PHP and Master Leasing) and HOPWA funded case management services. Use Row c. to adjust for duplication among the service categories and Row d. to provide an unduplicated household total.

Line [2]: For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance identify in the appropriate row the number of households that received HOPWA funded case management services.

Note: These numbers will help you to determine which clients to report Access to Care and Support Outcomes for and will be used by HUD as a basis for analyzing the percentage of households who demonstrated or maintained connections to care and support as identified in Chart 1b. below.

Total Number of Households	
1. For Project Sponsors/Subrecipients that provided HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> services:	
a. Housing Subsidy Assistance (duplicated)-TBRA, STRMU, PHP, Facility-Based Housing, and Master Leasing	26
b. Case Management	26
c. Adjustment for duplication (subtraction)	26
d. Total Households Served by Project Sponsors/Subrecipients with Housing Subsidy Assistance (Sum of Rows a.b. minus Row c.)	26
2. For Project Sponsors/Subrecipients did NOT provide HOPWA Housing Subsidy Assistance: Identify the total number of households that received the following <u>HOPWA-funded</u> service:	
a. HOPWA Case Management	0
b. Total Households Served by Project Sponsors/Subrecipients without Housing Subsidy Assistance	0

1b. Status of Households Accessing Care and Support

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report the number of households that demonstrated access or maintained connections to care and support within the program year.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report the number of households that demonstrated improved access or maintained connections to care and support within the program year.

Note: For information on types and sources of income and medical insurance/assistance, refer to Charts below.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:	Outcome Indicator
1. Has a housing plan for maintaining or establishing stable on-going housing	25	0	<i>Support for Stable Housing</i>
2. Had contact with case manager/benefits counselor consistent with the schedule specified in client's individual service plan (may include leveraged services such as Ryan White Medical Case Management)	26	0	<i>Access to Support</i>
3. Had contact with a primary health care provider consistent with the schedule specified in client's individual service plan	26	0	<i>Access to Health Care</i>
4. Accessed and maintained medical insurance/assistance	26	0	<i>Access to Health Care</i>
5. Successfully accessed or maintained qualification for sources of income	22	0	<i>Sources of Income</i>

Chart 1b., Line 4: Sources of Medical Insurance and Assistance include, but are not limited to the following (Reference only)

- | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • MEDICAID Health Insurance Program, or use local program name • MEDICARE Health Insurance Program, or use local program name | <ul style="list-style-type: none"> • Veterans Affairs Medical Services • AIDS Drug Assistance Program (ADAP) • State Children’s Health Insurance Program (SCHIP), or use local program name | <ul style="list-style-type: none"> • Ryan White-funded Medical or Dental Assistance |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|

Chart 1b., Row 5: Sources of Income include, but are not limited to the following (Reference only)

- | | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <ul style="list-style-type: none"> • Earned Income • Veteran’s Pension • Unemployment Insurance • Pension from Former Job • Supplemental Security Income (SSI) | <ul style="list-style-type: none"> • Child Support • Social Security Disability Income (SSDI) • Alimony or other Spousal Support • Veteran’s Disability Payment • Retirement Income from Social Security • Worker’s Compensation | <ul style="list-style-type: none"> • General Assistance (GA), or use local program name • Private Disability Insurance • Temporary Assistance for Needy Families (TANF) • Other Income Sources |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

1c. Households that Obtained Employment

Column [1]: Of the households identified as receiving services from project sponsors/subrecipients that provided HOPWA housing subsidy assistance as identified in Chart 1a., Row 1d. above, report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or related case management/counseling services.

Column [2]: Of the households identified as receiving services from project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance as reported in Chart 1a., Row 2b., report on the number of households that include persons who obtained an income-producing job during the operating year that resulted from HOPWA-funded Job training, employment assistance, education or case management/counseling services.

Note: This includes jobs created by this project sponsor/subrecipients or obtained outside this agency.

Note: Do not include jobs that resulted from leveraged job training, employment assistance, education or case management/counseling services.

Categories of Services Accessed	[1] For project sponsors/subrecipients that provided HOPWA housing subsidy assistance, identify the households who demonstrated the following:	[2] For project sponsors/subrecipients that did NOT provide HOPWA housing subsidy assistance, identify the households who demonstrated the following:
Total number of households that obtained an income-producing job	11	0

End of PART 4

PART 5: Worksheet - Determining Housing Stability Outcomes (optional)

1. This chart is designed to assess program results based on the information reported in Part 4 and to help Grantees determine overall program performance. Completion of this worksheet is optional.

Permanent Housing Subsidy Assistance	Stable Housing (# of households remaining in program plus 3+4+5+6)	Temporary Housing (2)	Unstable Arrangements (1+7+8)	Life Event (9)
Tenant-Based Rental Assistance (TBRA)				
Permanent Facility-based Housing Assistance/Units				
Transitional/Short-Term Facility-based Housing Assistance/Units				
Total Permanent HOPWA Housing Subsidy Assistance				
Reduced Risk of Homelessness: Short-Term Assistance	Stable/Permanent Housing	Temporarily Stable, with Reduced Risk of Homelessness	Unstable Arrangements	Life Events
Short-Term Rent, Mortgage, and Utility Assistance (STRMU)				
Total HOPWA Housing Subsidy Assistance				

Background on HOPWA Housing Stability Codes
Stable Permanent Housing/Ongoing Participation

- 3 = Private Housing in the private rental or home ownership market (without known subsidy, including permanent placement with families or other self-sufficient arrangements) with reasonable expectation that additional support is not needed.
- 4 = Other HOPWA-funded housing subsidy assistance (not STRMU), e.g. TBRA or Facility-Based Assistance.
- 5 = Other subsidized house or apartment (non-HOPWA sources, e.g., Section 8, HOME, public housing).
- 6 = Institutional setting with greater support and continued residence expected (e.g., residential or long-term care facility).

Temporary Housing

2 = Temporary housing - moved in with family/friends or other short-term arrangement, such as Ryan White subsidy, transitional housing for homeless, or temporary placement in institution (e.g., hospital, psychiatric hospital or other psychiatric facility, substance abuse treatment facility or detox center).

Unstable Arrangements

- 1 = Emergency shelter or no housing destination such as places not meant for habitation (e.g., a vehicle, an abandoned building, bus/train/subway station, or anywhere outside).
- 7 = Jail /prison.
- 8 = Disconnected or disappeared from project support, unknown destination or no assessments of housing needs were undertaken.

Life Event

9 = Death, i.e., remained in housing until death. This characteristic is not factored into the housing stability equation.

Tenant-based Rental Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as reported under: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item: 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Permanent Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) remain in the housing and (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Transitional/Short-Term Facility-Based Housing Assistance: Stable Housing is the sum of the number of households that (i) continue in the residences (ii) those that left the assistance as shown as items: 3, 4, 5, and 6. Other Temporary Housing is the number of households that accessed assistance, and left their current housing for a non-permanent housing arrangement, as reported under item 2. Unstable Situations is the sum of numbers reported under items: 1, 7, and 8.

Tenure Assessment. A baseline of households in transitional/short-term facilities for assessment purposes, indicate the number of households whose tenure exceeded 24 months.

STRMU Assistance: Stable Housing is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period and there is reasonable expectation that additional support is not needed in order to maintain permanent housing living situation (as this is a time-limited form of housing support) as reported under housing status: Maintain Private Housing with subsidy; Other Private with Subsidy; Other HOPWA support; Other Housing Subsidy; and Institution. Temporarily Stable, with Reduced Risk of Homelessness is the sum of the number of households that accessed assistance for some portion of the permitted 21-week period or left their current housing arrangement for a transitional facility or other temporary/non-permanent housing arrangement and there is reasonable expectation additional support will be needed to maintain housing arrangements in the next year, as reported under housing status: Likely to maintain current housing arrangements, with additional STRMU assistance; Transitional Facilities/Short-term; and Temporary/Non-Permanent Housing arrangements. Unstable Situation is the sum of number of households reported under housing status: Emergency Shelter; Jail/Prison; and Disconnected.

End of PART 5

PART 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY)

The Annual Certification of Usage for HOPWA Facility-Based Stewardship Units is to be used in place of Part 7B of the CAPER if the facility was originally acquired, rehabilitated or constructed/developed in part with HOPWA funds but no HOPWA funds were expended during the operating year. Scattered site units may be grouped together on one page.

Grantees that used HOPWA funding for new construction, acquisition, or substantial rehabilitation are required to operate their facilities for HOPWA eligible individuals for at least ten (10) years. If non-substantial rehabilitation funds were used they are required to operate for at least three (3) years. Stewardship begins once the facility is put into operation.

Note: See definition of Stewardship Units.

1. General information

HUD Grant Number(s)	Operating Year for this report From (mm/dd/yy) To (mm/dd/yy) <input type="checkbox"/> Final Yr <input type="checkbox"/> Yr 1; <input type="checkbox"/> Yr 2; <input type="checkbox"/> Yr 3; <input type="checkbox"/> Yr 4; <input type="checkbox"/> Yr 5; <input type="checkbox"/> Yr 6; <input type="checkbox"/> Yr 7; <input type="checkbox"/> Yr 8; <input type="checkbox"/> Yr 9; <input type="checkbox"/> Yr 10;
Grantee Name	Date Facility Began Operations (mm/dd/yy)

2. Number of Units and Non-HOPWA Expenditures

Facility Name:	Number of Stewardship Units Developed with HOPWA funds	Amount of Non-HOPWA Funds Expended in Support of the Stewardship Units during the Operating Year
Total Stewardship Units (subject to 3- or 10- year use periods)		

3. Details of Project Site

Project Sites: Name of HOPWA-funded project	
Site Information: Project Zip Code(s)	
Site Information: Congressional District(s)	
Is the address of the project site confidential?	<input type="checkbox"/> Yes, protect information; do not list <input type="checkbox"/> Not confidential; information can be made available to the public
If the site is not confidential: Please provide the contact information, phone, email address/location, if business address is different from facility address	

I certify that the facility that received assistance for acquisition, rehabilitation, or new construction from the Housing Opportunities for Persons with AIDS Program has operated as a facility to assist HOPWA-eligible persons from the date shown above. I also certify that the grant is still serving the planned number of HOPWA-eligible households at this facility through leveraged resources and all other requirements of the grant agreement are being satisfied.

<i>I hereby certify that all the information stated herein, as well as any information provided in the accompaniment herewith, is true and accurate.</i>	
Name & Title of Authorized Official of the organization that continues to operate the facility:	Signature & Date (mm/dd/yy)
Name & Title of Contact at Grantee Agency (person who can answer questions about the report and program)	Contact Phone (with area code)

End of PART 6

Part 7: Summary Overview of Grant Activities**A. Information on Individuals, Beneficiaries, and Households Receiving HOPWA Housing Subsidy Assistance (TBRA, STRMU, Facility-Based Units, Permanent Housing Placement and Master Leased Units ONLY)**

Note: Reporting for this section should include ONLY those individuals, beneficiaries, or households that received and/or resided in a household that received HOPWA Housing Subsidy Assistance as reported in Part 3, Chart 1, Row 7, Column b. (e.g., do not include households that received HOPWA supportive services ONLY).

Section 1. HOPWA-Eligible Individuals who Received HOPWA Housing Subsidy Assistance**a. Total HOPWA Eligible Individuals Living with HIV/AIDS**

In Chart a., provide the total number of eligible (and unduplicated) low-income individuals living with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance during the operating year. This total should include only the individual who qualified the household for HOPWA assistance, NOT all HIV positive individuals in the household.

Individuals Served with Housing Subsidy Assistance	Total
Number of individuals with HIV/AIDS who qualified their household to receive HOPWA housing subsidy assistance.	26

Chart b. Prior Living Situation

In Chart b., report the prior living situations for all Eligible Individuals reported in Chart a. In Row 1, report the total number of individuals who continued to receive HOPWA housing subsidy assistance from the prior operating year into this operating year. In Rows 2 through 17, indicate the prior living arrangements for all new HOPWA housing subsidy assistance recipients during the operating year.

Data Check: *The total number of eligible individuals served in Row 18 equals the total number of individuals served through housing subsidy assistance reported in Chart a. above.*

Category		Total HOPWA Eligible Individuals Receiving Housing Subsidy Assistance
1.	<u>Continuing</u> to receive HOPWA support from the prior operating year	16
New Individuals who received HOPWA Housing Subsidy Assistance support during Operating Year		
2.	Place not meant for human habitation (such as a vehicle, abandoned building, bus/train/subway station/airport, or outside)	1
3.	Emergency shelter (including hotel, motel, or campground paid for with emergency shelter voucher)	0
4.	Transitional housing for homeless persons	0
5.	Total number of new Eligible Individuals who received HOPWA Housing Subsidy Assistance with a Prior Living Situation that meets HUD definition of homelessness (Sum of Rows 2 – 4)	1
6.	Permanent housing for formerly homeless persons (such as Shelter Plus Care, SHP, or SRO Mod Rehab)	0
7.	Psychiatric hospital or other psychiatric facility	0
8.	Substance abuse treatment facility or detox center	0
9.	Hospital (non-psychiatric facility)	0
10.	Foster care home or foster care group home	0
11.	Jail, prison or juvenile detention facility	0
12.	Rented room, apartment, or house	9
13.	House you own	0
14.	Staying or living in someone else's (family and friends) room, apartment, or house	0
15.	Hotel or motel paid for without emergency shelter voucher	0
16.	Other	0
17.	Don't Know or Refused	0
18.	TOTAL Number of HOPWA Eligible Individuals (sum of Rows 1 and 5-17)	26

c. Homeless Individual Summary

In Chart c., indicate the number of eligible individuals reported in Chart b., Row 5 as homeless who also are homeless Veterans and/or meet the definition for Chronically Homeless (See Definition section of CAPER). The totals in Chart c. do not need to equal the total in Chart b., Row 5.

Category	Number of Homeless Veteran(s)	Number of Chronically Homeless
HOPWA eligible individuals served with HOPWA Housing Subsidy Assistance	0	1

Section 2. Beneficiaries

In Chart a., report the total number of HOPWA eligible individuals living with HIV/AIDS who received HOPWA housing subsidy assistance (as reported in Part 7A, Section 1, Chart a.), and all associated members of their household who benefitted from receiving HOPWA housing subsidy assistance (resided with HOPWA eligible individuals).

Note: See definition of HOPWA Eligible Individual

Note: See definition of Transgender.

Note: See definition of Beneficiaries.

Data Check: The sum of each of the Charts b. & c. on the following two pages equals the total number of beneficiaries served with HOPWA housing subsidy assistance as determined in Chart a., Row 4 below.

a. Total Number of Beneficiaries Served with HOPWA Housing Subsidy Assistance

Individuals and Families Served with HOPWA Housing Subsidy Assistance	Total Number
1. Number of individuals with HIV/AIDS who qualified the household to receive HOPWA housing subsidy assistance (equals the number of HOPWA Eligible Individuals reported in Part 7A, Section 1, Chart a.)	26
2. Number of ALL other persons diagnosed as HIV positive who reside with the HOPWA eligible individuals identified in Row 1 and who benefitted from the HOPWA housing subsidy assistance	1
3. Number of ALL other persons NOT diagnosed as HIV positive who reside with the HOPWA eligible individual identified in Row 1 and who benefited from the HOPWA housing subsidy	3
4. TOTAL number of ALL <u>beneficiaries</u> served with Housing Subsidy Assistance (Sum of Rows 1,2, & 3)	30

b. Age and Gender

In Chart b., indicate the Age and Gender of all beneficiaries as reported in Chart a. directly above. Report the Age and Gender of all HOPWA Eligible Individuals (those reported in Chart a., Row 1) using Rows 1-5 below and the Age and Gender of all other beneficiaries (those reported in Chart a., Rows 2 and 3) using Rows 6-10 below. The number of individuals reported in Row 11, Column E. equals the total number of beneficiaries reported in Part 7, Section 2, Chart a., Row 4.

HOPWA Eligible Individuals (Chart a, Row 1)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
1.	Under 18	0	0	0	0	0
2.	18 to 30 years	0	0	0	0	0
3.	31 to 50 years	5	12	0	0	17
4.	51 years and Older	6	3	0	0	9
5.	Subtotal (Sum of Rows 1-4)	11	15	0	0	26
All Other Beneficiaries (Chart a, Rows 2 and 3)						
		A.	B.	C.	D.	E.
		Male	Female	Transgender M to F	Transgender F to M	TOTAL (Sum of Columns A-D)
6.	Under 18	1	0	0	0	1
7.	18 to 30 years	0	0	0	0	0
8.	31 to 50 years	1	2	0	0	3
9.	51 years and Older	0	0	0	0	0
10.	Subtotal (Sum of Rows 6-9)	2	2	0	0	4
Total Beneficiaries (Chart a, Row 4)						
11.	TOTAL (Sum of Rows 5 & 10)	13	17	0	0	30

c. Race and Ethnicity*

In Chart c., indicate the Race and Ethnicity of all beneficiaries receiving HOPWA Housing Subsidy Assistance as reported in Section 2, Chart a., Row 4. Report the race of all HOPWA eligible individuals in Column [A]. Report the ethnicity of all HOPWA eligible individuals in column [B]. Report the race of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [C]. Report the ethnicity of all other individuals who benefitted from the HOPWA housing subsidy assistance in column [D]. The summed total of columns [A] and [C] equals the total number of beneficiaries reported above in Section 2, Chart a., Row 4.

Category		HOPWA Eligible Individuals		All Other Beneficiaries	
		[A] Race [all individuals reported in Section 2, Chart a., Row 1]	[B] Ethnicity [Also identified as Hispanic or Latino]	[C] Race [total of individuals reported in Section 2, Chart a., Rows 2 & 3]	[D] Ethnicity [Also identified as Hispanic or Latino]
1.	American Indian/Alaskan Native	0	0	0	0
2.	Asian	0	0	0	0
3.	Black/African American	12	0	0	0
4.	Native Hawaiian/Other Pacific Islander	0	0	0	0
5.	White	13	2	4	1
6.	American Indian/Alaskan Native & White	0	0	0	0
7.	Asian & White	0	0	0	0
8.	Black/African American & White	1	0	0	0
9.	American Indian/Alaskan Native & Black/African American	0	0	0	0
10.	Other Multi-Racial	0	0	0	0
11.	Column Totals (Sum of Rows 1-10)	26	2	4	1
<i>Data Check: Sum of Row 11 Column A and Row 11 Column C equals the total number HOPWA Beneficiaries reported in Part 3A, Section 2, Chart a., Row 4.</i>					

*Reference (data requested consistent with Form HUD-27061 Race and Ethnic Data Reporting Form)

Section 3. Households

Household Area Median Income

Report the area median income(s) for all households served with HOPWA housing subsidy assistance.

Data Check: The total number of households served with HOPWA housing subsidy assistance should equal Part 3C, Row 7, Column b and Part 7A, Section 1, Chart a. (Total HOPWA Eligible Individuals Served with HOPWA Housing Subsidy Assistance).

Note: Refer to http://www.huduser.org/portal/datasets/il/il2010/select_Geography_mfi.odn for information on area median income in your community.

Percentage of Area Median Income		Households Served with HOPWA Housing Subsidy Assistance
1.	0-30% of area median income (extremely low)	24
2.	31-50% of area median income (very low)	2
3.	51-80% of area median income (low)	0
4.	Total (Sum of Rows 1-3)	26

Part 7: Summary Overview of Grant Activities

B. Facility-Based Housing Assistance

Complete one Part 7B for each facility developed or supported through HOPWA funds.

Do not complete this Section for programs originally developed with HOPWA funds but no longer supported with HOPWA funds. If a facility was developed with HOPWA funds (subject to ten years of operation for acquisition, new construction and substantial rehabilitation costs of stewardship units, or three years for non-substantial rehabilitation costs), but HOPWA funds are no longer used to support the facility, the project sponsor or subrecipient should complete Part 6: Annual Certification of Continued Usage for HOPWA Facility-Based Stewardship Units (ONLY).

Complete Charts 2a., Project Site Information, and 2b., Type of HOPWA Capital Development Project Units, for all Development Projects, including facilities that were past development projects, but continued to receive HOPWA operating dollars this reporting year.

1. Project Sponsor/Subrecipient Agency Name (Required)

--

2. Capital Development

2a. Project Site Information for HOPWA Capital Development of Projects (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this reporting year)

Note: If units are scattered-sites, report on them as a group and under type of Facility write "Scattered Sites."

	Type of Development this operating year	HOPWA Funds Expended this operating year (if applicable)	Non-HOPWA funds Expended (if applicable)	Name of Facility:
	<input type="checkbox"/> New construction	\$	\$	Type of Facility [Check <u>only one</u> box.] <input type="checkbox"/> Permanent housing <input type="checkbox"/> Short-term Shelter or Transitional housing <input type="checkbox"/> Supportive services only facility
	<input type="checkbox"/> Rehabilitation	\$	\$	
	<input type="checkbox"/> Acquisition	\$	\$	
	<input type="checkbox"/> Operating	\$	\$	
a.	Purchase/lease of property:		Date (mm/dd/yy):	
b.	Rehabilitation/Construction Dates:		Date started: _____ Date Completed: _____	
c.	Operation dates:		Date residents began to occupy: <input type="checkbox"/> Not yet occupied	
d.	Date supportive services began:		Date started: <input type="checkbox"/> Not yet providing services	
e.	Number of units in the facility:		HOPWA-funded units = _____ Total Units = _____	
f.	Is a waiting list maintained for the facility?		<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, number of participants on the list at the end of operating year	
g.	What is the address of the facility (if different from business address)?			
h.	Is the address of the project site confidential?		<input type="checkbox"/> Yes, protect information; do not publish list <input type="checkbox"/> No, can be made available to the public	

2b. Number and Type of HOPWA Capital Development Project Units (For Current or Past Capital Development Projects that receive HOPWA Operating Costs this Reporting Year)

For units entered above in 2a. please list the number of HOPWA units that fulfill the following criteria:

	Number Designated for the Chronically Homeless	Number Designated to Assist the Homeless	Number Energy-Star Compliant	Number 504 Accessible
Rental units constructed (new) and/or acquired with or without rehab				
Rental units rehabbed				
Homeownership units constructed (if approved)				

3. Units Assisted in Types of Housing Facility/Units Leased by Project Sponsor or Subrecipient

Charts 3a., 3b. and 4 are required for each facility. In Charts 3a. and 3b., indicate the type and number of housing units in the facility, including master leased units, project-based or other scattered site units leased by the organization, categorized by the number of bedrooms per unit.

Note: The number units may not equal the total number of households served.

Please complete separate charts for each housing facility assisted. Scattered site units may be grouped together.

3a. Check one only

- Permanent Supportive Housing Facility/Units
- Short-term Shelter or Transitional Supportive Housing Facility/Units

3b. Type of Facility

Complete the following Chart for all facilities leased, master leased, project-based, or operated with HOPWA funds during the reporting year.

Name of Project Sponsor/Agency Operating the Facility/Leased Units:

Type of housing facility operated by the project sponsor/subrecipient		Total Number of Units in use during the Operating Year Categorized by the Number of Bedrooms per Units				
		SRO/Studio/0 bdrm	1 bdrm	2 bdrm	3 bdrm	4 bdrm
a.	Single room occupancy dwelling					
b.	Community residence					
c.	Project-based rental assistance units or leased units					
d.	Other housing facility Specify:					

4. Households and Housing Expenditures

Enter the total number of households served and the amount of HOPWA funds expended by the project sponsor/subrecipient on subsidies for housing involving the use of facilities, master leased units, project based or other scattered site units leased by the organization.

Housing Assistance Category: Facility Based Housing		Output: Number of Households	Output: Total HOPWA Funds Expended during Operating Year by Project Sponsor/subrecipient
a.	Leasing Costs		
b.	Operating Costs		
c.	Project-Based Rental Assistance (PBRA) or other leased units		
d.	Other Activity (if approved in grant agreement) Specify:		
e.	Adjustment to eliminate duplication (subtract)		
f.	TOTAL Facility-Based Housing Assistance (Sum Rows a. through d. minus Row e.)		

ATTACHMENT A (J 1) 2016/2017

Grantee and Community Overview.

During the 2016/2017 federal fiscal year, the Department of Housing provided funding to communities in the Balance of State - Litchfield, Middlesex, and New London counties.

The department allocated HOPWA funding to the following non-profit community service sponsor agencies:

Columbus House, Inc. is a non-profit human service organization that assists those that are homeless or at risk of becoming homeless. Columbus House provided HOPWA services to clients living in Middlesex and New London County. During the contract year, Columbus House/Middlesex Family Services provided 8 tenant based rental assistance units in Middlesex county and 3 tenant based rental assistance units in New London County. 11 families in Middlesex and New London counties received short term rent, mortgage and utility assistance in order to remain in stabilized housing.

Alliance for Living, Inc. - Alliance for Living is the only HIV/AIDS service organization and resource center in southeastern Connecticut. They are a group of dedicated professionals who are passionate about helping people living with HIV/AIDS in our community. The existing housing units are located in New London, Norwich, Groton, Ledyard, Mystic, Taftville, Lisbon and Jewett City. Alliance for Living has provided supportive housing services beginning with a subsidy program in 1998.

The services they provide include the following:

- **Case Management:** Case Managers assist clients in coordinating services to address their individual needs. This includes medical, dental, and legal referrals; financial (entitlement program) help; transportation; prescription assistance; nutritional supplements, education seminars and assistance with employment.
- **Housing:** Specifically, Alliance for Living provides permanent housing through tenant based rental assistance portion and short term assistance through STRMU. The goal of this program is to stabilize the client's housing and empower them to secure permanent, affordable housing.
- **Medication Adherence Programs:** This program is designed to assist members in maintaining their medication regimens. A registered nurse works with clients to address any issue they are having with their medication or overall health.
- **Medical Nutrition Therapy:** Designed to assess, evaluate and treat nutritional issues clients are experiencing, a Registered Dietician conducts a nutritional assessment and designs a nutritional intervention. This program works in collaboration with the Medical Case Management team and the Medication Adherence Nurse.

Attachment B (Appendix J 2)

Annual Performance under the Action Plan

1. Outputs Reported:

The original goal was to serve 21 individuals and/or families in Middlesex and Litchfield counties. The actual number of individuals and families which benefited from TBRA dollars was 26. The original goal was to serve 5 individuals and families with STRMU subsidies and 10 consumers benefit from this assistance in New London and Middlesex County.

2. Outcomes Assessed:

Both programs met all goals to provide Tenant Based Rental Assistance and Short Term Rent Mortgage and Utility Assistance. Program participants were housed and were provided support services through individual case management. Short Term Rental and Utility Assistance were also provided to individuals.

3. Coordination:

Program coordination and/or leveraging of funding sources were provided by the State of Connecticut Department of Social Services, Department of Mental Health and Addiction Services, Department of Housing, Middlesex and New London County Housing Authorities, Community Health Centers and various other local community resources.

4. Technical Assistance:

No technical assistance is required at this time.

Attachment C (Appendix J 3)

C. Barriers and Trends Overview

1. Barriers:

Housing affordability, housing availability, and history of evictions tend to be common barriers to successfully housing individuals /families. Other barriers in the more rural areas were lack of transportation and affordability of a taxicab/transportation to medical appointments.

Affordable rents are difficult to find particularly if they are looking for safe and desirable neighborhoods. Careful negotiation with landlords and leveraging of funds help to bridge the gap and make the rent much more reasonable for the program participant.

It was reported that there were difficulties with tenants that struggle with attending medical appointments and with medication adherence. Medical case managers are now meeting regularly with these individuals and housing staff.

2. Trends:

Participation in the statewide Coordinated Access Network continues to be helpful for emergency housing assessments. The goal is to provide permanent supportive housing. Diversion from shelters and housing assessments enable case managers to provide appropriate supports to the neediest families.

Other trends that have been documented are the availability of safe, affordable and accessible housing.

3. Identify HOPWA evaluations/studies

There are currently no evaluations or studies available.

Appendix K.

Key	
ADDI	American Down Payment & Dream Initiative
C&D	Clearance & Demolition
CHDO	Community Housing Development Organization
CR	Commercial Rehabilitation
DPA	Down Payment Assistance
E	Elderly
ED	Economic Development
E.S.	Energy Star
FFY	Federal Fiscal Year
FY	Fund Year / Fiscal Year
HA	Housing Authority
HC	Housing Counseling
HO	Home Owner
HR	Homeowner Rehabilitation
L/M	Low & Moderate-Income
MF	Multi-Family
NC	New Construction
NRZ	Neighborhood Revitalization Zone
PF	Public Facility
PF/ADA	Public Facility/ American Disability Act
PFI	Public Facility Infrastructure
PH/Mod	Public Housing Modernization
PS	Public Services
PY	Program Year
R	Rental
Rehab.	Rehabilitation
S&B	Slum and Blight
UOM	Unit of Measure
Acronyms	
CHFA	Connecticut Housing Finance Authority
DOH	Department of Housing, State of Connecticut
DECD	Department of Economic and Community Development, State of Connecticut
DSS	Department of Social Services, State of Connecticut
OFR	Office of Financial Review
OFA	Office of Finance and Administration
OHCD	Office of Housing & Community Development