

**CONNECTICUT STATE DEPARTMENT OF EDUCATION
BUREAU OF HEALTH/NUTRITION, FAMILY SERVICES
AND ADULT EDUCATION**

**Stefan Pryor
Commissioner of Education**

Request for Proposals (RFP)

**In-Classroom School Breakfast Pilot Program
2011-12**

PURPOSE: To provide competitive grants-in-aid to state charter schools, local and regional boards of education, private schools, regional education service centers and endowed academies for the purpose of assisting up to 10 severe need schools, as defined by federal law governing school nutrition programs, to establish in-classroom school breakfast programs, under Connecticut General Statute (C.G.S.) 10-215g.

RFP #997

January 2012

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In-Classroom School Breakfast Pilot Program

Grant Application Packet

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GENERAL INFORMATION

Purpose

The Connecticut State Department of Education (CSDE) is prioritizing funding commitments to schools that can demonstrate the need and capability to increase the number of students receiving nutritious school breakfasts.

Grant Period

School Year 2011-12: September 1, 2011-June 30, 2012. All funds must be obligated by June 30, 2012. There are no exceptions to this requirement.

Eligible Applicants

All severe need eligible schools, not previously awarded, are eligible for these grant funds to establish an in-classroom breakfast program. Severe need eligible schools are defined by federal regulations governing school nutrition programs (Code of Federal Regulations [CFR] 7 Section 220.9[d]) based on the following criteria:

At least 40 percent of students at the school in the second preceding school year (school year 2009-10) were eligible for free or reduced priced meals. Schools that did not serve lunches in the second preceding year may be eligible if a three month sample of lunch counts for the school demonstrates the 40 percent criteria.

State charter schools, local and regional boards of education, nonprofit/nonpublic schools, regional educational service centers (RESCs) and endowed academies may apply for funding. Districts and RESCs that are the owners of interdistrict magnet school facilities may include those magnet schools in their applications. Each entity must submit separate applications for each severe need school under its jurisdiction that wishes to establish an in-classroom breakfast program.

Grant Contact

Jackie Schipke 860-807-2123 or by e-mail at: jackie.schipke@ct.gov.

Funding

A total of \$22,000 is available for grants to be awarded to up to 7 severe need schools with a range of \$2,000 to \$10,000 for each grant.

The CSDE reserves the right to make grant awards under this program without discussion with the applicants; therefore, proposals should represent the applicant's best effort to ensure a quality proposal from both a technical and cost standpoint. All awards are subject to the availability of state funds. Grants are not final until the award letters are executed. The level of funding and effective dates of the project will be set forth in the notification of the grant award.

Overall Use of Funds

Funds must be used to implement, maintain and support operational and administrative costs related to the operation of an in-classroom school breakfast program for students. These in-classroom breakfast program funds must focus on increasing participation in the school breakfast program. These funds cannot be used for construction projects submitted to the state for reimbursement pursuant to C.G.S. Chapter 173 or to supplant other state or federal funds.

General Proposal Requirements

The CSDE will evaluate proposals from applicants that can document the need, capability and a cost effective approach to expand school breakfast participation through the operation of an in-classroom breakfast program in severe need eligible schools. The proposals will be evaluated based on the following factors:

1. The specific objectives and description of the program.
2. The cost of the proposed program.
3. The number of children who will benefit from the proposed program.
4. Whether the proposed program is likely to increase the number of students receiving nutritious school breakfasts.
5. Adequate counting and claiming procedures that will assure compliance with all federal regulations.

Project Reporting

Each grant recipient must submit a summative evaluation report, which will include staff and student response to the funded project. This report will be in a format specified by the CSDE. Additional information will follow. The final project report will be e-mailed to jackie.schipke@ct.gov on or before Friday, July 27, 2012.

Application Deadline

The original proposal must bear an original signature of the authorized representative of the applicant. An original signature must also be included on the Standard Statement of Assurances and the Affirmative Action Packet, which are components of all proposals.

Proposals (original and three copies), IRRESPECTIVE OF POSTMARK DATE AND MEANS OF TRANSMITTAL, must be received by 4:30 p.m. on Tuesday, February 14, 2012. Extensions will not be given.

Applications may be mailed or hand delivered to:

Jackie Schipke, Associate Consultant
Bureau of Health/Nutrition, Family Services and Adult Education
Connecticut State Department of Education
25 Industrial Park Road
Middletown, CT 06457

APPLICATION PREPARATION INSTRUCTIONS

Submit the following:

1. Cover Page: A model for the format and content of the cover page is provided (see Appendix A) with original signature.
2. Grant Proposal: Using the format provided (see Appendix B), provide a concise plan for the in-classroom breakfast program that:
 - a. includes specific objectives and description of the proposed program;
 - b. provides a sufficient budget that describes the full cost of the program;
 - c. projects the number of children who will benefit from the program;
 - d. describes the ability of the program to increase the number of students receiving nutritious school breakfasts; and
 - e. describes adequate counting and claiming procedures that will assure compliance with all federal regulations.The grant proposal must be typed, double-spaced and on one side only of standard size sheets of paper. The proposal should be no longer than two pages, excluding required attachments.
3. Budget: Submit ED114 and budget narrative (see Appendices C-D). The budget narrative should reflect current market prices and the sources of those prices.
4. Assurances: Signed affirmative action certification and assurances must be included with the application (see Appendices F-G).

A team of evaluators will review each submitted proposal based on criteria in the grant requirements section of this document. See Appendix E (page 11 of this document) to review the rating form which will be used by the grant readers.

APPLICATION REQUIREMENTS

Obligations of Grantees

All bidders are hereby notified that the grant to be awarded is subject to contract compliance requirements as set forth in C.G.S. Sections 4a-60 and 4a-60a and Sections 4a-68j-1 et seq. of the Regulations of Connecticut State Agencies.

Furthermore, the grantee must submit periodic reports of its employment and subcontracting practices in such form, in such manner and at such time as may be prescribed by the Commission on Human Rights and Opportunities.

Affirmative Action

In accordance with the regulations established by the Commission on Human Rights and Opportunities, each applicant is required to complete the Affirmative Action Certification, (Appendix F).

Assurances

Each application must include a Statement of Assurances undersigned by the authorized official of the district (see Appendix G).

Each application must clearly indicate that a viable program of services will be operating at each project site for at least a 16-week period between September and June of this school year.

Freedom of Information Act

All of the information contained in a proposal submitted in response to this RFP is subject to the provisions of the Freedom of Information Act (FOIA), C.G.S. Section 1-200 et seq. The FOIA declares that except as provided by federal law or state statute, records maintained or kept on file by any public agency (as defined in the statute) are public records and every person has the right to inspect such records and receive a copy of such records.

Management Control of the Program and Grant Consultation Role of CSDE Personnel

The grantee must have complete management control of this grant. While CSDE staff may be consulted for their expertise, they will not be directly responsible for the selection of sub-grantees or vendors, nor will they be directly involved in the expenditure and payment of funds.

Appendix A
RFP #997
C.G.S. 10-215g
January 2012

FOR STATE USE ONLY

PROJECT NUMBER: _____

PERCENTAGE F&R: _____

COVER PAGE

*Connecticut State Department of Education
Bureau of Health/Nutrition, Family Services and Adult Education*

APPLICANT AGENCY:

Name:

Address:

Phone:

Name of School(s) where program will operate:

AGENCY GRANT CONTACT PERSON:

Name:

Title:

Address:

Phone:

E-mail:

I, _____, the undersigned authorized chief administrative official of this agency, submit this application on behalf of the participating agency, attest to the appropriateness and accuracy of the information contained herein, and certify that this application, if funded, will comply with all relevant requirements of C.G.S. 10-215g (inclusive) and that the Statement of Assurances and all other assurances made herein will be fully implemented.

Signature: _____ Title: _____

Name (Typed): _____ Date: _____

Agency: _____

Appendix B

In-Classroom Breakfast Pilot Grant Proposal

Applicant Agency: _____

School: _____ Grade Levels: _____

Funds Requested: _____ Number of Students to Benefit: _____

Potential Increase in Breakfast Participation: _____

Planned Start Date of In-Classroom Program: _____

Does this school currently participate in the School Breakfast Program? _____

If yes, describe the current method of meal service: _____

Description of Proposed Program

Specific Objectives and Description of the Proposed In-Classroom Breakfast Program:

Explanation of the Full Cost of the Proposed In-Classroom Breakfast Program:

Description of Activities which are Related to Increasing the Number of Children Who Will Benefit from the Proposed In-Classroom Breakfast Program:

Description of the Ability of the Proposed In-Classroom Breakfast Program to Increase the Number of Students Receiving Nutritious Breakfasts:

Detailed description of Counting and Claiming Procedures that will Assure Compliance with all Federal Regulations:

Appendix C

BUDGET FORM

ED 114 FISCAL Year 2012

GRANT <input checked="" type="checkbox"/> CONTRACT <input type="checkbox"/>		
GRANTEE NAME:		TOWN CODE:
GRANT TITLE: <i>In-Classroom School Breakfast Pilot Program</i>		
PROJECT TITLE:		
CORE-CT CLASSIFICATION: FUND: 11000 SPID: 17046 PROGRAM: 82079		
BUDGET REFERENCE: 2012 CHARTFIELD1: 170003		
GRANT PERIOD: 9/1/11-6/30/12		AUTHORIZED AMOUNT:
CODES	DESCRIPTIONS	BUDGET
112A	EDUCATION AIDES	
112B	CLERICAL	
119	OTHER	
200	PERSONAL SERVICES EMPLOYEE BENEFITS	
325	PARENT ACTIVITIES	
590	OTHER PURCHASED SERVICES	
611	INSTRUCTIONAL SUPPLIES	
690	OTHER SUPPLIES	
700	PROPERTY/EQUIPMENT ONLY	
	TOTAL	

_____ Original request date
 _____ Revised request date

 State Department of Education
 Program Manager Authorization

 Date of
 Approval

Budget Object Codes

This list is a description of the codes in the budget. The list is provided to help you in designing your budget for the program.

- 112A **Education Aides.** Salaries for grantee employees who assist staff in providing program services. Include all gross salaries for these individuals while they are on the grantee payroll including overtime salaries or salaries of temporary employees.
- 112B **Clerical.** Salaries for grantee employees performing clerical/secretarial services. Include all gross salaries for these individuals while they are on the grantee payroll including overtime salaries or salaries of temporary employees.
- 119 **Other.** Salaries for any other grantee employee not fitting into objects 112A or 112B. Include the gross salaries for these individuals including overtime salaries or temporary employees. Included can be janitorial personnel costs, grant activity coordinators' salaries, and food service personnel.
- 200 **Personal Services - Employee Benefits.** Amounts paid by the grantee on behalf of the employees whose salaries are reported in objects 112A, 112B or 119. These amounts are not included in the gross salary, but are in addition to that amount. Such payments are fringe benefit payments and, while not paid directly to employees, nevertheless are part of the cost of personal services. Included is the employer's cost of group insurance, social security contribution, retirement contribution, tuition reimbursement, unemployment compensation and worker's compensation.
- 325 **Parental Activities.** Expenditures related to services for parenting including workshop presenters, counseling services, baby-sitting services and overall seminar/workshop costs.
- 590 **Other Purchased Services.** All other payments for services rendered by organization or personnel not on the grantee payroll. These include: insurance costs (other than employee benefits) - payments for all types of insurance coverage including property, liability and fidelity; and printing and binding - publication costs, and advertisement - any expenditures for announcements in professional publications, newspapers or broadcasts over radio or television including personnel recruitment, legal ads, and the purchase and sale of property.
- 611 **Instructional Supplies.** Expenditures for consumable items purchased for instructional use.
- 690 **Other Supplies.** Allowable expenditures for any other supply which is not instructional or administrative in nature. This category would include maintenance supplies, heating supplies, and transportation supplies.
- 700 **Property.** Expenditures for acquiring fixed assets, including land or existing buildings, improvements of grounds, initial equipment, additional equipment and replacement of equipment. For most grants only equipment such as computers, duplicating machines,

furniture, and fixtures is allowable and the line item description on the budget will read Property/Equipment only. Other items which could be included in this category if allowable under grant legislation are expenditures for the acquisition but not the rental of buildings and land. Although cost of materials which resulted in a new or vastly improved structure would also be included here, the expenditures for the contracted construction of buildings, for permanent structural alterations, and for the initial or additional installation of heating and ventilating systems, fire protection systems, and other service systems in existing buildings are recorded under object 400 - Purchased Property Services. In accordance with the Connecticut State Comptroller's definition of equipment, included in this category are all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value over \$1,000 and the useful life of more than one year.

Appendix D

Budget Narrative

Applicant Agency: _____

In-Classroom Breakfast Pilot Program

BUDGET CODE	BUDGET NARRATIVE

BUDGET NARRATIVE DIRECTIONS:

Provide a detailed description of the proposed use of funds for each line item amount listed on the budget page. Wherever applicable, cite local policy and/or contractual basis for amounts requested. Example:

BUDGET CODE	BUDGET NARRATIVE
119	Salary amount projected at the first step of the Food Service Aide’s salary range as established in the local education agency’s collective bargaining agreement.
690	Estimated cost for 24 coolers to deliver breakfasts to classrooms in ABC Elementary School.

Please respond to this task with as much detail as possible in order to avoid requests for more information, which may delay the granting process.

Application #: _____
TOTAL SCORE: _____

Appendix E

Scorer _____

In-Classroom School Breakfast Pilot Program

Rating Review Form

Applicant: _____

Reader Instructions: Give the proposal a score that best reflects its attributes in each category. Add scores for all the sections and record the total score in the "Total Score" box above. The total maximum score is 100.

Objectives	EXCELLENT 30 points	GOOD 20 points	MARGINAL 10 points	INADEQUATE 0 points
The specific objectives are responsive to establishing an in-classroom breakfast program and promoting the school breakfast program.				
Budget	EXCELLENT 15 points	GOOD 10 points	MARGINAL 5 points	INADEQUATE 0 points
The proposed budget provides sufficient support for success, is cost effective and appears reasonable.				
Program Description	EXCELLENT 30 points	GOOD 20 points	MARGINAL 10 points	INADEQUATE 0 points
The proposal clearly provides a description of activities that are related to increasing the number of children who will benefit from the proposed in-classroom breakfast program.				
Program Expansion	EXCELLENT 15 points	GOOD 10 points	MARGINAL 5 points	INADEQUATE 0 points
The program plan will increase the ability of the program to increase the number of students receiving nutritious breakfasts.				
Counting and Claiming	ADEQUATE 10 points			INADEQUATE 0 points
There are adequate counting and claiming procedures that will assure compliance with all federal regulations.				

Note any specific strengths or weaknesses of this proposal that should be considered in the final evaluation.

Strengths:

Weaknesses:

Note: This page is informational for the applicant. This form will be used in the scoring of the grant applications.

Appendix F

***CERTIFICATION THAT A CURRENT
AFFIRMATIVE ACTION PLAN IS ON FILE***

I, the undersigned authorized official, hereby certify that the current affirmative action packet for

_____ is on file with the Connecticut State
[local school district name]

Department of Education. The Affirmative Action Plan is, by reference, part of this application.

Name of Authorized Official

Title

Signature of Authorized Official

Date

Appendix G

CONNECTICUT STATE DEPARTMENT OF EDUCATION
STANDARD STATEMENT OF ASSURANCES
GRANT PROGRAMS

PROJECT TITLE: **In-Classroom School Breakfast Pilot Program**
September 1, 2011-June 30, 2012

THE APPLICANT _____ HEREBY ASSURES THAT:
(Insert Agency/School Name)

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- B. The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- D. The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the Connecticut State Board of Education and the Connecticut State Department of Education;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the Connecticut State Department of Education, including information relating to the project records and access thereto as the Connecticut State Department of Education may find necessary;
- H. The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- I. If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the Connecticut State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for at the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the Connecticut State Department of Education any moneys not expended in accordance with the approved program/operation budget as determined by the audit;

- K. The grant award is subject to approval of the Connecticut State Department of Education and availability of state or federal funds.
- L. The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.
- M. **Required Language:**
- 1) For purposes of this section, "Commission" means the Commission on Human Rights and Opportunities.
For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials 51 percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (a) who are active in the daily affairs of the enterprise; (b) who have the power to direct the management and policies of the enterprise; and (c) who are members of a minority, as such term is defined in subsection (a) of C.G.S. Section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.
For purposes of this section, "sexual orientation" means having a preference for heterosexuality, homosexuality or bisexuality, having a history of such preference or being identified with such preference, but excludes any behavior which constitutes a violation of part VI of chapter 952 of the general statutes.
 - 2) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. If the contract is for a public works project, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such project. The contractor further agrees to take affirmative action to insure that applicants with job related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved; (b) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "Affirmative Action-Equal Opportunity Employer" in accordance with regulations adopted by the commission; (c) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or worker's representative of the contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (d) the contractor agrees to comply with each provision of this section and C.G.S. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive, and with each regulation or relevant order issued by said Commission pursuant to sections; (e) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56.

- 3) Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- 4) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the commission, of its good faith efforts.
- 5) The contractor shall include the provisions of subsection (2) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with this section and C.G.S. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive; provided if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.
- 6) The contractor agrees to comply with the regulations referred to in this section as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.
- 7) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any matter prohibited by the laws of the United States or of the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (b) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (c) the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56 of the general statutes; and (d) the contractor agrees to provide the commission on human rights and opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56 of the general statutes.
- 8) The contractor shall include the provisions of subsection (7) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56 of the general statutes; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

I, the undersigned authorized official; hereby certify that these assurances shall be fully implemented.

Signature

Name (typed)

Title (typed)

Date

Rev. 8/06