CONNECTICUT STATE DEPARTMENT OF EDUCATION Academic Office

Career and Technical Education



CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT OF 2006 20 U.S.C. §2301 et seq.

CAREER AND TECHNICAL EDUCATION POSTSECONDARY SUPPLEMENTAL

2018

Purpose: The Postsecondary Supplemental Enhancement Grant is for colleges to design, implement and share a model for nontraditional student recruitment and retention

Applications Due: June 15, 2018
Published: May 15, 2018

RFP #819

CONNECTICUT STATE DEPARTMENT OF EDUCATION

Dianna R. Wentzell Commissioner of Education

The Connecticut State Department of Education is committed to a policy of equal opportunity/affirmative action for all qualified persons. The Connecticut State Department of Education does not discriminate in any employment practice, education program, or educational activity on the basis of race, color, religious creed, sex, age, national origin, ancestry, marital status, sexual orientation, gender identity or expression, disability (including, but not limited to, intellectual disability, past or present history of mental disorder, physical disability or learning disability), genetic information, or any other basis prohibited by Connecticut state and/or federal nondiscrimination laws. The Connecticut State Department of Education does not unlawfully discriminate in employment and licensing against qualified persons with a prior criminal conviction. Inquiries regarding the Connecticut State Department of Education's nondiscrimination policies should be directed to: Levy Gillespie, Equal Employment Opportunity Director/Americans with Disabilities Act Coordinator, Connecticut State Department of Education, 450 Columbus Boulevard, Suite 607, Hartford, CT 06103-1841, 860-807-2071, Levy, Gillespie@ct.gov.

AN EQUAL OPPORTUNITY/AFFIRMATIVE ACTION EMPLOYER

POSTSECONDARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION 2018 TABLE OF CONTENTS

GRANT	BACKGROUND INFORMATION	Page
I.	Overview	iv
II.	Purpose of the Act	iv
III.	Purpose of the Postsecondary Supplemental Enhancement Grant	iv
IV.	Postsecondary Supplemental Enhancement Grant Eligible Participants	v
V.	Program Standards and Accountability	v
VI.	Available Competitive Funding	V
VII.	Eligibility Requirements	vi
VIII.	Management Control of the Program and Grant Calculation Role of the State	vi
IX.	Grant Awards	vi
X.	Grant Period	vi
XI.	Technical Assistance by Cluster/Pathways	vi
XII.	Obligation of Grant Recipients	vii
XIII.	End-of-Year Reports	vii
XIV.	Application Submission Requirements	vii
POSTSE	CONDARY SUPPLEMENTAL ENHANCEMENT GRANT EXAMPLES	
	2018 Postsecondary Supplemental Enhancement Grant	viii
	Career and Technical Education Supplemental Enhancement Grant Description	ix
GRANT	APPLICATION PACKET	
	Postsecondary Supplemental Enhancement Grant Application Checklist	2
	Grant Cover Page	3
	Plan Summary	4
	Local Plan Narrative	5
	Supplemental Enhancement Grant Sustainability Plan	6
	Instructions for ED114 Budget Form	7
	ED114 Fiscal Year 2018 Budget Form Funding Status	8
	ED114 Budget Form Object Code Descriptions and Budget Narrative Instructions	9
	ED114 Budget Form Object Code Descriptions and Budget Narrative	10
	Instructions for Equipment Request Form	18
	Postsecondary Equipment Request Form	19
	Local Uses of Funds	20
	Carl D. Perkins CTE Improvement Act Assurances	22
	Statement of Assurances	24
	Certification Regarding Debarment, Suspension, Ineligibility and Voluntary	28
	Exclusion – Lower Tier Covered Transactions	
	APPENDIX A: Postsecondary Supplemental Enhancement Grant Proposal Scoring Rubric	29
	APPENDIX B: Annie E. Casev Foundation	35

Carl D. Perkins Career and Technical Education Improvement Act of 2006 **20 U.S.C. §2301 et seq.**

POSTSECONDARY SUPPLEMENTAL ENHANCEMENT APPLICATION

I. Overview

Signed into law on August 12, 2006, the Carl D. Perkins Career and Technical Education Improvement Act (Perkins IV) sets a new vision of career and technical education (CTE) for the 21st century. Perkins IV promotes reform, innovation and continuous improvement in CTE to ensure that students acquire the skills and knowledge they need to meet challenging state academic standards and industry-recognized skill standards. It prepares students for postsecondary education, further learning and a wide range of opportunities in high-skill, high-wage or high-demand occupations in emerging professions.

Perkins IV supports the alignment of CTE with state and local efforts to reform postsecondary schools and improve postsecondary education. The implementation of Perkins IV promises to make CTE programs an integral part of these efforts.

II. Purpose of the Act

The purpose of Perkins IV is to further develop the academic, career and technical skills of secondary students and postsecondary students who elect to enroll in CTE programs by:

- building on the efforts of states and localities to develop challenging academic and technical standards within career pathways aligned with state economic projections;
- assisting students in meeting standards, including preparation for high-skill, high-wage or high-demand occupations in current or emerging professions;
- promoting the development of services and activities that integrate rigorous and challenging academic and career and technical instruction and link secondary and postsecondary education for participating students;
- increasing state and local flexibility in providing services and activities designed to develop, implement and improve linkages between CTE and postsecondary options, including College Career Pathways;
- conducting and disseminating national research, providing professional development and disseminating information on best practices that improve CTE programs, services and activities;
- supporting partnerships among postsecondary schools, postsecondary institutions, baccalaureatedegree-granting institutions and business and industry;
- providing technical assistance that promotes leadership, initial preparation and professional development and improves the quality of CTE teachers, administrators and counselors; and
- providing individuals with opportunities throughout their lifetimes to develop, in conjunction with other education and training programs, the knowledge and skills needed to keep the United States competitive.

In accordance with this purpose, Perkins IV funding will be utilized for students in secondary and postsecondary programs only.

III. Purpose of the Postsecondary Supplemental Enhancement Grant

The Perkins IV legislation allows states to distribute a portion of the local funds (legislative reference P.L. 109-270 Sec. 112) to achieve an equitable balance of funding in the state. The supplemental enhancement concept has been created to balance the needs of colleges statewide through

supplemental Perkins IV funding. Only those colleges having received Perkins funds during the 2017-18 school year may apply for the Postsecondary Supplemental Enhancement Grant.

IV. Eligible Postsecondary Supplemental Enhancement Grant Participants

Eligible recipients must:

- hold an approved local five-year Perkins Postsecondary Plan to be eligible to apply for the 2018
 Postsecondary Supplemental Enhancement Grant; and
 - o have a high percentage and high number of career and technical students (at least 40 percent, unduplicated CTE enrollment on October 1, 2017);

 $\cap \mathbb{R}$

be located in a rural area as listed in table below.

Table of Rural Towns in Connecticut*

Andover	Deep River	Lyme	Salisbury
Ashford	Durham	Marlborough	Scotland
Barkhamsted	Eastford	Middlebury	Sharon
Bethany	East Granby	Middlefield	Sherman
Bethlehem	East Haddam	Morris	Sprague
Bolton	Easton	New Hartford	Sterling
Bozrah	Franklin	Norfolk	Thompson
Bridgewater	Goshen	North Canaan	Union
Brooklyn	Haddam	North Stonington	Voluntown
Burlington	Hampton	Old Lyme	Warren
Canaan	Hartland	Pomfret	Washington
Canterbury	Harwinton	Portland	Westbrook
Chaplin	Hebron	Preston	Willington
Chester	Kent	Putnam	Woodbridge
Colebrook	Lebanon	Redding	Woodbury
Columbia	Lisbon	Roxbury	Woodstock
Cornwall	Litchfield	Salem	

^{*}From http://www.ruralhealthct.org/towns.htm

V. Program Standards and Accountability

The postsecondary supplemental enhancement grant must be designed to improve performance levels on the following core indicators in order to meet federally negotiated targets:

- nontraditional participation; and
- nontraditional completion.

VI. Available Competitive Funding

Selected postsecondary eligible recipients will be awarded a minimum of \$40,000 up to a maximum of \$60,000. See obligation and liquidation dates on page 3, Section X. This funding will be made available contingent upon the availability of Carl D. Perkins grant funding from the U.S. Department of Education. A sustainability plan must be developed and submitted with the grant application showing how grant initiatives will continue local funding. Also, please note that funding must be used for students participating in CTE courses in **postsecondary CTE programs**.

VII. Eligibility Requirements

This is a competitive grant and is not an entitlement. The following must be met in order for a college to be eligible to apply for funding:

- size, scope and quality consistent with the approved local five-year Perkins Postsecondary Plan must be adhered to and administered under all projects funded by the Supplemental Enhancement Grant:
- work with Connecticut Women's Education and Legal Fund (CWEALF) to create best practices model(s):
- share best practices with all community colleges; and,
- provide professional development for all community colleges on the developed nontraditional participation and completion model.

VIII. Management Control of the Program and Grant Consultation Role of the State

The grantee has overall management control of the grant. While state agency staff may be consulted for their expertise, they will not be directly responsible for the selection of subgrantees or vendors, nor will they be directly involved in the expenditure and payment of funds obligated by the grantee or subgrantee.

IX. Grant Awards

The Connecticut State Department of Education (CSDE) reserves the right to make grant and contract awards under this program without discussion with the applicants; therefore, proposals should represent the applicant's best effort to ensure a quality proposal from both a technical and cost standpoint. All awards are subject to the availability of federal funds. Grants are not final until the award letter is executed. The manager of the CTE unit will issue notification of the grant award. The level of funding and effective dates of the project will be set forth in the notification of the grant award.

X. Grant Period

Grants will be awarded on or after May 15, 2018. All funds must be expended or obligated by September 30, 2018, and liquidated by November 30, 2018. There are no exceptions or waivers to this requirement.

XI. Technical Assistance by Cluster/Pathway

Contact the CSDE cluster leader on the next page for content-specific information on career pathways and application to the specific project area.

Harold Mackin (State Director Career Technical Education)	 Agriculture, Food and Natural Resources Agricultural Science Architecture and Construction Arts, Audio/Video Technology and Communications Engineering Manufacturing Science, Technology, Engineering and Mathematics (STEM) Transportation, Distribution and Logistics Family and Consumer Sciences Education and Training Human Services 	860-713-6779 harold.mackin@ct.gov
Suzanne Loud	 Business, Management and Administration Finance Information Technology Marketing Education, Sales and Services Cooperative Work Education/Diversified Occupations Health Sciences Sciences, Medical Careers (Biomedical) 	860-713-6746 suzanne.loud@ct.gov

XII. Obligation of Grant Recipients

Recipients of this competitive grant will be required to work with the Connecticut Women's Education and Legal Fund (CWEALF) until completion of grant. Each grantee will be expected to participate in one site visit with CWEALF, attend two in-person communities of practice trainings, participate in monthly conference calls with fellow grantees, track patterns of performance across nontraditional student data, and provide technical assistance and/or participate in statewide workshops or conferences for other community colleges requesting help in developing replicable programs.

XIII. End-of-Year Reports

Grant recipients will be required to complete an end-of-year report. An evaluation of progress may include on-site reviews.

XIV. Application Submission Requirements

Proposals, *irrespective of means of transmittal or postmark date*, must be received by 3 p.m. on Friday, June 15, 2018. The application is available on the CSDE Web site under QuickLinks "Request for Proposals." Submitted proposals become the property of the CSDE and a part of the public domain. **One** grant application with original signatures and **one** hard copy of all sections of the grant, including the ED114 and budget narrative, must be sent to:

Mailing Address

Suzanne Loud Connecticut State Department of Education Academic Office P.O. Box 2219 Hartford, CT 06145-2219

Delivery Address

Suzanne Loud Connecticut State Department of Education Academic Office 450 Columbus Blvd., Suite 603 Hartford, CT 06103-1841

POSTSECONDARY Supplemental Enhancement Grant 2018

CAREER AND TECHNICAL EDUCATION SUPPLEMENTAL ENHANCEMENT ACT OVERVIEW

Program Title:

Career and Technical Education Postsecondary Supplemental Enhancement Grant

Program Area:

All CSDE supported CTE Pathways

Funding Available:

Grant awards minimum of \$40,000 to a maximum of \$60,000

Purpose:

The Postsecondary Supplemental Enhancement Grant is for colleges to design, implement and share a model for nontraditional student placement and completion. Grant expenditures must meet the criteria set forth in the Carl D. Perkins Career and Technical Education Act of 2006 (Perkins).

College		
Conese		

Grant Application Packet

College Name	
--------------	--

POSTSECONDARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION CHECKLIST

Co	mmunity College:
	ter completing the grant application, fill out the checklist to ensure that all necessary information has en provided and submit with the application.
Pla	ace a check where information has been completed:
	All pages are sequentially numbered
	Grant Application Cover Sheet is completed and signed
	Plan Summary
	Plan Narrative
	Sustainability Plan
	ED114 Budget Form
	Instructions for Equipment Request Form
	Budget Narrative
Th	e following forms are completed and signed by the college president or designee:
	Carl D. Perkins Career and Technical Education Improvement Act Assurances; and
	Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions.

CONNECTICUT STATE DEPARTMENT OF EDUCATION Academic Office



CARL D. PERKINS – POSTSECONDARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION RFP #818

GRANT PERIOD

May 15, 2018, to September 30, 2018

GRANT COVER PAGE <u>To Be Completed and Submitted with the Grant Application</u>

Applicant (Fiscal Agent) Name:	Contact Name:	Program Funding Dates: From May 15, 2018, to September
ranc.	Tune.	30, 2018
Address:	Address:	Amount requested:
Talankan a	Talankana	\$
Telephone:	Telephone:	
E-mail:	E-mail:	
To be eligible for this grant the colle	ege must have at least 40% unduplica	ated CTE enrollment
reside in a rural area, (see page five	011	the appropriate box(es) below.
40% Unduplicated enrollment; T	otal High School enrollment	Unduplicated CTE enrollment
School resides in a rural area.		
I,		, the undersigned authorized
chief administrative official, submit appropriateness and accuracy of the		
will comply with all relevant require		
In addition, funds obtained through objectives as stated herein.	this source will be used solely to sup	port the purpose, goals, and
Signature of Authorized Administra	tive Official:	
Name (typed):	Date:	
Title of Signee		

College Name	
--------------	--

PLAN SUMMARY

Write a brief summary describing the purpose, objectives, strategies, and outcomes initiated through the Postsecondary Supplemental Enhancement Grant. The summary should include an analysis of performance data disaggregated by career clusters, gender and race/ethnicity and set data improvement goals for this Enhancement Grant.

College Name	
--------------	--

LOCAL PLAN NARRATIVE POSTSECONDARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION

When writing the local plan narrative, use the following guiding statements to address how the Postsecondary Supplemental Enhancement Grant funds will be utilized to: 1. Encourage nontraditional CTE students at the postsecondary level to enroll in and complete rigorous and challenging courses in core academic subjects. Share best practices and models regarding nontraditional placement and completion with other community colleges. Ensure that the supplemental enhancement plan is of sufficient size, scope and quality to bring about improvement in nontraditional CTE placement and completion. Develop a process to evaluate the effectiveness of the initiative. 5. Ensure that individuals who are members of special populations will not be discriminated against based on this status. Work with Connecticut Women's Education and Legal Defense Fund (CWEALF) to address the root causes that encourage or discourage students from pursuing certain pathways and/or continuing in the pathway. Consider additional current potential program gaps based on education, family, career information, internal individual, and societal issue root causes. Tool available here: https://www.napequity.org/root/.

College Name	
--------------	--

POSTSECONDARY SUPPLEMENTAL ENHANCEMENT GRANT APPLICATION SUSTAINABILITY PLAN

Please use the space below for your sustainability plan. (Explain how the model will be modified, improved and maintained after the grant funding has expired.)

INSTRUCTIONS FOR ED114 BUDGET FORM

GRANTEE NAME: Enter grantee name.

REQUESTED AMOUNT: Enter total amount of grant allotment.

BUDGET: Enter amount of proposed expenditures on appropriate object code lines.

Note: Round all amounts to the nearest whole dollar.

TOTAL: Enter the total of proposed expenditures. Note: This figure should equal

the AUTHORIZED AMOUNT.

EQUIPMENT NOTE: Funds expended for Code 700. Single items under \$5,000 should not be

charged to equipment unless they are an integral part of a larger piece of equipment. Items under \$5,000 should be listed as instructional supplies.

COMPUTERS REGARDLESS OF COST ARE CONSIDERED

EQUIPMENT.

ED114 FISCAL YEAR 2018 BUDGET FORM FUNDING STATUS

COKE-	CT CLASSIFICATION:	FUND: 12000	SPID: 20742	2 PROGR	RAM: 84010
В	UDGET REFERENCE:	CHARTFIEL	D1: 170003	CHARTFIELD	2:
GRAN	Γ PERIOD: 5/15/2018-9/30/2	018R	EQUESTED A	MOUNT: <u>\$</u>	
AUTHO	ORIZED AMOUNT BY SOUR	CE:	CURRE	NT DUE: \$	
			001112	1 (1 B 0 B)	
	DESCRIPTIONS				BUDGET AMOUNT
111A	NON-INSTRUCTIONAL				
111B	INSTRUCTIONAL				
200	PERSONAL SERVICES-EMI	LOYEE BENEFIT	ΓS		
320	PROFESSIONAL EDUCATION	ON SERVICES			
322	IN SERVICE				
323	PUPIL SERVICES				
330	EMPLOYEE TRAINING AN	D DEVELOPMEN	T SERVICES		
510	STUDENT TRANSPORTATI	ON SERVICES			
580	TRAVEL				
600	SUPPLIES				
700	PROPERTY				
917	INDIRECT COSTS				
	TOTAL				
	•			•	

College Name	
--------------	--

ED 114 BUDGET FORM OBJECT CODE DESCRIPTIONS AND BUDGET NARRATIVE INSTRUCTIONS

In preparing the budget narrative, provide a complete description of the expenditure for each of the codes being used and identify the program area and course(s) of the required use of funds to which the expenditure applies. Please refer to the <u>Perkins Budget Buddy Guide</u> while preparing the budget narrative.

- 1) Each line item in the budget narrative must identify the secondary school(s) and/or community college program that will receive funds.
- 2) Program improvement line items in the budget narrative must stipulate the CTE program area and the course(s) being funded.
- 3) Each line item in the budget narrative must give a detailed description of the item(s) that will be purchased, including quantity and unit cost. Personnel costs should be shown by the number of positions, time involved and hourly rate.
- 4) No more than 5 percent of the grant may be used for administrative purposes.
- 5) Compute all expenditures to the nearest dollar by line item. Do not include cents.

Amount of Code Line

ED114 BUDGET FORM OBJECT CODE DESCRIPTIONS AND BUDGET NARRATIVE

Object

Non-Instructional

Code

111A

Amounts paid to administrative employees of the grantee not involved in providing direct services to pupils/clients. Include all gross salary payments for these individuals while they are on the grantee payroll including overtime salaries or salaries paid to employees of a temporary nature.				
No more than 5% of the total grant may be used for administrative purposes including indirect costs (917). Line item 111A is considered an administrative cost, and administrative expenses in other budget code lines such as 200, 322, and 580 must be calculated into the 5% administrative cap.				
Position Name/Name of Individual	Description of Duties	Hourly Rate x Total Hours	How will this improve the CTE program?	Total
Position Name:				
Individual Name:				
Position Name:				
Individual Name:				
Position Name:				
Individual Name:				
Code	(Object		Amount of Code Line
Instructional Salaries for employees providing direct instruction/counseling to pupils/clients. This category is used for both counselors and teachers. Include all salaries for these individuals while they are on the grantee payroll including overtime salaries or salaries of temporary employees. Substitute teachers or teachers hired on a temporary basis to perform work in positions of either a temporary or permanent nature are also reported here. Individuals whose services are acquired through a contract are not included in the category. A person for whom the grantee is paying employee benefits and who is on the grantee payroll is included in this budget code; a person who is paid a fee				
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throu employee benefits and wh	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not in o is on the grantee payr	tese individuals while they are sees. Substitute teachers or or permanent nature are also all dead of the category. A soll is included in this budge	are on the grantee position to teachers hired on a so reported here. In person for whom the	payroll including a temporary basis adividuals whose be grantee is paying
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throuemployee benefits and who (such as a private consultate) Position Name/Name	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the code; a person where the code; a person where the code is a person	payroll including a temporary basis adividuals whose be grantee is paying
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throuemployee benefits and who (such as a private consultation).	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payrant) with no grantee obli	tese individuals while they a bes. Substitute teachers or or permanent nature are alsocluded in the category. A oll is included in this budge gation for benefits is not.	are on the grantee position to teachers hired on a so reported here. In person for whom the tode; a person who	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throuemployee benefits and who (such as a private consultate) Position Name/Name	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throuemployee benefits and who (such as a private consultate) Position Name/Name of Individual	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throu employee benefits and who (such as a private consultate Position Name/Name of Individual	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throu employee benefits and wh (such as a private consultate) Position Name/Name of Individual Position Name: Individual Name:	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired through employee benefits and who (such as a private consultate Position Name/Name of Individual Position Name: Individual Name:	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee
counselors and teachers. I overtime salaries or salarie to perform work in position services are acquired throu employee benefits and wh (such as a private consultate) Position Name/Name of Individual Position Name: Individual Name: Individual Name:	nclude all salaries for thes of temporary employes of either a temporary ugh a contract are not ir o is on the grantee payment) with no grantee obli	nese individuals while they are so substitute teachers or or permanent nature are also acluded in the category. A soll is included in this budge gation for benefits is not. Hourly Rate x Total	are on the grantee potential teachers hired on a so reported here. In person for whom the trode; a person whom this improve the	payroll including a temporary basis adividuals whose e grantee is paying no is paid a fee

College Name	
Conogo Harrio	

Code					Object			Amount of Code Line	
These a benefit person									
Position Name/Individ	on Name		Career	Cluster/ rogram Area	Type of Benefits		Compensation Formula	Total	
Position	Nam	e:							
Individu	al Nar	me:							
Position	Nam	e:							
Individu	al Nar	ne:							
Position	Nam	e:							
Individu	al Nar	ne:							
Cod	le				Object			Amount Code Li	
	ment,	, counseling		onal program and		Include	d are curriculum impro contracted instructions		:S,
Individ Organi Service	izatio	nd/or n Providin	g	Description of	Service		ill this improve E program?	Total Cost	
Individu	ual/Or	ganization	Name:						
Individu	ual/Or	ganization	Name:						
Individu	ual/Or	ganization	Name:						
Individu	ual/Or	ganization	Name:						
Individu	ual/Or	ganization	Name:						

College Name	
--------------	--

Code		Object Amount of Code Line			Amount of Code Line
322 In-service (Instructional Program Improvement Services) Payments for services performed by persons qualified to assist teachers and supervisors to enhance the quality of the teaching process. This category includes curriculum consultants, in-service training specialists, etc., who are not on the grantee payroll. List each contractor separately.					
Individual/O Providing Se		Name of Staff Receiving In- Service.	Title of Event, Location and Date	How will this improve the CTE program?	Per Person x Cost=Total
Individual/Or	ganization Name:	Staff Name:	Title of Event: Location:		
			Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event: Location:		
			Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event:		
			Location:		
			Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event: Location: Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event:		
			Location:		
			Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event: Location:		
		0. "11	Date:		
Individual/Or	ganization Name:	Staff Name:	Title of Event: Location:		
			Date:		

College Name _	
----------------	--

Code	Object Amount of Code Line				
330 Employee Training and Development Services Services supporting the professional and technical development of school district personnel, including instructional, administrative, and service employees. Included are course registration fees (that are not tuition reimbursement), charges from external vendors to conduct training courses (at either school district facilities or off-site), and other expenditures associated with training or professional development by third-party vendors.					
	Organization	Name of Staff Receiving In- Service.	Title of Event, Location and Date	How will this improve the CTE program?	Per Person x Cost=Total
Individual/O Name:	rganization	Staff Name:	Title of Event: Location: Date:		
Individual/O Name:	rganization	Staff Name:	Title of Event: Location: Date:		
Individual/O Name:	rganization	Staff Name:	Title of Event: Location: Date:		
Individual/O Name:	rganization	Staff Name:	Title of Event: Location: Date:		
Individual/O Name:	rganization	Staff Name:	Title of Event: Location: Date:		

Title of Event:

Location:

Date:

Individual/Organization Name:

Staff Name:

College Name	
--------------	--

Code	Code Line				
Student Transportation Services Expenditures for transporting pupils to and from school and other activities. Included are such items as bus rentals for field trips and payments to drivers for transporting handicapped children.					
Faculty Supervisor(s) of Student Travel	Courses Utilizing	Title of Event, Date and Location	How will this improve the CTE program?	Transportation Company Cost/per unit =Total	
Name:	Course:	Title of Event:			
	Number of Students:	Location:			
		Date:			
Name:	Course:	Title of Event:			
	Number of Students:	Location:			
		Date:			
Name:	Course:	Title of Event:			
	Number of Students:	Location: Date:			
Name:	Course:	Title of Event:			
	Number of Students:	Location:			
		Date:			
Name:	Course:	Title of Event:			
	Number of Students:	Location:			
		Date:			
Name:	Course:	Title of Event:			
	Number of Students:	Location:			
		Date:			

College Name	
--------------	--

Code	Object	Amount of Code
		Line

580 Travel

Expenditures for transportation, hotel and other expenses associated with staff travel.

- Travel must be for instructional purposes, otherwise it is an administrative cost subject to the 5 percent cap.
- Travel for CTSO advisors to National CTSO Conferences cannot utilize Perkins funds.
- Perkins cannot pay for parking, rental cars, boat slips or docking fees.

Meals are not fundable expenses.

Staff Name Courses to be Title of Event, How will this improve the Cost per unit-					
Receiving Travel Funds	improved by Travel	Date and Location	CTE program?	(list hotel, transportation, shuttles, etc.) x pp =Total	
Name:	Course:	Title of Event:			
		Location:			
		Date:			
Name:	Course:	Title of Event:			
		Location:			
		Date:			
Name:	Course:	Title of Event:			
		Location:			
		Date:			
Name:	Course:	Title of Event:			
		Location:			
		Date:			
Name:	Course:	Title of Event:			
		Location:			
		Date:			
Name:	Course:	Title of Event:			
		Location:			
		Date:			

College Name	

Code	Object				
600		Supplies	tional and list and the second	Code Line	
Career Pathway or Program Area Career Pathway or Program Area Course Course		List each item, including description of item and vendor	How will this improve the CTE program?	Quantity x Cost per Unit = Total	
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			
Career Pathway or Program Area:	Course:	Item Name: Description: Vendor:			

College Name _	
----------------	--

Code			Object		Amount of		
					Code Line		
700			Property				
items of compu equipm	In accordance with the Connecticut State Comptroller's definition of equipment, included in this category are all items of equipment with a value of over \$5,000 and the useful life of more than one year. All electronic babies, computers and peripherals (regardless of unit cost) should be listed in this category. No vehicles or drive-able equipment may be purchased with Perkins funds. Appendix B: Equipment Request Form must be completed for requested property by pathway or program area.						
	r Pathway or	Name of	List each item,	How will this improve the	Quantity x Cost per Unit = Total		
Progra	Program Area Course including description of item and vendor						
	r Pathway or ım Area:	Course:	Item Name:				

Description:

Vendor:

INSTRUCTIONS FOR EQUIPMENT REQUEST FORM

Equipment purchases must be part of an overall program improvement proposal for the CTE program. Equipment requests must be accompanied by a description of curriculum improvement/development within the program area where the equipment will be used.

In the budget narrative, indicate the amount of funds to be expended on equipment. Single items under \$5,000 should not be charged to equipment in this grant unless they are an integral part of a larger piece of equipment, such as a computer system. These items should be listed as instructional supplies.

COMPUTERS REGARDLESS OF COST ARE CONSIDERED EQUIPMENT.

Complete the Equipment Request Form as follows:

- A. GRANTEE Enter grantee's name.
- B. ADDRESS Enter grantee's address.
- C. DATE SUBMITTED Enter date submitted.
- D. NAME OF PERSON Indicate the name, title and telephone number of person completing the form.
- E. PATHWAY or PROGRAM AREA Submit a *SEPARATE* equipment form for each pathway or program area. Indicate the <u>pathway or program area</u> for which equipment is being requested.
- F. REQUEST FOR EQUIPMENT The state program area consultant will review the equipment for appropriateness. Freight and installation costs and instructor training are permissible under this section. Leasing of equipment is allowable under Perkins IV. Equipment requiring accessories to become operational is to be grouped with other equipment that requires accessories and listed as a single unit.
- G. List only one type of equipment on each line. Describe the equipment, give the manufacturer's number, model number, quantity, unit cost, freight, installation (if any) and total cost for each item. State the intended location of equipment and indicate the number of such existing items on hand and give the estimated number of students who will use the equipment per day.
- H. Add the total cost for all line items and enter the total on the Equipment Request Form.

EQUIPMENT REQUEST FORM

05/15/2018-09/30/2018

Postsecondary Supplemental Enhancement Grant

1.	Prepare a ser	parate Equipi	nent Request	Form for e	each pathway	y or program area.

1. Topate a separate Equipment request Form for each pathway or program area.
 2. Single component items under \$5,000 (with the exception of computers) should not be coded as equipment unless all the component items comprise a large piece of equipment and have a useful life of one year or more. Items that do not meet the definition of equipment are to be coded as instructional supplies.

Grantee:	Grantee:				Address:		
Name of Person	Completing Forms:			Title:		E-mail:	
Check the Caree	er Cluster for which equipment	is being request	ed. Check one	e program area only. For shar	red resources, in	dicate the primary cluster.	
Arts, Audio/V Business, Mar Architecture a Education and	Food and Natural Resources Video Technology and Communagement and Administration and Construction I Training R EQUIPMENT: Enter only on		Finance Health Scie Hospitality Human Ser	and Tourism	Ma Ma	Formation Technology Anufacturing Arketing, Sales and Service Ansportation, Distribution and Logistics	
Item No.	Description	QTY	Unit Cost	Freight/Install/ Training Charge	Total Cost	Location of Equipment (What CTE area/room)	
TOTAL EQUIP	MENT REQUEST: \$	ı	TOTALE	QUIPMENT APPROVED: \$	5	1	

LOCAL USE OF FUNDS

Those in bold are new in Perkins VI.

Required Uses of Funds to:

- 1. Strengthen the academic, career and technical skills of students participating in CTE programs through the integration of academics with CTE programs.
- 2. Link CTE at the secondary level and the postsecondary level, including offering the relevant elements of not less than one Program of Study described in Section 122(c)(1)(A).
- 3. Provide students with strong experience in, and understanding of, all aspects of an industry, which may include work-based learning experiences.
- 4. Develop, improve or expand the use of technology in CTE, which may include training to use technology, providing students with the skills needed to enter technology fields and encouraging schools to collaborate with technology industries to offer internships and mentoring programs.
- 5. Provide in-service and pre-service professional development programs to all teachers, faculty, administrators, career guidance and academic counselors who are involved in integrated CTE programs on topics including: effective integration of academics and CTE, effective teaching skills based on research, effective practices to improve parental and community involvement and effective use of scientifically-based research and data to improve instruction. Professional development should also ensure that teachers and personnel stay current with all aspects of an industry, involve internship programs that provide relevant business experience and train teachers in the effective use and application of technology.
- 6. Develop and implement evaluations of the CTE programs carried out with Perkins IV funds, including an assessment of how the needs of special populations are being met.
- 7. Initiate, improve, expand and modernize quality CTE programs, including relevant technology.
- 8. Provide services and activities that are of sufficient size, scope and quality to be effective.
- 9. Provide activities to prepare special populations, including single parents and displaced homemakers who are enrolled in CTE programs, for high-skill, high-wage or high-demand occupations that will lead to self-sufficiency.

Permissible Uses of Funds to:

- 1. Include parents, businesses and labor organizations in the design, implementation and evaluation of CTE programs.
- 2. Provide career guidance and academic counseling, which may include information described in Section 2328 of Perkins IV, for students participating in CTE programs that improves graduation rates and provides information on postsecondary and career options and provides assistance for postsecondary students and adults.
- 3. **Develop and support local education and business partnerships**, including work-related experiences for students, **adjunct faculty arrangements for qualified industry professionals and industry experience for teachers and faculty.**
- 4. Provide programs for special populations.
- 5. Assist career and technical student organizations.

- 6. Provide mentoring and support services.
- 7. Lease, purchase, upgrade or adapt equipment, including instructional aides and **publications** (including support for library resources) designed to strengthen and support academic and technical skills achievement.
- 8. Develop teacher preparation programs that address the integration of academic and CTE and that assist individuals who are interested in becoming CTE teachers and faculty, including individuals with experience in business and industry.
- 9. Develop and expand postsecondary program offerings at times and in formats that are accessible for all students, including through the use of distance education.
- 10. Develop initiatives that facilitate the transition of sub-baccalaureate CTE students into baccalaureate degree programs, including articulation agreements, dual enrollment programs, academic and financial aid counseling and other initiatives to overcome barriers and encourage enrollment and completion.
- 11. Provide activities to support entrepreneurship education and training.
- 12. Improve or develop new CTE courses, including the development of Programs of Study/Student Success Plan for consideration by the state and courses that prepare individuals academically and technically for high-skill, high-wage or high-demand occupations and dual or concurrent enrollment opportunities.
- 13. Develop and support small, personalized career-themed learning communities.
- 14. Provide support for family and consumer sciences programs.
- 15. Provide CTE programs for adults and school dropouts to complete postsecondary education or upgrade technical skills.
- 16. Provide assistance to individuals who have participated in services and activities under this Act in continuing their education or training or in finding an appropriate job.
- 17. Support training and activities (such as mentoring and outreach) in nontraditional fields.
- 18. Provide support for training programs in automotive technologies.
- 19. Pool a portion of such funds with a portion of funds available to other recipients for innovative initiatives.
- 20. Support other CTE activities consistent with the purpose of Perkins IV.

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT ASSURANCES

- 1. Individuals, who are members of special populations preparing for high-skill, high-wage or high-demand occupations that will lead to self-sufficiency, shall be provided with equal access to recruitment, enrollment, and placement activities and equitable participation in Perkins funded CTE programs. Such populations shall be provided with equal access to the full range of applied education programs available to individuals who are not members of special populations, including occupationally specific courses of study, cooperative education, apprenticeship programs and comprehensive career guidance and counseling services, and shall not be discriminated against on the basis of their status as members of special populations. [Sec. 2354 (b) (9)]
- 2. The progress of special population students participating in programs receiving Perkins funds shall be assessed to ensure their successful participation in CTE programs in the most integrated setting possible. Strategies to overcome any barriers encountered by special populations shall be implemented. [Sec. 2354 (b) (8)]
- 3. An annual evaluation of CTE programs funded under this Act shall be conducted and shall include an assessment of the needs of special populations and whether such programs enable special populations to meet local levels of performance and prepare them for further learning or for high-skill, high-wage careers. [Sec. 2354 (b) (8) (B)]
- 4. The grantee shall develop, improve or expand the use of technology in CTE programs and ensure that CTE personnel are trained to use state-of-the-art technology and effective techniques and practices. [Sec. 2355 (b) (4)]
- 5. The grantee shall provide CTE students with the academic and career and technical skills (including the math and science knowledge that provides a strong basis for such skills) that lead to entry into the technology fields. Schools shall collaborate with technology industries to offer work-based learning programs. [Sec. 2355 (b) (4) (B)]
- 6. The grantee shall encourage parental and community involvement in its career and technical programs. [Sec. 2355 (5) (A) (iii)]
- 7. The grantee shall provide a CTE program that is of sufficient size, scope, and quality to bring about improvement in the quality of CTE programs. [Sec. 2354 (b) (6)]
- 8. Equipment purchased, when not being used to carry out the provisions of the Perkins Act, may be used for other instructional purposes currently or previously supported by the federal government, if it does not interfere with the use of that equipment for programs funded under the Act; and does not add to the cost of using that equipment. (34 CFR Sec. 80.32)
- 9. All equipment purchased under the Act must comply with Education Department General Administrative Regulations. (34 CFR Sec. 74.34)
- 10. The grantee shall develop strategies to promote nontraditional training and employment for students participating in CTE programs. [Sec. 2354 (b) (10)]
- 11. The grantee shall provide sufficient information to the SDE to enable them to comply with the provisions of this Act. (20 U.S.C. §2301 et. seq.)

- 12. The CTE curriculum shall be planned, ongoing and systematic. (C.G.S. Sec. 10-16b)
- 13. The funds made available under the Act shall be used to supplement not supplant non-federal funds used to provide CTE activities.

I, the undersigned authorized official hereby certify that these Assurances shall be fully implemented.

Signature:	
Name (typed):	
Γitle (typed):	
Date:	

STATEMENT OF ASSURANCES

CONNECTICUT STATE DEPARTMENT OF EDUCATION STANDARD STATEMENT OF ASSURANCES GRANT PROGRAMS

PROJECT TITLE:	
THE APPLICANT:	HEREBY ASSURES THAT:
	(insert Agency/School/CBO Name)

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- B. The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- D. The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the State Board of Education and the Connecticut State Department of Education;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the Connecticut State Department of Education, including information relating to the project records and access thereto as the Connecticut State Department of Education may find necessary;
- H. The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- I. If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for the grant;
- K. At the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the

applicant shall return to the Connecticut State Department of Education any moneys not expended in accordance with the approved program/operation budget as determined by the audit;

L. Non-discrimination.

- (a) For purposes of this Section, the following terms are defined as follows:
 - (1) "Commission" means the Commission on Human Rights and Opportunities;
 - (2) "Contract" and "contract" include any extension or modification of the Contract or contract;
 - (3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
 - (4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
 - (5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
 - (6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
 - (7) "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;
 - (8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
 - (9) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
 - (10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasipublic agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

- (b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.
- (c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- (d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.
- (e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- (f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.
- (g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that

employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.

- (h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.
- M. The grant award is subject to approval of the Connecticut State Department of Education and availability of state or federal funds.
- N. The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

I	, the unde	rsigned aut	thorized official	l; hereby certi	fy that these	assurances sha	ıll be full	y imp	lemented

Superintendent Signature:	
Name (typed):	
Title (typed):	
Date:	

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.110.

Instructions for Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
- 3. The prospective lower tier participant shall provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction,' 'debarred,' 'suspended,' 'ineligible,' lower tier covered transaction,' 'participant,' 'person,' 'primary takeover~ transaction,' 'principal,' 'proposal,' and 'voluntarily excluded,' as used in this clause, have the meanings set out in the Definitions and Coverage sections of roles implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by *this* clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name of Applicant	PR/AWARD Number and/or Project Name
Printed Name and Title of Authorized Representative	
Signature	Date

APPENDIX A Perkins Postsecondary Supplemental Enhancement Grant Proposal Scoring Rubric

Connecticut State Department of Education
Academic Office, Career & Technical Education
Total Score: ____/46

Name of Community College: _____

Address:

Contact:

Evaluator:

Date Reviewed: __/__/___

Area	Total Points Possible	2	1	0	Points Earned
Grant Cover Page	2 Points	Form is complete and includes: • Fiscal agent name, address, telephone and e-mail; • Contact name, address, telephone and e-mail; • Amount Requested; • Enrollment numbers if required and; • Typed name and signature of authorized administrator.	Form is not complete as it is missing one of the following elements: • Fiscal agent name, address, telephone and e-mail; • Contact name, address, telephone and e-mail; • Amount Requested; • Enrollment numbers if required and; • Typed name and signature of authorized administrator.	Form is not complete as it is missing more than one of the following elements: • Fiscal agent name, address, telephone and e-mail; • Contact name, address, telephone and e-mail; • Amount Requested; • Enrollment numbers if required and; • Typed name and signature of authorized administrator.	

Area	Total Points Possible	2	1	0	Points Earned
Plan Summary	10 points	 Plan summary precisely describes the purpose of the proposal. All objectives and outcomes are clear. Plan summary includes relevant evidence-based strategies that support the improvement of career and technical education programs. Strategies relate to the data analysis, purpose, objectives, and outcomes. 	 Plan summary partially describes the purpose of the proposal. Some objectives and outcomes clear. Plan summary includes some facts and details that support the improvement of career and technical education programs. Strategies vaguely relate to the data analysis, purpose, objectives, and outcomes. 	 Plan summary does not describe the purpose of the proposal. The objectives and outcomes are not clear. Plan summary includes no facts or details that support the improvement of career and technical education programs. Strategies do not relate to analysis, purpose, objectives, and outcomes. 	
	Total				Points
Area	Points Possible	2	1	0	Earned
Local Plan Narrative: 1	2 points	The narrative is clear and concise. It includes multiple measurable activities that encourage nontraditional CTE students at the postsecondary level to enroll in and complete rigorous and challenging courses in core academic subjects.	The narrative is vague and describes few (if any) measurable activities on how the proposal will encourage nontraditional CTE students at the postsecondary level to enroll in and complete rigorous and challenging courses in core academic subjects.	The narrative is not clear and does not include measurable activities that will encourage nontraditional CTE students at the postsecondary level to enroll in and complete rigorous and challenging courses in core academic subjects.	

Area	Total Points Possible	2	1	0	Points Earned
Local Plan Narrative: 2	2 points	The narrative clearly and concisely describes how college will share best practices with other community colleges.	The narrative vaguely describes how the college will share best practices with other community colleges.	The narrative does not describe how the college will share best practices with other community colleges.	
Area	Total Points Possible	2	1	0	Points Earned
Local Plan Narrative: 3	2 points	The narrative clearly and concisely describes a measurable plan of sufficient size, scope, and quality to bring about improvement in nontraditional CTE placement and completion.	The narrative vaguely describes a plan of sufficient size, scope, and quality to bring about improvement in nontraditional CTE placement and completion.	The narrative does not describe a plan of sufficient size, scope, and quality to bring about improvement in nontraditional CTE placement and completion.	
Area	Total Points Possible	2	1	0	Points Earned
Local Plan Narrative: 4	2 points	The narrative clearly and concisely describes a measurable process to evaluate the effectiveness of the plan.	The narrative vaguely describes a measurable process to evaluate the effectiveness of the plan.	The narrative does not describe a measurable process to evaluate the effectiveness of the plan.	

Area	Total Points Possible	2	1	0	Points Earned
Local Plan Narrative: 5	2 points	The narrative clearly and concisely describes a measurable plan to ensure individuals who are members of special populations will not be discriminated against.	The narrative vaguely describes a plan to ensure that individuals who are members of special populations will not be discriminated against.	The narrative does not include a plan to ensure that individuals who are members of special populations will not be discriminated against.	
Area	Total Points Possible	2	1	0	Points Earned
1					

Area	Total Points Possible	2	1	0	Points Earned
Sustainability Plan	6 points	 Sustainability plan precisely describes how the proposal will be maintained at the end of the grant life. Sustainability plan clearly states how the proposal will receive financial support at the end of the grant life. Sustainability plan includes clear strategies and measurement for continual improvement and growth. 	 Sustainability plan vaguely describes how the proposal will be maintained at the end of the grant life. Sustainability plan vaguely addresses how the proposal will receive financial support at the end of the grant life. Sustainability plan vaguely addresses strategies and measurement for continual improvement and growth. 	 Sustainability plan does not describe how the proposal will be maintained at the end of the grant life. Sustainability plan does not address how the proposal will receive financial support at the end of the grant life. There are no strategies or measurement that describe continual improvement and growth. 	
Area	Total Points Possible	2	1	0	Points Earned
ED 114 Form	6 points	Form is complete and includes: • Grantee name; • Requested amount; and • Budget amounts total requested amount.	Form is missing one of the following elements and/or the budget amounts do not equal the requested budget: • Grantee name; and • Requested amount.	Form is missing more than one of the following elements and/or the budget amounts are incorrect. • Grantee name; • Town code; and • Requested amount.	

Area	Total Points Possible	2	1	0	Points Earned
Budget Narrative	10 points	Form is complete and narrative includes: • All requested information for each code line; • Descriptions that precisely describe what is being requested; • All position, staff and individual names; • A clear description on how the request will improve the CTE program; • All requested event titles, locations and dates; and • Requested career pathway, program area, courses and vendor information.	Form is missing more than one, but less than four of the following: • All requested information for each code line; • Descriptions that precisely describe what is being requested; • All position, staff and individual names; • The description on how the request will improve the CTE program; • All requested event titles, locations and dates; and • Requested career pathway, program area, courses and vendor information.	Form is missing more than four of the following: • All requested information for each code line; • Descriptions that precisely describe what is being requested; • All position, staff and individual names; • The description on how the request will improve the CTE program; • All requested event titles, locations and dates; and • Requested career pathway, program area, courses and vendor information.	

	Total Points /46
COMMENTS	Total/46

APPENDIX B

ANNIE E. CASEY FOUNDATION

All Request for Proposals for competitive grants related to at risk youth must contain the uniform language that follows:

Applicants that are part of a collaborative effort funded in whole or in part by the Annie E. Casey Foundation must submit documentation that:

- The collaborative oversight entity has been provided the opportunity to review and comment on the grant application or proposal prior to submission to the CSDE.
- The proposal or application submitted provides information detailing the activities, which assure priority access to services to children, youth and families referred by the collaborative oversight entity.
- The application shall designate someone to act as liaison for the referral process.