

CONNECTICUT STATE DEPARTMENT OF EDUCATION

BUREAU OF CHOICE PROGRAMS

2012-13 APPLICATION

FOR

STATE FUNDS FOR CHARTER SCHOOL BUILDING PROJECTS, IMPROVEMENTS,  
AND DEBT REPAYMENT

**Section 10-66hh and 10-66jj of the Connecticut General Statutes**

Purpose: To provide funding to assist charter schools in school building projects, general improvements to school buildings and repayment of debt for prior school building projects.

Published: July 2012

RFP 128

## CONNECTICUT STATE DEPARTMENT OF EDUCATION

Stefan Pryor  
Commissioner of Education

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## **PURPOSE OF GRANT**

Section 10-66hh of the 2012 Supplement to the Connecticut General Statutes (C.G.S.) establishes a grant program to assist charter schools in: (1) financing school building projects as defined in Section 10-282 of the 2012 Supplement to the C.G.S.; (2) paying for general improvements to school buildings as defined in Section 10-265h of the C.G.S.; and (3) repayment of debt incurred for school building projects. Grant applications will be considered which will benefit schools over an extended period of time, such as the renovation of or improvements to a building for which a school owns or has a long-term lease, payment toward the purchase of a building, or repayment of existing outstanding principal debt. The State Department of Education (SDE) Commissioner shall give preference to applications that provide for matching funds from nonstate sources.

## **GRANT PERIOD**

July 1, 2012 to June 30, 2013

## **ELIGIBLE APPLICANTS**

The statute provides that governing authorities are eligible to apply for these funds. Therefore, applicants must provide resolutions by their boards of directors authorizing the director to make application.

## **FUNDING**

The legislation allows the Commissioner of Education to establish, **within available bond authorizations**, a grant program not exceeding in the aggregate \$20 million, \$5 million for fiscal year 2012-13. **The grant can be provided only with authorized bonding so any grant award must be contingent on the Bond Commission approving a request for funding.** The Bond Commission is not expected to act on bonding this grant until the 2012-13 fiscal year. Therefore, do not undertake any projects until funding is made available.

Charter schools are invited to apply for the \$5 million bond funded grant. The grant does not limit the size of grants to a single school. The Commissioner of Education has the sole discretion to award grants under this provision of law and is required to give preference to those applicants that provide matching funds from nonstate sources. In addition, the Commissioner will take into consideration individual circumstances of schools, such as, but not limited to: length of a school's lease; a school's likelihood of remaining in the renovated facility; the need for renovation and repair; and the anticipated growth of the school population or past awards made pursuant to Sec. 10-66hh.

## **USE OF FUNDS**

Section 10-66hh of the C.G.S. provides that for the fiscal year ending June 30, 2008, and each fiscal year thereafter, the Commissioner of Education shall establish, within available bond authorizations, a grant program to assist state charter schools in financing: (1) school building projects, as defined in Section 10-282 of the 2012 Supplement to the C.G.S.; (2) general improvements to school buildings, as defined in Section 10-265h of the C.G.S.; and (3) repayment of debt incurred for school building projects. You may apply for funds for more than one category; for example, you may be eligible to receive a grant to pay down outstanding principal debt and undertake a technology wiring project.

*Copies of C.G.S. Sections 10-66hh, 10-265h, and 10-282 of the 2012 Supplement are included in Appendix "A."*



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**USE OF FUNDS (continued)**

**Item 1:** Provide for the financing of school building projects as defined in Section 10-282 of the 2012 Supplement to the C.G.S. for the construction, purchase, extension, replacement, renovation or major alteration of a building to be used for public school purposes. Section 10-282 of the 2012 Supplement to the C.G.S., is included in Appendix A.

**Item 2:** Provides for the financing of general improvements to school buildings as described in Section 10-265h of the 2012 Supplement to the C.G.S. These dollars are targeted for the types of repair and replacement work and other general improvements not covered by the existing school construction grant program Section 10-265h of the C.G.S. is included in Appendix A. **Examples of the types of improvements that qualify include:**

- 1) replacement of windows and doors;
- 2) replacement of boilers and other heating and ventilation components;
- 3) replacement of internal communication systems;
- 4) locker replacements;
- 5) ceiling and floor covering upgrades/replacements;
- 6) upgrade restrooms, including new fixtures;
- 7) upgrade or replacement of lighting fixtures;
- 8) replacing or installing security systems, including but not limited to, video surveillance devices and fencing; or
- 9) other work of a similar nature approved by the Commissioner of Education.

**Examples of Ineligible Uses of Funds for Items 1 and 2:**

- 1) routine building maintenance;
- 2) painting;
- 3) cleaning;
- 4) equipment repairs and other minor repairs; or

**Item 3:** Provides for the repayment of debt incurred for school building projects. Pursuant to this item, the grant will fund outstanding principal on loans which may have been incurred for school building projects. The grant will not reimburse principal or interest which may have been paid in the past or for cash basis projects undertaken prior to the passage of this act.

**As noted above, all applications will be considered on their merits taking into consideration individual circumstances. Preference is given to applications that provide matching funds from nonstate sources, for example, private donations or bank loans. The Connecticut State Department of Education (CSDE) reserves the right to deny any application, in whole or in part. Applicants must be able to demonstrate a long-term interest in a building for which renovation or building improvement funds are sought.**



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**AWARD SELECTION CRITERIA**

1. School building projects must meet the definitions pursuant to Section 10-282, as amended, or Subsection (a) of Section 10-265h. Projects unable to meet the criteria prescribed above will be removed from the selection process.
  
2. Applications that contain repayment of debt requests will be reviewed to ensure that the debt was for school building projects. Applicants are required to provide proof of outstanding loan(s), including copies of the original loan agreement(s) and current principal balance(s) of the loan(s) at the time of the application. Applicants whose requests do not meet the criteria prescribed above will be removed from the selection process.

Scoring Matrix (110 point maximum)

Maximum Points	Criteria
25	1. Will be given to applications that provide for matching funds from nonstate sources.
20	2. Will be given to debt repayment requests, including reason for incurred debt (e.g. acquisition or renovation of new facility.)
20	3. Will be given dependent on the need for the renovation or repair.
20	4. Will be given dependent on the school's long-term interest in building for which renovation or building improvement funds are being sought.
	a. ownership of property, <b>or</b>
	b. length of lease (minimum 5 yrs. remaining) 5 pts; additional pt. for every year greater than 5 yrs.
10	5. Will be given dependent on the anticipated enrollment growth.
10	6. Will be given dependent on the academic achievement of school's student body as defined by NCLB.
5	7. Will be given dependent on the length of time remaining on current school's charter.

3. Final selection will be based on this criteria and point system. Each project at each school will be scored. All scored projects will be arranged in descending order, highest score to lowest score. Awards will be issued until \$5 million is exhausted. Awards may be reduced from the amounts requested as determined by SDE commissioner.

Projects and repayment of debt requests will be accepted for Bond Commission approval based on the degree to which an applicant is able to meet the criteria above.

**Payments pursuant to this grant may be made on a periodic basis as projects progress. Grantees may be required to provide evidence of completion of various phases before payment will be made. A notice from the lender as to the outstanding principal balance will be required in the case of grants for debt repayment.**



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**The Commissioner may require the repayment of any funds used in a manner which is inconsistent with grant approval or the provisions of Sections 10-66hh and 10-66jj of the 2012 Supplement to the General Statutes.**

**DUE DATE**

Completed original application and three copies must be received by 4:00 p.m. on November 2, 2012. No extensions will be given.

**GRANT CONTACT**

**Robert Kelly, Charter School Program Manager**

Telephone: 860-713-6574

Fax: 860-713-7021

E-mail: [robert.kelly@ct.gov](mailto:robert.kelly@ct.gov)

**MAILING ADDRESS**

Connecticut State Department of Education

Bureau of Choice Programs, Room 302

165 Capitol Avenue

Hartford, CT 06106



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**GRANT REQUIREMENTS**

Eligible charter school applicants must submit:

Prerequisite	Description and Conditions
Plan for Expenditure of Funds	A detailed plan that: 1) establishes clear goals and a strategy for using state funds for charter school purchase, construction renovations, general improvements or repayment of debt; 2) establishes the need for the school building project or general renovations relative to such goals and length of time the school intends to remain at the site to be renovated; 3) includes documentation of matching funds from nonstate sources; and 4) provides for a sufficient budget that will be needed to implement the strategy for purchase, construction renovations, general improvements or repayment of debt, including how matching funds from nonstate sources such as private contributions or bank loans will be utilized. <i>(Please refer to “Use of Funds” section on pages 1 and 2 and Appendix “A” for assistance.)</i>
Budget	ED114 and budget narrative. Ensure that matching funds from nonstate sources are included in the budget and budget narrative.





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Cover

*APPLICATION  
For*

**State Funds for Charter School Facility, General Improvements, and Debt Repayment: FY 2012-13**

<b>Name of Applicant/ Charter School:</b>	
<b>Name of Fiscal Agent (If applicable):</b>	
<b>Name of Grant Contact:</b>	
<b>Phone:</b>	
<b>Fax:</b>	
<b>E-mail:</b>	
<b>Address of Grant Contact:</b>	
<b>Name of Charter School Director:</b>	
<b>E-mail:</b>	
<b>Signature of Charter School Director:</b>	<b>Date:</b>



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**PROJECT DESCRIPTION – Use of Funds**

**General Instructions:** Complete a separate form for each type of project being proposed or describe the debt incurred for prior school building projects, including the name of the debtor, the original principal balance and terms of the loan and outstanding balance on note. A project includes a single activity.

**Project Number/Name:** Assign a unique project description, e.g. Number 1, Restroom Renovation (make additional copies as needed.)

Number \_\_\_\_\_ Name \_\_\_\_\_

**Project Description:** Be specific (see grant requirements section on page 5). In the case of a school building project or general improvements, describe the area to be affected. Include square footages and quantities where applicable. Describe any special equipment to be installed or materials to be used. Example: Install new ceiling tiles in main wing classrooms, corridor and library (15,000 sq. ft.). In the case of a repayment of debt, describe the nature of the completed project, provide the name and address of lender, a copy of the note or other evidence of debt, and written evidence of the amount of the debt outstanding.

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(Attach additional documentation, if necessary)

**Cost Estimates:**

- Professional Fees and Services:
- Materials and Labor:
- Special Equipment:
- Contingency (Up to 10%):
- TOTAL:

**School in Project:**

School Code:  
School Name:



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**BUDGET INSTRUCTIONS AND GUIDELINES (ED 114)**

CODE	OBJECT	AMOUNT
100	PERSONAL SERVICES-SALARIES: Do not include any salaries other than direct labor to be performed by your employees with the following limitations: 1) You may not charge any portion of the current salaries of school personnel to the grant; 2) If overtime is used for direct labor on one or more projects, the cost of such overtime may be reported here.	
300	PURCHASED PROFESSIONAL/TECHNICAL SERVICES: Costs for architectural, engineering or other purchased professional services required for those projects may be included here.	
450	CONSTRUCTION SERVICES: Costs for general contractors and other purchased labor for constructing, renovating or remodeling work should be included under this object.	
500	OTHER PURCHASED SERVICES: Other purchased services not reportable under objects 300 or 450 may be included here, for example, advertising costs for purposes of competitive bidding.	
600	SUPPLIES: Include the cost of any supplies or building materials to be purchased directly by the grantee under this object. (If a general contractor is being used, report the entire contract amount under object 450. Do not try to break out the contractor's supplies and materials costs separately in this line).	



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CODE	OBJECT	AMOUNT
700	PROPERTY: Expenditures for acquiring fixed assets, including land or existing buildings; improvements of grounds; initial equipment; additional equipment and replacement of equipment. Equipment and furnishings under this grant are limited to new equipment needed to be installed or replaced as part of the facility remodeling or improvement. Items such as electrical surveillance equipment, intercoms, boilers and other built-in equipment are permitted. Furniture, personal computers, instructional equipment or maintenance equipment are not permitted.	
840	CONTINGENCY: An estimated contingency amount to be expended under other allowable objects during the grant period may be included here. This amount may not exceed 10% of your total budget. <i>Note exception to the agency budget modification policy. Budgeted contingency amounts may be moved to other approved object categories as needed without prior State Department of Education (SDE) authorization.</i>	
910	REDEMPTION OF PRINCIPAL: Amount of principal paid down on existing debt. Provide latest copy of mortgage statement, include balance of outstanding principal.	
	TOTAL AMOUNT	



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ED114 FISCAL YEAR 2012-13

BUDGET FORM

GRANTEE NAME:		TOWN CODE:
GRANT TITLE: CHARTER SCHOOL BUILDING PROJECTS, IMPROVEMENTS AND DEBT REPAYMENT PROJECT TITLE: CORE-CT CLASSIFICATION: FUND: 12052 SPID: 43003 PROGRAM: 82079		
GRANT PERIOD:	07/01/12 - 06/30/13	AUTHORIZED AMOUNT:\$
AUTHORIZED AMOUNT by SOURCE: LOCAL BALANCE:\$		CURRENT DUE:\$ CARRY-OVER DUE:\$
CODES	DESCRIPTIONS	BUDGET AMOUNT
100	PERSONAL SERVICES-SALARIES	
300	PURCHASED PROFESSIONAL/TECHNICAL SERVICES	
450	CONSTRUCTION SERVICES	
500	OTHER PURCHASED SERVICES	
600	SUPPLIES (AND MATERIALS)	
700	PROPERTY	
840	CONTINGENCY	
910	REDEMPTION OF PRINCIPAL	
	<b>TOTAL</b>	

	ORIGINAL REQUEST DATE	
	REVISED REQUEST DATE	
	STATE DEPARTMENT OF EDUCATION PROGRAM MANAGER AUTHORIZATION	DATE OF APPROVAL



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Appendix B: Affirmative Action Assurance

Appendix C: Statement of Assurances



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**APPENDIX A: SCHOOL BUILDING PROJECT LEGISLATION**

**Section 10-282, Definitions (abbreviated).** (3) "School building project", except as used in section 10-289, means (A) the construction, purchase, extension, replacement, renovation or major alteration of a building to be used for public school purposes, including the equipping and furnishing of any such construction, purchase, extension, replacement, renovation or major alteration, the improvement of land therefor, or the improvement of the site of an existing building for public school purposes, but shall not include the cost of a site, except as provided in subsection (b) of section 10-286d; (See "Use of Funds" section for additional definition of terms related to school building projects.)

**Sec. 10-265h. Grants for priority school districts for general improvements to school buildings. (abbreviated).** (a) The Commissioner of Education shall establish, within available bond authorizations, a grant program to assist priority school districts in paying for general improvements to school buildings. For purposes of this section "general improvements to school buildings" means work that (1) is generally not eligible for reimbursement pursuant to chapter 173, and (2) is to (A) replace windows, doors, boilers and other heating and ventilation system components, internal communications systems, lockers, and ceilings including the installation of new drop ceilings, (B) upgrade restrooms including the replacement of fixtures, (C) upgrade and replace lighting, or (D) install security equipment including, but not limited to, video surveillance devices and fencing, provided "general improvements to school buildings" may include work not specified in this subdivision if the school district provides justification for such work acceptable to the Commissioner of Education, but shall not include routine maintenance such as painting, cleaning, equipment repair or other minor repairs or work done at the administrative facilities of a board of education.

**Sec. 10-66hh. Program to assist charter schools with capital expenses.** (a) For the fiscal year ending June 30, 2008, and each fiscal year thereafter, the Commissioner of Education shall establish, within available bond authorizations, a grant program to assist state charter schools in financing (1) school building projects, as defined in section 10-282, (2) general improvements to school buildings, as defined in subsection (a) of section 10-265h, and (3) repayment of debt incurred for school building projects. The governing authorities of such state charter schools may apply for such grants to the Department of Education at such time and in such manner as the commissioner prescribes. The commissioner shall give preference to applications that provide for matching funds from nonstate sources.

(b) All final calculations for grant awards pursuant to this section in an amount equal to or greater than two hundred fifty thousand dollars shall include a computation of the state grant amount amortized on a straight line basis over a ten-year period. Any state charter school which abandons, sells, leases, demolishes or otherwise redirects the use of a school building which benefited from such a grant award during such amortization period, including repayment of debt for the purchase, renovation or improvement of the building, shall refund to the state the unamortized balance of the state grant remaining as of the date that the abandonment, sale, lease, demolition or redirection occurred. The amortization period shall begin on the date the grant award is paid. A state charter school required to make a refund to the state pursuant to this subsection may request forgiveness of such refund if the building is redirected for public use.



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**Section 10-66jj** of the Connecticut General Statutes (**abbreviated**) **Bond authorization for program to assist charter schools with capital expenses.** (a) For the purposes described in subsection (b) of this section, the State Bond Commission shall have the power, from time to time, to authorize the issuance of bonds of the state in one or more series and in principal amounts not exceeding in the aggregate twenty million dollars, provided five million dollars of said authorization shall be effective July 1, 2008.





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**APPENDIX B: AFFIRMATIVE ACTION ASSURANCE**

I, the undersigned authorized official, hereby certify that the current affirmative action packet for

\_\_\_\_\_

Charter School Name

is on file with the Connecticut State Department of Education. The affirmative action packet is, by reference, part of this application.

\_\_\_\_\_

Name of Authorized Official

\_\_\_\_\_

Title

\_\_\_\_\_

Signature of Authorized Official

\_\_\_\_\_

Date



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**APPENDIX C:**

**STATEMENT OF ASSURANCES**

**PROJECT TITLE:** Charter School Building Projects, Improvements and Debt Repayment

**THE APPLICANT** \_\_\_\_\_ **HEREBY ASSURES THAT:**  
 (Insert Agency/School Name)

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- B. The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- D. The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the Connecticut State Board of Education and the State Department of Education;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the State Department of Education, including information relating to the project records and access thereto as the State Department of Education may find necessary;
- H. The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- I. If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for at the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the State Department of Education any moneys not expended in accordance with the approved program/operation budget as determined by the audit;



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- K. The grant award is subject to approval of the State Department of Education and availability of state or federal funds.
- L. The applicant agrees and warrants that Sections 4 -190 to 4 -197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

**M. Required Language:**

- 1) For purposes of this section, "Commission" means the Commission on Human Rights and Opportunities.

For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (a) who are active in the daily affairs of the enterprise, (b) who have the power to direct the management and policies of the enterprise and (c) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Statue Section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

For purposes of this section, "sexual orientation" means having a preference for heterosexuality, homosexuality or bisexuality, having a history of such preference or being identified with such preference, but excludes any behavior which constitutes a violation of part VI of chapter 952 of the general statutes.

- 2) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. If the contract is for a public works project, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such project. The contractor further agrees to take affirmative action to insure that applicants with job related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved; (b) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of



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the contractor, to state that it is an "Affirmative Action-Equal Opportunity Employer" in accordance with regulations adopted by the commission; (c) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or worker's representative of the contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (d) the contractor agrees to comply with each provision of this section and Conn. Gen. Stat. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive, and with each regulation or relevant order issued by said Commission pursuant to said sections; (e) the contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56.

- 3) Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- 4) The contractor shall develop and maintain adequate documentation, in a manner prescribed by the commission, of its good faith efforts.
- 5) The contractor shall include the provisions of subsection (2) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with this section and Conn. Gen. Statute Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive; provided if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.
- 6) The contractor agrees to comply with the regulations referred to in this section as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.



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- 7) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any matter prohibited by the laws of the United States or of the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (b) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (c) the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56 of the general statutes; (d) the contractor agrees to provide the commission on human rights and opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56 of the general statutes.
  
- 8) The contractor shall include the provisions of subsection (7) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56 of the general statutes; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

I, the undersigned authorized official; hereby certify that these assurances shall be fully implemented.

Signature \_\_\_\_\_  
 Name (typed) \_\_\_\_\_  
 Title (typed) \_\_\_\_\_  
 Date \_\_\_\_\_