CONNECTICUT STATE DEPARTMENT OF EDUCATION

Division of Teaching, Learning and Instructional Leadership Bureau of Curriculum and Instruction

CARL D. PERKINS VOCATIONAL AND APPLIED TECHNOLOGY EDUCATIONAL ACT 20 U.S.C. §2301 et seq.

POSTSECONDARY BASIC GRANT APPLICATION

2008-13

Purpose: To develop fully the academic, career and technical skills of postsecondary students who elect to enroll in career and technical education programs.

Applications Due: May 23, 2008

Published: April 2008

RFP 117

RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

CONNECTICUT STATE DEPARTMENT OF EDUCATION

Mark K. McQuillan Commissioner of Education

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POSTSECONDARY BASIC GRANT APPLICATION TABLE OF CONTENTS

GRANT I	BACKGROUND INFORMATION	PAGE
I.	Overview	1
II.	Purpose of the Act	1
III.	Proposal Rationale	2
IV.	Program Standards and Accountability	2
V.	Eligible Institution	2
VI.	Other Grant Requirements	
VII.	Management and Control of the Program and Grant Consultation Role of State	4
VIII.	Letter of Intent	4
IX.	Application Review and Evaluation Process	4
X.	Disposition of Applications	4
XI.	Grant Awards	4
XII.	Grant Period	
XIII.	Technical Assistance by Program Area	
XIV.	Bidder's Conference	5
XV.	End of the Year Report	5
XVI.	Application Submission Requirements	6
GRANT A	APPLICATION PACKET	
	Grant Cover Page	8
	Consortium Signature Page	9
	Checklist for Completing Application	
	Continuous Improvement Grid Instructions	
	Core Indicator Performance	
	Plan Narrative Instructions	
	Partnership/Advisory Committee Form	
	Professional Development Outcomes Form	
	Budget Narrative Instructions	
	Instructions for ED114 Budget Form	
	Purpose Code Budget Form	
	Instructions for Equipment Request Form	
	Carl D. Perkins Career and Technical Education Improvement Act Assurances	
	Standard Statement of Assurances	
	Certification that a Current Affirmative Action Packet is on File	
	Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion	
	Lower Tier Covered Transactions	
APPEND		
	Appendix A: Federal CTE Clusters and Connecticut Career Pathways	
	Appendix B: Evaluation Criteria	
	Appendix C: Carl D. Perkins Technical Assistants	38
	Appendix D: Continuous Improvement Grid Sample	
	Appendix E Local Use of Funds	41
	Appendix F: ED 114 Budget Form Object Code Descriptions	
POS	Appendix G: Annie E. Casey Foundation	45

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION (CTE) IMPROVEMENT ACT OF 2006

POSTSECONDARY BASIC GRANT APPLICATION

I. Overview

Signed into law on August 12, 2006, the Carl D. Perkins Career and Technical Education Improvement Act (Perkins IV), sets a new vision of career and technical education (CTE) for the 21st century. Perkins IV promotes reform, innovation and continuous improvement in CTE to ensure that students acquire the skills and knowledge they need to meet challenging state academic standards and industry-recognized skill standards. It prepares students for postsecondary education, further learning and a wide range of opportunities in high-skill, high-wage or high-demand occupations in emerging professions.

Perkins IV supports the alignment of CTE with state and local efforts to reform secondary schools and improve postsecondary education. The implementation of this statute promises to make CTE programs an integral part of these efforts.

Within Perkins IV, the College Career Pathways (CCP) Program has been reauthorized as an important catalyst for secondary reform and postsecondary education improvement efforts. Each institution awarded a Carl D. Perkins Grant will provide maintenance of effort consistent with the 2007 fiscal year funding level of each award for the CCP program. The CCP Program promotes greater student achievement, postsecondary preparation and high accountability. An articulation agreement established between the high school and community college must combine a minimum of two years of secondary education, with a minimum of two years of postsecondary education, in a nonduplicative, sequential course of study or an apprenticeship program of at least two years following secondary instruction.

II. Purpose of the Act

The purpose of Perkins IV is to develop more fully the academic, career and technical skills of secondary students and postsecondary students who elect to enroll in CTE programs by:

- building on the efforts of states and localities to develop challenging academic and technical standards:
- assisting students in meeting standards, including preparation for high-skill, high-wage or highdemand occupations in current or emerging professions;
- promoting leadership, initial preparation and professional development;
- promoting the development of services and activities that integrate rigorous and challenging academic and career and technical instruction;
- supporting partnerships among secondary schools, postsecondary institutions, baccalaureate degree granting institutions and business and industry;
- linking secondary schools and two-year postsecondary institutions, and if possible, four-year institutions of higher education, through nonduplicative sequences of courses in a career field, the use of articulation agreements and the CCP Program;
- building student competence in math, science, reading, writing, communications and career courses; no remedial courses are accepted;
- increasing state and local flexibility in providing services and activities designed to develop, implement and improve CTE including CCP;
- conducting and disseminating national research and disseminating information on best practices that improve CTE programs, services and activities; and

providing individuals with opportunities throughout their lifetimes to develop, in conjunction with
other education and training opportunities, the knowledge and skills needed to keep the United States
competitive.

<u>In accordance with this purpose</u>, <u>Perkins IV funding will be utilized for students in secondary and postsecondary programs only.</u>

III. Proposal Rationale

As the nation is challenged by the changing nature of the economy, a shortage of workers and an ill-equipped workforce, CTE is forced to develop strategies to meet these challenges. It is important to raise expectations in order to close the achievement gap; measure and assess student performance and outcomes; and identify and focus resources and energy on proven research-based strategies. With increased options for all students, the State Department of Education (SDE) and the community colleges move from a culture of compliance to a culture of accountability.

In order for students to meet the challenges of postsecondary education and workforce preparation in the CTE fields, Perkins IV focuses the federal investment in high-quality CTE programs. During the **2008-13** fiscal years, CTE programs shall address the following activities: standards-based curriculum, the state CTE assessment system, work-based learning, career pathways, career and technical student organizations and postsecondary linkages. Also, Perkins IV should address the four corner stones of the No Child Left Behind (NCLB) Act: narrowing the achievement gap; proven methods; increasing accountability for student performance; and coordination with federal employment and training programs.

IV. Program Standards and Accountability

In order to promote continuous improvement of CTE, programs should be designed around the "core indicators of performance for postsecondary CTE students." These core indicators should prepare students for:

- the attainment of challenging state-established academic and CTE skill proficiencies;
- the attainment of a secondary school diploma or its recognized equivalent proficiency credential in conjunction with a secondary diploma or a postsecondary degree or credential;
- placement in military service or apprenticeship programs or placement or retention in employment including placement in high-skill, high-wage or high-demand occupations or professions; and
- participation in, and completion of, CTE programs that lead to nontraditional fields.
- Student retention in postsecondary education or transfer to a baccalaureate degree program.

V. Eligible Institution

The term "eligible institution" means a public or nonprofit institution of higher education that provides not less than a two-year program of instruction that is creditable toward a bachelor's degree; a nonprofit educational institution offering certificate or apprenticeship programs at the postsecondary level; or a consortium of two or more institutions of higher education.

It should be noted that although the state will approve a postsecondary Perkins Five-Year Plan, the institution is required to meet all eligibility requirements each year to continue to be eligible for Perkins funds. REP117-042308

POSTSECONDARY BASIC GRANT APPLICATION

Eligible institutions:

- Shall not receive an allocation of funds unless the amount allocated to such eligible institution is at least \$50,000. An eligible institution may enter into a consortium with other eligible institutions for the purpose of meeting the minimum allocation requirements.
- Must develop and implement a data collection process to track student participation, completion, placement and retention.
- Are required to establish a partnership committee (advisory board) to serve in an advisory capacity. One committee, or multiple committees, can be established to provide support to all program areas.

A consortium shall operate only joint projects that serve all the postsecondary institutions participating in the consortium. Funds allocated to a consortium shall be used only for purposes and programs that are mutually beneficial to all members of the consortium and can be used only for programs authorized under this title. Such funds may not be reallocated to individual members of the consortium for the purpose of funding programs and/or activities that benefit only those individual members of the consortium. All members of the consortium must meet the eligibility requirements.

A Career Pathways/Program of Study must be completed for all students enrolled in an occupational degree or certificate (see Appendix A).

Definition of Career Pathways/Program of Study

In assisting students in planning for and completing future coursework, for career and technical content areas, the Program of Study will:

- incorporate secondary and postsecondary education elements;
- include coherent and rigorous content aligned with challenging academic standards and relevant career and technical content in a coordinated, nonduplicative progression of courses that align secondary and postsecondary education to adequately prepare students to succeed in postsecondary education;
- include an opportunity for students to participate in dual or concurrent enrollment programs (i.e., CCP) to acquire postsecondary credit; and
- lead to an industry-recognized credential or certificate at the postsecondary level or an associate or baccalaureate degree.



VI. Other Grant Requirements

Each community college receiving Perkins funds must budget and expend no less than 5 percent of the allocation for participation in national and state-approved professional development workshops designed to provide strategies for improving student academic success. Community colleges are allowed to expend additional funds for other professional development activities beyond the 5 percent allocated for state sponsored professional development. Professional development opportunities should be made available to ALL community college CTE teachers.

Grantees must use funds to improve CTE programs. This means that eligible recipients must target the funds for new and improved activities. Grantees may not use funds to simply maintain existing activities.

A maximum of 5 percent of the grant may be used for administrative costs including indirect costs. Only institutions that have submitted indirect cost proposals for fiscal year 2008-09 may apply indirect costs to this grant.

Administrative activities are those necessary for the proper and efficient performance of the eligible agency or eligible recipients' duties under this Act, including supervision, but do not include curriculum development activities, personnel development or research activities.

VII. Management and Control of the Program and Grant Consultation Role of the State:

The grantee has overall management control of the grant. While state agency staff may be consulted for their expertise, they will not be directly responsible for the selection of subgrantees or vendors, nor will they be directly involved in the expenditure and payment of funds obligated by the grantee or subgrantee.

VIII. Letter of Intent

All postsecondary institutions will be required to submit a letter of intent to apply for Perkins funding for the years 2008-13. A signature from the president implies that the community college meets and intends to comply with the eligibility requirements in the application.

IX. Application Review and Evaluation Process

Applications will be evaluated according to the evaluation criteria contained in Appendix B. Applicants are advised to review the evaluation criteria when writing their grant application.

X. Disposition of Applications

Applicants will be notified of acceptance or rejection of their proposals within approximately four weeks of the submission deadline date. If a proposal is selected for funding, the **Division of Teaching**, **Learning and Instructional Leadership** will initiate a grant. The content and cost of proposals are subject to negotiation prior to final contract.

XI. Grant Awards

The SDE reserves the right to make grant and contract awards under this program without discussion with the applicants; therefore, proposals should represent the applicant's best effort to ensure a quality proposal from both a technical and cost standpoint. All awards are subject to the availability of federal funds. Grants are not final until the award letter is executed. The Associate Commissioner of the

Division of Teaching, Learning and Instructional Leadership will issue notification of the grant award. The level of funding and effective dates of the project will be set forth in the notification of the grant award.

XII. Grant Period

The Carl D. Perkins CTE 2008-13 grant application calls for a five-year plan. However, funding is provided to postsecondary institutions each respective year starting on July 1 and concluding on June 30. All funds must be obligated by June 30 each year. There are no exceptions or waiver to this requirement.

XIII. Technical Assistance by Program Area

CTE Program Area Specialists

Agricultural Education Gregory Kane Tel: (860) 713-6756 gregory.kane@ct.gov	Business & Finance Technology Education Lee Marcoux Tel: (860) 713-6768 lee.marcoux@ct.gov	Cooperative Work Education Dr. Diane Ross Gary Tel: (860) 713-6593 diane.ross.gary@ct.gov
Family & Consumer Sciences Lori Matyjas Tel: (860) 713-6785 lori.matyjas@ct.gov	Marketing Education Dr. Stephen Hoag Tel: (860) 713-6764 stephen.hoag@ct.gov	Medical Careers Lori Matyjas Tel: (860) 713-6785 lori.matyjas@ct.gov
Technology Education Gregory Kane Tel: (860) 713-6756 gregory.kane@ct.gov	College Career Pathways Dr. Diane Ross Gary Tel: (860) 713-6593 diane.ross.gary@ct.gov	Fiscal Perkins Grant Manager June Sanford Tel: (860) 713-6765 june.sanford@ct.gov
Career Guidance Judith Andrews Tel: (860) 713-6766 judith.andrews@ct.gov		

Technical assistants by region can be found in Appendix C.

XIV. Bidders' Conference

The SDE will hold a bidders' conference on **Monday**, **April 21**, **2008**, at Gateway Community College, North Haven Campus, from 1:00 -3:00 p.m.

XV. End of the Year Report

All grant recipients must submit the End of the Year Report and copies of all published materials, which have been funded by this grant. The report and materials must be submitted by **July 1, 2009,** to:

Dr. Diane Ross Gary, Education Consultant

Division of Teaching, Learning and Instructional Leadership

Bureau of Curriculum and Instruction

REP117-042308
165 Capitol Ave, Room 363

Hartford, CT 06106

XVI. Application Submission Requirements

Proposals, *irrespective of means of transmittal or postmark date*, must be received by 4:30 p.m. on Friday, May **23, 2008.** Submitted proposals become the property of the SDE and a part of the public domain. One original and two copies of all sections of the grant application with original signatures, including the

ED 114 and budget narrative, must be mailed or delivered to Diane Ross Gary at:

Mailing Address

Diane Ross Gary, Education Consultant CT State Department of Education Bureau of Curriculum and Instruction P.O. Box 2219, Room #363 Hartford, Connecticut 06145

Delivery Address

Diane Ross Gary, Education Consultant CT State Department of Education Bureau of Curriculum and Instruction 165 Capitol Avenue, Room #363 Hartford, Connecticut 06106

The application is available on the SDE web site at: www.state.ct.us/sde
There will be a link to the Internet ED 114 system from the application website.



Grant Application Packet

*Please re-number pages prior to submission Instruction pages should not be included in the packet



CONNECTICUT STATE DEPARTMENT OF EDUCATION Division of Teaching, Learning and Instructional Leadership



CARL D. PERKINS GRANT APPLICATION POSTSECONDARY BASIC GRANT

RFP #117

GRANT PERIODJuly 1, **2008, to June 30, 2009**

GRANT COVER PAGE

To Be Completed and Submitted with the Grant Application

<u>Applicant</u> (Fiscal Agent) (Name, Address, Telephone, Fax, E-Mail)	Program Funding Dates From July 1, 2008, to June 30, 2009
	Preliminary Funding Amount
	\$
Contact Person (Name, Address, Telephone, Fax, E-Mail)	Check if Consortium Application □
(Name, hauress, receptione, rax, L-man)	Participating Colleges: (List Colleges)
I,	tained herein, and certify that this proposal, if funded,
In addition, funds obtained through this source wi objectives as stated herein.	ll be used solely to support the purpose, goals and
Signature of Authorized Administrative Official: Name (typed):	Date
College/Agency: PFP117-042308	
DOCTOR COURSE VIDEO CONTRACTOR CONTRACTOR	

CONSORTIUM SIGNATURE PAGE

The following consortium partners agreed to the College Career Pathways Partnership and will support the proposal that is being submitted for the 2008-09 grant year.

All signatures must be original.	
Name:	Title:
School:	
Address:	
Name:	Title:
School:	
Name:	Title:
School:	
Name:	
School:	
Address:	



Checklist for Completing Application

College:		
After completing the grant application, fill out and submit the checklist t information has been provided.	to ensure that all nec	essary
Check in column marked YES if information has been provided. Under where the information is located.	PAGE(S), give pag	e number
	YES	PAGE(s)
All pages are sequentially numbered.		
Grant Narrative Requirements		
The Grant Application Cover Sheet is completed and signed.		
Consortium Signature (if necessary). Continuous Improvement Grid for each program area is completed.		
Plan Narrative is completed.		
Partnership Advisory Committee is completed.		
Professional Development Outcomes is completed.		
Grant Budget Requirements		
A. Budget Narrative provides object codes and explanations for all lin	e items.	
B. Budget Narrative indicates the occupational program improvement and course(s) to be funded.	area(s)	
C. Administrative costs, including indirect costs, are 5 percent maximum	um	
D. Professional Development is not less than 5 percent		
E. Equipment Form is completed.		
F. Total budget on ED 114 equals amount allocated.		
G. Budget line item totals equal Budget Narrative and totals are accura	ate.	
H. The distribution of funds by program areas is completed on the bott portion of the ED 114 budget sheet.	tom	
Grant Assurances (Signed by President)		
A. Original signature is provided on the Carl D. Perkins Vocational Te Education Act Assurances.	echnical	
B. Original signature is provided on the Standard Statement of Assura	nces.	
C. Certification Regarding Lobbying; Debarment and Suspension and Responsibility Matters; and Drug-Free Workplace Requirements.	Other	
D. Certification that a current Affirmative Action Packet is on file.		
Approved by Forms Review Committees RFP117-042308 POSTSECONDARY BASIC GRANT APPLICATION		

Continuous Improvement Grid Instructions

The Continuous Improvement Grid must be completed per the following instructions. The grant narrative must reflect continuous improvement for the three major focus areas. The institutions will be required to provide the state with a Continuous Improvement Grid each year over the 2008-13 five-year period. A completed sample grid can be found in Appendix D.

Colleges should complete the Continuous Improvement Grid before responding to the narrative questions.

Complete one Continuous Improvement Grid for each CTE program offered in the district, including: Agriculture Education, Business and Finance Technology, Cooperative Work Education, Family and Consumer Sciences, Marketing, Medical Careers and Technology Education. Indicate the name of the program on the top of the grid and describe the progress achieved in 2007-08 and the plan for continuous improvement for each of the three focus areas. Plans for continuous implementation should be indicated for 2008-09.

FOCUS AREAS

- Comprehensive Work-Based Learning Programs and Career Pathways (WB and CP)
- CTE Advisory Partnership Committees (CTEAC); and
- Postsecondary Linkages (PSL)



Continuous Improvement Grid Carl D. Perkins Grant Application

Key:
WB and CP: Work-Based Learning,
Career Pathways
CTEAC: CTE Advisory Committees
PSL: Postsecondary linkages

Program Area:

Focus Area	Progress and Continuous Improvement
WB and CP	
CTEAC	
PSL	



Core Indicator Performance

During 2008-13, the SDE will be measuring performance on student graduation and on the mathematics and language arts sections of the Connecticut Academic Performance Test for federal reporting purposes. All grant recipients will be held accountable for performance on all of the core indicators. The community college system is still in negotiation with the federal Office of Vocational and Adult Education on the core indicator's for the community colleges. As soon as they are final, they will be forwarded to each recipient.

Plan Narrative Instructions

For the first time, community colleges will be writing a Perkins Five-Year Plan to describe initiatives and activities over a five-year period for years 2008-13. However, community colleges will be required to submit a budget, budget narrative, the Core Indicator Improvement Plan and other plan updates each year during the five-year period. Under Perkins IV, postsecondary institutions are held accountable for reaching or exceeding each of the core indicators of performance as indicated on the Core Indicator Improvement Plan.

The college must provide the projected plan for the next five years in response to the 16 areas, as indicated by Section 2354 of the Plan Narrative (20 U.S.C. §2354). Changes to the original 2008-13 five-year plan submitted in 2008 will be provided to the state in the annual updates. Below are definitions provided to assist in responding to the narrative. The required and permissible use of funds can be found in Appendix E. When responding to the 16 questions in the Plan Narrative, incorporate the required use of funds where appropriate.

Definition of Concentrator Postsecondary/Adult Level:

<u>Participant</u> – A student who is enrolled in a Program of Study leading to an occupational degree or certificate (excludes all liberal arts and general studies students).

<u>Concentrators</u> – A concentrator is defined as any student in a given year who is enrolled in a Program of Study leading to an occupational degree or certificate (excludes all liberal arts and general studies students) and has completed at least 15 semester hours worth of course work.

<u>Nontraditional Students</u> – Students enrolled in programs that have been defined as occupations where one gender comprises less than 25 percent of employment, such as females in pre-engineering programs or males enrolled in early childhood programs.

<u>Academic Integration</u> – The academic integration component of the Perkins IV grant requires colleges to focus teaching and learning strategies in CTE curricula, courses and instruction that will enhance the academic proficiency of students. Academic integration strategies may include local research, project-based assessment, cross-credited courses, increased leveling of CTE courses (advanced placement, honors levels), CCP, integrated curriculum models, expanded partnerships and specific management plans to measure results.

Academic integration strengthens the academic and career and technical skills of students participating in CTE programs by strengthening the academic and career and technical components of such programs through the integration of academics with CTE programs through a coherent sequence of courses to ensure learning in the core academic and career and technical subjects.

<u>All Aspects of the Industry</u> – These include planning, management, finances, technical and production skills, underlying principles of technology, labor and community issues, health, safety and environmental issues.

Plan Narrative Perkins IV Grant Application

Describe how the community college will:

- 1. carry out CTE programs;
- 2. develop and implement not less than one career pathway or Program of Study;
- 3. ensure that CTE activities meet state and local adjusted levels of performance;
- 4. improve students' academic and technical skill development through integration;
- 5. provide students with strong experience in, and understanding of, all aspects of an industry;
- 6. ensure that students who participate in CTE programs are taught to the same coherent and rigorous content aligned with challenging academic standards, as are taught to all other students;
- 7. encourage CTE students at the secondary level to enroll in rigorous and challenging courses in core academic subjects;
- 8. provide comprehensive professional development (including initial teacher preparation) for CTE, academic, guidance and administrative personnel that promotes the integration of coherent and rigorous content aligned with challenging academic standards;
- 9. ensure that all stakeholders are involved in the development, implementation and evaluation of CTE programs and are provided information and understanding of Perkins IV, including Programs of Study;
- 10. ensured that all CTE programs are of sufficient size, scope and quality to bring about improvement in CTE;
- 11. develop a process to be used to evaluate and continuously improve core indicator levels of performance;
- 12. review CTE programs, identify and adopt strategies:
 - a. to overcome barriers that result in lower access or success for special populations;
 - b. to provide programs that enable special populations to meet local performance levels; and
 - c. to provide activities to prepare special populations, including single parents and displaced homemakers, for high-skill, high-wage or high-demand occupations that will lead to self-sufficiency.
- 13. ensure that individuals who are members of special populations will not be discriminated against based on this status;
- 14. use funds to promote preparation for non-traditional training and employment;
- 15. provide career guidance and academic counseling to CTE students, including linkages to future education and training opportunities; and
- 16. improve efforts to recruit and retain CTE teachers, faculty and career guidance academic counselors, including underrepresented groups; and the transition to teaching from business and industry.

Partnership/Advisory Committee Form

Instructions:						
Provide the information below identifying the program(s) areas served and names and titles of all partnership committee members. Also, please attach a brief work plan to be executed by the committee this school year.						
College:						
Program Area(s) Served:						
	Committee Members					
Student(s):						
Teacher(s):						
Administrator(s):						
Business and Industry(s):						
Secondary Education:						
Community Organization(s):						
Other(s):						

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RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

Professional Development Outcomes Form

Colleges will complete the chart below relative to professional development activities for school year 2007-08.

Program Area Staff	#of Staff	Name of	Teacher Outcomes	Student Outcomes
Business	3	Workshop/Conference Critical Pathways: Exploring	Development of career	Every student can
Dusiness		Careers Through Work-Based	pathways	benefit from career
		Learning - SDE	r	pathways.
	AN CAR	A COUNTY OF THE PARTY OF THE PA		

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RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

BUDGET NARRATIVE INSTRUCTIONS

In preparing the budget narrative, provide a complete description of the expenditure for each of the codes being used and identify the program area and course(s). *Please refer to the Perkins IV Budget Buddy in preparing the budget narrative*. http://www.sde.ct.gov/sde/lib/sde/PDF/DEPS/Career/budget buddy.pdf

- 1. Program improvement line items in the budget narrative must stipulate the occupational program area and the course(s) being funded.
- 2. Each line item in the budget narrative must give a detailed description of the item(s) that will be purchased, including quantity and unit cost. The personnel line item should show the number of positions, time involved and hourly rate.
- 3. No more than 5 percent of the grant may be used for administrative purposes including approved indirect costs. Only community colleges that have submitted indirect cost proposals for fiscal year 2007-08 may apply indirect costs.
- 4. All eligible colleges that sign on to participate in a consortium shall be active participants and the funds must be used for joint activities only. Funds allocated to a consortium shall be used only for purposes and programs that are mutually beneficial to all members of the consortium and can be used only for programs authorized under this title. Such funds may not be reallocated to individual members of the consortium for the purpose of funding programs and/or activities that benefit only those individual members of the consortium.
- 5. Compute all expenditures to the **nearest dollar** by line item. **Do not include cents**.
- 6. There should be evidence that the community college plans to absorb salaried-related cost funded by the Perkins grant within a reasonable time frame.



INSTRUCTIONS FOR ED 114 BUDGET FORM

The FY 2008-09 ED 114 system will be available on the Internet. For the purposes of this application process, preliminary 2009 budgets will appear in the system with 2007-08 allotment amounts. SDE expects the system to be available by May 1, 2008, or earlier. A link to this system will be available from the RFP as soon as the system is available.

Currently, each community college has one log on, password and certification code. This information is used by the business manager or finance officer to file monthly cash drawdown requests. Those codes will be used by this system to get access to the system and to certify this information.

When the grantee logs on to this system, any budgets awaiting grantee data entry will be available on the first screen.

Find the budget on this page that coincides with the coding on the blank budget in this package and click on "Select."

Enter the proposed expenditures on the appropriate budget object code line. Code Descriptions can be found in Appendix F. Note: Round all amounts to the nearest whole dollar and do not enter commas. "The Grantee User ID" code, "Grantee Name" and "Authorized Amount" should appear on the screen.

BUDGET: Enter amount of proposed expenditures on appropriate object code lines.

Note: Round all amounts to the nearest whole dollar – do not enter commas.

Click on the box at the bottom "Total Detail Lines." If the budget does not add up to the authorized amount, a message will display. The budget cannot be saved until the line items are revised.

Click the "Save Budget." The business manager or finance officer of the community college has the certification code. They will need to electronically sign off on this budget. You may also print this budget and ask the president to sign it.

A complete set of system instructions are available under the "Help" category once you are logged into this system.

PROPERTY (EQUIPMENT) CODE 700: Single component items under \$1,000 should not be coded as

equipment unless all the component items comprise a larger piece of equipment and have a useful life of one year or more. Items that do not meet the definition of equipment are to be coded as instructional supplies. **COMPUTERS**

REGARDLESS OF COST ARE CONSIDERED EQUIPMENT.

PROGRAM CATEGORIES:

List the amount of the grant that is being expended for each of the cluster areas. The total amount should equal the authorized amount and the amount listed on the total line above.

RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

GRANTE	E NAME: COMMUNITY TECHNICAL COLLEGES	VENDOR CODE:				
GRANT TITLE: PERKINS POST SECONDARY BASIC GRANT						
PROJECT	T TITLE:					
CORE-C	CLASSIFICATION: FUND: 12060 SPID: 20742	PROGRAM: 84011				
BUDGET	REFERENCE: 2009 CHARTFIELD1: 170002	CHARTFIELD2:				
	GRANT PERIOD: 7/01/2008 - 6/30/2009	AUTHORIZED AMOUNT: \$				
AUTHOR	IZED AMOUNT by SOURCE:					
LOCA	AL BALANCE: \$ CARRY-OVER DUE:\$	CURRENT DUE: \$				
CODES	DESCRIPTIONS	BUDGET				
111A	ADMINISTRATOR/SUPERVISOR SALARIES					
111B	TEACHERS					
112A	EDUCATION AIDES					
112B	CLERICAL					
119	OTHERS					
200	PERSONAL SERVICES EMPLOYEE BENEFITS					
321	TUTORS					
322	IN SERVICE					
323	PUPIL SERVICES					
330	OTHER PROFESSIONAL TECHNICAL SERVICES					
510	PUPIL TRANSPORTATION					
580 590	TRAVEL OTHER PURCHASED SERVICES					
611	INSTRUCTIONAL SUPPLIES					
641	TEXTBOOKS					
700	PROPERTY					
700	INOLEKTI					
	TOTAL					
XANR	AGRICULTURE AND NATURAL RESOURCES					
XAVC	ARTS, AUDIO VIDEO TECH, AND COMM. SERVICES					
XBAS	BUSINESS AND ADMIN. SERVICES					
XCON	CONSTRUCTION					
XETS	EDUCATION AND TRAINING SERVICES					
XFS	FINANCIAL SERVICES					
XHS	HEALTH SERVICES					
XHSS	HUMAN SERVICES					
XHT	HOSPITALITY & TOURISM					
XITS	INFO. TECH. TELECOM. SERVICES					
XLP	LEGAL AND PROTECTIVE SERVICES					
XMAN	MANUFACTURING					
XPAG	PUBLIC ADMINISTRATION/GOVERNMENT SERVICES					
XSRT	SCIENTIFIC RESEARCH, ENGR. AND TECH SVCS.					
XTDL XWRS	TRANSPORTATION, DIST. AND LOGISTICS SVCS. WHOLESALE/RETAIL SALES AND SERVICES					
AWKS	WHOLESALE/RETAIL SALES AND SERVICES	L				

ORIGINAL REQUEST DATE		
RFP117-042308 POSTSECONDARY BASIC GRANT APPLICATION	STATE DEPARTMENT OF EDUCATION	DATE OF
DATE OF REVISED REQUEST DATE	PROGRAM MANAGER AUTHORIZATION	APPROVAL

Purpose Code Budget Form <u>Instructions</u>: Please complete the Purpose Code Budget Form by transferring your fiscal budget allocations from your ED 114 into the budget code column and allocate each budget line amount attributed to the purpose codes below.

ED 114 Budget Codes	Professional Development	Academic Skill Attainment	CTE Skill Proficiency	Work-based Learning/ Career Pathways	Special Populations	Parent, Community, Business Partnerships	Secondary/Post Secondary Linkages
111A Sample \$7,000		\$3,000		\$2,000	\$2,000		
111A		42,000		1-3 000	7-9000		
111B							
112A							
112B							
119							
200							
321							
322							
323							
330							
510							
580							
590							
611							
641							
700							
Total							

Instruction for Equipment Request Form

Equipment purchases must be part of an overall program improvement proposal for the CTE program. Equipment requests must be accompanied by a description of curriculum improvement/development within the program area where the equipment will be used.

In the budget narrative, indicate the amount of funds to be expended on equipment. Single component items under \$1,000 should not be coded as equipment unless all the component items comprise a larger piece of equipment and have a useful life of one year or more, such as a computer system (monitor, central processing unit, keyboard and printer). Items that do not meet the definition of equipment are to be coded as instructional supplies. **COMPUTERS REGARDLESS OF COST ARE CONSIDERED EQUIPMENT.**

Complete the Equipment Request Form as follows:

- A. GRANTEE Enter grantee's name.
- B. ADDRESS Enter grantee's address.
- C. DATE SUBMITTED Enter date submitted.
- D. NAME OF PERSON Indicate the name, title and telephone number of person completing the form.
- E. PROGRAM AREA Submit a *SEPARATE* equipment form for each cluster area. Indicate the <u>program area</u> for which equipment is being requested.
- F. REQUEST FOR EQUIPMENT The state program area consultant will review the equipment for appropriateness. Freight and installation costs and instructor training are permissible under this section. Leasing of equipment is allowable under Perkins IV. Equipment requiring accessories to become operational is to be grouped and listed as a single unit.
- G. List only one type of equipment on each line and describe the equipment; give the manufacturer's number, model number, quantity, unit cost, freight, installation (if any) and total cost for each item; state the intended location of equipment; and indicate the number of such existing items on hand.
- H. Add the total cost for all line items and enter the total on the Equipment Request Form.



Postsecondary Equipment Request Form Statutory Reference: P.L. 109-270 Grant Period: 2008-09

- 1. Prepare a separate equipment grant application form for each cluster.
- 2. Single component items under \$1,000 (with the exception of computers) should not be coded as equipment unless all the component items comprise a larger piece of equipment and have a useful life of one year or more. Items that do not meet the definition of equipment are to be coded as instructional supplies.

Grantee:				Address:			Date Submitted:	
Name of Person Completing Forms:				Title:			Telephone:	
Check the Program Area (1-16 below) for which Equipment is being requested				d. Check ONE Program Are	ea only.			
□ 1. Ag an	nd Nat. Resources	☐ 5. Informa	ation Tech	. Services	☐ 9. Business and Admi	n. Services	☐ 13. Lega	ll and Protective Services
☐ 2. Const		☐ 6. Wholes	sale, Retail	Sales	☐ 10. Health Services			nce Res. Eng. and Tech. Serv.
☐ 3. Manu	facturing	☐ 7. Financi	al Service	S	☐ 11. Human Services		☐ 15. Educ	cation and Training Services
4. Trans	. Dist. and Log. Services	☐ 8. Hospita	ality and T	ourism	☐ 12. Arts, Audio Video	, Comm.	☐ 16. Publ	ic Adm./Gov. Services
REQUEST FOR EQUIPMENT: Enter only or			e of equipr	ment on a line.				
Item No.	em No. Description		QTY	Unit	Freight/Install/	Total	Le	ocation of Equipment
				Cost	Training Charge	Cost		
TOTAL E	TOTAL EQUIPMENT REQUEST: \$ TOTAL EQUIPMENT APPROVED: \$							
Approved by SDE Consultant Date						Requested Amo		
						Amended	Requested An	nount

CARL D. PERKINS CAREER AND TECHNICAL EDUCATION IMPROVEMENT ACT ASSURANCES

- 1. Individuals who are members of special populations, including single parents and displaced homemakers, for high-skill, high-wage or high-demand occupations that will lead to self-sufficiency, shall be provided with equal access to recruitment, enrollment, placement activities and equitable participation in Perkins-funded CTE programs. Such populations shall be provided with equal access to the full range of applied education programs available to individuals who are not members of special populations, including occupationally specific courses of study, cooperative education, apprenticeship programs, comprehensive career guidance and counseling services, and shall not be discriminated against on the basis of their status as members of special populations. [Sec. 2354 (b) (9)]
- 2. The progress of special population students participating in programs receiving Perkins funds shall be assessed to ensure their successful participation in CTE programs in the most integrated setting possible. Strategies to overcome any barriers encountered by special populations shall be implemented. [Sec. 2354 (b) (8)]
- 3. An annual evaluation of CTE programs funded under this Act shall be conducted and shall include an assessment of the needs of special populations and whether such programs enable special populations to meet local levels of performance and prepare them for further learning or for high-skill, high wage careers. [Sec. 2354 (b) (8) (B)]
- 4. The grantee shall develop, improve or expand the use of technology in CTE programs and ensure that CTE personnel are trained to use state-of-the-art technology and effective techniques and practices. [Sec. 2355 (b) (4)]
- 5. The grantee shall provide CTE students with the academic and career and technical skills (including the math and science knowledge that provides a strong basis for such skills) that lead to entry into the technology fields. Encourage schools to collaborate with technology industries to offer work-based learning programs. [Sec. 2355 (b) (4) (B)]
- 6. The grantee shall encourage parental and community involvement in its career and technical programs. [Sec. 2355 (5) (A) (iii)]
- 7. The grantee shall provide a CTE program that is of sufficient size, scope and quality to bring about improvement in the quality of CTE programs. [Sec. 2354 (b) (6)]
- 8. Equipment purchased, when not being used to carry out the provisions of the Perkins Act, may be used for other instructional purposes if it does not interfere with the use of that equipment for programs funded under the Act and does not add to the cost of using that equipment. (34 CFR Sec. 80.32)
- 9. All equipment purchased under the Act must comply with the Education Department General Administrative Regulations (CFR Sec. 74.34)
- 10. The grantee shall develop strategies to promote non-traditional training and employment for students participating in CTE programs. [Sec. 2354 (b) (10)]
- 11. The grantee shall provide sufficient information to the State Department of Education to enable the Department to comply with the provisions of this Act. (20 U.S.C. §2301 et. seq.)

- 12. The CTE curriculum shall be planned, ongoing and systematic. [C.G.S. Sec. 10-16b]
- 13. The funds made available under the Act shall be used to supplement not supplant non-federal funds used to provide CTE activities.

I, the undersigned authorized official hereby certify that these assurances shall be fully implemented.

Signature:	 	
Name: (typed)	 	
Title: (typed)	 	
Date:	 	



Connecticut State Department of Education Standard Statement of Assurances Grant Programs

PROJECT TITLE: _		
THE APPLICANT:		HEREBY ASSURES THAT
	(Insert Agency)	

- A. The applicant has the necessary legal authority to apply for and receive the proposed grant;
- B. The filing of this application has been authorized by the applicant's governing body, and the undersigned official has been duly authorized to file this application for and on behalf of said applicant, and otherwise to act as the authorized representative of the applicant in connection with this application;
- C. The activities and services for which assistance is sought under this grant will be administered by or under the supervision and control of the applicant;
- D. The project will be operated in compliance with all applicable state and federal laws and in compliance with regulations and other policies and administrative directives of the Connecticut State Board of Education and the State Department of Education;
- E. Grant funds shall not be used to supplant funds normally budgeted by the agency;
- F. Fiscal control and accounting procedures will be used to ensure proper disbursement of all funds awarded;
- G. The applicant will submit a final project report (within 60 days of the project completion) and such other reports, as specified, to the State Department of Education, including information relating to the project records and access thereto as the State Department of Education may find necessary;
- H. The Connecticut State Department of Education reserves the exclusive right to use and grant the right to use and/or publish any part or parts of any summary, abstract, reports, publications, records and materials resulting from this project and this grant;
- I. If the project achieves the specified objectives, every reasonable effort will be made to continue the project and/or implement the results after the termination of state/federal funding;
- J. The applicant will protect and save harmless the State Board of Education from financial loss and expense, including legal fees and costs, if any, arising out of any breach of the duties, in whole or part, described in the application for the grant;
- K. At the conclusion of each grant period, the applicant will provide for an independent audit report acceptable to the grantor in accordance with Sections 7-394a and 7-396a of the Connecticut General Statutes, and the applicant shall return to the State Department of Education any monies not expended in accordance with the approved program/operation budget as determined by the audit;

- L. The grant award is subject to approval of the State Department of Education and availability of state or federal funds;
- M. The applicant agrees and warrants that C.G.S. Sections 4-190 to 4-197, inclusive, concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference; and

N. Required Contract Language

1. For purposes of this section, "Commission" means the Commission on Human Rights and Opportunities.

For the purposes of this section, "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (a) who are active in the daily affairs of the enterprise, (b) who have the power to direct the management and policies of the enterprise and (c) who are members of a minority, as such term is defined in subsection (a) of Conn. Gen. Stat. Section 32-9n; and "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements.

For purposes of this section, "sexual orientation" means having a preference for heterosexuality, homosexuality or bisexuality, having a history of such preference or being identified with such preference, but excludes any behavior which constitutes a violation of part VI of chapter 952 of the general statutes.

2. (a) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. If the contract is for a public works project, the contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such project. The contractor further agrees to take affirmative action to insure that applicants with job related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved; (b) the contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the commission; (c) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the commission, advising the labor union or worker's representative of the contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (d) the contractor agrees to comply with each provision of this section and Conn. Gen. Stat. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive, and with each regulation or relevant order issued by

said commission pursuant to said sections; (e) the contractor agrees to provide the commission on human rights and opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56.

- 3. Determination of the contractor's good faith efforts shall include but shall not be limited to the following factors: The contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.
- 4. The contractor shall develop and maintain adequate documentation, in a manner prescribed by the commission, of its good faith efforts.
- 5. The contractor shall include the provisions of subsection (2) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with this section and Conn. Gen. Stat. Sections 4a-62, 32-9e, 46a-56 and 46a-68b to 46a-68k, inclusive; provided if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.
- 6. The contractor agrees to comply with the regulations referred to in this section as they exist on the date of this contract and as they may be adopted or amended from time to time during the term of this contract and any amendments thereto.
- 7. (a) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any matter prohibited by the laws of the United States or of the state of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (b) the contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the commission on human rights and opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (c) the contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to sections 46a-56 of the general statutes; (d) the contractor agrees to provide the commission on human rights and opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56 of the general statutes.
- 8. The contractor shall include the provisions of subsection (7) of this section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the state and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the commission. The contractor

shall take such action with respect to any such subcontract or purchase order as the commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with section 46a-56 of the general statutes; provided, if such contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the commission, the contractor may request the state of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the state and the state may so enter.

- M. The grant award is subject to approval of the State Department of Education and availability of state or federal funds.
- N. The applicant agrees and warrants that Sections 4-190 to 4-197, inclusive, of the Connecticut General Statutes concerning the Personal Data Act and Sections 10-4-8 to 10-4-10, inclusive, of the Regulations of Connecticut State Agencies promulgated there under are hereby incorporated by reference.

I, the undersigned authorized official, hereby certify that these assurances shall be fully implemented.

Signature:	
Name (typed):	
Title (typed):	
Date:	



AFFIRMATIVE ACTION PLAN

IF A CURRENT AFFIRMATIVE ACTION PLAN IS ON FILE WITH THE STATE DEPARTMENT OF EDUCATION, COMPLETE THE STATEMENT WRITTEN BELOW AND SUBMIT AS PART OF THE PROPOSAL.

IF A CURRENT AFFIRMATIVE ACTION PLAN IS NOT ON FILE, COMPLETE THE AFFIRMATIVE ACTION PACKET AND SUBMIT AS PART OF THE PROPOSAL.

<u>CERTIFICATION THAT A CURRENT</u> AFFIRMATIVE ACTION PLAN IS ON FILE

I, the undersigned authorized official, hereby certify that the current affirmative action plan of the applying organization/agency is on file with the Connecticut State Department of Education. The Affirmative Action Plan is, by reference, part of this application.

Signature of Authorized Official	Date



Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.110.

Instructions for Certification

- 1. By signing and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment
- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transaction,' 'debarred,' 'suspended,' 'ineligible,' lower tier covered transaction,' 'participant,' 'person,' 'primary takeover~ transaction,' 'principal,' 'proposal,' and 'voluntarily excluded,' as used in this clause, have the meanings set out in the Definitions and Coverage sections of roles implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

- 6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by *this* clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Name of Applicant	PR/AWARD Number and/or Project Name
Printed Name and Title of Authorized Representative	
RFP117-042308 POSTSECONDARY BASIC GRANT APPLICATION	
Signature	Date

APPENDIX A

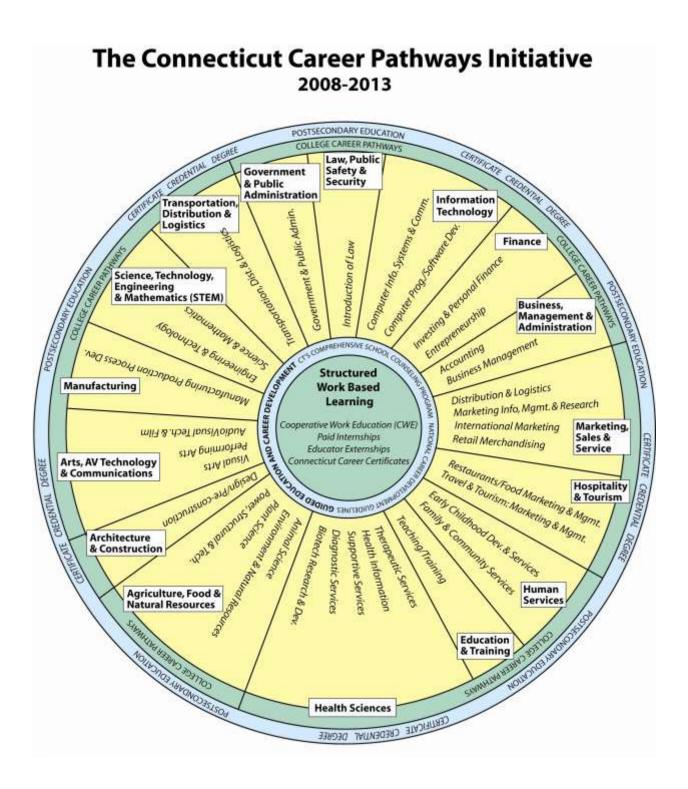
Federal CTE Clusters

Career clusters identify pathways from two-year to four-year postsecondary education, encouraging students to advance their education and career opportunities. The federal Office of Vocational and Adult Education has identified 16 career clusters, which represent all career possibilities.

Connecticut has established 34 career pathways organized under the federally-recognized 16 career clusters illustrated in Figure 1. Currently, there are 18 areas of concentration aligned to the 34 pathways and to the 16 career clusters that are assessed each year under the CTE assessment system. See Figure 2, for an illustration of the Connecticut Career Cluster Pathways Crosswalk. The crosswalk also indicates the non-traditional designation for each cluster, pathway and concentration.



Figure 1:



POSTSECONDARY BASIC GRANT APPLICATION

Figure 2:

Connecticut Career Cluster Pathways Crosswalk to Areas of Concentration and Nontraditional Designation CTE

		16 Career Clusters	34 Career Pathways	Areas of Concentration	
M	M	Agriculture, Food and Natural Resources	Animal Science	Animal Science Aquaculture and Marine- Related Technologies	
			Environment and Natural Resources	Natural Resources and Environmental Aquaculture and Marine-Related Technologies	
			Plant Science	Plant Science	
			Power, Structural and Tech	Agriculture Mechanics	
	M	Architecture and Construction	Design/Pre-constructionVisual Arts	Computer Aided Drafting and Design	
ion	F	Arts, Audio/Video Technology and Communications	Performing ArtsAudio Visual Tech and Film	Video Production Systems	n
gnat	F	Business, Management and Administration	 Accounting Business Management	Accounting Business Management	atio
Desi _s	F	Education and Training	Teaching/Training	Early Childhood Education and Services	3qnc
 nal]	M	Finance	 Investing and Personal Finance Entrepreneurship	Business Management	rk I
litio	F	Government and Public Administration	Government and Public Administration	N/A	Wo
* Nontraditional Designation	F	Health Sciences	 Therapeutic Services Health Information Supportive Services Diagnostic Services Biotech Research and Development 	Medical Careers Education	Cooperative Work Education
	F	Hospitality and Tourism	 Restaurants/Food Marketing and Management Travel and Tourism: Marketing and Management 	Nutrition, Food Production and Services Marketing Education	\mathbf{C}
	F	Human Services	Early Childhood Dev and ServicesFamily and Community Services	Early Childhood Education and Services	
	M	Information Technology	 Computer Info Systems and Communication Computer Program/Software Development 	Computer Information Systems	
	F	Law, Public Safety, Corrections and Security	Introduction of Law	Business Management	
P	M	Manufacturing 42308 ECONDARY BASIC GRANT APPLICATION	Manufacturing Production Process Development	Computer Aided Drafting and Design	

0.	V	16 Career Clusters	34 Career Pathways	Areas of Concentration
	F	Marketing, Sales and Service	 Distribution and Logistics Marketing Info, Management and Research International Marketing Retail Merchandising 	Marketing Education Fashion Marketing and Merchandising
	M	Science, Technology, Engineering and Mathematics (STEM)	Engineering and TechnologyScience and Mathematics	Pre-Engineering Technology
	M	Transportation, Distribution and Logistics	• Transportation, Distribution and Logistics	Automotive Technology

^{*} M = Males F = Females

For content specific information on career pathways contact the State Department of Education cluster leader below. Contact information can be found in Appendix A under Technical Assistance.

State Cluster Leader	Cluster	
Greg Kane	Agriculture, Food and Natural Resources	
	Architecture and Construction	
	Arts, Audio/Video Technology and	
	Communications	
	Manufacturing	
	Science, Technology, Engineering and	
	Mathematics (STEM)	
	Transportation, Distribution and Logistics	
Lee Marcoux	Business, Management and Administration	
	Finance	
	Government and Public Administration	
	Law, Public Safety, Corrections and Safety	
	Information Technology	
Lori Matyjas	Education and Training	
	Health Sciences	
	Hospitality and Tourism	
	Human Services	
Stephen Hoag	Marketing, Sales and Service	
Other Related Technical Assistance		
Diane Ross Gary	Cooperative Work Education for each career	
	pathway	
	Postsecondary linkages	
Judith Andrews	Work-based and Career Development	
Stephen Hoag	Areas of Concentration/CTE Assessment	
June Sanford	Federal Requirements/Data Reporting	

Approved by Forms Neview Committee:
RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

Figure 3: Connecticut Career Pathways Initiative Transition Plan

SAMPLE STUDENT SUCCESS PLAN

5	ence, Technolog Engineering &	y, Mathematics
21	0 0 0	

Name:	
Learner ID:	
School/College/University:	

Cluster: Science, Technology, Engineering and Mathematics (STEM)

Pathway: Engineering and Technology

Career Pathway Plan of Study for ► Learners ► Parents ► Counselors ► Teachers/Faculty

This Career Pathway (PEP) (based on the Science, Technology, Engineering and Mathematics Career Cluster) can serve as a guide, along with other career planning materials, as learners continue on a career path. Courses listed within this plan are only recommended coursework and should be individualized to meet each learner's educational and career goals. *This PEP, used for learners at an educational institution, should be customized with course titles and appropriate high school graduation requirements as well as college entrance requirements.

Education Levels	Grade	English/Language Arts	Math	Science	Social Studies/ Sciences	Other Required Courses Other Electives Recommended Electives Learner Activities	*Career and Technical Courses and/ or Degree Major Courses for Engineering and Technology Pathway	SAMPLE Occupations Relating to This Pathway	Program
	6 & 7	Interest Inventory Ad	ministered and Plan of S	Study Initiated for	all Learners		Exploratory Courses		131
	8	English	Pre-Algebra or Algebra 1	Integrated Science	American History	First Robotics	The Magic of Electronics		Pro
	9	English Composition	Algebra 1 or Geometry	Earth Science	Social studies 9	Experiential LearningA/P,Dual/Concurrent	Introduction to Engineering Design	2-yr College Degree	
DARY	10	English Literature	Geometry or Algebra II	Biology	Modern Europe	credit21st Century andProfessional skills	Principles of Engineering Information Technology Application	► Manufacturing Technician ► Electronic Technician	Counseling
SECONDARY	11	Literature and Composition	Pre-Calculus or Trigonometry	Chemistry U.S. Histor	U.S. History	Capstone ProjectsRelated extra-andco- curricular	Product Engineering and Development Digital Electronics	► Survey Technician ► CAD Technician	l Cou
		College Placement Ass	essments-Academic/Car	eer Advisement Pi	Arts elective		4-yr College Degree	<u> </u>	
	12	English Composition	Intermediate Algebra or Trig or Calculus or Math Analysis	Physics, Advanced Chemistry or Organic Chemistry	World Issues	Physical Education World Language	Civil Engineering and Architecture Engineering Innovation	➤ Aerospace Engineer	ive School
	Articulation	n/Dual Credit Transcrip	ted-Postsecondary cour	ses may be taken/n	ry level for articulation/dua	al credit purposes		ıns	
SY.	Year 13	English Composition	Algebra or Trig Calculus I Calculus II	Physics or Chemistry	American Government Global Issues	Experiential LearningPracticum	Engineering Analysis Engineering Design		rehe
POST SECONDARY	Year 14	Speech/Oral Communication or Technical Writing	Intro to Differential Equations Calculus & Statistics	Organic Chemistry Microbiology	Modern Western Traditions Ethics/Legal Issues	Portfolio 11st Century Professional Skills Internship	Engineering Processes		Comprehensive
SIS	Year 15	Literature	Statistical or Tri	Chemistry	Economics or Geography	Related extra- & co- curricular	Continue Courses in Area of Specialization		$\mathbf{C}\mathbf{I}$
P(Year 16	Technical Writing	Math	Physics	Psychology or Anthropology		Complete Engineering and Technology Major (4-Year Degree Program)		

Connecticut State Department of Education, Division of Teaching, Learning and Instructional Leadership

Blank copies and samples of the Connecticut Pathways can be found at www.state.ct.us/sde/

APPENDIX B

EVALUATION CRITERIA

Eligible Applicant:			
Reviewer:			
Date Approved:			

	Evaluation Criteria	Acceptable	Unacceptable	N/A
Pla	n Narrative			
1.	Description of how CTE programs will be carried out.			
2.	Description of how CTE activities will meet state and local adjusted levels of performance.			
3.	Description of how the college will establish not less than one career pathway; improve academic integration; provide an understanding of all aspects of an industry; students are taught to the same rigorous academic subjects as to all other students; encourage students to enroll in rigorous challenging core academic subjects.			
4.	Description of professional development plan.			
5.	Description of how stakeholders are involved and informed of Perkins requirements, evaluation and programs of study.			
6.	Assurances that programs are of appropriate size, scope and quality.			
7.	Description of process to evaluate and continuously improve performance.			
8.	Description of strategies to include and provide access to special populations.			
9.	Description of how members of special populations will not be discriminated against.			
10.	Description of how funds will be used to promote preparation for nontraditional fields.			
11.	Description of how career guidance and academic counseling will be provided to CTE students, including linkages to future education and training opportunities.			
12.	Description of efforts to improve recruitment and retention of CTE teachers, faculty, career guidance and academic counselors, including underrepresented groups; and the transition to teaching from business and industry.			
CO	NSORTIUM			
	nt project(s) are identified.			
	ficient funds have been targeted for the joint project(s).			
	lege members have been identified.			
	cal agent has been identified.			
PU	STSECONDARY BASIC GRANT APPLICATION		<u> </u>	

Evaluation Criteria	Acceptable	Unacceptable	N/A
BUDGET			
ED 114			
Narrative with line item explanation and justification.			
Administrative funds are within 5 percent cap.			
Professional development is no less than 5 percent.			
EQUIPMENT			
Explanation of use.			
Program area for the equipment is indicated.			
Equipment form is completed.			
OTHER REQUIREMENTS			
Purpose code provided.			
Advisory board.			
Professional development outcomes.			
Career pathway grid.			
Continuous improvement grid for each program area.			
Core indicator district performance and evaluation.			

COMMENTS:			
Approved By Father Review Committees			
POSTSECONDARY BASIC GRANT APPLICATION			

APPENDIX C

Perkins IV Technical Assistants 2008 - 2013

Region A	Re	gion B	Region C	Region D
Dr. Stephen Hoag	Gregory Kane		Lee Marcoux	Lori Matyjas
(860) 713-6764	(860)	713-6756	(860) 713-6768	(860) 713-6785
Bridgeport	Bloomfield	CREC I	Danbury	Griswold
Fairfield	East Hartford	Avon	Newtown	Killingly
Greenwich	Enfield	Bolton	New Fairfield	Plainfield
Norwalk	Farmington	Canton	Brookfield	Putnam
Stamford	Glastonbury	Somers	New Milford	Stafford
Stratford	Hartford	Windsor Locks	Torrington	Colchester
Trumbull	Manchester	Dept. of Corr.	Bethel	Windham
Westport	Berlin	Rocky Hill	Ridgefield	RSD #8
New Canaan	Vernon			Tolland
Wilton	West Hartford	CREC II	RSD #7	
	Wethersfield	Ellington	Litchfield	Lebanon
	Windsor	E. Windsor	RSD #6	Coventry
	CREC	Granby		RSD #11
	South Windsor	Suffield	Education Connection	RSD #19
	Plainville		RSD # 9	Woodstock Academy
	Simsbury		RDS #16	Thompson
	Newington		Plymouth	
			RSD #12	
			Oxford	

Region E	Region F	Red	gion G	Region H
Dr. Stephen Hoag	Judith Andrews	Dr. Diane Ross Gary		June Sanford
(860) 713-6764	(860) 713-6766		713-6593	(860) 713-
(000) / 12 0/01	(000) 710 0700	(000)	110 0000	6765
Meriden	Ansonia	Clinton	Housatonic CC	Cheshire
		Groton	Norwalk CC	Naugatuck
Middletown	Branford/North Branford	Montville		Seymour
Bristol	Madison	New London	Middlesex CC	Waterbury
New Britain	East Haven	Norwich Free Acad.	Asnuntuck CC	Watertown
Southington	Derby	Stonington	Northwestern CC	RSD #14
	Hamden	Waterford		Monroe
RSD #13	Milford		Three Rivers CC	Wolcott
Portland	New Haven	LEARN	Naugatuck Valley CC	Thomaston
	North Haven	East Haddam	Gateway CC	RSD #15
	Shelton	East Lyme	Quinebaug CC	
	Wallingford	Ledyard	Capital CC	
	West Haven	Old Saybrook	Manchester CC	
	RSD #5	Westbrook	Tunxis CC	
Aportova	d By Forms Review Committee:	RSD #4	CT Tech. High School	
R	P117-042308	RSD #17		
POSTSECONDA	T BASIC GRANT APPLICATION	RSD #18		

APPENDIX D SAMPLE

Continuous Improvement Grid Perkins IV Grant Application

Program Area: Family and Consumer Sciences

Focus Area	Progress and Continuous Improvement				
WB + CP	Students enrolled in Early Childhood Development II participate in structured district				
	teacher assistant programs. For students interested in becoming teachers, a structured				
	work-based experience allows students to assist district elementary and middle				
	schools teachers. Some students participate in a structured, paid work experience in				
	area daycare centers. The Family and Consumer Sciences teacher is responsible for				
	coordinating and monitoring the worksite experiences. The student receives				
	additional credit for the work-based experience. Plans are to extend the work				
	experience program to students enrolled in the culinary program. A clearly				
	delineated CCP will be established for Early Childhood Development and Services in				
	cooperation with Asnuntuck Community College and the University of Connecticut				
	(UCONN).				
CTEAC	In 2007-2008, a CTE Advisory Board established to serve the Early Childhood				
	Program met three times to discuss curriculum improvements and opportunities for				
	students. The advisory board has been expanded to include members from each				
	program/cluster area.				
PSL	Currently, students are enrolled in the Human Development Program articulated with				
	UCONN that provides students with high school/college dual credit through the CCP				
	program.				

1. Develop comprehensive work-based learning programs and career pathways:

Work-based learning opportunities are structured through the use of a written work plan that connects the work-based learning to the classroom curriculum. They include externships for educators, employer-paid internships or cooperative work experiences for students that are both monitored and mentored, and/or non-paid credit-bearing structured entrepreneurial activities or community service learning projects. Student work-based learning activities shall be identified and selected in the context of an individual, comprehensive student career development plan that is created and maintained throughout the student's postsecondary education.

2. Establish CTE advisory partnership committees:

A CTE partnership/advisory committee must be in place to provide curriculum enhancement and opportunities for work-based experience for teachers and students. This committee should comprise, but not be limited to, representatives of business and industry, postsecondary education, parents, faculty, administrators, students and community organizations. This linkage is considered to be an essential ingredient to inform educators of current and future employment needs and to assist in the design of CTE programs.

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RFP117-042308
POSTSECONDARY BASIC GRANT APPLICATION

3. Establish postsecondary linkages (should include, but not be limited to, College Career Pathways and any other type of dual credit program):

Develop and implement a four-year or six-year CTE program that combines secondary and postsecondary programs and leads to a two-year associate degree or two-year certificate in a related educational field or a baccalaureate degree in a career field. Use work-based or work-site learning in conjunction with business and all aspects of the industry.

4. Establish CTE advisory partnership committees:

A CTE partnership/advisory committee must be in place to provide curriculum enhancement and opportunities for work-based experience for teachers and students. This committee should comprise, but will not be limited to, representatives of: business and industry, post-secondary education, parents, faculty, administrators, students and community organizations. This linkage is considered to be an essential ingredient to inform educators of current and future employment needs and to assist in the design of CTE programs.

5. Establish postsecondary linkages (should include but not be limited to the College Career Pathways and any other type of dual credit program):

Develop and implement a four-year or six-year CTE program that combines secondary and post-secondary programs and leads to a two-year associate degree or two-year certificate in a related applied educational filed or a baccalaureate degree in a career field. Use work-based or work-site learning in conjunction with business and all aspects of the industry.



APPENDIX E

Local Uses of Funds

Those in bold are new in Perkins IV

Required Uses of Funds

- 1. Strengthen the academic and career and technical skills of students participating in CTE programs through the integration of academics with CTE programs
- 2. Link CTE at the secondary level and the postsecondary level, including offering the relevant elements of not less than one Program of Study described in Section 122(c)(1)(A).
- 3. Provide students with strong experience in and understanding of, all aspects of an industry, which may include work-based learning experiences.
- 4. Develop, improve or expand the use of technology in CTE, which may include training to use technology, providing students with the skills needed to enter technology fields and encouraging schools to collaborate with technology industries to offer internships and mentoring programs.
- 5. Provide in-service and pre-service professional development programs to teachers, faculty, administrators and career guidance and academic counselors who are involved in integrated CTE programs on topics including effective integration of academics and CTE, effective teaching skills based on research, effective practices to improve parental and community involvement, effective use of scientifically-based research and data to improve instruction. Professional development should also ensure that teachers and personnel stay current with all aspects of an industry; involve internship programs that provide relevant business experience; and train teachers in the effective use and application of technology.
- 6. Develop and implement evaluations of the CTE programs carried out with Perkins funds, including an assessment of how the needs of special populations are being met.
- 7. Initiate, improve, expand and modernize quality CTE programs, including relevant technology.
- 8. Provide services and activities that are of sufficient size, scope and quality to be effective.
- 9. Provide activities to prepare special populations, including single parents and displaced homemakers who are enrolled in CTE programs, for high-skill, high-wage or high-demand occupations that will lead to self-sufficiency.

Permissible Uses of Funds

- 1. Involve parents, businesses and labor organizations, in the design, implementation and evaluation of CTE programs.
- 2. Provide career guidance and academic counseling, which may include information described in Section 2328 of Perkins IV, for students participating in CTE programs that improves graduation rates and provides information on postsecondary and career options and provides assistance for postsecondary students and adults.

- 3. Local education and business partnerships, including work-related experiences for students, adjunct faculty arrangements for qualified industry professionals and industry experience for teachers and faculty.
- 4. Provide programs for special populations.
- 5. Assist career and technical student organizations.
- 6. Provide mentoring and support services.
- 7. Provide leasing, purchasing, upgrading or adapting equipment, including instructional aids and publications (including support for library resources) designed to strengthen and support academic and technical skills achievement.
- 8. Teacher preparation programs that address the integration of academic and CTE and that assist individuals who are interested in becoming CTE teachers and faculty, including individuals with experience in business and industry.
- 9. Develop and expand postsecondary program offerings at times and in formats that are accessible for all students, including through the use of distance education.
- 10. Develop initiatives that facilitate the transition of sub-baccalaureate CTE students into baccalaureate degree programs, including articulation agreements, dual enrollment programs, academic and financial aid counseling and other initiatives to overcome barriers and encourage enrollment and completion.
- 11. Provide activities to support entrepreneurship education and training.
- 12. Improve or develop new CTE courses, including the development of Programs of Study for consideration by the state and courses that prepare individuals academically and technically for high-skill, high-wage or high-demand occupations and dual or concurrent enrollment opportunities.
- 13. Develop and support small, personalized career-themed learning communities.
- 14. Provide support for family and consumer sciences programs.
- 15. Provide CTE programs for adults and school dropouts to complete secondary education or upgrade technical skills.
- 16. Provide assistance to individuals who have participated in services and activities under this Act in continuing their education or training or finding an appropriate job.
- 17. Support training and activities (such as mentoring and outreach) in non-traditional fields.
- 18. Provide support for training programs in automotive technologies.
- 19. Pool a portion of such funds with a portion of funds available to other recipients for innovative initiatives. RFP117-042308
 POSTSECONDARY BASIC GRANT APPLICATION
- 20. Support other CTE activities consistent with the purpose of the Act.

APPENDIX F

ED 114 Budget Form Object Code Descriptions—Carl D. Perkins Postsecondary

111A Administrator/Supervisor Salaries

Amounts paid to administrative employees of the grantee not involved in providing direct services to pupils/clients. Include all gross salary payments for these individuals while they are on the grantee payroll, including overtime salaries or salaries paid to employees of a temporary nature.

111B Teachers

Salaries for employees providing direct instruction/counseling to pupils/clients. This category is used for both counselors and teachers. Include all salaries for these individuals while they are on the grantee payroll, including overtime salaries or salaries of temporary employees. Substitute teachers or teachers hired on a temporary basis to perform work in positions of either a temporary or permanent nature are also reported here. Tutors or individuals whose services are acquired through a contract are not included in the category. A general rule of thumb is that a person for whom the grantee is paying employee benefits, and who is on the grantee payroll, is included; a person who is paid a fee with no grantee obligation for benefits is not.

112A Education Aides

Salaries for grantee employees who assist staff in providing classroom instruction. Include all gross salaries for these individuals while they are on the grantee payroll, including overtime salaries or salaries of temporary employees.

112B Clerical

Salaries for grantee employees performing clerical/secretarial services. Include all gross salaries for these individuals while they are on the grantee payroll, including overtime salaries or salaries of temporary employees.

119 **Other**

Salaries for any other grantee employee not fitting into objects 111A, 111B, 112A or 112B. Include the gross salaries for these individuals including overtime salaries or temporary employees. Included can be janitorial personnel costs, grant activity coordinators' salaries and food service personnel.

200 Personal Services - Employee Benefits

Amounts paid by the grantee on behalf of the employees whose salaries are reported in objects 111A, 111B, 112A, 112B or 119. These amounts are not included in the gross salary but are in addition to that amount. Such payments are fringe benefit payments and, while not paid directly to employees, nevertheless are part of the cost of personal services. Included is the employer's cost of group insurance, social security contribution, retirement contribution, tuition reimbursement, unemployment compensation and workmen's compensation insurance.

321 Tutors (Instructional Non-Payroll Services)

Payments for services performed by qualified persons directly engaged in providing learning experiences for students. Include the services of teachers and teachers' aides who are not on the payroll of the grantee.

322 In-service (Instructional Program Improvement Services)

Payments for services performed by persons qualified to assist teachers and supervisors to enhance the quality of the teaching process. This category includes curriculum consultants, inservice training specialists, etc., who are not on the grantee payroll.

323 **Pupil Services (Non Payroll Services)**

Expense for certified or licensed individuals who are not on the grantee payroll and who assist in solving pupils' mental and physical problems. This category includes medical doctors, therapists, audiologists, neurologists, psychologists, psychiatrists, <u>contracted</u> guidance counselors, etc.

330 Other Professional/Technical Services

Payments for professional or technical services that are not directly related to instructional activities. Included are payments for data processing, management consultants, legal services, etc. Do not include the cost of an independent auditor in this category.

Pupil Transportation

Expenditures for transporting pupils to and from school and other activities. Included are such items as bus rentals for field trips and payments to drivers for transporting handicapped children.

580 Travel

Expenditures for transportation, meals, hotel and other expenses associated with <u>staff</u> travel. Per diem payments to staff in lieu of reimbursement for subsistence (room and board) are also included.

590 Other Purchased Services

All other payments for services rendered by organizations or personnel not on the GRANTEE payroll not detailed in 510, 530, 560, or 580. These include: Insurance Costs (other than employee benefits) - payments for all types of insurance coverage including property, liability and fidelity, Printing and Binding - publication costs and Advertisement - any expenditures for announcements in professional publications, newspapers or broadcasts over radio or television including personnel recruitment, legal ads and the purchase and sale of property.

611 **Instructional Supplies**

Expenditures for consumable items purchased for instructional use.

641 **Textbooks**

Expenditures for textbooks, workbooks, textbook binding and repair.

700 **Property**

Expenditures for acquiring fixed assets, including land or existing buildings, improvements of grounds, initial equipment, additional equipment and replacement of equipment. For most grants only equipment such as computers, duplicating machines, furniture and fixtures is allowable and the line item description on the budget will read Property/Equipment only. Other items which could be included in this category if allowable under grant legislation are expenditures for the acquisition but not the rental of buildings and land. Although cost of materials which resulted in a new or vastly improved structure would also be included here, the expenditures for the contracted construction of buildings, for permanent structural alterations, and for the initial or additional installation of heating and ventilating systems, fire protection systems and other service systems in existing buildings are recorded under Object 400 - Purchased Property Services.

In accordance with the Connecticut State Comptroller's definition of equipment, included in this category are all items of equipment (machinery, tools, furniture, vehicles, apparatus, etc.) with a value of over \$1,000.00 and the useful life of more than one year.

APPENDIX G

ANNIE E. CASEY FOUNDATION

All RFPs for competitive grants related to at risk youth must contain the uniform language that follows.

Applicants that are part of a collaborative effort funded in whole or in part by the Annie E. Casey Foundation must submit documentation that:

- The collaborative oversight entity has been provided the opportunity to review and comment on the grant application or proposal prior to submission to the SDE.
- The proposal or application submitted provides information detailing the activities, which assure
 priority access to services to children, youth and families referred by the collaborative oversight
 entity.
- The application shall designate someone to act as liaison for the referral process.

