



Criminal Justice Policy & Planning Division

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WWW.CT.GOV/OPM/CriminalJustice/Research

November 2007

Current Correctional Population Indicators Monthly Report

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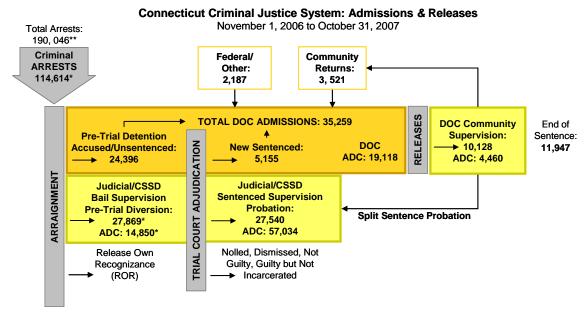
Department of Mental Health & Addiction Servs Alfred Bidorini, Director of Planning

Executive Summary

- The total prison population reached its highest level at 19,828 offenders on November 1, 2007.
- Community returns to DOC custody remained steady at 10 % of total admissions.
- DOC releases to community supervision continue to drop in every category except transitional supervision, with the largest decreases being discharges to parole and to halfway houses.
- DOC Non End of Sentence releases represents 46% of total releases for this 12 month period.
- For the month of October, there were no paroles granted or denied by the full board. Consequently, there is no grant rate for full panel hearings in October.
- This month there were 94 paroles granted and 49 denied by administrative reviews. This represents an administrative review parole granting rate of 66%, which is 15% less than the 81% average granting rate.
- Probation referrals to community-based services for the month of September (1,397) now represents 66% of the 12 month total from October 2006 to September 2007 for probationer community placements, and is 13% higher than the 12 month average (1,239).
- Referrals to inpatient/residential treatment programs increased in September (43) and is now only 5% below the 12 month average of 45.
- If the five-year trends continue, the prison population six-month forecast projects that the prison population will decrease by approximately 1.5% from November 2007 to May 2008, and that the May 2008 prison population will be approximately 19, 540 offenders. It should be noted that these projections do not take into account changes that may result from recent events and/or the impact of future legislation.

Historical perspectives, supportive data and additional analysis pertaining to these statutorily required correctional population indicators will be available on our web site at www.ct.gov/opm/criminaljustice/research.

CHART 1



Data Sources: Arrest-Judicial/Court Operations; Court-Judicial/CSSD; Prison-Department of Correction; DOC Daily Population Counts.

*NOTE: Non-comparable value represents cases, not clients. There may be multiple cases per client.

These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

The flowchart above is a summary of the Connecticut Criminal Justice System for the period November 1, 2006 to October 31, 2007. Unlike other states that have county governments, the majority of agencies within the criminal justice system are State agencies. The one exception is law enforcement, where there are over 90 local police departments and the Connecticut State Police.

Total Offender Flow Year to Date: For the 12 month period from November 2006 to October 2007 (see CHART 1):

- Criminal Arrests: A total of 114,614 criminal arrests were made
- Pre-Trial Diversion: 27,869 cases resulted in no detainment and placement in a CSSD Pre-Trial Diversion program
- Sentenced to Probation: 27,540 convicted offenders were sentenced to probation

Total Offenders under Supervision:

On a daily basis, the total Average Daily Count (ADC) of offenders under CSSD and DOC supervision is **95,462** offenders: (see CHART 1)

- CSSD Pre-trial arrestees and probationers: On average 57, 034 offenders on sentenced supervised probation and 14,850 pre-trial/diverted offenders are supervised by CSSD on a daily basis
- DOC Accused and Sentenced: On average 19,118 incarcerated offenders and 4,460 offenders in the community are supervised by DOC on a daily basis

For the 12 month period from November 2006 to October 2007 a total of 35,259 people were admitted to DOC

- Accused/Un-sentenced: 24,396 arrestees or 69% of DOC admissions were detained and placed in prison prior to their trial
- New Sentenced: 5,155 convicted offenders or 15% of DOC admissions were new sentences to prison
- Community Returns: 3,521 offenders or 10% of admissions were from DOC community programs
- Federal/Other: 2,187 offenders or 6% of DOC admissions were sentenced to a federal prison and being housed in a Connecticut DOC facility

For the 12 month period from November 2006 to October 2007 a total of 22,075 people were released from DOC custody or discharged to DOC Community Supervision

- End of Sentence: 11,947 offenders or 54% reached their end of sentence (EOS) and were released from DOC custody
- DOC Community Supervision: 10,128 offenders or 46% were discharged to DOC community supervision

^{**}Total Arrests include violations of the Connecticut Motor Vehicle and Criminal Statutes.

Types of Community Supervision in Connecticut

The table below shows the various types of community supervision in Connecticut (see TABLE 1).

TABLE 1

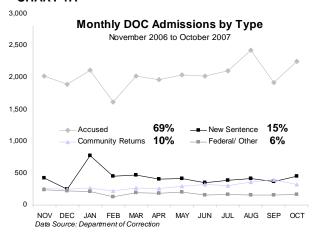
Types of Community Supervision in Connecticut

| Who decides if released to community? | Types of Release | Eligibility | Supervised By |
|---------------------------------------|----------------------------------|---|----------------------------|
| | Transitional Supervision (TS) | A prison sentence of 2 years or less | DOC Parole Officers |
| | Halfway House (HWH) | 18 months prior to end of sentence or parole release | DOC Parole Officers |
| Department of Correction (DOC) | Furlough | 30 days from end of sentence or parole release | DOC Parole Officers |
| | Transitional Placement | Placement in an approved community or private residence after satisfactory performance in a residential program | DOC Parole Officers |
| | Parole | A prison sentence of more than 2 years | DOC Parole Officers |
| Board of Pardons and Parole (BOPP) | Transfer Parole | Up to 18 months in advance of voted to parole date | DOC Parole Officers |
| | Special Parole | Court imposed period of parole following expiration of maximum term(s) of imprisonment | DOC Parole Officers |
| Court Support Services Division | Probation | A defendant found guilty of a crime is released by the court without imprisonment subject to conditions of supervision imposed by the court (e.g. such as paying a fine, doing community service or attending a drug treatment program) | CSSD Probation Officers |
| (CSSD) / Courts | Split Sentence Probation | Court imposed period of post-sentence supervision subject to conditions imposed by the court. | CSSD Probation Officers |

Department of Correction Admissions

(1A) Total Admissions to prison directly from Courts for the 12 month period: November 2006 to October 2007 A total of 35,259 offenders were admitted to prison directly from courts (see CHART 1A and TABLE 1A)

CHART 1A



- Accused offenders awaiting trial: 24,396 accused offenders or 69% of new admissions were awaiting trial, sometimes known as the pretrial population.
- New sentence: 5,155 offenders or 15% of admissions were new sentence admissions

TABLE 1A

Monthly DOC Admissions by Type

November 2006 to October 2007

| | | New | Community | Federal/ | |
|---------|---------|----------|-----------|----------|--------|
| | Accused | Sentence | Returns | Other | Total |
| NOV | 2,025 | 423 | 254 | 244 | 2,946 |
| DEC | 1,896 | 246 | 250 | 220 | 2,612 |
| JAN | 2,114 | 773 | 264 | 210 | 3,361 |
| FEB | 1,612 | 449 | 221 | 126 | 2,408 |
| MAR | 2,018 | 471 | 265 | 194 | 2,948 |
| APR | 1,969 | 409 | 257 | 187 | 2,822 |
| MAY | 2,038 | 416 | 300 | 202 | 2,956 |
| JUN | 2,019 | 354 | 321 | 157 | 2,851 |
| JUL | 2,108 | 385 | 307 | 167 | 2,967 |
| AUG | 2,426 | 413 | 364 | 161 | 3,364 |
| SEP | 1,919 | 367 | 395 | 157 | 2,838 |
| OCT | 2,252 | 449 | 323 | 162 | 3,186 |
| Total | 24,396 | 5,155 | 3,521 | 2,187 | 35,259 |
| Avg | 2,033 | 430 | 293 | 182 | 2,938 |
| % Total | 69% | 15% | 10% | 6% | |

Data Notes: Data reflects counts from November 1, 2006 to October 31, 2007 and consists of counts of newly admitted offenders. Accused offenders who are sentenced to prison while already incarcerated are not included in this data. Offenders admitted prior to their conviction and subsequent to their prison sentence were previously counted under "Accused".

(1B) Total Re-Admissions to Prison from DOC Community Supervision for the 12 month period: November 2006 to October 2007 A total of 3,521 or 10% of offenders admitted to prison were re-admitted from DOC Community Supervision (see CHART 1B.1 and TABLE 1B.1)

- Parole: 1, 746 or 49.6% of offenders readmitted to prison from community supervision were on parole
- Transitional Supervision (TS): 1,084 or 30.8% of offenders readmitted to prison from community supervision were on TS
- Halfway House (HWH): 582 or 16.5% of offenders readmitted to prison from community supervision were from HWH
- Re-Entry Furlough: 109 or 3.1% of offenders readmitted to prison from community supervision were on re-entry furlough

TABLE 1B.1

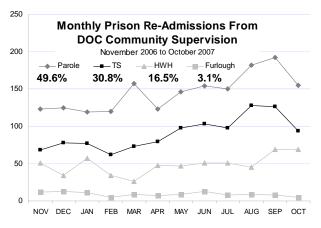
Monthly Prison Re-Admissions From DOC

Community Supervision

November 2006 to October 2007 Parole TS HWH Furlough Total NOV 123 68 51 12 254 DEC 125 78 34 13 250 JAN 119 77 57 11 264 **FEB** 62 221 120 34 5 MAR 73 26 9 265 157 **APR** 123 79 48 7 257 MAY 146 98 47 9 300 JUN 154 103 51 13 321 JUL 150 98 51 8 307 **AUG** 182 128 45 9 364 SEP 192 126 69 8 395 OCT 155 94 69 5 323 Total 1,746 1,084 582 109 3,521 146 90 49 9 293 Avg % Total 49.6% 30.8% 16.5% 3.1%

Data Note: Data reflects counts from November 1, 2006 to October 31, 2007. These percentages do not reflect rates of return.

CHART 1B.1



Data Source: Department of Correction

Department of Correction Admissions (continued)

(1B) Total re-admissions to Prison from DOC Community Supervision for the month of October 2007: A total of 323 offenders were re-admitted to prison from DOC Community Supervision with violations (see TABLE 1B.2 and CHART 1B.2)

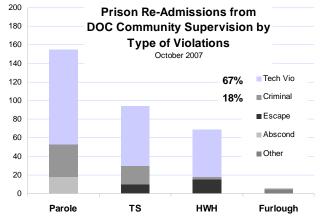
- Technical Violations: 217 offenders or 67% of readmissions to prison from DOC Community Supervision were for technical violations
- Criminal Violations: 59 offenders or 18% of readmissions to prison from DOC Community Supervision were for criminal violations

TABLE1B.2
Prison Re-Admissions from DOC Community Supervision by
Type of Violations

| | | Octobe | er 2007 | | | |
|----------|--------|--------|---------|----------|-------|---------|
| | Parole | TS | HWH | Furlough | Total | % Total |
| Tech Vio | 102 | 64 | 51 | 0 | 217 | 67% |
| Criminal | 35 | 20 | 3 | 1 | 59 | 18% |
| Escape | 0 | 10 | 15 | 0 | 25 | |
| Abscond | 18 | 0 | 0 | 0 | 18 | |
| Other | 0 | 0 | 0 | 4 | 4 | |
| Total | 155 | 94 | 69 | 5 | 323 | |
| % Total | 48% | 29% | 21% | 2% | | |

Data Note: Cannot Escape from Parole, any entries moved to Abscond.

CHART 1B.2



Data Source: Department of Correction

TABLE1B.3
Monthly Prison Re-Admissions from DOC
Community Supervision with Technical
Violations

November 2006 to October 2007 HWH Furlough Parole TS Total NOV 37 2 53 41 133 DEC 53 44 20 0 117 JAN 59 51 32 0 142 16 **FEB** 57 36 0 109 15 MAR 81 39 0 135 **APR** 67 44 23 0 134 MAY 64 55 34 0 153 JUN 78 66 24 3 171 JUL 71 62 30 2 165 25 AUG 122 99 0 246 SEP 192 126 69 8 395 OCT 102 64 51 0 217 Total 999 727 376 15 2,117 83 61 31 176 Avg 34% % Total 47% 18%

(1C) Total Re-Admissions to Prison because of Violations of Probation (VOP) for the month of October 2007: A total of 103 offenders were re-admitted to prison for violation of probation (see TABLE 1A and TABLE 1C)

 Probation Violations: Of the 449 new sentence offenders admitted to DOC prisons in October 2007, 103 of them or 23% were readmitted to prison for violation of probation

TABLE 1C

Sentenced Inmates with Violations of Probation (VOP)

| | November 2006 to October 2007 | | | | | | | | |
|-------|-------------------------------|--------|-----------|-----------------------|----------------------|--|--|--|--|
| | <=2 YR | > 2 YR | VOP Total | Total New Sentence | % of New Sentence | | | | |
| NOV | 73 | 23 | 96 | 423 | 23% | | | | |
| DEC | 47 | 15 | 62 | 246 | 25% | | | | |
| JAN | 125 | 32 | 157 | 773 | 20% | | | | |
| FEB | 77 | 18 | 95 | 449 | 21% | | | | |
| MAR | 83 | 18 | 101 | 471 | 21% | | | | |
| APR | 68 | 15 | 83 | 409 | 20% | | | | |
| MAY | 76 | 17 | 93 | 416 | 22% | | | | |
| JUN | 63 | 15 | 78 | 354 | 22% | | | | |
| JUL | 76 | 18 | 94 | 385 | 24% | | | | |
| AUG | 83 | 17 | 100 | 413 | 24% | | | | |
| SEP | 58 | 13 | 71 | 367 | 19% | | | | |
| OCT | 87 | 16 | 103 | 449 | 23% | | | | |
| Total | 916 | 217 | 1,133 | 5,155 | | | | | |
| Avg | 76 | 18 | 94 | 430 | | | | | |

Data Notes: Due to its design, the DOC Management Information System can only provide the current month's count of probation violators. Also, it is not possible to determine how many of the probation violators were sentenced to prison for committing a new criminal offense or for technical violations of probation.

- (2) Total Releases from DOC for the 12 month period: November 2006 to October 2007 A Total of 22, 075 offenders were released from prison and from DOC Community Supervision (see CHART 2, 2A and TABLE 2)
- Total End of Sentence (EOS): 11, 947 or 54% of offenders reached their end of sentence and were no longer under DOC custody/supervision
- Total Non EOS: 10,128 or 46% of offenders were released to DOC community supervision

Total Releases from DOC for the month of October **2007:** A Total of **1.465** offenders were released from prison and from DOC Community Supervision

Total End of Sentence (EOS): 978 offenders reached their end of sentence and were no longer under DOC custody/supervision. Compared to the 12-month average, the number of offenders released at EOS decreased for the month of October

Total Offenders released to DOC Community Supervision after serving their period of incarceration for the 12 month period: November 2006 to October 2007 A total of 10,128 or 46% of offenders were released to DOC community supervision

- Parole: 2, 451 or 24% of offenders released to community supervision went on parole
- Transitional Supervision (TS): 2,823 or 28% of offenders released to community supervision went on transitional supervision
- Halfway Houses (HWH): 2,009 or 20% of offenders released to community supervision went to a halfway
- Furloughs: 2,845 or 28% of offenders released to community supervision went on furlough

Total Offenders released in October 2007 to DOC Community Supervision after serving their period of incarceration: A total of 487 offenders were released to DOC community supervision

- Parole: 54 offenders were released to parole. Compared to the 12-month average, the number of offenders released on parole decreased by 74% for the month of October
- Transitional Supervision (TS): 184 offenders were released to transitional supervision. Compared to the 12-month average, the number of offenders released on TS decreased by 22% for the month of October
- Halfway Houses (HWH): 120 offenders were released to halfway houses. Compared to the 12-month average, the number of offenders released to HWH decreased by 28% for the month of October
- Furloughs: 129 offenders were released on furloughs. Compared to the 12-month average, the number of offenders released on furlough decreased by 46% for the month of October

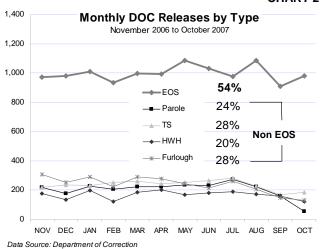
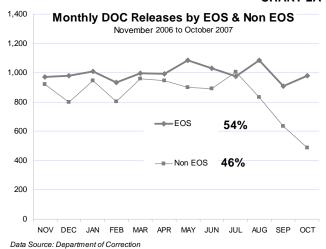


CHART 2A



Data Note: Non EOS = Parole + TS + HWH + Furlough.

TABLE 2 Monthly DOC Releases by Type

November 2006 to October 2007

| | EOS | Parole | TS | HWH | Furlough | Total |
|---------|--------|--------|-------|-------|----------|--------|
| NOV | 971 | 219 | 217 | 177 | 309 | 1,893 |
| DEC | 981 | 175 | 234 | 136 | 253 | 1,779 |
| JAN | 1,011 | 229 | 233 | 197 | 289 | 1,959 |
| FEB | 935 | 208 | 252 | 122 | 223 | 1,740 |
| MAR | 995 | 224 | 262 | 185 | 288 | 1,954 |
| APR | 993 | 223 | 245 | 202 | 278 | 1,941 |
| MAY | 1,085 | 234 | 254 | 168 | 244 | 1,985 |
| JUN | 1,032 | 230 | 265 | 180 | 215 | 1,922 |
| JUL | 976 | 273 | 283 | 190 | 260 | 1,982 |
| AUG | 1,083 | 222 | 227 | 174 | 208 | 1,914 |
| SEP | 907 | 160 | 167 | 158 | 149 | 1,541 |
| OCT | 978 | 54 | 184 | 120 | 129 | 1,465 |
| Total | 11,947 | 2,451 | 2,823 | 2,009 | 2,845 | 22,075 |
| Avg | 996 | 204 | 235 | 167 | 237 | 1,840 |
| % Total | 54% | | | | | |
| Non EOS | 3 | 24% | 28% | 20% | 28% | 10,128 |
| | | | | | | |

Data Note: Data reflects counts from November 1, 2006 to October 31, 2007. Transfer Parole and Special Parole counted in Parole. Transitional Placement counted in Furlough.

Granting of Parole

(3) Total Numbers and Parole Granting Rate for the 12 month period: November 2006 to October 2007 A total of 2,766 paroles were granted by full panel hearing and administrative review (see CHART 3A, TABLE 3)

CHART 3A

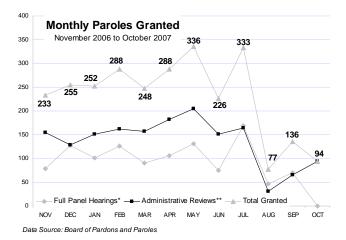


TABLE 3

Monthly Parole Granting Rate

November 2006 to October 2007

| | 14040 | 111001 2000 | to Octobe | 1 2001 | |
|-------|---------|-------------|-----------|----------|---------|
| | Full I | Panel | Admini | strative | |
| | Heari | ngs** | Revie | ws*** | |
| | Number | Granting | Number | Granting | Total |
| | Granted | Rate | Granted | Rate | Granted |
| NOV | 78 | 68% | 155 | 82% | 233 |
| DEC | 127 | 86% | 128 | 81% | 255 |
| JAN | 101 | 84% | 151 | 82% | 252 |
| FEB | 126 | 86% | 162 | 84% | 288 |
| MAR | 91 | 85% | 157 | 88% | 248 |
| APR | 106 | 91% | 182 | 79% | 288 |
| MAY | 131 | 94% | 205 | 91% | 336 |
| JUN | 75 | 82% | 151 | 78% | 226 |
| JUL | 169 | 93% | 164 | 81% | 333 |
| AUG | 46 | 82% | 31 | 89% | 77 |
| SEP | 70 | 89% | 66 | 69% | 136 |
| OCT* | 0 | 0% | 94 | 66% | 94 |
| Total | 1,120 | | 1,646 | | 2,766 |
| Avg | 93 | 78% | 137 | 81% | 231 |

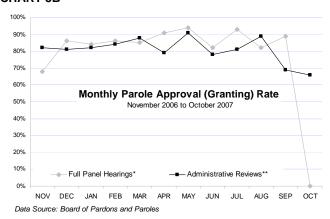
^{*}For the month of October, there were no paroles granted or denied by the full board. Consequently, there is no granting rate for full panel hearings in October.

Data Note: The parole and deny figures for March through July, 2007 were incorrect due to computer programming and data entry limitations. The parole and deny numbers as well as the parole grant rates have been corrected and adjusted downward.

Parole Granting Rate for the month of October 2007: A total of 94 paroles were granted and 49 were denied by administrative reviews. This represents an administrative review parole granting rate of 66%.

On average, **78%** of full panel hearings and **81%** of administrative reviews result in the granting of parole. (see CHART 3B, TABLE 3)

CHART 3B



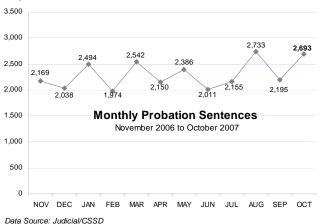
^{**}A Full Panel Hearings consists of three Board of Pardons and Paroles members.

^{***}Administrative Reviews are conducted for less serious offenders. A hearing officer interviews the offender and makes a recommendation to the Board of Pardons and Paroles.

Offenders Sentenced to Probation and Referrals to CSSD Community Placements

(4) Total number of sentenced offenders placed on probation for the 12 month period: November 2006 to October 2007 A total of 27,540 offenders were placed on probation (see CHART 4A)

CHART 4A



Total CSSD Community Placements for the 12 month period: October 2006 to September 2007 A total of 22,572 offenders were sentenced to or referred by a probation officer to community supervision (see TABLE 4B & CHART 4B)

- Community-based services: 14,865 or 66% of offenders were placed in community-based services
- Outpatient services: 7,166 or 32% of offenders were referred to outpatient programs

TABLE 4B

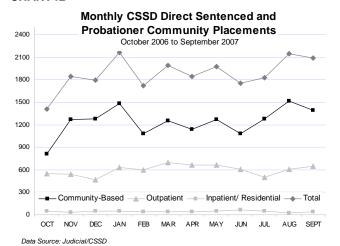
Monthly CSSD Direct Sentenced and Probationer Community Placements

October 2006 to September 2007

| | Community- Based | Outpatient | Inpatient/ Residential | Total |
|---------|---------------------|------------|---------------------------|--------|
| OCT | 812 | 549 | 47 | 1,408 |
| NOV | 1,270 | 543 | 35 | 1,848 |
| DEC | 1,280 | 470 | 46 | 1,796 |
| JAN | 1,486 | 629 | 52 | 2,167 |
| FEB | 1,081 | 596 | 44 | 1,721 |
| MAR | 1,257 | 693 | 42 | 1,992 |
| APR | 1,139 | 661 | 45 | 1,845 |
| MAY | 1,267 | 664 | 47 | 1,978 |
| JUN | 1,083 | 603 | 67 | 1,753 |
| JUL | 1,278 | 501 | 47 | 1,826 |
| AUG | 1,515 | 606 | 26 | 2,147 |
| SEPT | 1,397 | 651 | 43 | 2,091 |
| Total | 14,865 | 7,166 | 541 | 22,572 |
| Avg | 1,239 | 597 | 45 | 1,881 |
| % Total | 66% | 32% | 2% | |

Data Notes: Data reflects counts from October 1, 2006 to September 30, 2007. These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

CHART4B

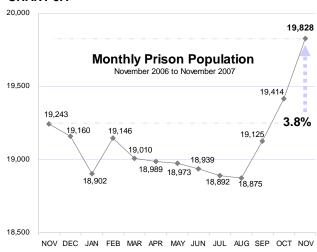


Data Notes: October 2007 data was not available at the time of this report. These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

Current Prison Population

(5) The total prison population: On November 1, 2007 the total prison population was 19,828 offenders (see CHART 5A)

CHART 5A



Data Source: Department of Correction

Snapshot of total Prison Population by Age, Race/Ethnicity and Gender on November 1, 2007 (see CHART 5B, 5C and TABLE 5B, 5C)

AGE:

- Age 22 to 29: continues to be the largest segment of the total prison population with 5,984 offenders or 30.2% between 22 and 29 years old
- Age 30 to 39: 5,607 or 28.3% of the total prison population are between 30 and 39 years old
- Age 17 and under: 402 or 2.0% of the total prison population are age 17 and under; with 20 offenders younger than 16 years old

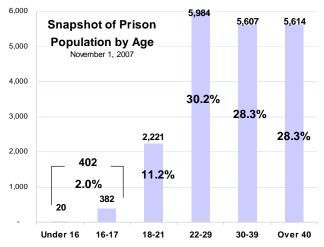
RACE/ETHNICITY:

- African American: 8,406 offenders or 42% of the total prison population are African-Americans
- White: 5,962 offenders or 30% of the total prison population are White
- Hispanic: 5,335 or 27% of the total prison population are Hispanic

GENDER:

- Males: 18,402 offenders or 93% of the total prison population are males
- Females: 1,426 offenders or 7% of the total prison population are females

CHART 5B



Data Source: Department of Correction

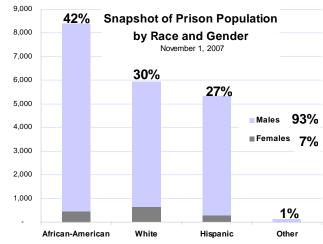
TABLE 5B

TABLE 5B

Snapshot of Prison Population by Age

| November 1, 2007 | | | | | | | | | | | |
|------------------|-------|-------|-------|-------|-------------|-------|--|--|--|--|--|
| Under 16 | 16-17 | 18-21 | 22-29 | 30-39 | Over 40 | Total | | | | | |
| 20 | 382 | 2,221 | 5,984 | 5,607 | 5,607 5,614 | | | | | | |
| 0.1% | 1.9% | 11.2% | 30.2% | 28.3% | 28.3% | | | | | | |
| 402 | 2.0% | | | | | | | | | | |

CHART 5C



Data Source: Department of Correction

TABLE 5C

Snapshot of Prison Population by Race and Gender

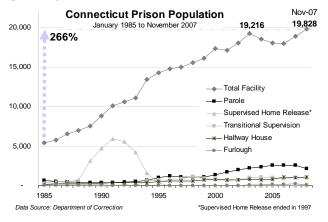
| | November 1, 2007 | | | | | | | | | |
|---------|----------------------|-------|----------|-------|--------|---------|--|--|--|--|
| | African- American | White | Hispanic | Other | Total | % Total | | | | |
| Females | 469 | 658 | 287 | 12 | 1,426 | 7% | | | | |
| | 33% | 46% | 20% | 1% | | | | | | |
| Males | 7,937 | 5,304 | 5,048 | 113 | 18,402 | 93% | | | | |
| | 43% | 29% | 27% | 1% | | | | | | |
| Total | 8,406 | 5,962 | 5,335 | 125 | 19,828 | - | | | | |
| % Total | 42% | 30% | 27% | 1% | | | | | | |

Six Month Forecast of the Prison Population

(6) Connecticut Prison Population Trends (See CHART 6A)

- From 1985 through November 2007: The prison population has increased 266%
- The prison population has increased 3.2% from January 2003 (19,216) to November 2007 (19,828)

CHART 6A



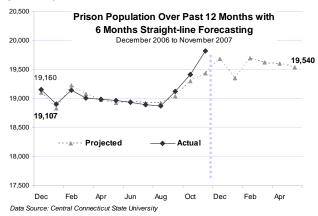
Connecticut Prison Population Forecast

A straight-line method was used to forecast the prison population through May of 2008. This method was based on the monthly changes in the prison population for the past five years (see CHART 6B)

The six month forecast projects that:

- The prison population will decrease by approximately 1.5% from November 2007 to May 2008
- The May 2008 prison population will be approximately 19,540 offenders

CHART 6B



Data Note: These projections do not take into account changes that may result from recent events and/or the impact of future legislation.

Connecticut Total DOC Supervised Population Trends for the Ten Year Period: 1998 to 2007 (See TABLE 6C)

TABLE 6C

| ГАВ | LE 6C | | | | | | | | | | | | | | |
|-----|-----------|------------|--------|-------|--------|-------|-------|----------|--------|---------------------|-------|-------------------|-------|-------------------------|-------|
| | Year | Parole | % Chg | TS | % Chg | HWH | % Chg | Furlough | % Chg | Total Com. Supv. | % Chg | Total Facility | % Chg | Total DOC Supv. Pop. | % Chg |
| 2 | 1998 | 1,049 | -2% | 1,165 | 33% | 588 | 5% | 35 | 400% | 2,837 | 13% | 15,558 | 4% | 18,395 | 5% |
| 3 | 1999 | 1,099 | 5% | 896 | -23% | 712 | 21% | 34 | -3% | 2,741 | -3% | 16,104 | 4% | 18,845 | 2% |
| 4 | 2000 | 1,381 | 26% | 717 | -20% | 749 | 5% | 25 | -26% | 2,872 | 5% | 17,305 | 7% | 20,177 | 7% |
| 5 | 2001 | 1,722 | 25% | 633 | -12% | 738 | -1% | 27 | 8% | 3,120 | 9% | 17,137 | -1% | 20,257 | 0% |
| 6 | 2002 | 2,019 | 17% | 705 | 11% | 735 | 0% | 26 | -4% | 3,485 | 12% | 17,997 | 5% | 21,482 | 6% |
| 7 | 2003 | 2,199 | 9% | 1,012 | 44% | 759 | 3% | 44 | 69% | 4,014 | 15% | 19,216 | 7% | 23,230 | 8% |
| 8 | 2004 | 2,343 | 7% | 1,060 | 5% | 680 | -10% | 47 | 7% | 4,130 | 3% | 18,522 | -4% | 22,652 | -2% |
| 9 | 2005 | 2,552 | 9% | 1,005 | -5% | 798 | 17% | 137 | 191% | 4,492 | 9% | 18,001 | -3% | 22,493 | -1% |
| 10 | 2006 | 2,571 | 1% | 863 | -14% | 1,048 | 31% | 139 | 1% | 4,621 | 3% | 17,928 | 0% | 22,549 | 0% |
| 11 | 2007 | 2,567 | 0% | 984 | 14% | 1,022 | -2% | 197 | 42% | 4,770 | 3% | 18,902 | 5% | 23,672 | 5% |
| | Avg | 1,950 | | 904 | | 783 | | 71 | | 3,708 | | 17,667 | | 21,375 | |
| | Total % G | Frowth for | | | | | | | | | | | | | |
| | 10 year | period | 144.7% | | -15.5% | | 73.8% | | 462.9% | | 68.1% | | 21.5% | | 28.7% |

Data Note: The yearly figures above represent the DOC population counts as of the first day of January.

APPENDIX I – Agency Descriptions and Types of Community Supervision

Court Support Services Division (CSSD)
The Court Support Services Division (CSSD) was
established within Connecticut's Judicial Branch, in
February 1999. It was formed to respond to the changing
needs of judges, attorneys, litigants, defendants, and
communities, while providing on-going public safety.
William H. Carbone, is the Executive Director.

The CSSD combines the resources and expertise of the former: Office of the Bail Commissioner, Family Services Division, Juvenile Detention Services, Office of Juvenile Probation, Office of Adult Probation, and the Office of Alternative Sanctions. The merger created a single entity with staff representation and services in each Judicial District. Judges now have all available pre-trial services, family services, offender sentencing and supervision options for adults and juveniles, as well as juvenile detention services, coordinated through the single CSSD division and managed from a central office.

The main court support functions for adults and juveniles includes: Intake/Assessment/Referral (IAR) - a single, comprehensive pretrial evaluation and referral process; Supervision - a unit that focuses only on effective supervision of clients involved with the court system; and Administration of an alternative sanctions continuum of treatment and support services for pretrial and sentenced adults and juveniles purchased under contract by CSSD with community based providers comprising a statewide network that serves each judicial district. The CSSD also incorporates Family Civil Services and Family Criminal Court Services.

For more information on CSSD please visit their web site at www.jud.ct.gov/CSSD

Department of Correction (DOC)

The Department of Correction, Theresa C. Lantz, Commissioner, by direction of the courts, confines and controls approximately 18,600 accused and sentenced offenders in 14 correctional institutions, 4 correctional centers and various units, and by statute administers medical, mental health, rehabilitative, and community based service programs. Its mission is to protect the public and staff while ensuring the secure, safe and humane supervision of offenders with opportunities that support successful community reintegration. Department of Correction's Division of Parole and Community Services represents the consolidated community supervision and enforcement functions of the Department of Correction and the Board of Parole, which were combined in the fall of 2004 at the direction of the General Assembly.

For more information on the Department of Correction please visit their web site at www.ct.gov/doc

Board of Pardons and Paroles (BOPP)

The Connecticut Board of Pardons and Paroles, under the direction of Robert Farr, Chairman, is committed to protecting the public by making responsible panel and staff decisions regarding when and under what circumstances eligible persons may be granted a Pardon or Parole. BOPP is an autonomous panel with administrative support provided by the Department of Correction. The Board possesses discretionary authority to grant pardons for criminal convictions and to place appropriate offenders in the community under parole supervision as a means of supporting their successful reintegration into law abiding society. A full-time Victim Services Coordinator is responsible for assisting those victims of crimes who choose to participate in the decision-making processes of the Board.

For more information on the Board of Pardons and Paroles' please visit the DOC web site at www.ct.gov/doc and click on "Board of Pardons and Paroles."

APPENDIX II – Glossary & Counting Rules

Chart 1: Connecticut Criminal Justice System: Admissions & Discharges

<u>Total Arrests:</u> The number of occurrences for which individuals were taken into police custody for a violation of the Connecticut Motor Vehicle and Criminal Statutes.

Counting Rule: The number of different occurrences. That is, individuals who were arrested on three separate occasions would be counted three times.

<u>Criminal Arrests:</u> The number of occurrences for which individuals were taken into police custody for a violation of the Connecticut Criminal Statutes.

Counting Rule: The number of different occurrences. That is, individuals who were arrested on three separate occasions would be counted three times.

<u>Total DOC Admissions:</u> The number of individuals admitted into Connecticut Department of Correction facilities.

Counting Rule: The number of individuals admitted to any Department of Correction facility from January 1st of the current year to the last day of the monthly reporting period. Individuals who are admitted, released, and readmitted from DOC custody is counted each time they are admitted.

<u>Pre-Trial Detention Accused/Unsentenced:</u> Arrested individuals who cannot pay the bond amount are held in jail prior to their trial.

Counting Rule: Number of arrestees in jail from January 1st to the last month of the reporting period. This number represents the number of different occurrences per arrestee. That is, individuals who were arrested on three separate occasions and placed in jail prior to trial would be counted three times.

<u>New Sentenced:</u> Convicted offenders who were admitted to a DOC facility only after being convicted and sentenced to prison.

Counting Rule: The number of convicted offenders admitted to a DOC facility. This number does not include offenders who were in jail/prison during the pre-trial process and were subsequently convicted and sentenced to jail.

<u>Federal/Other:</u> Offenders who have been sentenced to a Federal prison and are being housed in a Connecticut DOC facility.

Counting Rule: The number of Federal offenders in Connecticut DOC facilities.

<u>Community Returns:</u> Offenders who have been released to a DOC community program but were returned to prison for not fulfilling the conditions of the release or for committing a new offense.

Counting Rule: The number of offenders released to a community program and returned to prison from January 1st to the end of the monthly reporting period.

<u>Fixed Beds:</u> The total number of permanent jail and prison beds within DOC facilities.

Average Daily Count (ADC):

Counting Rule: An average of the daily count.

<u>Arraignment:</u> The pre-trial stage of the court process where arrested individuals hear the formal charges against them, are allowed to enter a plea, and where the judge sets the bond amount to determine whether they will be released from jail prior to their trial

Judicial/CSSD Bail Supervision and Pre-Trial Diversion: Arrestees can be released from jail prior to their trial under specific conditions (e.g., drug treatment/education, mental health assessment and treatment, community service, conditional release etc). These individuals are supervised by CSSD pre-trial staff.

Counting Rule: Number cases with a pre-trial condition or cases referred to a pre-trial diversion program who were being supervised by CSSD pre-trial staff. This number represents different occurrences per arrestee. That is, individuals who were under pre-trial supervision on three separate occasions and would be counted three times.

Release on Recognizance: The court releases the defendant on a signed agreement that he or she will appear in court as required. This category also includes citation releases in which arrestees are released pending their first court appearance on a written order issued by law enforcement or pre-trial staff. This type of release is also known as a Written Promise to Appear.

<u>Trial Court Adjudication:</u> The trial stage of the court process where a verdict is made regarding accused individuals' guilt.

<u>Judicial/CSSD Sentenced Supervision Probation:</u> A court sentence where convicted offenders are supervised in the community rather than placed in prison. Requires offenders to abide by certain rules and conditions set by the judge and probation officer.

Counting Rules: Number of convicted offenders given a probation sentence from January 1st to the end of the monthly reporting period.

Nolled: A disposition of a criminal or motor vehicle case where the prosecutor agrees to drop the case against the defendant but keeps the right to reopen the case and prosecute at any time during the next thirteen months. The nolle is entered on the court record and the defendant is released from custody. If the defendant stays out of trouble during the thirteen months, the case is removed from the official court records.

<u>Dismissed:</u> The decision made by prosecutors or judges to drop the charges brought against an accused individual.

Not Guilty: Judge or jury finding that the accused individual did not commit the crime for which charges were brought forward.

<u>Guilty but Not Incarcerated:</u> Accused individual is guilty of the charges but the judicial sentence does not include jail or prison time.

<u>Releases:</u> Offenders who are released from DOC facilities.

APPENDIX II - Glossary & Counting Rules (continued)

<u>DOC Community Supervision:</u> DOC releases certain offenders to a variety of community programs prior to the end of their prison sentence. These programs primarily consist of parole, transitional supervision, halfway houses, and re-entry furloughs.

Counting Rules: Number of offenders released to a DOC community program between January 1st to the end of the monthly reporting period.

<u>End of Sentence</u>: Sentenced offenders who complete their sentence and are no longer in the custody of the Connecticut DOC.

Counting Rules: Number of sentenced offenders who completed their sentence and left DOC supervision. This includes offenders and individuals in DOC community programs.

<u>Split Sentence Probation:</u> A judicial sentence that requires convicted offenders to serve a set amount of time in DOC custody followed by a set amount of time on probation.

Chart 1A and Table 1A: DOC Admissions by Type

Accused: Arrested individuals who cannot pay the bond amount and are held in jail prior to their trial.

Counting Rule: Number of arrestees in jail by month. This number represents the number of different occurrences per arrestee. That is, individuals who were arrested on three separate occasions and placed in jail prior to trial would be counted three times.

<u>New Sentence</u>: Convicted offenders who were admitted to a DOC facility only after being convicted and sentenced to prison.

Counting Rule: The number of convicted offenders admitted to a DOC facility. This number does not include offenders who were in jail/prison during the pre-trial process and were subsequently convicted and sentenced to jail.

<u>Federal/Other:</u> Offenders who have been sentenced to a Federal prison and are being housed in a Connecticut DOC facility.

Counting Rule: The number of Federal offenders in Connecticut DOC facilities.

Chart 1B.1 and Table 1B.1: Prison Re-Admissions from DOC Community Supervision

<u>Parole:</u> Program available to certain offenders serving sentences of greater than two years. By statute, offenders convicted of non-violent crimes are eligible for parole after serving 50 percent of their sentence. Those offenders convicted of violent crimes must serve 85 percent of their sentence.

TS (Transitional Supervision): Eligible offenders must serve at least 50 percent of a sentence of two years or less. The facility Warden is the designated release authority and the DOC provides supervision and case management, through its Parole and Community Services Unit for offenders on TS status.

<u>HWH (Halfway House):</u> Utilized to provide assistance for those offenders who require greater support and supervision in the community. Offenders who are within eighteen months of release date or have been voted to parole may participate in these structured programs.

<u>Re-Entry Furlough:</u> The release of an offender to an approved residence for up to 30 days in the final portion of their sentence for the purpose of re-entry support into the community.

Counting Rules: The number of offenders placed in each program during each particular month. Parolees who were placed in Halfway Houses were counted only as parolees. The same rule was applied for individuals in Transitional Supervision.

Chart 1B.2 and Table 1B.2: Prison Re-Admissions from DOC Community Supervision

<u>Technical Violation:</u> Failure to abide by rules or conditions as part of release in the program (e.g., failure to meet with community supervision officers, violating curfew, contacting crime victim, carrying a weapon, etc.).

<u>Criminal</u>: Being arrested for committing a new criminal offense while in the community.

<u>Escape:</u> Leaving a halfway house without permission (running away).

<u>Abscond:</u> Failure to report to community supervision for an extended period of time (running away).

Other: Miscellaneous reasons that do not fall into any of the above categories.

Counting Rules: Number of individuals readmitted to prison for various violations. The count only consists of the most serious violation (criminal, technical violation, escape, abscond, other).

Table 1C: Prison Re-Admissions Sentenced Offenders with Violations of Probation (VOP)

<u>Violation of Probation:</u> Failure to abide by rules or conditions as part of release in the program.

Counting Rules: Number of sentenced offenders (each offender counted once) with violation of probation in any docket, with latest admission date within the specified month, broken down by length of controlling sentence for less than 2 years or greater than 2 years.

Chart 2 and Table 2: DOC Releases by Type

End of Sentence: Sentenced offenders who complete their sentence and are no longer in the custody of the Connecticut DOC.

Counting Rules: Number of sentenced offenders who completed their sentence and left DOC supervision. This includes offenders and individuals in DOC community programs.

Transfer Parole and Special Parole counted in Parole. Transitional Placement counted in Furlough.

APPENDIX II - Glossary & Counting Rules (continued)

Chart 3A: Parole Approval (Number Granted), Chart 3B and Table 3B: Parole Approval (Granting) Rate

<u>Full Panel Hearings:</u> An official parole board hearing that consists of three Board of Parole members. The Board of Parole members review the offenders' case file and discuss the possibility of parole with the offender. After which, they vote on whether the offender should be granted parole.

Administrative Reviews: A less formal process that is often used for less serious offenders. A hearing officer interviews the offender and makes a recommendation to the Board of Parole. The Board of Parole members vote on whether the offender should be granted parole.

Counting Rules: The granting rate was calculated by dividing the number of paroles granted by the total number of parole hearings.

Chart 4A: Monthly Probation Sentences

Counting Rules: Number of clients who had Adult Probation Supervision cases starting in the month.

Chart 4B and Table 4B: Monthly CSSD Direct Sentenced and Probationer Community Placements

<u>Community-Based Services:</u> Alternative to Incarceration programs for less serious offenders. These programs provide a variety of services including day reporting, substance abuse services, full time education components, vocational assistance, counseling, supervision and extensive community service.

Outpatient: Alternative to Incarceration programs where probationers are required to report at specific times to receive program services including: Substance abuse evaluation and treatment; Mental Health Evaluation and treatment; and Anger Management groups.

Inpatient/Residential: Alternative to Incarceration programs where probationers stay for a predetermined period and receive a variety of services such as work release supervision, substance abuse treatment, educational services, life skills training, job development, family counseling, and intensive case management.

Counting Rules: Number of clients who were added to this program model per month. In November of 2006 a database change occurred. Data prior to November 2006 included some reporting inconsistencies which were corrected with the new database.

Chart 5A: Monthly Prison Population

Counting Rules: Number of offenders in the custody of DOC facilities on the first day of the given month.

Chart 5C and Table 5C: Snapshot of Prison Population by Age

Counting Rules: Number of offenders by age grouping in the custody of DOC facilities on the given day.

Chart 5B and Table 5B: Snapshot of Prison Population by Race and Gender

Counting Rules: Number of offenders by racial and gender groupings in the custody of DOC facilities on the given day.

Chart 6A: Connecticut Prison Population

<u>Total Facility:</u> Number of offenders in all of DOC facilities.

<u>Supervised Home Release</u>: A DOC community program that was discontinued in 1995.

Counting Rules: Number of individuals in each category on January 1st of the given year.

Chart 6B: Prison Population Over the Past 12 Months with 6 Months Straight-line Forecasting

<u>Actual Population:</u> Number of offenders in all DOC facilities.

Counting Rules: Number of offenders in the custody of DOC facilities on the first day of the given month.

<u>Projected Population:</u> Estimated number of offenders in DOC facilities on the first day of the given month.

Counting Rules: The projected population was calculated by multiplying the last month of available actual population data by monthly changes in the prison population for the past five years.