

### Criminal Justice Policy & Planning Division

450 Capitol Avenue, Hartford, CT 06106-1379 

(860) 418-6248 

WWW.CT.GOV/OPM/CriminalJustice/Research

October 2007

### **Current Correctional Population Indicators Monthly Report**

#### Contents

Connecticut Criminal Justice System - Overview	2
Types of Community Supervision in Connecticut	3
Department of Correction Admissions	4
Department of Correction Admissions (continued)	5
Department Of Correction Releases	6
Granting of Parole	7
Offenders Sentenced to Probation and Referrals to 0	CSSD
Community Placements	8
Current Prison Population	
Six Month Forecast of the Prison Population	10
APPENDIX I – Agency Descriptions and Types of	
Community Supervision	11
APPENDIX II - Glossary & Counting Rules	12

#### Forecast/Research Work Group

This report has been generated by the co-facilitation of the Office of Policy and Management and the Connecticut Statistical Analysis Center (SAC) located at Central Connecticut State University in collaboration with the Forecast/Research Work Group Members:

#### Office of Policy and Management (OPM)

Criminal Justice Policy and Planning Division John E. Forbes, Assistant Director Linda D. DeConti, M.Sc., Research Manager Alyse A. Chin, M.S.W., Assistant Manager

**Central Connecticut State University (CCSU)** Stephen M. Cox, Ph.D., SAC Director Lyndsay Ruffolo, Program Administrator

#### State of Connecticut Judicial Branch Court Operations

Judith P. Lee, Esq., Caseflow Mgmt. Specialist

Court Support Services Division (CSSD) Center for Research & Quality Improvement Brian Hill, Manager

Susan C. Glass, Program Manager

#### **Department of Correction (DOC)**

Offender Classification & Population Management Frederick J. Levesque, Director

#### **Board of Pardons and Paroles (BOPP)** Richard Sparaco, Parole & CS Manager

Jerry Stowell, Ph.D., Consultant

#### **Department of Public Safety (DPS)**

Division of State Police, Crime Analysis Unit Lois A. Desmarais, Planning Specialist Gary Lopez, Planning Specialist

#### **Department of Mental Health and Addiction** Services (DMHAS)

Alfred Bidorini, Director of Planning

#### **Executive Summary**

On October 1, 2007, the total prison population was 19,414 offenders, with 394 offenders under 18 years old. Re-Admissions or community returns to Department of Correction (DOC) custody from DOC community supervision continues to increase proportionally with the number of offenders being supervised in the community. However, this month, the average 12 month rate of community returns to DOC custody rose slightly to 10 percent of admissions. September's 395 community returns to DOC custody is the highest number of returns over the past two and a half years.

September releases to DOC community supervision are the lowest since October 2006, with the largest drops in Furlough and Transitional Supervision.

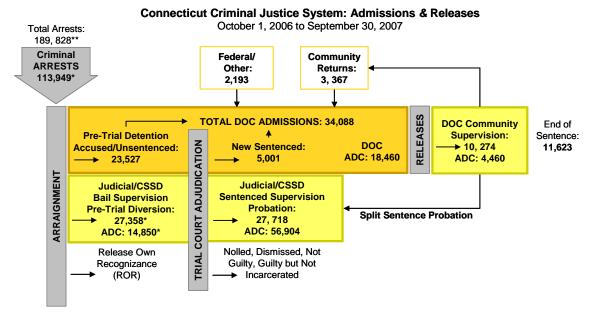
For the month of September, 70 paroles were granted by full panel hearings and 66 by administrative reviews. This represents a parole granting rate of 89% and 69% respectively, and is the lowest administrative review parole granting rate over the past two and a half years.

Probation referrals to community-based services increased in August, with total referrals (1,515) being 28% more than the 12 month average (1,180). For the 12 month period from September 2006 to August 2007, referrals to community-based services represent approximately 65% of the total number of Court Support Services Division (CSSD) community placements. Referrals to inpatient/residential treatment programs decreased in August (26) to 41% below the 12 month average (44). These referrals continue to reflect an increased reliance on outpatient behavioral health services due to long waitlists in inpatient/residential programs.

If the five-year trends continue, the prison population sixmonth forecast projects that the prison population will decrease by approximately 1.6% from October 2007 to April 2008, and that the April 2008 prison population will be approximately 19,105. It should be noted that these projections do not take into account changes that may result from recent events and/or the impact of future legislation.

Historical perspectives, supportive data and additional analysis pertaining to these statutorily required correctional population indicators will be available on our web site at www.ct.gov/opm/criminaljustice/research.

#### CHART 1



Data Sources: Arrest-Judicial/Court Operations; Court-Judicial/CSSD; Prison-Department of Correction \*NOTE: Non-comparable value represents cases, not clients. There may be multiple cases per client.

These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

The flowchart above is a summary of the Connecticut Criminal Justice System for the period October 1, 2006 to September 30, 2007. Unlike other states that have county governments, the majority of agencies within the criminal justice system are State agencies. The one exception is law enforcement, where there are over 90 local police departments and the Connecticut State Police.

Total Offender Flow Year to Date: For the 12 month period from October 2006 to September 2007 (see CHART 1):

- Criminal Arrests: A total of 113,949 criminal arrests were made
- Pre-Trial Diversion: 27,358 cases resulted in no detainment and placement in a CSSD Pre-Trial Diversion program
- Sentenced to Probation: 27,718 convicted offenders were sentenced to probation

#### **Total Offenders under Supervision:**

On a daily basis, the total Average Daily Count (ADC) of offenders under CSSD and DOC supervision is 94,674 offenders: (see CHART 1)

- CSSD Pre-trial arrestees and probationers: On average 56,904 offenders on sentenced supervised probation and 14,850 pre-trial/diverted offenders are supervised by CSSD on a daily basis
- DOC Accused and Sentenced: On average 18,460 incarcerated offenders and 4,460 offenders in the community are supervised by DOC on a daily basis

For the 12 month period from October 2006 to September 2007 a total of 34,088 people were admitted to DOC

- Accused/Un-sentenced: 23,527 arrestees or 69% of DOC admissions were detained and placed in prison prior to their trial
- New Sentenced: 5,001 convicted offenders or 15% of DOC admissions were new sentences to prison
- Community Returns: 3,367 offenders or 10% of admissions were from DOC community programs
- Federal/Other: 2.193 offenders or 6% of DOC admissions were sentenced to a federal prison and being housed in a Connecticut DOC facility

For the 12 month period from October 2006 to September 2007 a total of 21,897 people were released from DOC custody or discharged to DOC **Community Supervision** 

- End of Sentence: 11,623 offenders or 53% reached their end of sentence (EOS) and were released from DOC custody
- DOC Community Supervision: 10,274 offenders or 47% were discharged to DOC community supervision

<sup>\*\*</sup>Total Arrests include violations of the Connecticut Motor Vehicle and Criminal Statutes.

#### **Types of Community Supervision in Connecticut**

The table below shows the various types of community supervision in Connecticut (see TABLE 1).

TABLE 1

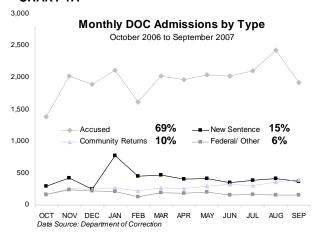
### **Types of Community Supervision in Connecticut**

Who decides if released to community?	Types of Release	Eligibility	Supervised By
	Transitional Supervision (TS)	A prison sentence of 2 years or less	DOC Parole Officers
	Halfway House (HWH)	18 months prior to end of sentence or parole release	DOC Parole Officers
Department of Correction (DOC)	Furlough	30 days from end of sentence or parole release	DOC Parole Officers
	Transitional Placement	Placement in an approved community or private residence after satisfactory performance in a residential program	DOC Parole Officers
	Parole	A prison sentence of more than 2 years	DOC Parole Officers
Board of Pardons and Parole (BOPP)	Transfer Parole	Up to 18 months in advance of voted to parole date	DOC Parole Officers
	Special Parole	Court imposed period of parole following expiration of maximum term(s) of imprisonment	DOC Parole Officers
Court Support Services Division	Probation	A defendant found guilty of a crime is released by the court without imprisonment subject to conditions of supervision imposed by the court (e.g. such as paying a fine, doing community service or attending a drug treatment program)	CSSD Probation Officers
(CSSD) / Courts	Split Sentence Probation	Court imposed period of post-sentence supervision subject to conditions imposed by the court.	CSSD Probation Officers

#### **Department of Correction Admissions**

(1A) Total Admissions to prison directly from Courts for the 12 month period: October 2006 to September 2007 A total of 34,088 offenders were admitted to prison directly from courts (see CHART 1A and TABLE 1A)

**CHART 1A** 



- Accused offenders awaiting trial: 23,527 accused offenders or 69% of new admissions were awaiting trial, sometimes known as the pretrial population.
- New sentence: 5,001 offenders or 15% of admissions were new sentence admissions

TABLE 1A
Monthly DOC Admissions by Type

		New	Community	Federal/	
	Accused	Sentence	Returns	Other	Total
OCT	1,383	295	169	168	2,015
NOV	2,025	423	254	244	2,946
DEC	1,896	246	250	220	2,612
JAN	2,114	773	264	210	3,361
FEB	1,612	449	221	126	2,408
MAR	2,018	471	265	194	2,948
APR	1,969	409	257	187	2,822
MAY	2,038	416	300	202	2,956
JUN	2,019	354	321	157	2,851
JUL	2,108	385	307	167	2,967
AUG	2,426	413	364	161	3,364
SEP	1,919	367	395	157	2,838
Total	23,527	5,001	3,367	2,193	34,088
Avg	1,961	417	281	183	
% Total	69%	15%	10%	6%	

October 2006 to September 2007

Data Notes: Data reflects counts from October 1, 2006 to September 30, 2007 and consists of counts of newly admitted offenders. Accused offenders who are sentenced to prison while already incarcerated are not included in this data. Offenders admitted prior to their conviction and subsequent to their prison sentence were previously counted under "Accused".

(1B) Total Re-Admissions to Prison from DOC Community Supervision for the 12 month period: October 2006 to September 2007 A total of 3,367 or 10% of offenders admitted to prison were re-admitted from DOC Community Supervision (see CHART 1B.1 and TABLE 1B.1)

- Parole: 1, 668 or 49.54% of offenders readmitted to prison from community supervision were on parole
- Transitional Supervision (TS): 1,032 or 30.65% of offenders readmitted to prison from community supervision were on TS
- Halfway House (HWH): 554 or 16.45% of offenders readmitted to prison from community supervision were from HWH
- Re-Entry Furlough: 113 or 3.36% of offenders readmitted to prison from community supervision were on re-entry furlough

TABLE 1B.1

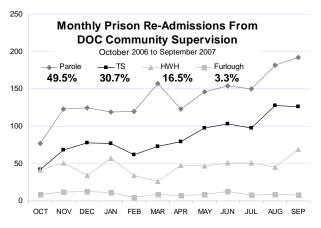
Monthly Prison Re-Admissions From DOC

Community Supervision

October 2006 to September 2007 Parole TS HWH Furlough Total OCT 77 42 9 169 NOV 123 68 51 12 254 DEC 125 78 34 13 250 JAN 77 264 119 57 11 **FEB** 62 34 5 221 120 MAR 73 26 9 265 157 **APR** 123 79 48 7 257 MAY 146 98 47 9 300 JUN 154 103 51 13 321 JUL 150 98 51 8 307 **AUG** 182 128 45 9 364 **SEP** 192 126 69 8 395 Total 1.668 1,032 554 113 3,367 139 86 46 9 Avg 49.54% % Total 30.65% 16.45%

Data Note: Data reflects counts from October 1, 2006 to September 30, 2007. These percentages do not reflect rates of return.

#### **CHART 1B.1**



Data Source: Department of Correction

#### **Department of Correction Admissions (continued)**

# (1B) Total re-admissions to Prison from DOC Community Supervision for the month of September 2007: A total of 395 offenders were re-admitted to prison from DOC Community Supervision with violations (see TABLE 1B.2 and CHART 1B.2)

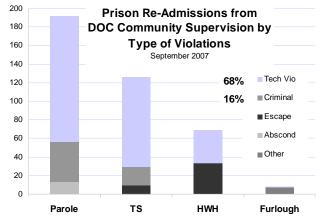
- Technical Violations: 269 offenders or 68% of readmissions to prison from DOC Community Supervision were for technical violations
- Criminal Violations: 64 offenders or 16% of readmissions to prison from DOC Community Supervision were for criminal violations

TABLE1B.2
Prison Re-Admissions from DOC Community Supervision by
Type of Violations

		Septemb	ber 2007			
	Parole	TS	HWH	Furlough	Total	% Total
Tech Vio	136	97	35	1	269	68%
Criminal	43	20	1	0	64	16%
Escape	0	9	33	0	42	11%
Abscond	13	0	0	0	13	3%
Other	0	0	0	7	7	2%
Total	192	126	69	8	395	
% Total	49%	32%	17%	2%		

Data Note: Cannot Escape from Parole, any entries moved to Abscond.

#### CHART 1B.2



Data Source: Department of Correction

TABLE1B.3
Monthly Prison Re-Admissions from DOC
Community Supervision with Technical
Violations

	Octob	er 2006 to	Septembe	er 2007	
	Parole	TS	HWH	Furlough	Total
OCT	32	26	30	1	89
NOV	53	41	37	2	133
DEC	53	44	20	0	117
JAN	59	51	32	0	142
FEB	57	36	16	0	109
MAR	81	39	15	0	135
APR	67	44	23	0	134
MAY	64	55	34	0	153
JUN	78	66	24	3	171
JUL	71	62	30	2	165
AUG	122	99	25	0	246
SEP	192	126	69	8	395
Total	929	689	355	16	1,989
Avg	77	57	30	1	
% Total	47%	35%	18%	1%	

- (1C) Total Re-Admissions to Prison because of Violations of Probation (VOP) for the month of September 2007: A total of 71 offenders were re-admitted to prison for violation of probation (see TABLE 1A and TABLE 1C)
- Probation Violations: Of the 367 new sentence offenders admitted to DOC prisons in September 2007, 71 of them or 19% were readmitted to prison for violation of probation

**TABLE 1C** 

#### Sentenced Inmates with Violations of Probation (VOP)

	Oct	ober 2006	to Septembe	er 2007	
	<=2 YR	> 2 YR	VOP Total	Total New Sentence	% of New Sentence
OCT	134	25	159	295	54%
NOV	73	23	96	423	23%
DEC	47	15	62	246	25%
JAN	125	32	157	773	20%
FEB	77	18	95	449	21%
MAR	83	18	101	471	21%
APR	68	15	83	409	20%
MAY	76	17	93	416	22%
JUN	63	15	78	354	22%
JUL	76	18	94	385	24%
AUG	83	17	100	413	24%
SEP	58	13	71	367	19%
Total	963	226	1,189	5,001	
Avg	80	19	99	417	

Data Notes: Due to its design, the DOC Management Information System can only provide the current month's count of probation violators. Also, it is not possible to determine how many of the probation violators were sentenced to prison for committing a new criminal offense or for technical violations of probation.

- (2) Total Releases from DOC for the 12 month period: October 2006 to September 2007 A Total of 21, 897 offenders were released from prison and from DOC Community Supervision (see CHART 2 and TABLE 2)
- Total End of Sentence (EOS): 11, 623 or 53% of offenders reached their end of sentence and were no longer under DOC custody/supervision

**Total Releases from DOC for the month of September 2007:** A Total of **1,541** offenders were released from prison and from DOC Community Supervision

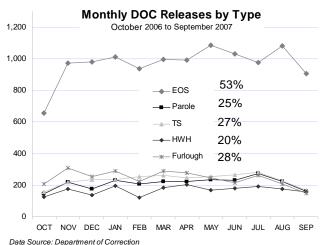
 Total End of Sentence (EOS): 907 offenders reached their end of sentence and were no longer under DOC custody/supervision. Compared to the 12-month average, the number of offenders released at EOS decreased for the month of September

Total Offenders released to DOC Community Supervision after serving their period of incarceration for the 12 month period: October 2006 to September 2007 A total of 10,274 or 47% of offenders were released to DOC community supervision

- Parole: 2,542 or 25% of offenders released to community supervision went on parole
- Transitional Supervision (TS): 2,797 or 27% of offenders released to community supervision went on transitional supervision
- Halfway Houses (HWH): 2,013 or 20% of offenders released to community supervision went to a halfway house
- Furloughs: 2,922 or 28% of offenders released to community supervision went on furlough

Total Offenders released in September 2007 to DOC Community Supervision after serving their period of incarceration: A total of 634 offenders were released to DOC community supervision

- Parole: 160 offenders were released to parole.
   Compared to the 12-month average, the number of offenders released on parole decreased by 24% for the month of September
- Transitional Supervision (TS): 167 offenders were released to transitional supervision. Compared to the 12-month average, the number of offenders released on TS decreased by 28% for the month of September
- Halfway Houses (HWH): 158 offenders were released to halfway houses. Compared to the 12-month average, the number of offenders released to HWH decreased by 6% for the month of September
- Furloughs: 149 offenders were released on furloughs.
   Compared to the 12-month average, the number of offenders released on furlough decreased by 39% for the month of September



Sala Godioc. Department of Concount

TABLE 2
Monthly DOC Releases by Type

October 2006 to September 2007

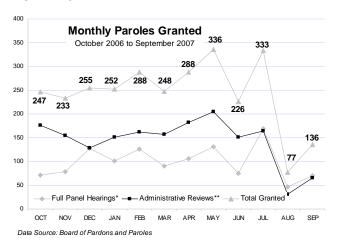
	EOS	Parole	TS	HWH	Furlough	Total
OCT	654	145	158	124	206	1,287
NOV	971	219	217	177	309	1,893
DEC	981	175	234	136	253	1,779
JAN	1,011	229	233	197	289	1,959
FEB	935	208	252	122	223	1,740
MAR	995	224	262	185	288	1,954
APR	993	223	245	202	278	1,941
MAY	1,085	234	254	168	244	1,985
JUN	1,032	230	265	180	215	1,922
JUL	976	273	283	190	260	1,982
AUG	1,083	222	227	174	208	1,914
SEP	907	160	167	158	149	1,541
Total	11,623	2,542	2,797	2,013	2,922	21,897
Avg	969	212	233	168	244	
% Total	53%					
Non EOS		25%	27%	20%	28%	10,274

Data Note: Data reflects counts from October 1, 2006 to September 30, 2007. Transfer Parole and Special Parole counted in Parole. Transitional Placement counted in Furlough.

#### **Granting of Parole**

(3) Total Numbers and Parole Granting Rate for the 12 month period: October 2006 to September 2007 A total of 2,919 paroles were granted by full panel hearing and administrative review (see CHART 3A, TABLE 3)

#### **CHART 3A**



#### TABLE 3

#### **Monthly Parole Granting Rate**

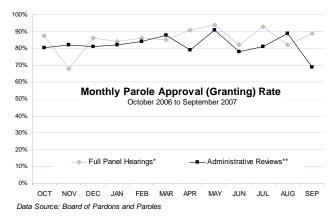
October 2006 to September 2007

	Full I	Panel	Admini	strative	
		ings*	Revie		
	Number	Granting	Number	Granting	Total
	Granted	Rate	Granted	Rate	Granted
OCT	71	88%	176	80%	247
NOV	78	68%	155	82%	233
DEC	127	86%	128	81%	255
JAN	101	84%	151	82%	252
FEB	126	86%	162	84%	288
MAR	91	85%	157	88%	248
APR	106	91%	182	79%	288
MAY	131	94%	205	91%	336
JUN	75	82%	151	78%	226
JUL	169	93%	164	81%	333
AUG	46	82%	31	89%	77
SEP	70	89%	66	69%	136
Total	1,191		1,728		2,919
Avg		86%		82%	

Data Note: The parole and deny figures for March through July, 2007 were incorrect due to computer programming and data entry limitations. The parole and deny numbers as well as the parole grant rates have been corrected and adjusted downward.

Parole Granting Rate: On average 86% of full panel hearings and 82% of administrative reviews result in the granting of parole (see CHART 3B, TABLE 3)

#### **CHART 3B**

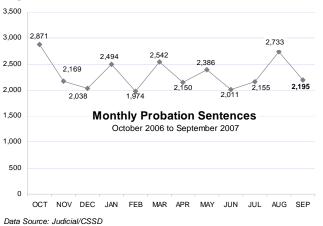


- \*A Full Panel Hearings consists of three Board of Pardons and Paroles members.
- \*\*Administrative Reviews are conducted for less serious offenders. A hearing officer interviews the offender and makes a recommendation to the Board of Pardons and Paroles.

#### Offenders Sentenced to Probation and Referrals to CSSD Community Placements

(4) Total number of sentenced offenders placed on probation for the 12 month period: October 2006 to September 2007 A total of 27,718 offenders were placed on probation (see CHART 4A)

#### **CHART 4A**



Total CSSD Community Placements for the 12 month period: September 2006 to August 2007 A total of 21,706 offenders were sentenced to or referred by a probation officer to community supervision (see TABLE 4B & CHART 4B)

- Community-based services: 14,165 or 65% of offenders were placed in community-based services
- Outpatient services: 7,008 or 32% of offenders were referred to outpatient programs

#### **TABLE 4B**

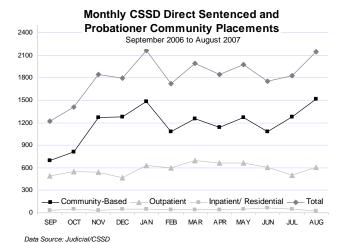
# Monthly CSSD Direct Sentenced and Probationer Community Placements

September 2006 to August 2007

	Community- Based	Outpatient	Inpatient/ Residential	Total
SEP	697	493	35	1,225
OCT	812	549	47	1,408
NOV	1,270	543	35	1,848
DEC	1,280	470	46	1,796
JAN	1,486	629	52	2,167
FEB	1,081	596	44	1,721
MAR	1,257	693	42	1,992
APR	1,139	661	45	1,845
MAY	1,267	664	47	1,978
JUN	1,083	603	67	1,753
JUL	1,278	501	47	1,826
AUG	1,515	606	26	2,147
Total	14,165	7,008	533	21,706
Avg	1,180	584	44	
% Total	65%	32%	2%	

Data Notes: Data reflects counts from September 1, 2006 to August 31, 2007. These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

#### CHART4B

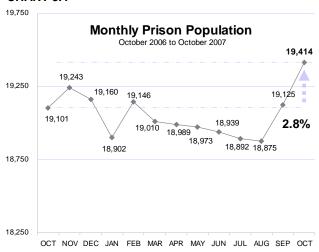


Data Notes: September 2007 data was not available at the time of this report. These numbers are merely estimates based on data available at the time of this report. Subsequent reports of actual figures may not agree.

#### **Current Prison Population**

(5) The total prison population: On October 1, 2007 the total prison population was 19,414 offenders (see CHART 5A)

#### **CHART 5A**



Data Source: Department of Correction

Snapshot of total Prison Population by Age, Race/Ethnicity and Gender on October 1, 2007 (see CHART 5B, 5C and TABLE 5B, 5C)

#### AGE:

- Age 22 to 29: continues to be the largest segment of the total prison population with 5,897 offenders or 30.4% between 22 and 29 years old
- Age 30 to 39: 5,492 or 28.3% of the total prison population are between 30 and 39 years old
- Age 17 and under: 394 or 2.0% of the total prison population are age 17 and under; with 21 offenders younger than 16 years old

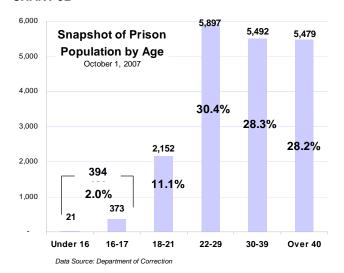
#### **RACE/ETHNICITY:**

- African American: 8,253 offenders or 43% of the total prison population are African-Americans
- White: 5,836 offenders or 30% of the total prison population are White
- Hispanic: 5,194 or 27% of the total prison population are Hispanic

#### **GENDER:**

- Males: 18,012 offenders or 93% of the total prison population are males
- Females: 1,402 offenders or 7% of the total prison population are females

#### **CHART 5B**

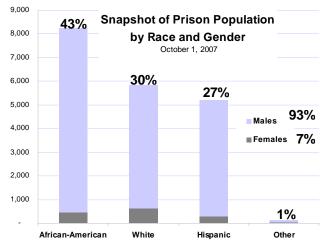


#### **TABLE 5B**

#### **Snapshot of Prison Population by Age**

		Oct	ober 1, 200	07		
Under 16	16-17	18-21	22-29	30-39	Over 40	Total
21	373	2,152	5,897	5,492	5,479	19,414
0.1%	1.9%	11.1%	30.4%	28.3%	28.2%	
394	2.0%					

#### **CHART 5C**



Data Source: Department of Correction

#### **TABLE 5C**

# Snapshot of Prison Population by Race and Gender

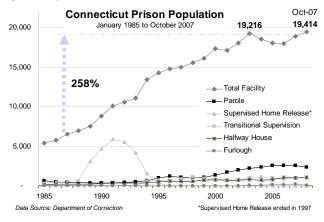
		Octo	ber 1, 200	)7		
	African- American	White	Hispanic	Other	Total	% Total
Females	467	630	286	19	1,402	7%
	33%	45%	20%	1%		
Males	7,786	5,206	4,908	112	18,012	93%
	43%	29%	27%	1%		
Total	8,253	5,836	5,194	131	19,414	•
% Total	43%	30%	27%	1%		

#### Six Month Forecast of the Prison Population

# (6) Connecticut Prison Population Trends (See CHART 6A)

- From 1985 through October 2007: The prison population has increased 258%
- The prison population has increased 1.0% from January 2003 (19,216) to October 2007 (19,414)

#### **CHART 6A**



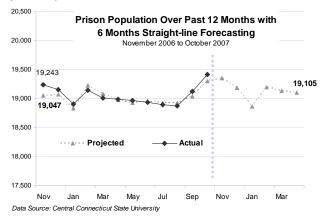
#### **Connecticut Prison Population Forecast**

A straight-line method was used to forecast the prison population through April of 2008. This method was based on the monthly changes in the prison population for the past five years (see CHART 6B)

#### The six month forecast projects that:

- The prison population will decrease by approximately 1.6% from October 2007 to April 2008
- The April 2008 prison population will be approximately 19,105 offenders

#### **CHART 6B**



Data Note: These projections do not take into account changes that may result from recent events and/or the impact of future legislation.

#### Connecticut Total DOC Supervised Population Trends for the Ten Year Period: 1998 to 2007 (See TABLE 6C)

#### TABLE 6C

70	-E 0C														
	Year	Parole	% Chg	TS	% Chg	HWH	% Chg	Furlough	% Chg	Total Com. Supv.	% Chg	Total Facility	% Chg	Total DOC Supv. Pop.	% Chg
2	1998	1,049	-2%	1,165	33%	588	5%	35	400%	2,837	13%	15,558	4%	18,395	5%
3	1999	1,099	5%	896	-23%	712	21%	34	-3%	2,741	-3%	16,104	4%	18,845	2%
4	2000	1,381	26%	717	-20%	749	5%	25	-26%	2,872	5%	17,305	7%	20,177	7%
5	2001	1,722	25%	633	-12%	738	-1%	27	8%	3,120	9%	17,137	-1%	20,257	0%
6	2002	2,019	17%	705	11%	735	0%	26	-4%	3,485	12%	17,997	5%	21,482	6%
7	2003	2,199	9%	1,012	44%	759	3%	44	69%	4,014	15%	19,216	7%	23,230	8%
8	2004	2,343	7%	1,060	5%	680	-10%	47	7%	4,130	3%	18,522	-4%	22,652	-2%
9	2005	2,552	9%	1,005	-5%	798	17%	137	191%	4,492	9%	18,001	-3%	22,493	-1%
10	2006	2,571	1%	863	-14%	1,048	31%	139	1%	4,621	3%	17,928	0%	22,549	0%
11	2007	2,567	0%	984	14%	1,022	-2%	197	42%	4,770	3%	18,902	5%	23,672	5%
	Avg	1,950		904		783		71		3,708		17,667		21,375	

Data Note: The yearly figures above represent the DOC population counts as of the first day of January.

#### **APPENDIX I – Agency Descriptions and Types of Community Supervision**

Court Support Services Division (CSSD)
The Court Support Services Division (CSSD) was
established within Connecticut's Judicial Branch, in
February 1999. It was formed to respond to the changing
needs of judges, attorneys, litigants, defendants, and
communities, while providing on-going public safety.
William H. Carbone, is the Executive Director.

The CSSD combines the resources and expertise of the former: Office of the Bail Commissioner, Family Services Division, Juvenile Detention Services, Office of Juvenile Probation, Office of Adult Probation, and the Office of Alternative Sanctions. The merger created a single entity with staff representation and services in each Judicial District. Judges now have all available pre-trial services, family services, offender sentencing and supervision options for adults and juveniles, as well as juvenile detention services, coordinated through the single CSSD division and managed from a central office.

The main court support functions for adults and juveniles includes: Intake/Assessment/Referral (IAR) - a single, comprehensive pretrial evaluation and referral process; Supervision - a unit that focuses only on effective supervision of clients involved with the court system; and Administration of an alternative sanctions continuum of treatment and support services for pretrial and sentenced adults and juveniles purchased under contract by CSSD with community based providers comprising a statewide network that serves each judicial district. The CSSD also incorporates Family Civil Services and Family Criminal Court Services.

For more information on CSSD please visit their web site at <a href="https://www.jud.ct.gov/CSSD">www.jud.ct.gov/CSSD</a>

#### **Department of Correction (DOC)**

The Department of Correction, Theresa C. Lantz, Commissioner, by direction of the courts, confines and controls approximately 18,600 accused and sentenced offenders in 14 correctional institutions, 4 correctional centers and various units, and by statute administers medical, mental health, rehabilitative, and community based service programs. Its mission is to protect the public and staff while ensuring the secure, safe and humane supervision of offenders with opportunities that support successful community reintegration. Department of Correction's Division of Parole and Community Services represents the consolidated community supervision and enforcement functions of the Department of Correction and the Board of Parole, which were combined in the fall of 2004 at the direction of the General Assembly.

For more information on the Department of Correction please visit their web site at www.ct.gov/doc

#### **Board of Pardons and Paroles (BOPP)**

The Connecticut Board of Pardons and Paroles, under the direction of Robert Farr, Chairman, is committed to protecting the public by making responsible panel and staff decisions regarding when and under what circumstances eligible persons may be granted a Pardon or Parole. BOPP is an autonomous panel with administrative support provided by the Department of Correction. The Board possesses discretionary authority to grant pardons for criminal convictions and to place appropriate offenders in the community under parole supervision as a means of supporting their successful reintegration into law abiding society. A full-time Victim Services Coordinator is responsible for assisting those victims of crimes who choose to participate in the decision-making processes of the Board.u

For more information on the Board of Pardons and Paroles' please visit the DOC web site at <a href="https://www.ct.gov/doc">www.ct.gov/doc</a> and click on "Board of Pardons and Paroles."

#### **APPENDIX II – Glossary & Counting Rules**

## **Chart 1: Connecticut Criminal Justice System: Admissions & Discharges**

<u>Total Arrests:</u> The number of occurrences for which individuals were taken into police custody for a violation of the Connecticut Motor Vehicle and Criminal Statutes.

Counting Rule: The number of different occurrences. That is, individuals who were arrested on three separate occasions would be counted three times.

<u>Criminal Arrests:</u> The number of occurrences for which individuals were taken into police custody for a violation of the Connecticut Criminal Statutes.

Counting Rule: The number of different occurrences. That is, individuals who were arrested on three separate occasions would be counted three times.

<u>Total DOC Admissions:</u> The number of individuals admitted into Connecticut Department of Correction facilities.

Counting Rule: The number of individuals admitted to any Department of Correction facility from January 1<sup>st</sup> of the current year to the last day of the monthly reporting period. Individuals who are admitted, released, and readmitted from DOC custody is counted each time they are admitted.

<u>Pre-Trial Detention Accused/Unsentenced:</u> Arrested individuals who cannot pay the bond amount are held in jail prior to their trial.

Counting Rule: Number of arrestees in jail from January 1st to the last month of the reporting period. This number represents the number of different occurrences per arrestee. That is, individuals who were arrested on three separate occasions and placed in jail prior to trial would be counted three times.

<u>New Sentenced:</u> Convicted offenders who were admitted to a DOC facility only after being convicted and sentenced to prison.

Counting Rule: The number of convicted offenders admitted to a DOC facility. This number does not include offenders who were in jail/prison during the pre-trial process and were subsequently convicted and sentenced to jail.

<u>Federal/Other:</u> Offenders who have been sentenced to a Federal prison and are being housed in a Connecticut DOC facility.

Counting Rule: The number of Federal offenders in Connecticut DOC facilities.

<u>Community Returns:</u> Offenders who have been released to a DOC community program but were returned to prison for not fulfilling the conditions of the release or for committing a new offense.

Counting Rule: The number of offenders released to a community program and returned to prison from January 1<sup>st</sup> to the end of the monthly reporting period.

<u>Fixed Beds:</u> The total number of permanent jail and prison beds within DOC facilities.

Average Daily Count (ADC):

Counting Rule: An average of the daily count.

<u>Arraignment:</u> The pre-trial stage of the court process where arrested individuals hear the formal charges against them, are allowed to enter a plea, and where the judge sets the bond amount to determine whether they will be released from jail prior to their trial

Judicial/CSSD Bail Supervision and Pre-Trial <u>Diversion:</u> Arrestees can be released from jail prior to their trial under specific conditions (e.g., drug treatment/education, mental health assessment and treatment, community service, conditional release etc). These individuals are supervised by CSSD pre-trial staff.

Counting Rule: Number cases with a pre-trial condition or cases referred to a pre-trial diversion program who were being supervised by CSSD pre-trial staff. This number represents different occurrences per arrestee. That is, individuals who were under pre-trial supervision on three separate occasions and would be counted three times.

Release on Recognizance: The court releases the defendant on a signed agreement that he or she will appear in court as required. This category also includes citation releases in which arrestees are released pending their first court appearance on a written order issued by law enforcement or pre-trial staff. This type of release is also known as a Written Promise to Appear.

<u>Trial Court Adjudication:</u> The trial stage of the court process where a verdict is made regarding accused individuals' guilt.

<u>Judicial/CSSD Sentenced Supervision Probation:</u> A court sentence where convicted offenders are supervised in the community rather than placed in prison. Requires offenders to abide by certain rules and conditions set by the judge and probation officer.

Counting Rules: Number of convicted offenders given a probation sentence from January 1st to the end of the monthly reporting period.

Nolled: A disposition of a criminal or motor vehicle case where the prosecutor agrees to drop the case against the defendant but keeps the right to reopen the case and prosecute at any time during the next thirteen months. The nolle is entered on the court record and the defendant is released from custody. If the defendant stays out of trouble during the thirteen months, the case is removed from the official court records.

<u>Dismissed:</u> The decision made by prosecutors or judges to drop the charges brought against an accused individual.

Not Guilty: Judge or jury finding that the accused individual did not commit the crime for which charges were brought forward.

<u>Guilty but Not Incarcerated:</u> Accused individual is guilty of the charges but the judicial sentence does not include jail or prison time.

<u>Releases:</u> Offenders who are released from DOC facilities.

#### APPENDIX II - Glossary & Counting Rules (continued)

<u>DOC Community Supervision:</u> DOC releases certain offenders to a variety of community programs prior to the end of their prison sentence. These programs primarily consist of parole, transitional supervision, halfway houses, and re-entry furloughs.

Counting Rules: Number of offenders released to a DOC community program between January 1st to the end of the monthly reporting period.

<u>End of Sentence</u>: Sentenced offenders who complete their sentence and are no longer in the custody of the Connecticut DOC.

Counting Rules: Number of sentenced offenders who completed their sentence and left DOC supervision. This includes offenders and individuals in DOC community programs.

<u>Split Sentence Probation:</u> A judicial sentence that requires convicted offenders to serve a set amount of time in DOC custody followed by a set amount of time on probation.

#### Chart 1A and Table 1A: DOC Admissions by Type

Accused: Arrested individuals who cannot pay the bond amount and are held in jail prior to their trial.

Counting Rule: Number of arrestees in jail by month. This number represents the number of different occurrences per arrestee. That is, individuals who were arrested on three separate occasions and placed in jail prior to trial would be counted three times.

<u>New Sentence</u>: Convicted offenders who were admitted to a DOC facility only after being convicted and sentenced to prison.

Counting Rule: The number of convicted offenders admitted to a DOC facility. This number does not include offenders who were in jail/prison during the pre-trial process and were subsequently convicted and sentenced to jail.

<u>Federal/Other:</u> Offenders who have been sentenced to a Federal prison and are being housed in a Connecticut DOC facility.

Counting Rule: The number of Federal offenders in Connecticut DOC facilities.

### Chart 1B.1 and Table 1B.1: Prison Re-Admissions from DOC Community Supervision

<u>Parole:</u> Program available to certain offenders serving sentences of greater than two years. By statute, offenders convicted of non-violent crimes are eligible for parole after serving 50 percent of their sentence. Those offenders convicted of violent crimes must serve 85 percent of their sentence.

TS (Transitional Supervision): Eligible offenders must serve at least 50 percent of a sentence of two years or less. The facility Warden is the designated release authority and the DOC provides supervision and case management, through its Parole and Community Services Unit for offenders on TS status.

<u>HWH (Halfway House):</u> Utilized to provide assistance for those offenders who require greater support and supervision in the community. Offenders who are within eighteen months of release date or have been voted to parole may participate in these structured programs.

<u>Re-Entry Furlough:</u> The release of an offender to an approved residence for up to 30 days in the final portion of their sentence for the purpose of re-entry support into the community.

Counting Rules: The number of offenders placed in each program during each particular month. Parolees who were placed in Halfway Houses were counted only as parolees. The same rule was applied for individuals in Transitional Supervision.

### Chart 1B.2 and Table 1B.2: Prison Re-Admissions from DOC Community Supervision

<u>Technical Violation:</u> Failure to abide by rules or conditions as part of release in the program (e.g., failure to meet with community supervision officers, violating curfew, contacting crime victim, carrying a weapon, etc.).

<u>Criminal</u>: Being arrested for committing a new criminal offense while in the community.

<u>Escape:</u> Leaving a halfway house without permission (running away).

<u>Abscond:</u> Failure to report to community supervision for an extended period of time (running away).

Other: Miscellaneous reasons that do not fall into any of the above categories.

Counting Rules: Number of individuals readmitted to prison for various violations. The count only consists of the most serious violation (criminal, technical violation, escape, abscond, other).

### Table 1C: Prison Re-Admissions Sentenced Offenders with Violations of Probation (VOP)

<u>Violation of Probation:</u> Failure to abide by rules or conditions as part of release in the program.

Counting Rules: Number of sentenced offenders (each offender counted once) with violation of probation in any docket, with latest admission date within the specified month, broken down by length of controlling sentence for less than 2 years or greater than 2 years.

#### Chart 2 and Table 2: DOC Releases by Type

End of Sentence: Sentenced offenders who complete their sentence and are no longer in the custody of the Connecticut DOC.

Counting Rules: Number of sentenced offenders who completed their sentence and left DOC supervision. This includes offenders and individuals in DOC community programs.

Transfer Parole and Special Parole counted in Parole. Transitional Placement counted in Furlough.

#### APPENDIX II - Glossary & Counting Rules (continued)

## Chart 3A: Parole Approval (Number Granted), Chart 3B and Table 3B: Parole Approval (Granting) Rate

<u>Full Panel Hearings:</u> An official parole board hearing that consists of three Board of Parole members. The Board of Parole members review the offenders' case file and discuss the possibility of parole with the offender. After which, they vote on whether the offender should be granted parole.

Administrative Reviews: A less formal process that is often used for less serious offenders. A hearing officer interviews the offender and makes a recommendation to the Board of Parole. The Board of Parole members vote on whether the offender should be granted parole.

Counting Rules: The granting rate was calculated by dividing the number of paroles granted by the total number of parole hearings.

#### **Chart 4A: Monthly Probation Sentences**

Counting Rules: Number of clients who had Adult Probation Supervision cases starting in the month.

#### Chart 4B and Table 4B: Monthly CSSD Direct Sentenced and Probationer Community Placements

<u>Community-Based Services:</u> Alternative to Incarceration programs for less serious offenders. These programs provide a variety of services including day reporting, substance abuse services, full time education components, vocational assistance, counseling, supervision and extensive community service.

Outpatient: Alternative to Incarceration programs where probationers are required to report at specific times to receive program services including: Substance abuse evaluation and treatment; Mental Health Evaluation and treatment; and Anger Management groups.

Inpatient/Residential: Alternative to Incarceration programs where probationers stay for a predetermined period and receive a variety of services such as work release supervision, substance abuse treatment, educational services, life skills training, job development, family counseling, and intensive case management.

Counting Rules: Number of clients who were added to this program model per month. In November of 2006 a database change occurred. Data prior to November 2006 included some reporting inconsistencies which were corrected with the new database.

#### **Chart 5A: Monthly Prison Population**

Counting Rules: Number of offenders in the custody of DOC facilities on the first day of the given month.

### Chart 5C and Table 5C: Snapshot of Prison Population by Age

Counting Rules: Number of offenders by age grouping in the custody of DOC facilities on the given day.

## Chart 5B and Table 5B: Snapshot of Prison Population by Race and Gender

Counting Rules: Number of offenders by racial and gender groupings in the custody of DOC facilities on the given day.

#### **Chart 6A: Connecticut Prison Population**

<u>Total Facility:</u> Number of offenders in all of DOC facilities.

<u>Supervised Home Release</u>: A DOC community program that was discontinued in 1995.

Counting Rules: Number of individuals in each category on January 1<sup>st</sup> of the given year.

### Chart 6B: Prison Population Over the Past 12 Months with 6 Months Straight-line Forecasting

<u>Actual Population:</u> Number of offenders in all DOC facilities.

Counting Rules: Number of offenders in the custody of DOC facilities on the first day of the given month.

<u>Projected Population:</u> Estimated number of offenders in DOC facilities on the first day of the given month.

Counting Rules: The projected population was calculated by multiplying the last month of available actual population data by monthly changes in the prison population for the past five years.