

FINANCE ADVISORY COMMITTEE

REVISED AGENDA

June 8, 2009

Room 1E, Legislative Office Building –3:00 P.M.

1. Minutes of the May 7, 2009 meeting.

2. New transactions as follows:

2009-34	Office of the State Comptroller	\$2,770,000.00
2009-35	Department of Public Safety	\$2,900,000.00
2009-36	Department of Banking	\$ 522,831.00
2009-37	Department of Public Health	\$ 82,400.00
2009-38	Department of Developmental Services	\$ 800,000.00
2009-39	Department of Mental Health & Addiction Services	\$1,134,000.00
2009-40	Department of Transportation	\$3,200,000.00
2009-41	Department of Social Services	\$6,310,000.00
2009-42	Teachers' Retirement Board	\$ 737,000.00
2009-43	Public Defender Services Commission	\$ 325,000.00
2009-44	Department of Correction	\$2,000,000.00

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment       APPROPRIATION adjustment       APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2009-34	09-17
AGENCY NO.	AGENCY NAME		
OSCI5000	Office of the State Comptroller		

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				<u>UNALLOTTED</u>	<u>ALLOTMENT</u>	
09	11000	OSC 15910	12007	2,750,000.00		Higher Education Alternative-Retirement
09	11000	OSC 15910	12005		550,000.00	Unemployment Compensation
09	11000	OSC 15910	12012		2,200,000.00	State Employee Health Service Cost
09	12001	OSC 15910	12011	20,000.00		Employee's Social Security Tax
09	12001	OSC 15910	12005		20,000.00	Unemployment Comp.

REASON FOR ADJUSTMENT  
To transfer funds from the Higher Education Alternate Retirement Fund to cover the 4th Quarter shortfalls in Active Health and Unemployment Compensation. Surplus funding in Higher Education ARP due to cyclical trends. Deficit in Unemployment Comp. due to claims running higher. Deficit in Active Health due to rescissions.

Surplus funding in Transportation Social Security due to the effects of the hiring freeze. Deficit in Transportation Unemployment Compensation due to claims running higher than anticipated.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (For adjustments in appropriations) 4-87	REQUESTING OFFICIAL (Signature) <i>Nancy Wyman</i>	(Title) State Comptroller	DATE SIGNED 5/18/09
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UNALLOTTED/UNENCUMBERED BALANCE \$	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE 6/1/09
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 5/14/09	APPROVED (Governor) <i>[Signature]</i>
RECOMMENDED (Undersecretary, Budget & Fin. Mgmt.) <i>[Signature]</i>	DATE 6-1-09	DATE

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2009-35	20090527
AGENCY NO.	AGENCY NAME		
DPS32000	Department of Public Safety		

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				<u>ALLOTTED</u>	<u>ALLOTTED'</u>	
09	11000	DPS 32000	10010	2,900,000.00		Personal Services
09	11000	DPS 32000	10020		1,700,000.00	Other Expenses
09	11000	DPS 32000	12235		1,200,000.00	Workers' Compensation

REASON FOR ADJUSTMENT

FAC Request to transfer money among appropriated accounts.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (For adjustments in appropriations) <b>CGS Sec. 4-87 and 10a-8(b)</b>	REQUESTING OFFICIAL (Signature) <i>Michael Wambolt</i>	(Title) <b>CFAO</b>	DATE SIGNED <b>05/27/2009</b>
ACTION			
UNALLOTTED/UNENCUMBERED BALANCE \$		APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE <b>6/1/09</b>
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE <b>5/28/09</b>	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Bgd. & Fin. Mgmt.) <i>[Signature]</i>	DATE <b>6-1-09</b>		

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\*USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

## Department of Public Safety – FAC

The shortfall in the Workers' Compensation Claims account is due to a significant increase in the number of claims and the severity of the injuries. The average lost work days per claim has increased along with medical costs. The shortfall in the Other Expenses account is due to several factors: \$561,000 that was not provided for IT operating systems (the budget directed these costs to be paid out of CEPF bond funding, but operating costs cannot be paid by bond funds); \$447,000 to settle a claim from a prior year (for Cogent IT services); \$203,000 for IT systems maintenance costs for the Automated Fingerprint Identification Systems that were not budgeted; \$200,000 to clean up an oil spill at Troop G ion Bridgeport; and \$102,000 for the services of an IT Manage III in DOIT.

Funding is available in the Personal Services account due to the impact of the hiring freeze and concerted efforts by the department to reduce overtime costs.

<input type="checkbox"/> ALLOTMENT adjustment	<input type="checkbox"/> APPROPRIATION adjustment	<input checked="" type="checkbox"/> APPROPRIATION adjustment, requiring Finance Advisory Committee action	COMPT. USE ONLY	PAGE	OF
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COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	
				2009-36	
				REQUEST NUMBER	
				2009-1	
AGENCY NO.	AGENCY NAME				
DOB 37000	Department of Banking				

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
09	12003	DOB 37000	10010	<u>UNENCUMBERED BALANCE</u> \$348,513	<u>4th QUARTER ALLGTMNT</u>	Personal Services
09	12003	DOB 37000	12244	\$174,318		Fringe Benefits
09	12003	DOB 37000	12262		\$497,831	Indirect Expense
09	12003	DOB 37000	10020		\$ 25,000	Other Expense

REASON FOR ADJUSTMENT

Allotment adjustment is being requested to cover projected deficits in our indirect overhead and other expense account at 6/30/09.

1. Savings in PS account attributable to delay in filling vacancies during fiscal year.
2. Savings in F/B account attributable to corresponding delay in filling vacancies during fiscal year.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (For adjustments in appropriations) 4-87	REQUESTING OFFICIAL (Signature) <i>Howard F. Quinn</i>	(Title) Commissioner	DATE SIGNED 5/26/09
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UNALLOTTED/UNENCUMBERED BALANCE \$	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE 6/1/09
REVIEWED BY (Analyst) <i>Melissa French</i>	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Budget & Fin. Mgmt.) <i>[Signature]</i>	DATE 6-1-09	

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**DPH00003**

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2009-37		2009-60
AGENCY NO.	AGENCY NAME					
DPH48500	Department of Public Health					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>Unencumbered</u>	<u>Allotment</u>	
2009	11000	DPH48500	16060	2009	\$82,400.00		Community Health Services
2009	11000	DPH48500	17009	2009		\$82,400.00	Local & District Departments of Health

REASON FOR ADJUSTMENT

There is unencumbered funds available in Community Health Services because contractual services with one vendor were not completed and the contract was canceled. The above funds are required to pay the City of Hartford and the Town of Wilton the per capita payments for 2009 per CGS-19a-202 as amended by PA07-01, the budget act. Finance Advisory Commission Action Requested

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>James O'Connell</i>	(Title) Chief Fiscal Officer	DATE SIGNED 5/26/09
UNALLOTTED / AVAILABLE BALANCE	ACTION		
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 5/26/09	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE 6/1/09
RECOMMENDED (Undersecretary, Bug. & Fin. Mgmt.) <i>[Signature]</i>	DATE 6-1-09	APPROVED (Governor)	DATE

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

Department of Public Health  
FAC Request – May 15, 2009  
Justification

Request for transfer of \$82,400 from 16060 – Community Health Services to 17009 – Local and Districts Departments of Health: CGS Section 19a-202, as amended by PA 07-02, section 61 requires the department to pay per capita \$1.18 for health departments. To complete our FY 2009 payments we need \$61,202 to meet our requirements for the City of Hartford and \$21,198 for the Town of Wilton. These final payments are short of funds because of the timing of the invoices, the shift of population and some conversions to districts resulting in higher per capita payments.

The funds are available in account 16060 - Community Health Services because a contract with UHC was canceled due to the need for savings in the current fiscal year.

ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**20009**

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
				2009-38	DDS	09-109
AGENCY NO.	AGENCY NAME					
DDS50000	DEPARTMENT OF DEVELOPMENTAL SERVICES					

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
					<u>ALLOTTED</u>	<u>ALLOTMENT</u>	
2009	11000	DDS50000	10010	2009	\$800,000.00		Personal Services
2009	11000	DDS50000	12192	2009		\$400,000.00	Early Intervention
			12235	2009		\$400,000.00	Workers Compensation Claims
					\$800,000.00	\$800,000.00	

REASON FOR ADJUSTMENT

Transfer of funds necessary to meet end of fiscal year obligations. Please see attached justification.

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>C.G.S. 4-87</b>	REQUESTING OFFICIAL (Signature) <i>[Signature]</i>	(Title) <b>CFO</b>	DATE SIGNED <b>5/5/09</b>
ACTION			
UNALLOTTED / AVAILABLE BALANCE		APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE <b>6/1/09</b>
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE <b>5-26-09</b>	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE <b>6-1-09</b>		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND



DDS50000  
DOC# 20009  
REQ# DDS 09-109

Attachment

Personal Services:

The Department of Developmental Services requests a transfer of one time savings of \$800,000 from the Department's Fiscal Year 2009 Personal Services SID to the Early Intervention SID (\$400,000) and to Workers Compensation SID (\$400,000). The FAC is required to provide the necessary cash flow that will allow the purchase of necessary services and to meet all financial obligations.

The savings in PS is a result of the continued savings from the ongoing turnover of non-direct care staff whose vacancies are not being filled as a result of the hiring freeze, and through reductions in overtime.

Early Intervention:

The Department of Developmental Services requests the transfer of \$400,000 to Early Intervention (SID 12192). The transfer is required in order to fund additional Early Intervention service costs due to higher than anticipated direct service units, and lower than projected revenues from insurance companies.

This will be funded by a transfer of \$400,000 from the Department's Personal Services (SID 10010).

Workers Compensation:

The Department of Developmental Services requests a transfer of \$400,000 to Workers Compensation (SID 12235); the transfer will be funded from the Department's Personal Services (SID 10010). The transfer is required in order to fund higher Workers Compensation expenses projected through the end of the year that are the result of unanticipated indemnity expenses related to several long term claims, and unanticipated expenses from stipulated benefit payments that have arisen from the contested claim hearing process.

ALLOTMENT adjustment       APPROPRIATION adjustment       APPROPRIATION adjustment, requiring Finance Advisory Committee action

DOCUMENT NUMBER  
**MHA09006**

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		AGENCY ACRONYM	REQUEST NUMBER
				2009-39		DMHAS	2009-6
AGENCY NO.	AGENCY NAME						
MHA53000	Department of Mental Health and Addiction Services						

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE *	ACCOUNT TITLE/PROJECT NUMBER
					<u>ALLOTMENT</u>	<u>ALLOTMENT</u>	
2009	11000	53100	12292	2009	\$250,000.00		Prison Overcrowding
2009	11000	53100	12465	2009	\$134,000.00		Persistent Violent Felony Offenders Act
2009	11000	53100	12220	2009	\$750,000.00		General Assistance Managed Care
2009	11000	53000	10010	2009		\$1,134,000.00	Personal Services
			TOTAL		\$1,134,000.00	\$1,134,000.00	

REASON FOR ADJUSTMENT

To make funds available through FAC action for expenditures in Fiscal year 2009.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (for adjustments in appropriations) 4-87	REQUESTING OFFICIAL (Signature) <i>Scott Mc...</i>	(Title) Budget Director	DATE SIGNED 5/26/2009
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UNALLOTTED / AVAILABLE BALANCE		ACTION	
\$		APPROVED (Executive Budget Officer)	DATE 6/1/09
REVIEWED BY (Analyst) <i>J. Brown</i>	DATE 5-27-09	APPROVED (Governor)	DATE
RECOMMENDED BY <i>[Signature]</i>	DATE 6-1-09		

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

PROPOSED ITEMS FOR APPROVAL  
BY THE FINANCE ADVISORY COMMITTEE  
June 4, 2009

The Department of Mental Health and Addiction Services requests authority to transfer \$1,134,000 million dollars to reduce the projected shortfall in the department's Personal Services account thereby reducing the amount of funds needed in the department's anticipated deficiency appropriation.

The Personal Services account (SID 10010) has a projected shortfall of \$2.3 million because of increased overtime usage at several DMHAS inpatient facilities due to the acuity of admissions that require constant observation by nursing staff. The balance of the shortfall will be covered by an anticipated deficiency appropriation.

This net shortfall will be offset by end of the year lapses available in the Persistent Violent Felony Offenders Act account due to delays in program starts, Prison Overcrowding account due to lower than projected salaries because of the hiring freeze and lower than anticipated claims in the General Assistance account.

Summary of Transfer:

Personal Services (10010)	\$1,134,000
Prison Overcrowding (12292)	(\$ 250,000)
Persistent Violent Felony Offenders Act (12465)	(\$ 134,000)
General Assistance Managed Care (12220)	<u>(\$ 750,000)</u>
<b>TOTAL</b>	<b>\$0</b>

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ALLOTMENT adjustment   
  APPROPRIATION adjustment   
  APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		EFFECTIVE DATE	FAC NUMBER	AGENCY ACRONYM	REQUEST NUMBER
			2009-40	DOT	8473
AGENCY NO.	AGENCY NAME				
57000	Department of Transportation				

F.Y.	FUND	AGENCY	SID	BUD REF	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2009	12001	DOT57000	10010	2009	<u>Allotted Balance</u>	<u>Allotment</u> \$3,200,000.00	Personal Services
2009	12001	DOT57000	12168	2009	\$3,200,000.00		Rail Operations

REASON FOR ADJUSTMENT  
See attached

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) Sec. 4-87	REQUESTING OFFICIAL (Signature) <i>Charles Casamont</i>	(Title) Bureau Chief	DATE SIGNED 5/16/09
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UNALLOTTED / AVAILABLE BALANCE	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE 6/1/09
REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 5/21/09	APPROVED (Governor)
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 6-7-09	DATE

\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

**Finance Advisory Committee  
Meeting June 4, 2009**

The Department requests the transfer of \$3,200,000 from its Rail Operations appropriation to its Personal Services (PS) appropriation. This transfer will partly replenish PS for temporary transfers to OE, which were approved at the February 5, 2009 and April 2, 2009 FAC meetings to cover deficits that occurred in Other Expenses due to higher than average Snow and Ice Removal costs, as well as rising costs to maintain and repair an aging fleet.

Funding is available in the Rail Operations appropriation due, in part, to higher than projected revenues in the first six months of the year, and lower than budgeted expenditures on the New Haven line. Although revenue has dropped recently, the financial position of the first half of the year combined with Metro North expenditure reductions, have resulted in the availability of funds.

<u>SID</u>	<u>Appropriation Title</u>	<u>Transfer Amount</u>
10010	Personal Services	\$ 3,200,000
12168	Rail Operations	\$ (3,200,000)

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
				2009-41		2009-160	
AGENCY NO.	AGENCY NAME						
60000	Department of Social Services						

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
				<u>Allotted</u>	<u>Allotted</u>	
2009	11000	60000	10010	\$500,000.00		Personal Services
2009	11000	60000	10050	\$950.00		Equipment
2009	11000	60000	12127	<u>\$250,000.00</u>		HUSKY Outreach
2009	11000	60000	12197	\$70,000.00		Genetic Test in Paternity Actions
2009	11000	60000	16061	\$165,000.00		Old Age Assistance
2009	11000	60000	16077	\$385,000.00		Aid to the Disabled
2009	11000	60000	16096	\$475.00		Emergency Assistance
2009	11000	60000	16100	\$2,300,000.00		ConnPACE
2009	11000	60000	16123	\$730,000.00		Services to the Elderly
2009	11000	60000	16149	\$304,575.00		Housing/Homeless Services

REASON FOR ADJUSTMENT

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) <b>CGS-4-87(a)</b>	REQUESTING OFFICIAL (Signature) 	(Title) <b>Director, DSS</b>	DATE SIGNED <b>5/29/2009</b>
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ACTION

UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management) 	DATE <b>6/1/09</b>
REVIEWED BY (Analyst) 	APPROVED (Governor) 	DATE <b>5/29/09</b>
RECOMMENDED (Under Secretary for Fin. Mgmt.) 		DATE <b>6-1-09</b>

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION  
 ADJUSTMENT REQUEST CONTINUATION  
 B-107-1 NEW 2/86

TO: STATE OF CONNECTICUT  
 BUDGET AND FINANCIAL MANAGEMENT  
 OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

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COMPT. USE ONLY	EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
					2009-160	
AGENCY NO.	AGENCY NAME					
60000	Department of Social Services					

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2009	11000	60000	16160	\$454,000.00		Community Services
2009	11000	60000	16171	\$187,000.00		Alzheimer Respite Care
2009	11000	60000	16177	<u>\$380,000.00</u>		Teen Pregnancy Prevention - OTLG
2009	11000	60000	17032	\$583,000.00		Teen Pregnancy Prevention
2009	11000	60000	12239		\$1,600,000.00	HUSKY Program
2009	11000	60000	16071		\$10,000.00	Aid to the Blind
2009	11000	60000	16090		\$400,000.00	Temporary Asst to Families - TANF
2009	11000	60000	16114		\$1,400,000.00	Connecticut Home Care Program
2009	11000	60000	16157		\$1,800,000.00	State Administered General Assistance
2009	11000	60000	16184		\$1,100,000.00	Medicare Part D Supplemental Needs
				\$6,310,000.00	\$6,310,000.00	

**SFY 2009  
June 2009 FAC**

<b>16123 Services to the Elderly</b>	<b>(\$ 730,000)</b>
<b>16171 Alzheimer's Respite Care</b>	<b>(\$ 187,000)</b>
<b>16177 Teen Pregnancy Prevention – OTLG</b>	<b>(\$ 100,000)</b>
<b>17032 Teen Pregnancy Prevention</b>	<b>(\$ 583,000)</b>
<b>12239 HUSKY B</b>	<b>\$1,600,000</b>

Funds are being transferred to the HUSKY B account to ensure sufficient funding is available to meet year-end requirements. HUSKY B expenditures for pharmacy and dental carve-outs are higher than the estimated expenditures. This is due to increase in enrollment in April, which was 9% higher than estimated. As a result of this increased enrollment, April actuals and estimated expenses to the end of the year are higher than previously estimated. For SFY 2009, pharmacy carve out expenditures for Band 1 and Band 2 increased by 4%; dental carve out expenditures for Band 1 and Band 2 increased by 14%. April actual data shows an increase of 50% in pharmacy utilization and an increase of \$8.39 per enrollee in expenditures. Actual Dental service costs in April show an increase of \$25.85 per enrollee for Band 1 and Band 2. End of year estimates for the Services to the Elderly, Alzheimer's Respite Care, Teen Pregnancy Prevention OTLG, and Teen Pregnancy Prevention accounts indicate that balances will be available for transfer.

<b>16061 Old Age Assistance</b>	<b>(\$10,000)</b>
<b>16071 Aid to the Blind</b>	<b>\$10,000</b>

Funds are being transferred to the Aid to the Blind account to ensure sufficient funding is available to meet year-end requirements. The average cost per case was forecast at \$529 in the original biennial budget while the actual cost per case is now forecast at \$660. The average caseload was originally forecast at 96 and the actual caseload is now 90. End of year estimates for the Old Age Assistance account indicate a balance will be available for transfer.

<b>16061 Old Age Assistance</b>	<b>(\$155,000)</b>
<b>16077 Aid to the Disabled</b>	<b>(\$245,000)</b>
<b>16090 Temporary Assistance to Families-TANF</b>	<b>\$400,000</b>

Funds are being transferred to the Temporary Assistance to Families - TANF account to ensure sufficient funding is available to meet year-end requirements. This is due to deteriorating economic conditions which have caused an increase of 124 cases overall in this account as compared to the decreasing trends experienced in prior years. In addition, the cost per case has increased \$5 related to the indexing of TFA benefits and an increase in the family size of clients accessing benefits. End of year estimates for the Old Age Assistance and the Aid to the Disabled accounts indicate that balances will be available for transfer.



<b>10010 Personal Services</b>	<b>(\$ 500,000)</b>
<b>10050 Equipment</b>	<b>(\$ 950)</b>
<b>16077 Aid to the Disabled</b>	<b>(\$ 140,000)</b>
<b>16096 Emergency Assistance</b>	<b>(\$ 475)</b>
<b>16149 Housing/Homeless Services</b>	<b>(\$ 304,575)</b>
<b>16160 Community Services</b>	<b>(\$ 454,000)</b>
<b>16114 Connecticut Home Care Program</b>	<b>\$1,400,000</b>

Funds are being transferred to the Connecticut Home Care Program to ensure that sufficient funding is available to meet end of year requirements. The average cost per case was forecast at \$845 in the original biennial budget while the actual cost per case is now forecast at \$1,097. While \$4.2 million was already transferred into this account through the April FAC, the cost per case continues to rise, necessitating the need to transfer additional dollars into the account. End of year estimates for the Personal Services, Equipment, Aid to the Disabled, Emergency Assistance, Housing/Homeless Services, and Community Services accounts indicate that balances will be available for transfer.

<b>16100 ConnPACE</b>	<b>(\$1,800,000)</b>
<b>16157 SAGA</b>	<b>\$1,800,000</b>

Funds are being transferred to the SAGA account to ensure sufficient funding is available to meet year-end requirements. SAGA expenditures for Physicians, Clinics, Dental Services, and Durable Medical Equipment are higher than the original appropriation. This is largely based on increases in enrollment. The average monthly enrollment for SFY 2009 was estimated to be approximately 33,926 in the original biennial budget. Current data projects the average monthly enrollment at 37,111 for the year. Additionally, the average monthly cost per case has risen from a projected \$456 at the time the biennial budget was passed to a projected \$472 for the year. Pharmaceutical rebates are also significantly lower than originally budgeted, contributing to the additional funding need in the account. End of year estimates for the ConnPACE account indicate a balance will be available for transfer.

<b>12127 HUSKY Outreach</b>	<b>(\$ 250,000)</b>
<b>12197 Genetic Testing in Paternity Actions</b>	<b>( \$ 70,000)</b>
<b>16100 ConnPACE</b>	<b>(\$ 500,000)</b>
<b>16177 Teen Pregnancy Prevention</b>	<b>(\$ 280,000)</b>
<b>16184 Medicare Part D</b>	<b>\$1,100,000</b>

Funds are being transferred to the Medicare Part D Supplemental Needs Fund to ensure that adequate funding is available to cover the cost of non-formulary drug expenditures. Through the year, the Department worked at reducing the non-formulary drug expenditures through an exception review process that was put into place in January 2007. However, the average monthly caseload continued to increase. Costs in SFY 2008 were \$22.9 million, while costs in SFY 2009 are projected to be \$25.5 million. While not all clients receive this benefit, caseloads for the dually eligible clients under the Part D

program have increased from a monthly average of 66,186 in SFY 2008 to a projected 69,151 in SFY 2009. The account has also experienced rising costs per case this year. End of year estimates for the HUSKY Outreach, Genetic Testing, ConnPACE, and Teen Pregnancy Prevention accounts indicate that balances will be available for transfer.

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Finance Advisory Committee action

COMPT. USE ONLY		PAGE	OF
DOC. TYPE		DOCUMENT NUMBER	
		0	19735
EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER	
	2009-42	2009-03	
AGENCY NO.	AGENCY NAME		
TRB77500	Teachers' Retirement Board		

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				<u>Unencumbered</u>	<u>4th Qtr Allotment</u>	
09	11000	TRB 77500	10020	\$ 20,000.00		Other Expenses
09	11000	TRB 77500	10010		\$ 20,000.00	Personal Services
09	11000	TRB 77500	10020	182,000.00		Other Expenses
09	11000	TRB 77500	16023		182,000.00	Retirees Health Service Cost
09	11000	TRB 77500	16032	535,000.00		Municipal Retiree Health Insurance Cost
09	11000	TRB 77500	16023		535,000.00	Retirees Health Service Cost

REASON FOR ADJUSTMENT

To meet projected deficiencies in Personal Services and Retirees Health Service Cost

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (For adjustments in appropriations) C.G.S. Sect. 4-87		REQUESTING OFFICIAL (Signature) <i>Darlene Perez</i>		(Title) Administrator	DATE SIGNED 05/13/09
UNALLOTTED/UNENCUMBERED BALANCE \$		APPROVED (Secretary) Office of Policy and Management <i>[Signature]</i>		DATE 6/1/09	
REVIEWED BY (Analyst) <i>[Signature]</i>		DATE 5/13/09	APPROVED (Governor) <i>[Signature]</i>		DATE
RECOMMENDED (Undersecretary, Bus. & Fin. Mgmt.) <i>[Signature]</i>		DATE 6-1-09			

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

ALLOTMENT adjustment  
 APPROPRIATION adjustment  
 APPROPRIATION adjustment, requiring Advisory Committee action

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DOC. TYPE	DOCUMENT NUMBER	
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COMPT. USE ONLY	EFFECTIVE DATE	FAC NUMBER	REQUEST NUMBER
		2009-43	09-02

AGENCY NO.	AGENCY NAME
PDS98500	PUBLIC DEFENDER SERVICES COMMISSION

F.Y.	FUND	AGENCY	SID	REDUCE*	INCREASE**	ACCOUNT TITLE/PROJECT NUMBER
				Allotted Balance	Allotted Balance	
2009	11000	PDS98500	12076	325,000		SPECIAL PUBLIC DEFENDER NON-CONTRACTUAL
2009	11000	PDS98500	10020		100,000	OTHER EXPENSES
2009	11000	PDS98500	12090		225,000	EXPERT WITNESSES

REASON FOR ADJUSTMENT

To provide funding in Other Expenses for the payment of necessary bills to cover increased energy costs, increased telecommunication expenses and increased costs in the upgrading of systems software.

Funding in the Expert Witnesses account is necessary for payment of expert witnesses required in 17 pending capital cases and experts required in an increasingly high number of homicide and serious felony cases. Additional funding required can also be attributed to a carry over from FY08 of outstanding bills.

Funds to be transferred from Special Public Defender Non-Contractual are available due to better special public defender management and training practices instituted in the past year resulting in same reduced numbers of multiple, successive special public defender appointments in individual client cases.

Bond Commission Date: \_\_\_\_\_

STATUTORY AUTHORITY (for adjustments in appropriations)	REQUESTING OFFICIAL (Signature) <i>William O. Story</i>	(Title) Chief Public Defender	DATE SIGNED 5/14/09
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UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management) <i>[Signature]</i>	DATE 6/1/09
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REVIEWED BY (Analyst) <i>[Signature]</i>	DATE 5/20/09	APPROVED (Governor) <i>[Signature]</i>	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>	DATE 6-1-09		

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ALLOTMENT OR APPROPRIATION  
ADJUSTMENT REQUEST  
B-107 REV 3/96

TO: STATE OF CONNECTICUT  
BUDGET AND FINANCIAL MANAGEMENT  
OFFICE OF POLICY AND MANAGEMENT

- ALLOTMENT adjustment     APPROPRIATION adjustment     APPROPRIATION adjustment, requiring Finance Advisory Committee action

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DOC. TYPE		DOCUMENT NUMBER	
		DOC0020	

COMPT. USE ONLY		EFFECTIVE DATE		FAC NUMBER		REQUEST NUMBER	
				2009-44		09 - 22	
AGENCY NO.		AGENCY NAME					
DOC88000		DEPT OF CORRECTION					

F.Y.	FUND	AGENCY	SID	REDUCE *	INCREASE **	ACCOUNT TITLE/PROJECT NUMBER
2009	11000	DOC88000	10010	<u>Allotment</u> \$2,000,000.00	<u>Allotment</u>	PERSONAL SERVICES
2009	11000	DOC88000	12235		\$2,000,000.00	WORKERS COMPENSATION CLAIMS

REASON FOR ADJUSTMENT

BUD REF 2009

To transfer allotted funds in accordance with CGS 4-87 to meet the operational needs of the Agency. (See attached memorandum).

Bond Commission Date:

STATUTORY AUTHORITY (for adjustments in appropriations) CGS 4-87	REQUESTING OFFICIAL (Signature) <i>Deborah Lewis</i>	(Title) Acting Director of Fiscal Services	DATE SIGNED 6/3/2009
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UNALLOTTED / UNENCUMBERED BALANCE	APPROVED (Secretary, Office of Policy and Management)	DATE
\$	<i>[Signature]</i>	6/4/09
REVIEWED BY (Analyst) <i>[Signature]</i>	APPROVED (Governor)	DATE
RECOMMENDED (Undersecretary, Bud. & Fin. Mgmt.) <i>[Signature]</i>		6/3/09

\* USE ONLY UNALLOTTED, UNENCUMBERED, RESOURCES OF FUND  
\*\* USE ONLY UNALLOTTED, ALLOTMENT, RESOURCES OF FUND

ORIGINAL - OPM



**STATE OF CONNECTICUT**  
**DEPARTMENT OF CORRECTION**  
24 WOLCOTT HILL ROAD  
WETHERSFIELD, CONNECTICUT 06109

June 3, 2009

Subject: Finance Advisory Committee Request – June 8, 2009 Meeting

The Department of Correction is requesting the following FAC action to transfer funds from the “Personal Services” account to cover the operational needs of the Agency through the end of the current fiscal year.

The Department is seeking to transfer \$2.0 million into the “Workers Compensation Claims” account to meet the obligations of the account through June 2009. The Agency has experienced a 12% increase in medical related costs compared to last fiscal year. In addition, active indemnity claims with payments has increase by 13.5%.

Attachment: Appropriation Adjustment Request (B-107) #DOC0020/09-22