

HEALTH AND HOSPITALS

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DEPARTMENT OF PUBLIC HEALTH

AGENCY DESCRIPTION

[HTTP://WWW.DPH.STATE.CT.US](http://www.dph.state.ct.us)

The Department of Public Health (DPH) is responsible for protecting the health and safety of the people of Connecticut; actively working to prevent disease and promote wellness through planning, education and programs such as prenatal care, immunizations, AIDS awareness and nutrition supplements; monitoring and assuring response to public health emergencies, infectious diseases, environmental and

occupational health hazards; regulating health care providers such as health facilities, health professionals and emergency medical services; providing testing and monitoring support through the state laboratory and collecting and analyzing health data to help plan policy for the future. DPH is also the repository for all birth, adoption, paternity, marriage and death certificates.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-1,373,693	-2,982,458
• Transfer Equipment to CEPF	-1,171,404	-961,854
• Fund Non-ERIP accruals through the RSA account	-100,000	-100,000
• Carryforward FY05 Funds	-1,019,564	0
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY'06 and 2% in FY'07.</i>	-8,750	-20,567
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-74,557
• Reduce Community Services Account <i>Reflects the ability of Federally Qualified Health Centers to receive 100% cost based reimbursements for all services provided to Medicaid clients and the elimination of unobligated funding.</i>	-630,679	-630,679

Reallocations or Transfers

• Return IT Positions to Agencies <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	995,463	995,527
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New or Expanded Services

	<u>2005-2006</u>	<u>2006-2007</u>	<u>2007-2008</u>
• Fund Tuberculosis Control in Correctional Facilities <i>One position and related funding are provided to offset federal funding losses in order to maintain a collaborative effort with the Department of Correction for tuberculosis (TB) prevention in correctional facilities.</i>	70,000	70,000	70,000
• Fund Medication Adherence Program <i>Increase funding to augment approximately \$215,000 already budgeted and offset federal Ryan White funding in order to maintain the drug adherence program that complements Connecticut's AIDS Drug Assistance Program (CADAP) by monitoring medication compliance of Connecticut residents living with AIDS.</i>	510,326	510,326	510,326

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	396	95	-44	447	447	461	447	461
Federal Contributions	318	45	-1	362	362	363	361	362
Private Contributions	12	1	-1	12	12	12	12	12

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
General Fund	2	3	3	3	3	3
Federal Contributions	6	4	2	2	2	2

Agency Programs by Total Funds (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Community Health	107,652,853	122,808,580	126,379,392	125,879,267	124,271,594	130,459,121	129,930,518	127,042,110
Regulatory Services	14,299,315	15,450,597	16,408,804	16,407,141	16,063,152	16,726,682	16,727,583	16,567,038
Commissioner's Programs	11,490,368	19,011,915	19,810,976	19,810,912	19,568,520	20,629,152	20,627,109	20,429,327
Laboratory Services	9,904,331	12,263,106	13,424,752	13,416,433	12,440,438	13,733,703	13,721,701	12,904,585
Healthcare Systems	7,703,469	9,315,417	9,199,269	8,713,473	8,426,693	9,734,640	8,766,596	8,596,932
Agency Management Services	9,591,988	11,117,690	12,223,761	13,130,176	12,863,241	12,520,932	13,396,572	13,440,298
TOTAL Agency Programs - All Funds Gross	160,642,324	189,967,305	197,446,954	197,357,402	193,633,638	203,804,230	203,170,079	198,980,290
Less Turnover	0	0	-1,434,027	-1,434,027	-1,434,027	-1,484,218	-1,434,027	-1,434,027
TOTAL Agency Programs - All Funds Net	160,642,324	189,967,305	196,012,927	195,923,375	192,199,611	202,320,012	201,736,052	197,546,263
Summary of Funding								
General Fund Net	61,050,898	71,158,697	73,651,898	73,562,346	69,838,582	75,832,785	75,248,825	71,059,036
Special Funds, Non-Appropriated	1,715	0	0	0	0	0	0	0
Bond Funds	180,030	0	0	0	0	0	0	0
Federal Contributions	97,681,209	117,063,908	120,808,413	120,808,413	120,808,413	124,884,704	124,884,704	124,884,704
Private Contributions	1,728,472	1,744,700	1,552,616	1,552,616	1,552,616	1,602,523	1,602,523	1,602,523
TOTAL Agency Programs - All Funds Net	160,642,324	189,967,305	196,012,927	195,923,375	192,199,611	202,320,012	201,736,052	197,546,263

COMMUNITY HEALTH

Statutory Reference

C.G.S. Sections 7-36 et seq., 8-219e, 8-266-270a, 10-204a, 10-206, 10-206b, 17b-185, 17b-808, 19a-2a, 19a-7, 19a-7a, 19a-7d, 19a-7f-h, 19a-25, 19a-36, 19a-48-50, 19a-53-55, 19a-56a-b, 19a-59, 19a-59b-c, 19a-61, 19a-62a, 19a-74, 19a-110, 19a-110a, 19a-111 a-b, 19a-112a, 19a-116, 19a-121, 19a-215-216, 19a-250-269, 19a-490(a), 19a-581-585, 19a-593, 20-474-482, 45a-691, and 52-146k.

Statement of Need and Program Objectives

To enable data-based preventive health decision-making by collecting and analyzing data on the occurrence of potentially preventable and emerging diseases and associated risk factors. To enhance preparedness for and response to public health emergencies involving infectious diseases by development and analysis of special surveillance systems, development of a flexible emergency response capacity, and participation in emergency response planning.

To promote health and prevent disease by lowering the financial barriers to care and improving access to primary and preventive health care services. To reduce preventable chronic diseases, injuries and infectious diseases through surveillance, outbreak investigation, outreach, immunizations, education, prevention and health treatment.

Program Description

The bureau improves and protects the health of Connecticut's residents through promotion of primary and preventive health care at every stage of life; education of individuals and target population groups to make choices in diet, exercise and personal protective measures that enhance health, promote wellness, and reduce risk of injury and preventable disease; collection of data to assess chronic and infectious disease and injury risk factors and monitor trends to improve individual and population health; disease surveillance and linked intervention activities such as patient counseling, public education, provision of vaccines or medicines, and organization of special clinics; and planning and capacity development for emerging disease problems such as SARS and possible bioterrorist threats such as anthrax or smallpox.

The bureau is comprised of the following units:

The Family Health Division which encompasses children with special health care needs, newborn screening, family planning/women's health, fetal/infant mortality and maternal mortality review, arthritis, school and primary health, injury intervention, and oral health programs.

The Health Education, Management, and Surveillance Division which encompasses asthma education and surveillance, childhood lead poisoning prevention, food stamp nutrition, obesity, tobacco use prevention and control, and women, infants and children's supplemental food program.

The AIDS and Chronic Diseases Division encompasses programs to prevent HIV infection and provide health care and support services for people already infected; to screen uninsured or underserved women for breast and cervical cancer and to promote cardiovascular health through educational programs focusing on diabetes, heart disease, and stroke prevention.

The Division of Health Information Systems and Reporting encompasses the state Vital Records program that exercises supervisory authority over local vital records offices and maintains the statewide registry for births, deaths and marriages. The Division also manages the national Behavioral Risk Factor Surveillance Survey and the Youth Risk Factor Surveillance component of the Connecticut School Health Survey; analyses and reports vital statistics, hospital discharge, and hospital quality of care data; prepares annual Connecticut town population estimates; conducts surveillance for cancer, diabetes and cardiovascular health; oversees the Health Professional Shortage Area and National Interest waiver processes; and supports federal and state reporting for the Maternal and Child Health and Preventive Health and Health Services block grants.

The Infectious Diseases Division encompasses programs for surveillance for HIV/AIDS, emerging infections and more than 50 acute communicable diseases including conditions potentially associated with bioterrorism; outbreak detection and investigation; planning for the public health response to infectious disease emergencies and programs for the prevention of perinatal infectious diseases, vaccine-preventable diseases, sexually transmitted diseases and tuberculosis.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Newborns born to HIV infected women/percent of those newborns HIV infected (%)	80/2%	80/2%	80/2%	80/2%
New TB cases identified/Percent of cases completing treatment (%)	111/95%	105/95%	105/95%	103/95%
Percent of 2-year olds with age-appropriate immunizations	94%	94%	94%	94%
Vital events in CT: birth/deaths	43,950/29,920	44,000/29,950	44,050/29,975	44,100/30,000
fetal deaths/marriages	255/19,300	255/20,200	255/20,100	260/20,200
Paternity actions processed for children born to unmarried children	13,000	13,000	13,100	13,200

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	68	6	2	76	76	77	76	77
Federal Contributions	158	21	-6	173	174	175	173	174

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
Federal Contributions	1	2	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	4,223,748	4,614,893	4,973,246	4,982,317	4,794,120	5,012,455	5,017,046	4,956,170
Other Expenses	1,280,579	784,053	794,270	787,195	747,065	811,751	804,913	776,887

Capital Outlay

Equipment	0	0	7,344	6,135	0	6,144	4,524	0
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Other Current Expenses

Needle and Syringe Exchange Program	269,447	539,158	482,231	482,232	459,587	505,378	505,379	459,587
Comm Svs Support for AIDS Victims	179,568	196,549	195,655	195,655	187,400	205,047	205,046	187,400
Children's Health Initiative	756,241	1,065,887	1,551,660	1,008,959	972,259	1,602,656	1,053,295	977,618
Childhood Lead Poisoning	161,169	300,910	241,192	241,192	228,554	252,769	252,769	228,554
AIDS Services	3,109,144	3,794,772	3,954,152	3,954,152	4,305,098	4,143,952	4,143,951	4,305,098
Breast and Cervical Cancer Detection	1,216,807	1,556,949	1,622,341	1,619,755	1,558,666	1,700,213	1,693,264	1,559,427
Services for Children Affected by AIDS	208,912	290,877	259,652	259,652	247,435	272,115	272,115	247,435
Children w/Special Hlth Care Needs	675,691	1,562,175	1,348,231	1,348,231	1,282,620	1,412,946	1,412,946	1,282,620

Pmts to Other Than Local Governments

Community Health Services	5,196,296	6,059,495	5,999,810	5,999,811	5,114,633	6,287,802	6,287,802	5,114,633
Rape Crisis	402,429	402,429	419,331	419,331	402,429	439,459	439,459	402,429
Genetic Diseases Programs	305,288	677,646	512,109	512,108	483,647	536,690	536,689	483,647
Loan Repayment Program	158,697	122,620	124,214	124,214	122,620	126,947	126,947	122,620
Immunization Services	6,987,639	7,100,000	7,398,200	7,398,200	7,100,000	7,753,313	7,753,314	7,100,000

Pmts to Local Governments

Local & District Departments of Health	3,950,348	4,106,309	4,101,000	4,195,374	4,195,374	4,121,000	4,195,374	4,195,374
Venereal Disease Control	163,140	218,576	213,065	213,065	203,885	223,292	223,292	203,885
School Based Health Clinics	4,122,938	6,321,111	6,262,074	6,212,074	5,946,587	6,553,053	6,510,254	5,946,587
TOTAL-General Fund	33,368,081	39,714,409	40,459,777	39,959,652	38,351,979	41,966,982	41,438,379	38,549,971

Additional Funds Available

Special Funds, Non-Appropriated	1,715	0	0	0	0	0	0	0
Private Contributions	385,749	352,869	359,675	359,675	359,675	366,685	366,685	366,685

Federal Contributions

99999 Miscellaneous Fed Pgms	1,251,451	2,092,387	2,127,927	2,127,927	2,127,927	2,191,765	2,191,765	2,191,765
10557 Special Supplement Nutrition Pgm	32,620,825	34,342,404	35,000,000	35,000,000	35,000,000	36,000,000	36,000,000	36,000,000
10561 State Admin Match Grt Food Stamp	429,686	686,786	721,125	721,125	721,125	757,182	757,182	757,182
93103 Food & Drug Admin Research	41,891	0	0	0	0	0	0	0
93110 Maternal/Child Health Fed Pgms	95,807	62,100	63,963	63,963	63,963	65,882	65,882	65,882
93116 Tuberculosis Control Programs	595,100	826,822	868,163	868,163	868,163	911,571	911,571	911,571
93118 Acquired Immunodeficiency Synd	5,753,545	7,010,975	7,361,524	7,361,524	7,361,524	7,729,600	7,729,600	7,729,600
93126 Small Business Innovation	536,585	494,151	508,976	508,976	508,976	524,245	524,245	524,245
93130 Primary Care Services Coord	111,005	121,209	124,845	124,845	124,845	128,591	128,591	128,591
93136 Injury Prevent/Control Research	19,214	3,036	3,127	3,127	3,127	3,221	3,221	3,221
93163 State Loan Repayment Program	203,447	242,163	249,428	249,428	249,428	256,911	256,911	256,911
93197 Lead Poisoning Prevention Pgms	501,273	500,000	515,000	515,000	515,000	530,450	530,450	530,450

Budget-in-Detail

93235 Abstinence Education	162,080	330,000	330,000	330,000	330,000	330,000	330,000	330,000
93251 Newborn Hearing Screening	181,896	89,199	91,875	91,875	91,875	94,631	94,631	94,631
93268 Immunization Grants	3,215,567	5,234,144	5,403,080	5,403,080	5,403,080	5,615,203	5,615,203	5,615,203
93283 Centers-Disease Control/Prevent	8,304,534	7,164,073	8,289,485	8,289,485	8,289,485	8,751,396	8,751,396	8,751,396
93393 Cancer Cause/Prevention Research	701,996	0	0	0	0	0	0	0
93917 HIV Care Formula Grants	13,213,246	15,357,371	15,818,092	15,818,092	15,818,092	16,292,635	16,292,635	16,292,635
93945 Assist Pgms for Chronic Disease	523,663	520,850	531,650	531,650	531,650	561,924	561,924	561,924
93988 State Diabetes Control Programs	209,306	261,921	269,779	269,779	269,779	277,872	277,872	277,872
93991 Preventive Health/Health Service	953,086	1,460,000	1,460,000	1,460,000	1,460,000	1,460,000	1,460,000	1,460,000
93994 Maternal/Child Health Services	3,958,721	5,618,925	5,489,431	5,489,431	5,489,431	5,300,000	5,300,000	5,300,000
99125 Other Federal Assistance	313,384	322,786	332,470	332,470	332,470	342,375	342,375	342,375
TOTAL - All Funds	107,652,853	122,808,580	126,379,392	125,879,267	124,271,594	130,459,121	129,930,518	127,042,110

Community Health

REGULATORY SERVICES

Statutory Reference

C.G.S. Sections 10-212a, 16-261a, 17b-748, 19-36, 19a-2a, 19a-14, 19a-29a, 19a-77-87e, 19a-329-333, 19a-420-428, 19a-555, 20-341a-341m, 20-358-366, 20-435-442, 20-474-482, 22a-434a, 25-32 et seq., 31-40a, and 31-400.

Statement of Need and Program Objectives

To ensure the quality of environmental services through the development and enforcement of state and federal laws and regulations. To promote the expansion or development of new services to meet consumer needs.

Program Description

Certain regulatory activities within the department are consolidated into the Bureau of Regulatory Services. This provides a focus within the agency for the protection of public health by ensuring competent and capable child care and environmental service providers. Across the bureau, this is generally accomplished through regulating access to the environmental and child care professions and through regulatory oversight of facilities and services.

The bureau consists of three major program components, which have the responsibility for implementing state and federal regulatory programs.

The Division of Community Based Regulation regulates and responds to complaints for over 1,600 child day care centers and nearly 3,600 group and family day care homes. The licensure process includes application review, background screening, technical assistance, on-site inspection and review of building/zoning, local health and fire safety certificates. The division also licenses youth camps.

The Drinking Water Division is responsible for the administration of all state and federal safe drinking water

requirements. The division holds EPA primary enforcement powers for the department and administers approximately 5 million dollars annually for low interest loans for drinking water infrastructure improvements. The division protects the public health by assuring the security, quality and adequacy of our state's public drinking water. This is accomplished through technical assistance, education, regulatory enforcement, and national and international participation in drinking water forums. The division regulates over 3,000 entities which provide drinking water to almost every Connecticut resident. Homeland security efforts and emergency response to drinking water issues are coordinated through this division.

The Division of Environmental Health consults with local health officials, professional engineers, architects and the Department of Environmental Protection concerning septic system siting, approval of engineering plans for public swimming pool construction and safe use of public bathing areas. The division is also responsible for licensure of environmental service providers and certification of environmental laboratories. The division ensures compliance with asbestos and radon control strategies; enforces regulations pertaining to asbestos abatement projects in residential, public, and commercial buildings and oversees asbestos management plans in private and public schools and lead inspection and abatement activities. In addition, division staff certify local health officials to inspect food safety in retail food establishments. Within the division, the environmental and occupational health assessment unit encompasses programs for the assessment of risk from environmental and toxic hazards, investigation of outbreaks or unusual occurrences of illness that may be related to environmental or occupational exposure and measures for prevention of additional cases.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Toxic risk assessments conducted	30	30	30	30
Percent of Population served by community drinking water supply systems meeting drinking water quality standards	97.10%	98%	98%	98%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	67	4	0	71	71	71	71	71
Federal Contributions	90	8	-5	93	93	93	92	93
Private Contributions	12	0	-1	11	11	11	11	11

Other Positions Equated to Full Time General Fund	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	1	1	1	1	1	1

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,615,339	4,587,841	5,443,793	5,453,722	5,171,095	5,499,500	5,504,537	5,360,944
Other Expenses	178,605	260,308	480,434	476,154	451,880	490,989	486,853	469,901
Capital Outlay								
Equipment	0	0	44,400	37,088	0	0	0	0
TOTAL-General Fund	4,793,944	4,848,149	5,968,627	5,966,964	5,622,975	5,990,489	5,991,390	5,830,845
Additional Funds Available								
Private Contributions	628,178	525,133	554,721	554,721	554,721	584,721	584,721	584,721
Federal Contributions								
10559 Summer Food Svc Pgm for Children	8,005	10,000	10,000	10,000	10,000	10,000	10,000	10,000
66007 Air Pollution Control	87,902	97,958	99,000	99,000	99,000	102,000	102,000	102,000
66032 State Indoor Radon Grants	140,706	180,000	200,000	200,000	200,000	200,000	200,000	200,000
66432 State Public Water System	1,381,141	1,400,000	1,350,000	1,350,000	1,350,000	1,375,000	1,375,000	1,375,000
66468 Capital Grants Drinking Water	1,489,421	1,536,597	1,597,261	1,597,261	1,597,261	1,660,352	1,660,352	1,660,352
66471 Grants Reimburse Small Water Sys	223,975	0	0	0	0	0	0	0
66472 Beach Monitoring /Notification	32,029	220,000	220,000	220,000	220,000	220,000	220,000	220,000
66474 Water Protection Grants-States	66,767	386,781	0	0	0	0	0	0
66701 Toxic Substances Compliance	114,590	248,000	255,440	255,440	255,440	263,103	263,103	263,103
66707 TSCA Title IV Lead Base Paints	304,223	270,667	278,787	278,787	278,787	287,151	287,151	287,151
93262 Occupational Safety and Health	30,329	64,720	64,720	64,720	64,720	64,720	64,720	64,720
93283 Centers-Disease Control/Prevent	394,259	859,801	891,457	891,457	891,457	924,355	924,355	924,355
93667 Social Services Block Grant	728,032	666,791	666,791	666,791	666,791	666,791	666,791	666,791
93777 Survey/Certif Health Care Prov	3,680,647	3,891,000	4,007,000	4,007,000	4,007,000	4,128,000	4,128,000	4,128,000
99125 Other Federal Assistance	195,167	245,000	245,000	245,000	245,000	250,000	250,000	250,000
TOTAL - All Funds	14,299,315	15,450,597	16,408,804	16,407,141	16,063,152	16,726,682	16,727,583	16,567,038
Regulatory Services								

COMMISSIONER'S PROGRAMS

Statutory Reference:

C.G.S. Sections 19a-1a, 19a-1d, 19a-2a, 19a-4j-k, 19a-7, 19a-7a, 19a-14, 19a-25, 19a-127k, 19a-178, 19a-200 et seq., 19a-240 et seq., 19a-634, 46b-20 et seq., and Public Act 02-125

Statement of Need and Program Objectives:

To establish program direction and ensure conformance with overall agency policy.

Program Description:

The Office of the Commissioner, Office of Affirmative Action, Fiscal Office, Bureau of Administrative and Support Services, Office of Planning, Communications and Workforce Development, Office of Government Relations and Public Health Hearing Office support the major program areas of the agency.

The Office of the Commissioner establishes program direction and ensures conformance with overall agency policy.

The Office of Affirmative Action develops and implements Equal Opportunity/Affirmative Action programs and ensures compliance with applicable state and federal mandates.

The Office of Planning, Communications and Workforce Development provides comprehensive health planning on a state, regional and local basis, and coordinates the agency's media, public relations, risk communications and workforce development activities. The Planning unit develops a state health plan that assesses Connecticut's health status, sets public health priorities, and quantifies goals and objectives for

the appropriate supply, distribution and organization of public health resources. The unit is also developing regional public health preparedness plans to assure effective response to emergencies. The Workforce Development unit monitors and evaluates education and training of the public health workforce and professional workforce shortages. The unit collaborates with other state agencies, academic institutions, professional organizations, representatives of labor, and workforce development coalitions. Many competency-based training, education, and distance learning programs are developed and offered for agency staff and other public health professionals. The Communications unit directs the agency's media and community relations activities and develops health education programs consistent with the agency's priority areas. The unit coordinates risk communication activities through the dissemination of vital health information to the public, and provides Freedom of Information oversight. The unit also provides internal communications support to the agency such as publishing and editing newsletters, procuring media services, managing the agency website, and coordinating customer service and employee activities.

The Office of Government Relations functions as the commissioner's liaison to the General Assembly, congressional delegation, community-based and private sector organizations and other public interest groups. The office is responsible for constituency relations and the development and management of the agency's legislation and regulations.

Budget-in-Detail

The Public Health Hearing Office provides support for 16 gubernatorially-appointed licensing boards and adjudicates contested case matters for professions and programs for which there is no board.

The Office of Public Health Preparedness is responsible for the design, development and implementation of the department's public health emergency plans and initiatives. The office ensures compliance with state and federal mandates for public health preparedness, and identifies and secures grants in support of the state's preparedness efforts. The office provides operational management of emergencies affecting public health.

The Office of Emergency Medical Services coordinates, oversees and develops the emergency medical response system in the State of Connecticut. This office supports the efforts of police, fire and ambulance services in the treatment and transport of sick or injured persons; conducts surveillance and systems monitoring activities and implements programs

for injury prevention, disaster preparedness and mass casualty planning.

The Office of Local Health Administration is the agency's liaison with local health departments and directors. Through technical assistance, consultation, program development and monitoring and per capita grant-in-aid funding, the Office maintains and strengthens Connecticut's local public health infrastructure. The Office is also responsible for the development and maintenance of Connecticut's Health Alert Network, used to communicate with local health departments and other public health partners on a 24/7 basis.

The department's Public Health Initiatives promote intra- and interagency partnerships, capacity-building, and policy and systems development on significant public health issues, with a particular emphasis on reducing health disparities and improving health status among cultural, ethnic and racial groups in Connecticut.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Percent of population receiving full-time local health services	86%	89%	91%	93%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	30	1	3	34	34	34	34	34
Federal Contributions	26	4	1	31	31	31	31	31
Private Contributions	0	1	0	1	1	1	1	1
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Federal Contributions			1	0	0	0	0	0

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,999,440	2,142,078	2,360,578	2,364,884	2,233,578	2,383,678	2,385,860	2,305,588
Other Expenses	494,462	483,540	490,405	486,036	461,259	501,628	497,404	480,085
<u>Capital Outlay</u>								
Equipment	0	0	78,000	78,000	1,000	77,000	77,000	1,000
<u>Other Current Expenses</u>								
Children's Health Initiative	22,921	23,885	24,888	24,888	23,885	26,083	26,083	23,885
Breast and Cervical Cancer Detection	24,095	25,107	26,162	26,161	25,107	27,418	27,417	25,107
<u>Pmts to Other Than Local Governments</u>								
Emergency Medical Services Training	26,798	82,197	83,266	83,266	82,197	85,098	85,098	82,197
Emergency Med Svcs Regional Offices	444,421	475,584	481,767	481,767	475,584	492,366	492,366	475,584
TOTAL-General Fund	3,012,137	3,232,391	3,545,066	3,545,002	3,302,610	3,593,271	3,591,228	3,393,446
<u>Additional Funds Available</u>								
Private Contributions	511,195	491,057	250,000	250,000	250,000	250,000	250,000	250,000
Federal Contributions								
99999 Miscellaneous Fed Pgms	248,084	142,809	130,490	130,490	130,490	135,710	135,710	135,710
93110 Maternal/Child Health Fed Pgms	65,874	0	0	0	0	0	0	0
93127 Emergency Med Services Children	63,862	136,137	140,221	140,221	140,221	144,428	144,428	144,428
93226 Research on Healthcare Costs	74,966	99,999	99,999	99,999	99,999	99,999	99,999	99,999
93283 Centers-Disease Control/Prevent	7,141,573	14,479,586	15,203,566	15,203,566	15,203,566	15,963,744	15,963,744	15,963,744
93953 Modify Trauma Care Component EMS	3,913	40,000	40,000	40,000	40,000	40,000	40,000	40,000
93991 Preventive Health/Health Service	368,764	389,936	401,634	401,634	401,634	402,000	402,000	402,000
TOTAL - All Funds	11,490,368	19,011,915	19,810,976	19,810,912	19,568,520	20,629,152	20,627,109	20,429,327

Commissioner's Programs

LABORATORY SERVICES

Statutory Reference

Sections 19a-26, 19a-29, 19a-55, 19a-111a-b; and 25-40

Statement of Need and Program Objectives

To protect the health and safety of Connecticut residents by providing high quality, timely and cost-effective laboratory services for detection of infectious, chronic, genetic and occupational diseases and environmental threats. To provide scientific expertise to health and environmental agencies for assessment and program planning.

Program Description

The Public Health Laboratory supports the needs of all communities in the state by analysis of samples submitted by state agencies, local health departments and health care

facilities and providers. The laboratory provides the following services:

Biological Science Services tests for bacterial, viral, fungal and parasitic agents of diseases; screens for genetic diseases of newborns and assists in the surveillance of diseases of public health importance, such as West Nile virus.

Environmental Chemistry Services evaluates toxic chemicals in housing and schools, in the air, river and lake waters, wastewater, drinking water, fish and shellfish, landfills, industrial waste, hazardous spills, consumer products and soils. The laboratory also tests samples derived from children and adults for elevated levels of lead in blood.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Quality of laboratory testing: number of external accreditations earned/percent correct on proficiency performance	14/99.5%	14/99.5%	14/99.5%	14/99.5%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	71	12	3	86	86	86	86	86
Federal Contributions	20	3	0	23	22	22	23	22

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,532,755	4,368,952	4,707,029	4,715,615	4,471,239	4,732,999	4,737,334	4,613,754
Other Expenses	1,537,620	1,873,338	1,897,722	1,880,817	1,784,936	1,939,567	1,923,229	1,856,265

Capital Outlay

Equipment	0	0	605,950	605,950	0	562,250	562,250	0
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Pmts to Other Than Local Governments

X-Ray Screening and Tuberculosis Care	945,059	709,229	719,449	719,449	689,661	753,982	753,983	689,661
TOTAL-General Fund	6,015,434	6,951,519	7,930,150	7,921,831	6,945,836	7,988,798	7,976,796	7,159,680

Additional Funds Available

Bond Funds	178,544	0	0	0	0	0	0	0
Private Contributions	203,350	375,641	388,220	388,220	388,220	401,117	401,117	401,117

Federal Contributions

66432 State Public Water System	164,062	150,000	125,000	125,000	125,000	150,000	150,000	150,000
66468 Capital Grants Drinking Water	19,430	20,207	21,015	21,015	21,015	21,856	21,856	21,856
66472 Beach Monitoring /Notification	24,050	25,000	25,000	25,000	25,000	25,000	25,000	25,000
66500 Environmental Protection Researc	38,758	0	0	0	0	0	0	0
66606 Surveys, Studies, Investigations	9,589	0	0	0	0	0	0	0
93110 Maternal/Child Health Fed Pgms	3,663	0	0	0	0	0	0	0
93116 Tuberculosis Control Programs	38,900	82,337	86,454	86,454	86,454	90,777	90,777	90,777
93118 Acquired Immunodeficiency Synd	198,863	205,062	215,315	215,315	215,315	226,081	226,081	226,081
93161 Toxic Substance/Disease Registry	474,393	400,000	412,000	412,000	412,000	424,360	424,360	424,360
93197 Lead Poisoning Prevention Pgms	115,129	150,000	154,500	154,500	154,500	159,135	159,135	159,135
93268 Immunization Grants	631,871	717,944	746,661	746,661	746,661	776,527	776,527	776,527
93283 Centers-Disease Control/Prevent	1,618,609	2,946,527	3,076,773	3,076,773	3,076,773	3,221,441	3,221,441	3,221,441
93987 Health Programs for Refugees	25,887	94,869	98,664	98,664	98,664	102,611	102,611	102,611
93991 Preventive Health/Health Service	143,799	144,000	145,000	145,000	145,000	146,000	146,000	146,000
TOTAL - All Funds	9,904,331	12,263,106	13,424,752	13,416,433	12,440,438	13,733,703	13,721,701	12,904,585

Laboratory Services

HEALTHCARE SYSTEMS

Statutory Reference

C.G.S. Sections 19a-14, 19a--17, 19a-30, 19a-88, 19a-127I-n, 19a-490-560, and Chapters 369-381a, 383-388, and 398-399.

Statement of Need and Program Objectives

To protect the health and safety of the people of Connecticut through the regulatory oversight of health care professionals and facilities. To ensure there is a balance between regulatory oversight and regulatory fairness.

Program Description

Regulatory oversight of health care professionals, providers and facilities is consolidated in the Bureau of Healthcare Systems. Oversight is accomplished via programs that regulate entry through licensure/certification; monitor compliance with applicable state and federal law and regulations; investigate complaints, incidents and adverse events and implement disciplinary or corrective action against licensees which do not conform to established standards of care. The bureau also provides educational programs and technical assistance to regulated professions and industries on emerging trends and best practices.

The bureau includes the following units:

The Division of Health Systems Regulation licenses Connecticut's healthcare institutions and certifies them for Medicare and Medicaid participation. The division investigates consumer complaints involving health care

facilities and practitioners and monitors their ongoing compliance with laws and regulations. The division regulates health care and treatment services as well as physical environment and fire safety in a range of health care settings such as hospitals, long-term care facilities, outpatient clinics, hemodialysis units, home health and hospice providers and clinical laboratories. Direct surveillance of more than 1,250 of these health care providers is accomplished through on-site inspections conducted on at least a biennial basis. As the designated state survey agency, the division is under contract with the federal Department of Health and Human Services and the Connecticut Department of Social Services to administer the federal program of certification for 3,180 health care providers and suppliers. On-site inspections determine compliance with federal requirements and eligibility for Medicare and Medicaid reimbursement.

The Office of Practitioner Licensing and Certification licenses, certifies and registers health practitioners. The office receives and processes all licensure and renewal applications and administers examinations. Regulated health care practitioners include physicians, dentists, nurses, behavioral health practitioners, veterinarians, chiropractors, rehabilitation practitioners and podiatrists. The office is also responsible for maintaining the nurse aide registry and the physician profile program.

The Legal Office provides prosecutorial, regulatory and legislative support to the bureau.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Regulated practitioners: new licenses or certificates issued/total licenses or certificates issued.	15,900/211,000	15,900/211,000	15,900/211,000	15,900/211,000
Percent of long term care facilities in substantial compliance with state and federal regulatory requirements	98%	98%	98%	98%

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
General Fund	81	5	2	88	88	88	88	88	
<i>Other Positions Equated to Full Time</i>									
General Fund				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				1	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	4,631,095	5,065,030	4,968,347	4,977,409	4,719,466	5,003,340	5,007,923	4,877,284
Other Expenses	413,690	474,213	263,748	261,399	248,073	269,476	267,206	257,902
<u>Capital Outlay</u>								
Equipment	0	0	7,510	6,273	0	6,310	4,647	0
<u>Other Current Expenses</u>								
Medicaid Administration	2,658,684	3,776,174	3,959,664	3,468,392	3,459,154	4,455,514	3,486,820	3,461,746
TOTAL-General Fund	7,703,469	9,315,417	9,199,269	8,713,473	8,426,693	9,734,640	8,766,596	8,596,932

Healthcare Systems

AGENCY MANAGEMENT SERVICES

Statutory Reference:

C.G.S. Section 19a-72-74

Statement of Need and Program Objectives:

To ensure sound fiscal and administrative services in support of agency programs; to provide epidemiologic data in support of cancer prevention and treatment.

Program Description:

The Fiscal Office conducts budget planning and preparation, monitoring of state and federal grant expenditures, revenue accounting, accounts payable/receivable, purchasing and inventory control.

The Bureau of Administrative and Support Services assures that the agency's administrative activities are carried out in an efficient and accountable manner. The bureau has direct responsibility for the Contracts and Grants Management Division, Data Processing Division, Human Resources Office, and Division of Epidemiologic Research.

The Division of Epidemiologic Research provides epidemiologic consultation to programs within the DPH and to other agencies, reviews and approves research projects using DPH data, and maintains the Connecticut Tumor Registry. The Connecticut Tumor Registry is the oldest population-based cancer registry in the country. The database comprises incidence, treatment, follow-up, survival and mortality data for the 721,000 reported cancers diagnosed since 1935. The database is used by DPH staff and other researchers to examine cancer patterns, risk factors, and other cancer concerns in Connecticut.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	79	67	-54	92	92	105	92	105
Federal Contributions	24	9	9	42	42	42	42	42
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			0	1	1	1	1	1
Federal Contributions			4	2	1	1	1	1
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	5,112,418	5,636,955	5,928,708	6,934,985	7,183,909	6,053,712	7,054,784	7,468,620
Other Expenses	1,014,568	1,427,014	1,495,335	1,482,015	1,406,464	1,527,009	1,514,146	1,461,426
<u>Capital Outlay</u>								
Equipment	0	700	525,500	438,958	0	427,000	314,433	0
<u>Other Current Expenses</u>								
Children's Health Initiative	12,034	12,540	13,067	13,067	12,540	13,695	13,694	12,540
Breast and Cervical Cancer Detection	18,813	19,603	20,426	20,426	19,603	21,407	21,406	19,603
TOTAL-General Fund	6,157,833	7,096,812	7,983,036	8,889,451	8,622,516	8,042,823	8,918,463	8,962,189
<u>Additional Funds Available</u>								
Bond Funds	1,486	0	0	0	0	0	0	0
Federal Contributions								
10557 Special Supplement Nutrition Pgm	546,867	600,000	650,000	650,000	650,000	700,000	700,000	700,000
66468 Capital Grants Drinking Water	109,000	20,000	20,000	20,000	20,000	20,000	20,000	20,000
93103 Food & Drug Admin Research	1,247	44,432	45,765	45,765	45,765	47,138	47,138	47,138
93116 Tuberculosis Control Programs	93,917	128,241	134,653	134,653	134,653	141,386	141,386	141,386
93118 Acquired Immunodeficiency Synd	284,603	119,680	125,664	125,664	125,664	131,947	131,947	131,947
93268 Immunization Grants	580,624	600,000	650,000	650,000	650,000	700,000	700,000	700,000
93283 Centers-Disease Control/Prevent	623,658	383,707	402,893	402,893	402,893	423,037	423,037	423,037
93393 Cancer Cause/Prevention Research	1,100,784	2,041,776	2,126,217	2,126,217	2,126,217	2,226,502	2,226,502	2,226,502
93991 Preventive Health/Health Service	91,969	83,042	85,533	85,533	85,533	88,099	88,099	88,099
TOTAL - All Funds	9,591,988	11,117,690	12,223,761	13,130,176	12,863,241	12,520,932	13,396,572	13,440,298
Agency Management Services								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	23,633,247	25,729,349	27,671,276	27,987,007	27,949,871	28,995,960
Other Positions	185,362	228,400	236,394	228,400	244,668	228,400
Other	189,658	356,000	368,461	256,000	381,359	256,000
Overtime	106,528	102,000	105,570	102,000	109,786	102,000
TOTAL-Personal Services Gross	24,114,795	26,415,749	28,381,701	28,573,407	28,685,684	29,582,360

Budget-in-Detail

Less Reimbursements						
Less Turnover	0	0	-1,434,027	-1,434,027	-1,484,218	-1,434,027
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	24,114,795	26,415,749	26,947,674	27,139,380	27,201,466	28,148,333

Other Expenses-Contractual Services

Dues and Subscriptions	48,225	52,759	53,446	52,759	54,622	52,759
Utility Services	1,866	100	102	100	105	100
Rentals, Storage and Leasing	122,198	84,895	98,200	84,895	100,360	84,895
Telecommunication Services	294,969	145,719	147,613	145,719	150,861	145,719
General Repairs	165,139	195,648	199,211	195,648	203,594	195,648
Motor Vehicle Expenses	183,886	232,638	235,663	232,638	240,847	232,638
Fees for Outside Professional Services	147,274	436,173	442,415	436,173	452,681	436,173
Fees for Non-Professional Services	127,574	110,941	112,384	110,941	114,856	110,941
DP Services, Rentals and Maintenance	463,317	469,647	475,753	469,647	486,219	469,647
Postage	402,217	677,586	686,395	474,797	701,495	677,586
Travel	19,735	23,925	24,239	23,925	24,770	23,925
Other Contractual Services	93,781	57,688	58,438	57,688	59,724	57,688
Advertising	4,875	9,000	9,117	9,000	9,317	9,000
Printing & Binding	63,129	106,911	113,891	106,911	116,396	106,911

Other Expenses-Commodities

Agriculture, Horticulture,Dairy & Food	3,255	6,995	7,214	6,995	7,372	6,995
Books	82,438	73,550	74,507	73,550	76,146	73,550
Clothing and Personal Supplies	3,150	3,100	3,140	3,100	3,209	3,100
Maintenance and Motor Vehicle Supplies	74,010	88,433	90,613	88,433	92,793	88,433
Medical Supplies	78,794	37,210	37,700	37,210	38,535	37,210
Office Supplies	1,440,554	1,708,018	1,761,064	1,708,018	1,799,804	1,708,018
Refunds of Expenditures Not Otherwise Classified	598	5,484	5,556	5,484	5,678	5,484

Other Expenses-Sundry

Sundry - Other Items	1,098,540	776,046	785,253	776,046	801,036	776,046
TOTAL-Other Expenses Gross	4,919,524	5,302,466	5,421,914	5,099,677	5,540,420	5,302,466
Less Reimbursements						
TOTAL-Other Expenses Net	4,919,524	5,302,466	5,421,914	5,099,677	5,540,420	5,302,466

Other Current Expenses

Needle and Syringe Exchange Program	269,447	539,158	482,231	459,587	505,378	459,587
Comm Svcs Support for AIDS Victims	179,568	196,549	195,655	187,400	205,047	187,400
Children's Health Initiative	791,196	1,102,312	1,589,615	1,008,684	1,642,434	1,014,043
Childhood Lead Poisoning	161,169	300,910	241,192	228,554	252,769	228,554
AIDS Services	3,109,144	3,794,772	3,954,152	4,305,098	4,143,952	4,305,098
Breast and Cervical Cancer Detection	1,259,715	1,601,659	1,668,929	1,603,376	1,749,038	1,604,137
Services for Children Affected by AIDS	208,912	290,877	259,652	247,435	272,115	247,435
Children w/Special Hlth Care Needs	675,691	1,562,175	1,348,231	1,282,620	1,412,946	1,282,620
Medicaid Administration	2,658,684	3,776,174	3,959,664	3,459,154	4,455,514	3,461,746
TOTAL-Other Current Expenses	9,313,526	13,164,586	13,699,321	12,781,908	14,639,193	12,790,620

Pmts to Other Than Local Govts

Community Health Services	5,196,296	6,059,495	5,999,810	5,114,633	6,287,802	5,114,633
Emergency Medical Services Training	26,798	82,197	83,266	82,197	85,098	82,197
Emergency Med Svcs Regional Offices	444,421	475,584	481,767	475,584	492,366	475,584
Rape Crisis	402,429	402,429	419,331	402,429	439,459	402,429
X-Ray Screening and Tuberculosis Care	945,059	709,229	719,449	689,661	753,982	689,661
Genetic Diseases Programs	305,288	677,646	512,109	483,647	536,690	483,647
Loan Repayment Program	158,697	122,620	124,214	122,620	126,947	122,620
Immunization Services	6,987,639	7,100,000	7,398,200	7,100,000	7,753,313	7,100,000
TOTAL-Pmts to Other Than Local Govts	14,466,627	15,629,200	15,738,146	14,470,771	16,475,657	14,470,771

Pmts to Local Governments

Local & District Departments of Health	3,950,348	4,106,309	4,101,000	4,195,374	4,121,000	4,195,374
Venereal Disease Control	163,140	218,576	213,065	203,885	223,292	203,885
School Based Health Clinics	4,122,938	6,321,111	6,262,074	5,946,587	6,553,053	5,946,587

TOTAL-Pmts to Local Governments	8,236,426	10,645,996	10,576,139	10,345,846	10,897,345	10,345,846
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<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	24,114,795	26,415,749	26,947,674	27,994,905	27,139,380	27,201,466	28,273,457	28,148,333
Other Expenses Net	4,919,524	5,302,466	5,421,914	5,373,616	5,099,677	5,540,420	5,493,751	5,302,466
Capital Outlay	0	700	1,268,704	1,172,404	1,000	1,078,704	962,854	1,000
Other Current Expenses	9,313,526	13,164,586	13,699,321	12,662,762	12,781,908	14,639,193	13,114,185	12,790,620
Payments to Other Than Local Governments	14,466,627	15,629,200	15,738,146	15,738,146	14,470,771	16,475,657	16,475,658	14,470,771
Payments to Local Governments	8,236,426	10,645,996	10,576,139	10,620,513	10,345,846	10,897,345	10,928,920	10,345,846
TOTAL-General Fund Net	61,050,898	71,158,697	73,651,898	73,562,346	69,838,582	75,832,785	75,248,825	71,059,036
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	1,715	0	0	0	0	0	0	0
Bond Funds	180,030	0	0	0	0	0	0	0
Federal Contributions	97,681,209	117,063,908	120,808,413	120,808,413	120,808,413	124,884,704	124,884,704	124,884,704
Private Contributions	1,728,472	1,744,700	1,552,616	1,552,616	1,552,616	1,602,523	1,602,523	1,602,523
TOTAL-All Funds Net	160,642,324	189,967,305	196,012,927	195,923,375	192,199,611	202,320,012	201,736,052	197,546,263

FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures

OFFICE OF HEALTH CARE ACCESS

AGENCY DESCRIPTION

[HTTP://WWW.CT.GOV/OHCA](http://www.ct.gov/ohca)

The mission of the Office of Health Care Access (OHCA) is to ensure that the citizens of Connecticut have access to a quality health care delivery system.

The agency fulfills its mission by advising policy makers of health care issues; informing the public and the industry of statewide and national trends; and designing and directing health care system development.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2005-2006	2006-2007
• Remove Inflation	-3,006	-8,147
• Carryforward FY05 Funds	-24,688	0
• Fund Non-ERIP Accruals through the RSA Account	-8,000	-10,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-6,404	-15,531
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-6,374

Reallocations or Transfers

• Return IT Positions to Agencies <i>Return unionized IT personnel to their original agencies per the Governor's decision.</i>	69,806	69,806
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AGENCY PROGRAMS

Personnel Summary

Permanent Full-Time Positions	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
General Fund	24	0	-1	23	23	24	23	24

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Agency Support Services	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
TOTAL Agency Programs - All Funds Gross	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
Less Turnover								
TOTAL Agency Programs - All Funds Net	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865

Summary of Funding

General Fund Net	2,047,977	2,058,521	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
Federal Contributions	163,098	202,067	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865

AGENCY SUPPORT SERVICES

Statutory Reference

C.G.S. Section 19a-610 through 19a-614, 19a-630, 19a-633, 19a-634 through 19a-683, as modified by Public Act 98-150.

Statement of Need and Program Objectives

Monitor and ensure the cost effectiveness of the health care delivery system. Develop partnerships with the health care community and to serve as a resource to monitor and analyze evolving trends in health care. Provide statewide evaluation of and authorization for accessible, cost effective, quality health care through Certificate of Need (CON). Conduct investigations to ensure compliance with CON authorizations, implement other regulatory requirements and conduct fact finding as necessary. Restrain unwarranted proliferation of expensive medical technology and services by ensuring that any expansion and/or modernization of the private or state health care industry is well-planned, needed and as cost-effective as possible. Limit and monitor the growth in health care cost increases by acute care general hospitals. Monitor the

financial stability among hospitals in a competitive market and to calculate hospitals' comparative costs. Process Freedom of Information requests for hospital financial and utilization data, health services data and CON filings. Administer a statewide hospital inpatient discharge abstract and billing database. Perform data analyses using appropriate statistical and epidemiological methodologies and to develop reports relating to utilization, cost, quality, performance, outcomes and access to health care services. Provide liaison with technical consultation to other state agencies, the federal government, health care providers, facilities, payers, business associations, coalitions, academic and research institutions and consultants on issues of health care finance and access.

Program Description

The Agency Support Services program consists of four components: Office of the Commissioner, Certificate of Need & Compliance, Fiscal and Administrative Services, and Research & Planning.

The Office of the Commissioner provides the overall leadership to the agency in addition to managing the public and government relations at the state, municipal and federal levels with both the legislative and executive branches.

The Certificate of Need & Compliance Unit is responsible for the agency mandates related to hospital and health care expenditures. It serves as the primary activity center for carrying out state statutes and regulations. The Certificate of Need & Compliance Unit consists of the following major functions: Certificate of Need (CON); compliance (monitoring and enforcement of CON decisions); and analysis in support of the administration of the Uncompensated Care Program.

The Fiscal & Administrative Services Unit is responsible for providing administrative support to the agency through general administration. The unit consists of the following

major functions: budget development & analysis; contract administration; information services and technology; purchasing; accounts payable and receivable; human resources and affirmative action.

The Research & Planning Unit analyzes, interprets and communicates health care information to legislators, the health care industry and the public. The topics of these analyses are determined by various legislative mandates to which the agency must respond, requests for information from outside the agency and our mission statement and strategic plan. The Research & Planning Unit consists of the following major functions: research analysis and report preparation; policy analysis and development; and statewide inpatient discharge and billing database.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	24	0	-1	23	23	24	23	24
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,846,549	1,826,103	1,881,614	1,964,650	1,935,089	1,927,132	2,010,252	1,978,347
Other Expenses	201,428	232,418	238,220	235,424	222,887	243,361	240,565	232,418
<u>Capital Outlay</u>								
Equipment	0	0	0	100	100	0	100	100
TOTAL-General Fund	2,047,977	2,058,521	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
<u>Additional Funds Available</u>								
Federal Contributions								
20733 State Planning Grant	163,098	202,067	0	0	0	0	0	0
TOTAL - All Funds	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
Agency Support Services								

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	1,505,114	1,634,095	1,642,214	1,705,689	1,674,232	1,737,447
Other Positions	316,460	170,000	206,400	206,400	213,400	213,400
Other	22,616	19,342	29,000	19,000	34,500	22,500
Overtime	2,359	2,666	4,000	4,000	5,000	5,000
TOTAL-Personal Services Gross	1,846,549	1,826,103	1,881,614	1,935,089	1,927,132	1,978,347
Less Reimbursements						
Less Turnover						
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	1,846,549	1,826,103	1,881,614	1,935,089	1,927,132	1,978,347
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	1,806	1,500	1,520	1,500	1,553	1,500
Rentals, Storage and Leasing	26,864	36,000	36,468	36,000	37,270	36,000
Telecommunication Services	1,546	19,500	19,753	19,500	20,188	19,500
General Repairs	3,233	3,800	3,849	3,800	3,934	3,800
Motor Vehicle Expenses	188	400	405	400	414	400
Fees for Outside Professional Services	103,312	85,500	86,612	85,500	88,517	85,500
Fees for Non-Professional Services	3,082	4,500	4,559	4,500	4,659	4,500
DP Services, Rentals and Maintenance	19,022	27,000	27,351	27,000	27,953	27,000
Postage	2,058	2,650	2,685	2,650	2,744	2,650
Travel	32	1,000	1,014	1,000	1,036	1,000

Budget-in-Detail

Other Contractual Services	108	200	203	200	207	200
Advertising	17,876	25,000	25,325	15,469	25,882	25,000
Printing & Binding	1,489	2,000	2,026	2,000	2,071	2,000
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture,Dairy & Food	219	250	254	250	260	250
Books	625	600	608	600	621	600
Maintenance and Motor Vehicle Supplies	151	200	206	200	211	200
Office Supplies	15,867	17,818	20,882	17,818	21,341	17,818
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	3,950	4,500	4,500	4,500	4,500	4,500
TOTAL-Other Expenses Gross	201,428	232,418	238,220	222,887	243,361	232,418
Less Reimbursements						
TOTAL-Other Expenses Net	201,428	232,418	238,220	222,887	243,361	232,418

<i>Character & Major Object Summary</i>	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	1,846,549	1,826,103	1,881,614	1,964,650	1,935,089	1,927,132	2,010,252	1,978,347
Other Expenses Net	201,428	232,418	238,220	235,424	222,887	243,361	240,565	232,418
Capital Outlay	0	0	0	100	100	0	100	100
TOTAL-General Fund Net	2,047,977	2,058,521	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865
<i>Additional Funds Available</i>								
Federal Contributions	163,098	202,067	0	0	0	0	0	0
TOTAL-All Funds Net	2,211,075	2,260,588	2,119,834	2,200,174	2,158,076	2,170,493	2,250,917	2,210,865

FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures

OFFICE OF THE CHIEF MEDICAL EXAMINER

AGENCY DESCRIPTION

[HTTP://WWW.STATE.CT.US/OCME/](http://www.state.ct.us/ocme/)

The Office of the Chief Medical Examiner (OCME), functioning under the regulations of the Commission on Medicolegal Investigations, investigates fatalities in the following categories: deaths due to any form of injury, whether resulting from accident, suicide or homicide; sudden or unexpected deaths not due to readily recognizable disease; deaths occurring under suspicious circumstances (e.g. child abuse); deaths of any individual whose body is to be disposed of in a manner which will render it unavailable for later examination; deaths at or related to the workplace; and deaths due to disease which might constitute a threat to the public health.

Information provided by the agency may prevent unnecessary litigation, protect those who may have been falsely accused, and lead to proper adjudication in criminal matters. Medicolegal investigations also protect the public health by diagnosing previously unsuspected contagious disease; by identifying hazardous environmental conditions in the workplace, in the home and elsewhere; by identifying trends such as changes in numbers of homicides, traffic fatalities and drug and alcohol related deaths and by identifying new types and forms of drugs appearing in the state, or existing drugs/substances becoming new subjects of abuse.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	2005-2006	2006-2007
• Remove Inflation	-9,990	-22,861
• Transfer Equipment to CEPF	-129,420	-129,420
• Carryforward FY05 Funds	-251,128	0
• Fund Non-ERIP Accruals through the RSA Account	-114,740	-195,074
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07.</i>	-7,240	-17,559
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months.</i>	0	-7,315

Reallocations or Transfers

• Return IT Positions to Agencies <i>Return unionized IT personnel to their original agencies per the Governor's decision.</i>	128,316	129,144
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AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	51	2	-3	50	50	52	50	52
<i>Other Positions Equated to Full Time</i>								
General Fund			Actual	Estimated	Requested	Recommended	Requested	Recommended
			6	4	4	4	4	4

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Autopsies and Examinations	4,665,718	5,044,178	5,555,308	5,431,602	4,919,084	5,691,516	5,565,164	5,192,935
TOTAL Agency Programs - All Funds Gross	4,665,718	5,044,178	5,555,308	5,431,602	4,919,084	5,691,516	5,565,164	5,192,935
Less Turnover	0	0	0	-20,000	-20,000	0	-20,000	-20,000
TOTAL Agency Programs - All Funds Net	4,665,718	5,044,178	5,555,308	5,411,602	4,899,084	5,691,516	5,545,164	5,172,935
<i>Summary of Funding</i>								
General Fund Net	4,648,793	4,963,380	5,554,808	5,411,102	4,898,584	5,691,016	5,544,664	5,172,435
Special Funds, Non-Appropriated	500	500	500	500	500	500	500	500
Bond Funds	16,425	80,298	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	4,665,718	5,044,178	5,555,308	5,411,602	4,899,084	5,691,516	5,545,164	5,172,935

AUTOPSIES AND EXAMINATIONS

Statutory Reference

C.G.S. Sections 19a-400 through 19a-414

Statement of Need and Program Objectives

To provide accurate certification of the cause of death and to identify, document and interpret relevant forensic scientific

information for use in criminal and civil legal proceedings necessary in the investigation of violent, suspicious and sudden unexpected deaths.

Budget-in-Detail

Program Description

The initial investigation begins when the death is reported to the Office of the Chief Medical Examiner. The body is examined, inquiry is made into the circumstances surrounding the death and a determination is made whether an autopsy will be required to complete the investigation.

Medicolegal autopsies are performed by a forensic pathologist at the Farmington facility. The agency accepts jurisdiction over some 13,000 investigations per year and performs approximately 1,600 medicolegal autopsies annually.

In conjunction with such examinations, toxicological (chemical) analysis of body fluids and tissues and other forensic scientific examinations are performed in the laboratories of the OCME. In-facility laboratories help to insure quality control and promote continuity of the chain of evidence required in legal proceedings.

Complete records of all investigations are filed at the Farmington office and are available to the family of the deceased; to any federal, state or municipal governmental agency or public health authority investigating the death; to insurance companies with a legitimate interest in the death; to all parties in civil litigation proceedings and to treating physicians. In addition, records may be made available to

any other individual with the written consent of the family or by court order.

The office shares information with other state agencies involved in monitoring fatalities such as the Department of Children and Families, the Department of Transportation and the Department of Public Health as well as the various agencies interested in the control of narcotics and other drugs. There may be involvement with federal agencies such as the Occupational Safety and Health Administration (when death may relate to a hazard in the workplace), the National Transportation Safety Board (in the event of an airplane crash), the Federal Bureau of Investigation (when death is incident to violation of federal law) or the DEA (Drug Enforcement Agency). Deaths due to potentially contagious disease are promptly reported to the epidemiology section of the Connecticut Department of Public Health.

Forensic pathologists are actively involved in the education of law enforcement officers, medical and law students, pathology residents, attorneys, EMT's, nurses and other interested groups. The professional staff is available for pre-trial conferences with attorneys from both sides of litigation to discuss available forensic, pathologic and other scientific information.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Investigations (Deaths Reported)	15,925	16,300	16,500	16,500
Autopsies	1,584	1,650	1,675	1,675
Transportation of Bodies	1,545	1,600	1,625	1,625
Medical Records Transmitted	3,074	3,300	3,600	3,600
Court Appearances (Civil and Criminal)	100	110	120	120

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	
General Fund	51	2	-3	50	50	52	50	52	
<i>Other Positions Equated to Full Time</i>									
General Fund				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				6	4	4	4	4	4

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	3,612,825	3,721,243	4,099,007	4,217,461	4,064,974	4,245,211	4,364,167	4,144,219
Other Expenses	597,690	583,552	664,499	622,339	591,728	654,503	609,195	586,334
Capital Outlay								
Equipment	44,396	7,500	140,217	140,217	10,797	140,217	140,217	10,797
Other Current Expenses								
Medicolegal Investigations	393,882	651,085	651,085	451,085	251,085	651,085	451,085	451,085
TOTAL-General Fund	4,648,793	4,963,380	5,554,808	5,431,102	4,918,584	5,691,016	5,564,664	5,192,435
Additional Funds Available								
Special Funds, Non-Appropriated	500	500	500	500	500	500	500	500
Bond Funds	16,425	80,298	0	0	0	0	0	0
TOTAL - All Funds	4,665,718	5,044,178	5,555,308	5,431,602	4,919,084	5,691,516	5,565,164	5,192,935

Autopsies and Examinations

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	2,990,142	3,167,122	3,410,998	3,512,237	3,454,443	3,569,808

Other Positions	148,885	229,738	234,379	221,150	244,926	234,420
Other	365,497	239,383	364,805	242,762	453,020	247,169
Overtime	108,301	85,000	88,825	88,825	92,822	92,822
TOTAL-Personal Services Gross	3,612,825	3,721,243	4,099,007	4,064,974	4,245,211	4,144,219
Less Reimbursements						
Less Turnover	0	0	0	-20,000	0	-20,000
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	3,612,825	3,721,243	4,099,007	4,044,974	4,245,211	4,124,219

Other Expenses-Contractual Services

Dues and Subscriptions	3,427	3,427	3,472	3,427	3,548	3,427
Rentals, Storage and Leasing	8,250	8,159	8,265	8,159	8,447	8,159
Telecommunication Services	35,883	35,921	36,388	35,921	37,188	35,921
General Repairs	56,906	61,294	87,091	65,086	88,458	64,003
Motor Vehicle Expenses	31,417	29,103	33,868	29,103	34,508	29,103
Fees for Outside Professional Services	11,154	11,160	11,288	11,160	11,532	11,160
Fees for Non-Professional Services	139,129	139,342	141,154	118,721	144,260	139,342
DP Services, Rentals and Maintenance	18,142	18,380	40,629	33,380	19,031	18,380
Postage	3,782	3,788	3,837	3,788	3,921	3,788
Travel	38,909	29,345	30,597	29,345	31,044	29,345
Other Contractual Services	42,081	40,101	40,616	40,101	41,492	40,101
Advertising	800	50	75	50	77	50
Printing & Binding	616	620	10,625	10,625	10,642	693

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	2,392	2,395	2,433	2,395	2,487	2,395
Books	789	530	537	530	549	530
Clothing and Personal Supplies	5,168	5,165	5,232	5,165	5,348	5,165
Maintenance and Motor Vehicle Supplies	19,000	19,015	19,425	19,015	19,874	19,015
Medical Supplies	126,913	126,915	130,725	126,915	132,684	126,915
Office Supplies	52,894	48,802	53,197	48,802	54,367	48,802
Refunds of Expenditures Not Otherwise Classified	38	40	45	40	46	40

Other Expenses-Sundry

Sundry - Other Items	0	0	5,000	0	5,000	0
TOTAL-Other Expenses Gross	597,690	583,552	664,499	591,728	654,503	586,334
Less Reimbursements						
TOTAL-Other Expenses Net	597,690	583,552	664,499	591,728	654,503	586,334

Other Current Expenses

Medicolegal Investigations	393,882	651,085	651,085	251,085	651,085	451,085
TOTAL-Other Current Expenses	393,882	651,085	651,085	251,085	651,085	451,085

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	3,612,825	3,721,243	4,099,007	4,197,461	4,044,974	4,245,211	4,344,167	4,124,219
Other Expenses Net	597,690	583,552	664,499	622,339	591,728	654,503	609,195	586,334
Capital Outlay	44,396	7,500	140,217	140,217	10,797	140,217	140,217	10,797
Other Current Expenses	393,882	651,085	651,085	451,085	251,085	651,085	451,085	451,085
TOTAL-General Fund Net	4,648,793	4,963,380	5,554,808	5,411,102	4,898,584	5,691,016	5,544,664	5,172,435

Additional Funds Available

Special Funds, Non-Appropriated	500	500	500	500	500	500	500	500
Bond Funds	16,425	80,298	0	0	0	0	0	0
TOTAL-All Funds Net	4,665,718	5,044,178	5,555,308	5,411,602	4,899,084	5,691,516	5,545,164	5,172,935

FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures

DEPARTMENT OF MENTAL RETARDATION

AGENCY DESCRIPTION

It is the responsibility of the Department of Mental Retardation (DMR) to plan for and assist in the development of a comprehensive array of supports and services for Connecticut citizens who have mental retardation or Prader-Willi Syndrome. The department is also mandated to serve as the lead agency and coordinate, where possible, the functions of state agencies that have responsibility for providing services for persons who have autism. DMR administers a system to provide early intervention services for all infants and toddlers under the age of three who have any type of disability or significant developmental delay.

Eligibility for Services

According to the CGS Section 1-1g, "Mental retardation means a significantly sub-average general intellectual functioning existing concurrently with deficits in adaptive behavior and manifested during the developmental period." Thus, three factors must be in place for a person to be diagnosed as having mental retardation. The person has an intelligence quotient (IQ) of less than 70 as measured by a standardized intelligence test, the everyday behavior or adaptive behavior of the person is markedly below what is expected for someone of the same age in the same cultural group, and the mental retardation occurred before the individual's 18th birthday.

The Department's Mission

The mission of the Department of Mental Retardation is to join with others to create the conditions under which all people with mental retardation experience presence and participation in Connecticut town life; opportunities to develop and exercise competence; opportunities to make choices in the pursuit of a personal future; good relationships with family members and friends; and, respect and dignity.

In furtherance of its mission, the department will respect the individual and value personal initiative; foster partnerships among individuals, families and communities; promote full employment, and access to quality health care and desirable housing; recognize the importance of families and support them; contribute to the social and economic future of Connecticut and support individuals served by DMR to do the same; create a work culture where teamwork and collaboration prevail; support the capacity of communities to include all their members; and, use resources wisely and effectively.

Services and Trends

The department provides services to Connecticut citizens with mental retardation or Prader-Willi syndrome and to infants and toddlers with significant developmental delays who are enrolled in the Birth to Three system. Persons with mental retardation have much in common with non-disabled citizens in Connecticut, although individuals who have mental retardation often need lifelong support to exercise their rights and become full and contributing members of their communities. As of June 30, 2004, the Department of Mental Retardation served 19,574 persons, including those enrolled in the Birth to Three System.

[HTTP://WWW.STATE.CT.US/DMR/](http://www.state.ct.us/dmr/)

Age Range of People Served by DMR (as of June 2004)	Live at Home	Receive Residential Support	Total	Pct
Birth to Three (0 – 2)	4,719	0	4,719	24 %
Children (3 – 17)	2,875	239	3,114	16 %
Young Adults (18 – 21)	1,014	243	1,257	6 %
Adults (22 and older)	4,100	6,385	10,485	54 %
Total Served	12,733	6,867	19,574	
Percent	65 %	35 %		

Over the past three years, the number of individuals seeking services and supports from the department has grown by 2.4%, with an average of 550 additional people becoming eligible for DMR services annually. Birth to Three services experienced a 22% increase in children served in FY 03, and then a 22% decline in FY 04 following program eligibility changes.

The number of people using Individualized Supports for residential and day services continues to grow, as does the number of individuals being supported by private sector agencies as a percentage of all individuals receiving residential supports. In FY 04, DMR completed the conversion of 30 publicly operated group homes to the private sector in response to the loss of employees through ERIP. A percentage of the savings generated from the conversion was utilized to remove 50 individuals from the DMR waiting list in FY 04. DMR also continues to focus on seeking ways to increase family support services, and now operates 9 Respite Centers across the state.

Past trends continue through FY 05 with community based residential supports and services expanding, while congregate non-community based residential services continue to decline. DMR's second Home and Community Based Waiver has been approved by the federal Centers for Medicare and Medicaid Services and will increase the number and variety of services and supports that will be available to individuals and families, and allow those supports to be eligible for federal financial participation.

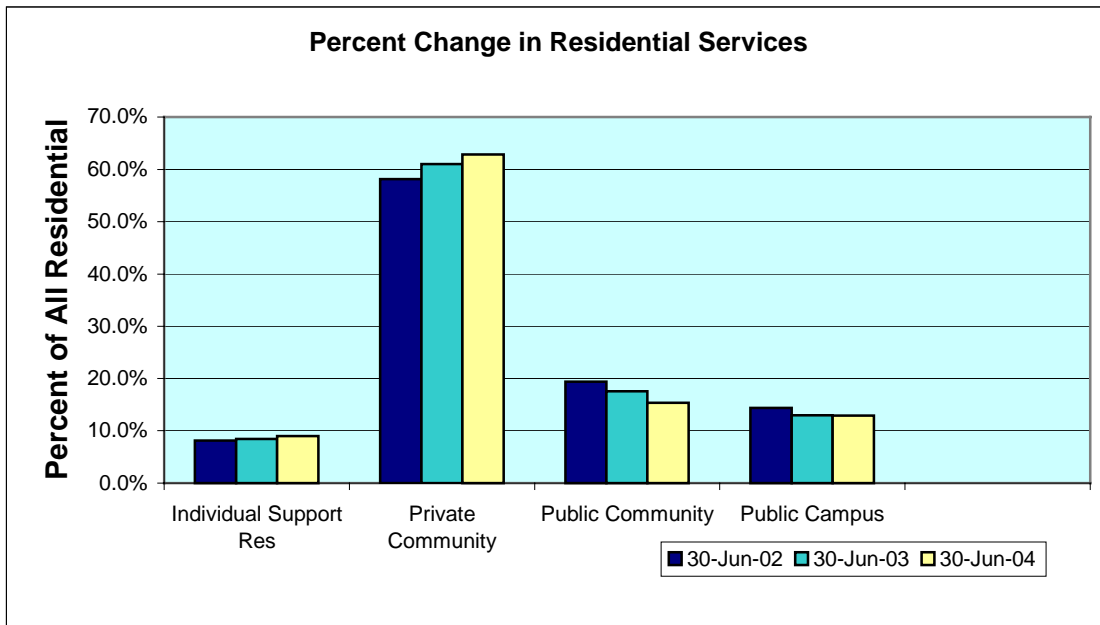
With the funding of the Waiting List Initiative in FY 05, and the continuation of funding in the biennium to continue the five year funding strategy, DMR will continue to develop methods to increase individual and family support options that remain person-centered, person-directed and most effective in supporting the overall mission of the department to substantially reduce the DMR Waiting List. The Waiting List has been further defined in FY 04 to designate those who are in urgent need of some form of residential supports (within 1 year) regardless of where they currently live.

Energy Conservation Statement

The Department has not stated any energy conservation goals, however it does plan on undertaking conservation activities aimed at HVAC, lighting, and hot water systems. To the extent funding allows, the Department will continue to implement efficiency measures. The Department has undertaken similar conservation activities in the past two years.

Outcome Measures

THREE YEAR SERVICE DISTRIBUTION		# of individuals		
<u>Program</u>		<u>FY 02</u>	<u>FY 03</u>	<u>FY 04</u>
Birth to Three		4,971	6,094	4,719
Individual Support Residential		501	561	593
Individual Support Day		137	580	404
Private Community		3,579	4,049	4,159
Public Community		1,193	1,166	1,015
Public Campus		883	862	853
Day Vocational		8,039	7,960	8,051



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RECOMMENDED SIGNIFICANT CHANGES

	<u>2005-2006</u>	<u>2006-2007</u>	
Reductions to Current Services			
• Remove Inflation	-640,321	-1,713,020	
• Transfer Equipment to CEPF	-776,113	-803,859	
• Fund Non-ERIP Accruals Through the RSA Account	-391,253	-391,253	
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY 06 and 2% in FY 07</i>	-8,297	-20,121	
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-197,373	
• Cap Administrative and General Costs of Private Providers <i>This proposal caps administrative costs for grantees at 18% in FY 07. As a result, providers will be expected to manage administrative and indirect costs as efficiently and effectively as possible while at the same time maximizing funding for direct services.</i>	0	-1,069,553	
• Carryforward FY 05 Funds	-2,224,536	0	
Within Current Services			
• Provide Day Program Funding for New High School Grads <i>Funding is provided so that services can be delivered to individuals who are graduating from high school programs. These services will be delivered through the Employment Opportunities and Day Services Program.</i>	4,234,812	4,815,246	
• Provide Day and Residential Program Funding for Individuals Aging-Out of DCF <i>Funding is provided so that services can be delivered to individuals who are "aging-out" of the Department of Children and Families service system. The services to be provided by this increased funding will be delivered through the Employment Opportunities and Day Services and Community Residential Services.</i>	4,233,177	3,464,027	
• Provide Funds for Services to Individuals on DMR Wait List <i>Due to severe budgetary constraints over the past several years the ability of the state to add funds to the Department of Mental Retardation's budget, to address the needs of individuals requiring residential support services, was limited. Therefore, individuals awaiting residential support services were placed on the Department's Waiting List. The Waiting List is made up of individuals considered to be of a higher priority for residential services. In FY 05 the Department of Mental Retardation was appropriated \$4.6 million to begin a long term process to address the needs of those individuals who are on the Waiting List. The additional funding for FY 06 and FY 07 continues this commitment to serving individuals on the Waiting List.</i>	4,418,750	4,418,750	
• Provide Funds to Continue Services to FY 05 and FY 06 Wait List Placements <i>Funding is provided to meet the ongoing costs associated with serving individuals who enter the DMR service system from the Waiting List.</i>	3,781,250	3,914,675	
Reallocations or Transfers			
• Transfer Responsibility for Children with Mental Retardation from DCF to DMR <i>Funding is reallocated from the Department of Children and Families to the Department of Mental Retardation to allow DMR to serve 125 children that are currently served in DCF's Voluntary Services Program. DMR will provide residential and other appropriate services. It is the belief of both DCF and DMR that DMR's current service system is a more appropriate service model to address the complex needs of these children and ensure that appropriate and needed services are delivered to this vulnerable population.</i>	11,837,000	13,130,000	
• Return IT Positions to Agency <i>Returning unionized IT personnel to their original agencies per the Governor's decision.</i>	677,220	677,220	
New or Expanded Services			
• Expand Services for Children with Mental Retardation Transferred from DCF to DMR <i>Funds are provided to assure the availability of resources to adequately serve individuals transferred from the DCF Voluntary Placement Program. It is anticipated that certain individuals will require a more intensive service placement.</i>	1,631,590	2,267,582	2,267,582

• Add 20 New Case Management Staff	1,009,500	1,009,500	0
<i>Funding is provided for the Department to hire an additional 20 case managers, which will allow the Department to reduce staff/client ratios. By hiring additional staff, the Department will also generate additional revenue through the Medicaid Targeted Case Management claiming initiative.</i>			
• Fund 4% COLA for Private Grant-Funded Providers	17,387,756	17,387,756	17,387,756
<i>A 4% cost of living adjustment is proposed for grant-funded private providers under DMHAS, DMR, DCF, DOC, CTF and Judicial. This COLA is linked to successful imposition of a nursing home provider tax, through which nursing homes will receive a significant Medicaid rate increase, and which will generate increased federal Medicaid reimbursement. The new federal reimbursement will be used to support nursing home rate increases as well as 4% rate increases for: residential care homes, home health services, home care waiver services, state-funded home care, intermediate care facilities for the mentally retarded, personal care attendants, assisted living services agencies, and private grant-funded providers.</i>			

AGENCY PROGRAMS

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	3,461	875	-321	4,015	4,015	4,045	4,015	4,045
Federal Contributions	12	0	0	12	12	12	12	12
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			674	674	674	674	674	674
Agency Programs by Total Funds	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Resource and Support Services								
Case Management	9,385,124	9,901,721	11,434,960	11,563,559	11,562,550	11,450,181	11,606,730	11,588,340
Family Support	19,598,388	21,068,896	22,717,995	22,608,933	22,556,280	23,254,257	23,292,826	23,149,833
Specialized Support	29,348,297	30,912,678	33,971,865	34,278,408	34,076,118	34,238,791	34,629,765	34,208,438
Staff Development	340,490	359,731	410,292	414,639	414,290	411,769	417,057	416,103
TOTAL Program	58,672,299	62,243,026	68,535,112	68,865,539	68,609,238	69,354,998	69,946,378	69,362,714
Employment Opportunities & Day Service								
Birth to Three	27,632,322	26,735,908	28,382,415	27,789,757	27,671,520	28,918,011	28,899,721	27,674,241
Community Work Services	16,549,666	17,837,265	19,658,195	19,510,608	19,430,567	20,641,662	20,947,473	20,118,291
Group and Individualized Supported Emp	45,270,445	49,929,651	54,410,528	53,874,876	53,632,254	57,614,341	58,314,990	55,773,287
Day Support Options	66,710,202	71,812,076	79,636,634	79,140,913	80,790,345	85,123,702	86,382,597	85,140,833
Day Individualized Support	10,249,407	10,936,461	12,435,138	12,302,571	12,251,327	13,327,544	13,310,481	12,760,754
TOTAL Program	166,412,042	177,251,361	194,522,910	192,618,725	193,776,013	205,625,260	207,855,262	201,467,406
Residential Services	2,140	23,956	0	0	0	0	0	0
Community Training Homes	5,818,552	6,214,835	6,876,225	6,810,236	6,810,072	7,035,042	7,067,714	7,067,352
Community Living Arrangements	291,949,671	306,199,583	335,182,594	333,161,063	344,754,892	356,411,689	358,244,908	357,328,217
Campus Units	119,398,709	122,678,925	126,178,432	128,217,931	127,690,405	126,761,292	129,059,253	128,428,996
Other Private Facilities	4,865,628	5,263,394	5,833,063	5,787,282	5,780,731	5,970,998	5,990,014	5,962,659
Supported Living	44,240,314	49,512,137	54,132,669	53,047,942	52,911,698	57,874,446	55,399,582	54,304,220
Other Residence	292,430	307,884	364,082	368,164	368,164	364,082	369,047	369,047
TOTAL Program	466,567,444	490,200,714	528,567,065	527,392,618	538,315,962	554,417,549	556,130,518	553,460,491
Management Services	33,850,230	36,682,167	39,824,088	40,986,607	39,373,369	40,408,268	40,976,261	41,202,052
TOTAL Agency Programs - All Funds Gross	725,502,015	766,377,268	831,449,175	829,863,489	840,074,582	869,806,075	874,908,419	865,492,663
Less Turnover	0	0	-7,500,000	-7,500,000	-7,500,000	-7,500,000	-7,500,000	-7,500,000
TOTAL Agency Programs - All Funds Net	725,502,015	766,377,268	823,949,175	822,363,489	832,574,582	862,306,075	867,408,419	857,992,663
Summary of Funding								
General Fund Net	718,857,071	754,503,264	816,343,731	814,813,045	823,024,138	854,700,631	859,857,975	848,442,219
Bond Funds	165,736	1,855,332	0	0	2,000,000	0	0	2,000,000
Federal Contributions	6,370,625	9,894,760	7,481,932	7,426,932	7,426,932	7,481,932	7,426,932	7,426,932
Private Contributions	108,583	123,912	123,512	123,512	123,512	123,512	123,512	123,512
TOTAL Agency Programs - All Funds Net	725,502,015	766,377,268	823,949,175	822,363,489	832,574,582	862,306,075	867,408,419	857,992,663

RESOURCE AND SUPPORT SERVICES

Statutory Reference

C.G.S. Section 17a-210

Statement of Need and Program Objectives

To assist individuals with mental retardation or Prader-Willi Syndrome and their families to identify and obtain supports and services necessary to maintain their safety and general welfare, and enhance their quality of life. To coordinate the

planning, development and administration of a system of supports and services based on individual needs and preferences. To maximize available federal resources to address the needs of those waiting for supports. To promote and ensure quality services and supports. To coordinate training and staff development for department employees and private sector staff.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	533	132	-49	616	616	636	616	636
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			55	55	55	55	55	55

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	38,509,414	40,511,327	45,584,753	46,095,785	46,057,675	45,628,580	46,250,774	46,197,169
Other Expenses	662,345	598,452	628,576	641,658	634,266	646,525	659,676	638,342
Other Current Expenses	5,926,360	7,732,793	8,838,764	8,831,528	8,622,620	9,226,441	9,147,677	8,643,911
Pmts to Other Than Local Governments	13,214,806	12,623,157	13,123,019	12,936,568	12,934,677	13,493,452	13,528,251	13,523,292
TOTAL-General Fund	58,312,925	61,465,729	68,175,112	68,505,539	68,249,238	68,994,998	69,586,378	69,002,714
<i>Additional Funds Available</i>								
Bond Funds	37,277	417,297	0	0	0	0	0	0
Federal Contributions	322,097	360,000	360,000	360,000	360,000	360,000	360,000	360,000
TOTAL Agency Programs - All Funds Net	58,672,299	62,243,026	68,535,112	68,865,539	68,609,238	69,354,998	69,946,378	69,362,714

Resource and Support Services

CASE MANAGEMENT

Statutory Reference

C.G.S. Section 17a-210

Program Description

Case management is available to individuals eligible for DMR services. DMR personnel from the DMR regions provide this

service to more than 14,500 clients. Case Management is designed to assist individuals and their families to plan for and coordinate needed supports and services, including those directly provided by DMR, purchased from private providers or that are self-directed.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	156	28	-14	170	170	190	170	190
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			5	5	5	5	5	5

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	9,251,273	9,809,689	11,340,504	11,467,638	11,467,638	11,349,558	11,504,320	11,488,766
Other Expenses	121,767	79,126	80,190	81,859	80,916	85,953	87,701	84,865
<i>Pmts to Other Than Local Governments</i>								
Community Residential Services	12,084	12,906	14,266	14,062	13,996	14,670	14,709	14,709
TOTAL-General Fund	9,385,124	9,901,721	11,434,960	11,563,559	11,562,550	11,450,181	11,606,730	11,588,340

Case Management

FAMILY SUPPORT

Statutory Reference

C.G.S. Section 17a-210 and 17a-216

Program Description

Family supports are available for families to assist their family member with mental retardation to live at home. Supports

may include respite support (temporary support) to more than 4,000 families, financial assistance to families to purchase respite, and family support grants to defray extraordinary expenses.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	78	19	-7	90	90	90	90	90
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			6	6	6	6	6	6

Financial Summary**(Net of Reimbursements)**

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	5,106,002	5,420,645	6,119,103	6,187,702	6,187,702	6,133,408	6,217,044	6,217,044
Other Expenses	42,475	39,120	40,005	40,838	40,367	40,887	41,719	40,369
<u>Other Current Expenses</u>								
Family Support Grants	993,062	3,280,095	3,322,739	3,322,739	3,280,095	3,395,843	3,395,843	3,280,095
Cooperative Placements Program	351,986	0	493,089	486,989	486,989	585,137	507,115	507,115
Temporary Support Services	204,973	0	0	0	0	0	0	0
Community Temporary Support Services	33,658	33,658	34,096	34,096	33,658	34,846	34,846	33,658
Community Respite Care Programs	330,345	330,345	334,639	334,639	330,345	342,001	342,001	330,345
New Placements	152,870	229,324	232,305	232,305	229,324	237,416	237,416	229,324
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	2,214	2,267	2,669	2,224	2,192	3,072	2,466	2,373
Respite Care	2,078,948	0	0	0	0	0	0	0
Family Reunion Program	137,900	137,900	139,693	139,693	137,900	142,766	142,766	137,900
Community Residential Services	10,163,955	11,595,542	11,999,657	11,827,708	11,827,708	12,338,881	12,371,610	12,371,610
TOTAL-General Fund	19,598,388	21,068,896	22,717,995	22,608,933	22,556,280	23,254,257	23,292,826	23,149,833

Family Support

SPECIALIZED SUPPORT

Statutory Reference

C.G.S. Section 17a-210

Program Description

Specialized supports are available to individuals who require assistance due to physical disabilities, challenging behavior or

special health needs. Department staff, contracted specialists and community providers conduct assessments and develop plans for specialized support services that include behavioral, physical and occupational therapy, nursing, medical, dental, dietary, psychological, psychiatric, communication and adaptive devices.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	293	83	-27	349	349	349	349	349
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			44	44	44	44	44	44

Financial Summary**(Net of Reimbursements)**

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	23,837,268	24,948,037	27,742,007	28,053,011	28,014,901	27,761,646	28,140,206	28,102,155
Other Expenses	495,964	477,752	505,886	516,414	510,465	517,120	527,639	510,575
<u>Other Current Expenses</u>								
Cooperative Placements Program	3,038	3,783	4,255	4,202	4,202	5,049	4,376	4,376
Clinical Services	3,756,739	3,756,979	4,315,840	4,315,840	4,158,047	4,523,020	4,523,020	4,157,996
Early Intervention	42,552	40,631	43,048	41,965	41,965	43,048	42,979	42,979

Budget-in-Detail

Community Temporary Support Services	33,657	33,657	34,095	34,095	33,657	34,845	34,845	33,657
<i>Pmts to Other Than Local Governments</i>								
Respite Care	862	0	0	0	0	0	0	0
Community Residential Services	818,843	874,542	966,734	952,881	952,881	994,063	996,700	996,700
TOTAL-General Fund	28,988,923	30,135,381	33,611,865	33,918,408	33,716,118	33,878,791	34,269,765	33,848,438
<i>Additional Funds Available</i>								
Bond Funds	37,277	417,297	0	0	0	0	0	0
Federal Contributions								
	322,097	360,000	360,000	360,000	360,000	360,000	360,000	360,000
TOTAL - All Funds	29,348,297	30,912,678	33,971,865	34,278,408	34,076,118	34,238,791	34,629,765	34,208,438
Specialized Support								

STAFF SUPPORT

Statutory Reference

C.G.S. Section 17a-210

Program Description

Ongoing staff development opportunities are available to public and private employees at regional and statewide levels. Training programs designed to develop and enhance

employee competence occur in the classroom, on-the-job and through mentoring activities. Programs include New Employee Orientation, DMR mission and policies, health and wellness, safety and basic protections, medication administration, specialized supports, person-centered planning, individual and family support, case management, supervision, diversity, cultural competency and quality improvement.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	6	2	-1	7	7	7	7	7

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	314,871	332,956	383,139	387,434	387,434	383,968	389,204	389,204
Other Expenses	2,139	2,454	2,495	2,547	2,518	2,565	2,617	2,533
<i>Other Current Expenses</i>								
Human Resource Development	23,480	24,321	24,658	24,658	24,338	25,236	25,236	24,366
TOTAL-General Fund	340,490	359,731	410,292	414,639	414,290	411,769	417,057	416,103

Staff Development

EMPLOYMENT OPPORTUNITIES AND DAY SERVICES

Statutory Reference

C.G.S. Section 17a-210, 17a-217, and 17a-226

Statement of Need and Program Objectives

To develop opportunities to assist children and adults to access day and recreational programs. To assist adults with mental retardation to develop and enhance their work skills and secure and or retain paid employment in community

business settings. To provide opportunities for adults with mental retardation so that they may experience valued adult roles within the community.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	289	56	-27	318	318	318	318	318
Federal Contributions	12	0	0	12	12	12	12	12

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	118	118	118	118	118	118

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	17,526,415	18,589,642	20,052,190	20,276,987	20,256,857	20,052,239	20,325,674	20,305,590
Other Expenses	413,091	350,379	371,066	378,788	374,425	379,491	387,211	374,688

Capital Outlay	0	0	34,400	33,261	0	24,400	23,305	0
Other Current Expenses	28,171,610	28,839,144	30,850,302	30,204,457	30,038,941	32,002,880	31,508,883	30,155,129
Pmts to Other Than Local Governments	115,399,424	121,272,106	137,474,920	135,985,200	135,365,758	147,426,218	149,870,157	142,891,967
TOTAL-General Fund	161,510,540	169,051,271	188,782,878	186,878,693	186,035,981	199,885,228	202,115,230	193,727,374
<u>Additional Funds Available</u>								
Bond Funds	4,219	47,230	0	0	2,000,000	0	0	2,000,000
Federal Contributions	4,897,283	8,152,860	5,740,032	5,740,032	5,740,032	5,740,032	5,740,032	5,740,032
TOTAL Agency Programs - All Funds Net	166,412,042	177,251,361	194,522,910	192,618,725	193,776,013	205,625,260	207,855,262	201,467,406

Employment Opportunities & Day Service**BIRTH TO THREE***Statutory Reference*

Public Act 96-185

Program Description

The department is responsible for administrative oversight of a statewide interagency Birth to Three System to ensure that eligible children and their families receive early

intervention services. The Birth to Three System's responsibilities include policy setting, managing state and federal funding, contracting, quality assurance, data management, complaint resolution, training and public awareness. Private providers served 4,088 children as of June 2004.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	18	3	-2	19	19	19	19	19
Federal Contributions	12	0	0	12	12	12	12	12

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1	1

Financial Summary***(Net of Reimbursements)***

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,444,381	1,533,021	1,520,154	1,537,196	1,537,196	1,520,203	1,540,933	1,540,933
Other Expenses	29,191	27,269	29,839	30,460	30,109	30,501	31,121	30,115

Other Current Expenses

Clinical Services	375	375	430	430	414	451	451	451
Early Intervention	23,679,151	22,610,243	24,266,992	23,656,671	23,538,801	24,801,856	24,762,216	23,537,742
TOTAL-General Fund	25,153,098	24,170,908	25,817,415	25,224,757	25,106,520	26,353,011	26,334,721	25,109,241

Additional Funds Available**Federal Contributions**

	2,479,224	2,565,000	2,565,000	2,565,000	2,565,000	2,565,000	2,565,000	2,565,000
TOTAL - All Funds	27,632,322	26,735,908	28,382,415	27,789,757	27,671,520	28,918,011	28,899,721	27,674,241

Birth to Three**COMMUNITY WORK SERVICES***Statutory Reference*

C.G.S. Section 17a-226

Program Description

Sheltered employment programs available through the department and the private sector offer more than 1,000

adults with mental retardation contract work from industry and specialized skill training in service-oriented occupations. Vocational evaluation and individual service plans form the base for training.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	42	4	-4	42	42	42	42	42

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	1	1	1	1	1	1

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,237,969	2,367,824	2,464,260	2,491,886	2,491,886	2,464,260	2,497,863	2,497,863
Other Expenses	7,186	1,607	1,936	1,976	1,954	2,092	2,135	2,066
<u>Other Current Expenses</u>								
Cooperative Placements Program	50,438	62,802	70,658	69,784	69,784	83,847	72,667	72,667
New Placements	374,047	561,117	568,412	568,412	561,117	580,917	580,917	561,117
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	13,537,954	14,053,425	16,103,771	15,929,392	15,856,668	17,061,388	17,344,733	16,535,420
TOTAL-General Fund	16,207,594	17,046,775	19,209,037	19,061,450	18,981,409	20,192,504	20,498,315	19,669,133
<u>Additional Funds Available</u>								
Federal Contributions								
93667 Social Services Block Grant	342,072	790,490	449,158	449,158	449,158	449,158	449,158	449,158
TOTAL - All Funds	16,549,666	17,837,265	19,658,195	19,510,608	19,430,567	20,641,662	20,947,473	20,118,291
Community Work Services								

GROUP AND INDIVIDUAL SUPPORTED EMPLOYMENT

Statutory Reference

C.G.S. Section 17a-226

Program Description

Group supported employment offers employment training and supervision to a group of about 2,800 individuals with mental retardation through manufacturing or service contracts within small business settings. Individuals work in small groups at wage rates commensurate with those paid to the typical

workforce. Supported employment services are primarily offered by private agencies under contract with the department. Individual supported employment offers job development services, on-the-job training and supervision to nearly 1,000 adults with mental retardation who are employed in typical business settings with wage rates and benefits commensurate with those paid to the typical workforce. Individual placement services are primarily offered by private agencies under contract with the department.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	19	3	-2	20	20	20	20	20
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			9	9	9	9	9	9

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,129,008	1,199,157	1,348,404	1,363,520	1,363,520	1,348,404	1,366,791	1,366,791
Other Expenses	44,214	34,852	36,057	36,807	36,383	36,705	37,452	36,240
<u>Other Current Expenses</u>								
Cooperative Placements Program	859,170	1,082,309	1,203,593	1,188,703	1,188,703	1,428,277	1,237,832	1,237,832
New Placements	943,270	1,415,021	1,433,416	1,433,416	1,415,020	1,464,951	1,464,951	1,415,020
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	41,661,351	44,734,523	49,557,330	49,020,702	48,796,900	52,504,276	53,376,236	50,885,676
TOTAL-General Fund	44,637,013	48,465,862	53,578,800	53,043,148	52,800,526	56,782,613	57,483,262	54,941,559
<u>Additional Funds Available</u>								
Federal Contributions								
93667 Social Services Block Grant	633,432	1,463,789	831,728	831,728	831,728	831,728	831,728	831,728
TOTAL - All Funds	45,270,445	49,929,651	54,410,528	53,874,876	53,632,254	57,614,341	58,314,990	55,773,287
Group and Individualized Supported Emp								

DAY SUPPORT OPTIONS

Statutory Reference

C.G.S. Sections 17a-217 and 17a-226

Program Description

Day Support Options offer adults with mental retardation opportunities to experience valued adult roles, develop relationships and make personal choices while participating in

leisure, recreation and volunteer service activities within their community. Activities occur in a variety of natural settings such as stores, libraries, restaurants, recreational facilities and community centers. Day Support Options are primarily offered by private agencies under contract with the department to more than 3,200 individuals.

Personnel Summary		As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		210	46	-19	237	237	237	237	237
<i>Other Positions Equated to Full Time</i>				2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
General Fund				<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
				100	100	100	100	100	100
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		12,658,506	13,423,428	14,653,160	14,817,431	14,797,301	14,653,160	14,852,972	14,832,888
Other Expenses		331,331	285,721	302,291	308,582	305,027	309,228	315,518	305,314
<u>Capital Outlay</u>									
Equipment		0	0	34,400	33,261	0	24,400	23,305	0
<u>Other Current Expenses</u>									
Cooperative Placements Program		201,239	250,568	281,911	278,423	278,423	334,537	289,930	289,930
New Placements		1,000,202	1,500,426	1,519,932	1,519,932	1,500,427	1,553,371	1,553,371	1,500,427
<u>Pmts to Other Than Local Governments</u>									
Employment Opportunities & Day Svcs		50,854,509	52,789,753	60,699,289	60,042,010	59,767,893	66,094,719	67,192,381	64,057,154
Community Residential Services		258,736	276,335	305,465	301,088	301,088	314,101	314,934	314,934
TOTAL-General Fund		65,304,523	68,526,231	77,796,448	77,300,727	76,950,159	83,283,516	84,542,411	81,300,647
<u>Additional Funds Available</u>									
Bond Funds		4,219	47,230	0	0	2,000,000	0	0	2,000,000
Federal Contributions									
93667 Social Services Block Grant		1,401,460	3,238,615	1,840,186	1,840,186	1,840,186	1,840,186	1,840,186	1,840,186
TOTAL - All Funds		66,710,202	71,812,076	79,636,634	79,140,913	80,790,345	85,123,702	86,382,597	85,140,833

Day Support Options**DAY INDIVIDUALIZED SUPPORT***Statutory Reference*

C.G.S. Sections 17a-217 and 17a-226

Program Description

Individualized Supports are designed by adults with mental retardation and their circle of support to tailor a package of

services and supports that best meet their vocational, community involvement and/or retirement needs, goals and preferences. Slightly more than 400 individuals now take advantage of this self-directed option, with services and supports delivered by private agencies or employees hired directly by the individual or his/her legal representative.

<i>Other Positions Equated to Full Time</i>		2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007		
General Fund		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
		7	7	7	7	7	7		
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		56,551	66,212	66,212	66,954	66,954	66,212	67,115	67,115
Other Expenses		1,169	930	943	963	952	965	985	953
<u>Other Current Expenses</u>									
Cooperative Placements Program		938,931	1,169,087	1,315,328	1,299,056	1,299,056	1,560,871	1,352,746	1,352,746
New Placements		124,787	187,196	189,630	189,630	187,196	193,802	193,802	187,197
<u>Pmts to Other Than Local Governments</u>									
Employment Opportunities & Day Svcs		9,084,272	9,415,291	10,805,993	10,688,981	10,640,181	11,448,575	11,638,706	11,095,616
Community Residential Services		2,602	2,779	3,072	3,027	3,028	3,159	3,167	3,167
TOTAL-General Fund		10,208,312	10,841,495	12,381,178	12,248,611	12,197,367	13,273,584	13,256,521	12,706,794
<u>Additional Funds Available</u>									
Federal Contributions									
93667 Social Services Block Grant		41,095	94,966	53,960	53,960	53,960	53,960	53,960	53,960
TOTAL - All Funds		10,249,407	10,936,461	12,435,138	12,302,571	12,251,327	13,327,544	13,310,481	12,760,754

Day Individualized Support

RESIDENTIAL SERVICES

Statutory Reference

C.G.S. Sections 17a-210, 17A-218, 17A-227 and 17s-228

Statement of Need and Program Objectives

To provide individuals with mental retardation the services and supports necessary to develop relationships, exercise

personal choice, develop competence and participate in community life.

Residential services and supports take the form of individualized supports tailored to meet the needs of the individual in his or her family or own home, Community Training Homes, Community Living Arrangements, Supported Living Services and campus settings.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	2,273	587	-205	2,655	2,655	2,655	2,655	2,655
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time								
General Fund			480	480	480	480	480	480

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	175,950,932	180,382,984	188,640,971	190,755,748	190,480,253	188,732,628	191,306,199	191,154,268
Other Expenses	14,728,973	14,973,226	15,895,012	16,225,795	16,038,875	16,264,269	16,595,103	16,058,411
Capital Outlay	0	0	520,338	503,117	0	673,009	642,819	0
Other Current Expenses	29,580,290	33,083,573	33,644,057	34,517,283	34,365,452	37,301,421	35,846,734	34,633,115
Pmts to Other Than Local Governments	246,163,769	260,715,833	289,811,687	285,390,675	297,431,382	311,391,222	311,739,663	311,614,697
TOTAL-General Fund	466,423,964	489,155,616	528,512,065	527,392,618	538,315,962	554,362,549	556,130,518	553,460,491

Additional Funds Available

Bond Funds	88,445	990,098	0	0	0	0	0	0
Federal Contributions	55,035	55,000	55,000	0	0	55,000	0	0
TOTAL Agency Programs - All Funds Net	466,567,444	490,200,714	528,567,065	527,392,618	538,315,962	554,417,549	556,130,518	553,460,491

Residential Services

COMMUNITY TRAINING HOMES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228

Program Description

Community training homes offer children and adults the opportunity to live within a family setting. Families are

recruited, licensed and trained by department staff to share their home with one to three individuals with mental retardation. About 440 persons with mental retardation receive supports in these settings.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	16	3	-1	18	18	18	18	18
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time								
General Fund			1	1	1	1	1	1

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	1,066,140	1,131,348	1,258,020	1,272,123	1,272,123	1,258,076	1,275,231	1,275,231
Other Expenses	3,199	10,576	10,715	10,938	10,812	10,949	11,172	10,810
<u>Other Current Expenses</u>								
Clinical Services	110	110	126	126	121	132	132	132
New Placements	1,568	2,352	2,383	2,383	2,352	2,435	2,435	2,435
<u>Pmts to Other Than Local Governments</u>								
Employment Opportunities & Day Svcs	375	385	446	441	439	473	481	481
Community Residential Services	4,747,160	5,070,064	5,604,535	5,524,225	5,524,225	5,762,977	5,778,263	5,778,263

TOTAL-General Fund	5,818,552	6,214,835	6,876,225	6,810,236	6,810,072	7,035,042	7,067,714	7,067,352
Community Training Homes								

COMMUNITY LIVING ARRANGEMENTS

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228

Program Description

Community residences operated by DMR regions or private agencies under contract with the department offer individuals with mental retardation opportunities to live in typical

community housing. Homes are small in size and generally serve six or fewer individuals. To capture federal reimbursement, the majority of residences are enrolled in Connecticut's Medicaid Home and Community Based Waiver. A small number of homes are certified Intermediate Care Facilities for the Mentally Retarded (ICF/MR). Total census in these homes is more than 3,400 persons.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	762	227	-69	920	920	920	920	920
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			262	262	262	262	262	262

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	67,953,496	69,566,065	72,944,434	73,762,184	73,661,977	72,956,964	73,951,810	73,851,812
Other Expenses	5,892,253	5,973,315	6,198,453	6,327,446	6,254,554	6,341,349	6,470,339	6,261,086

Capital Outlay

Equipment	0	0	287,983	278,452	0	442,612	422,757	0
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Other Current Expenses

Human Resource Development	514	570	577	577	570	590	590	570
Pilot Program for Client Services	2,261,347	2,288,133	2,327,613	2,401,980	2,390,115	2,378,820	2,510,971	2,390,115
Cooperative Placements Program	2,892,107	3,393,253	4,051,493	4,001,374	4,001,372	4,807,816	4,166,746	4,166,746
Clinical Services	153,126	153,135	175,915	175,915	169,483	184,359	184,359	169,483
Early Intervention	1,625	1,551	1,644	1,603	1,603	1,644	1,641	1,641
Workers' Compensation Claims	4,454,311	3,618,481	3,703,298	4,003,298	4,003,298	3,819,434	4,119,434	4,119,434
New Placements	871,007	1,306,618	1,323,604	1,323,604	1,306,618	1,352,723	1,352,723	1,306,535

Pmts to Other Than Local Governments

Rent Subsidy Program	238,630	243,600	286,982	239,151	235,663	330,363	265,204	255,232
Family Placements	1,853,307	1,876,013	1,910,517	1,969,017	1,959,303	1,952,548	2,058,405	1,959,303
Emergency Placements	3,646,313	3,690,987	3,752,169	3,872,082	3,852,854	3,834,924	4,048,022	3,852,306
Community Residential Services	201,703,729	213,775,860	238,217,912	234,804,380	246,917,482	258,007,543	258,691,907	258,993,954
TOTAL-General Fund	291,921,765	305,887,581	335,182,594	333,161,063	344,754,892	356,411,689	358,244,908	357,328,217

Additional Funds Available

Bond Funds	27,871	312,002	0	0	0	0	0	0
Federal Contributions	35	0	0	0	0	0	0	0
TOTAL - All Funds	291,949,671	306,199,583	335,182,594	333,161,063	344,754,892	356,411,689	358,244,908	357,328,217

Community Living Arrangements

CAMPUS UNITS

Statutory Reference

C.G.S. Sections 17a-210

Program Description

The department operates regional campus facilities and one training school campus facility. Admissions to Southbury Training School are closed. All regional campus units as well

as Southbury Training School are certified Intermediate Care Facilities for the Mentally Retarded (ICF/MR) and are therefore eligible for 50% Medicaid reimbursement to the state. The population of these units has declined to a level below 900, including 589 people at Southbury as of June 2004.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	1,405	336	-127	1,614	1,614	1,614	1,614	1,614

Budget-in-Detail

	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Requested</u>	<u>Recommended</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	199			199			199	199
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	100,814,356	103,255,997	107,234,768	108,436,933	108,261,645	107,304,985	108,768,203	108,716,270
Other Expenses	8,752,640	8,918,294	9,611,687	9,811,711	9,698,681	9,836,138	10,036,216	9,711,642
<u>Capital Outlay</u>								
Equipment	0	0	232,355	224,665	0	230,397	220,062	0
<u>Other Current Expenses</u>								
Human Resource Development	8,889	8,859	8,987	8,987	8,870	9,207	9,207	8,890
Clinical Services	343,490	343,512	394,605	394,605	380,179	413,553	413,553	380,182
Workers' Compensation Claims	9,365,900	9,443,123	8,641,030	9,341,030	9,341,030	8,912,012	9,612,012	9,612,012
TOTAL-General Fund	119,285,275	121,969,785	126,123,432	128,217,931	127,690,405	126,706,292	129,059,253	128,428,996
<u>Additional Funds Available</u>								
Bond Funds	58,434	654,140	0	0	0	0	0	0
Federal Contributions								
	55,000	55,000	55,000	55,000	55,000	55,000	55,000	55,000
TOTAL - All Funds	119,398,709	122,678,925	126,178,432	128,217,931	127,690,405	126,761,292	129,059,253	128,428,996
Campus Units								

OTHER PRIVATE FACILITIES

Statutory Reference

C.G.S. Sections 17a-227 and 17a-228

Program Description

Specialized services are purchased for individuals with chronic medical conditions. Habilitative Nurseries provide 24-hour nursing supervision for people ranging in age from infants to

adults who have serious or chronic medical conditions. The department licenses these facilities and provides case management support. The last Habilitative Nursery in the state will be closed in FY 05 and be replaced by more appropriate Intermediate Care Facilities for the Mentally Retarded (ICF/MR).

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	21	4	-2	23	23	23	23	23

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>General Fund</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	2		2		2	2

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,444,425	1,535,688	1,727,381	1,746,746	1,746,746	1,733,584	1,757,223	1,757,223
Other Expenses	26,404	21,299	21,576	22,025	21,771	22,050	22,499	21,771
<u>Other Current Expenses</u>								
New Placements	214,149	321,250	325,426	325,426	321,250	332,585	332,585	321,249
<u>Pmts to Other Than Local Governments</u>								
Rent Subsidy Program	68,593	70,238	82,707	68,922	67,917	95,177	76,405	73,532
Employment Opportunities & Day Svcs	207,724	213,031	247,094	244,418	243,302	261,787	266,135	253,717
Community Residential Services	2,904,333	3,101,888	3,428,879	3,379,745	3,379,745	3,525,815	3,535,167	3,535,167
TOTAL-General Fund	4,865,628	5,263,394	5,833,063	5,787,282	5,780,731	5,970,998	5,990,014	5,962,659

Other Private Facilities

SUPPORTED LIVING

Statutory Reference

C.G.S. Sections 17a-218 and 17a-227 (d)

Program Description

Supported living is offered to individuals with mental retardation who need less than 24-hour support to live in their own apartment or home. Employees of the department

or contracted private agencies provide assistance to individuals to live as independently as possible. Such supports include assistance in locating an apartment or home in the community, health care, shopping, managing finances,

housekeeping, transportation, employment, developing relationships and leisure activities. 1,264 individuals have taken advantage of this support option as of June 2004.

Personnel Summary		As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		63	17	-6	74	74	74	74	74
<i>Other Positions Equated to Full Time</i>									
General Fund				16	16	16	16	16	16
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		4,380,085	4,586,002	5,112,286	5,169,598	5,169,598	5,114,937	5,184,685	5,184,685
Other Expenses		54,477	49,742	52,581	53,675	53,057	53,783	54,877	53,102
<i>Other Current Expenses</i>									
Cooperative Placements Program		8,694,376	11,725,930	12,204,463	12,053,482	11,961,895	14,592,594	12,646,829	11,676,995
New Placements		317,771	476,696	482,893	482,893	476,696	493,517	493,517	476,696
<i>Pmts to Other Than Local Governments</i>									
Rent Subsidy Program		2,691,920	2,358,021	3,105,581	2,587,973	2,550,229	3,506,569	2,814,949	2,709,103
Employment Opportunities & Day Svcs		18,139	18,602	21,577	21,343	21,246	22,860	23,240	22,155
Emergency Placements		15,915	16,110	16,374	16,897	16,897	16,527	17,445	17,445
Community Residential Services		28,067,631	30,281,034	33,136,914	32,662,081	32,662,080	34,073,659	34,164,040	34,164,039
TOTAL-General Fund		44,240,314	49,512,137	54,132,669	53,047,942	52,911,698	57,874,446	55,399,582	54,304,220

Supported Living

OTHER RESIDENCE

Statutory Reference

C.G.S. Sections 17a-210

Program Description

Regional and Training School Directors and Assistant Regional Directors manage the delivery of services to people with mental retardation in their respective regions and at the Southbury Training School. These services and management activities include:

Public Programs Day and residential services for adults in campus programs and community living arrangements or receiving supported living services. Includes clinical, health and case management services, and physical plant and maintenance support.

Private Administration Contract and program management of private sector residential services (community living

arrangements, supported living, community training homes and individual supports), the Birth to Three system for infants and toddlers, day/vocational services for adults, and case management services for individuals supported by private agencies. Also includes the management of administrative services for the region for fiscal management, human resources, staff development and affirmative action.

Individual and Family Support Case management, individual and family support services (family grants, school to work transition planning, educational liaisons, respite coordination), public early intervention services for infants and toddlers, and community health coordination and consultation services.

Quality Improvement Planning and Resource Allocation, Quality Monitoring, Clinical Services, Abuse/Neglect Investigations, and information management.

Personnel Summary		As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		6	0	0	6	6	6	6	6
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		292,430	307,884	364,082	368,164	368,164	364,082	369,047	369,047
TOTAL-General Fund		292,430	307,884	364,082	368,164	368,164	364,082	369,047	369,047

Other Residence

MANAGEMENT SERVICES

Statutory Reference

C.G.S. Section 17a-210

Budget-in-Detail

Statement of Need and Program Objectives

To plan, develop, implement and direct a comprehensive array of services for Connecticut's citizens with mental retardation.

Program Description

To ensure that a comprehensive, integrated, equitable and cost-effective system of services is available to individuals with mental retardation through coordinated planning, systems improvement and standardization of best practices. State Management is organized according to the following functions:

Administrative Services

- Audit
- Budget
- Revenue Enhancement

Affirmative Action

Chief of Staff

- Communications & Constituent Services
- Human Resources and Payroll Services
- Physical Plant/Engineering Services

Family and Community Services

- Individual and Family Support

- Birth to Three
- Health Services
- Elder Services
- Operations Center (Private Provider Council & Contract Support)
- Regional programs and services

Investigations

Legal and Government Affairs

- Legislative Affairs
- Legal Affairs
- Forensic Services
- Eligibility/Single Point of Entry

Office of the Ombudsperson

Strategic Leadership Center

- Enterprise Technology
- Planning and Evaluation
- Quality Management
- Waiver Management
- Staff Development
- Grants and Research

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	366	100	-40	426	426	436	426	436
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			21	21	21	21	21	0

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>(Net of Reimbursements)</i>								
Personal Services	25,804,517	27,683,008	30,529,168	31,548,637	30,267,786	31,044,650	31,467,977	32,094,350
Other Expenses	6,509,383	6,840,191	7,270,352	7,421,651	7,336,154	7,432,386	7,583,567	7,338,314
<i>Capital Outlay</i>								
Equipment	0	1,000	248,975	240,735	1,000	145,250	138,735	1,000
<i>Other Current Expenses</i>								
Human Resource Development	186,894	197,608	200,177	200,177	197,580	204,581	204,581	197,532
Clinical Services	108,535	108,542	124,688	124,688	120,129	130,673	130,673	120,129
Early Intervention	313	299	316	307	308	316	316	315
TOTAL-General Fund	32,609,642	34,830,648	38,373,676	39,536,195	37,922,957	38,957,856	39,525,849	39,751,640
<i>Additional Funds Available</i>								
Bond Funds	35,795	400,707	0	0	0	0	0	0
Private Contributions	108,583	123,912	123,512	123,512	123,512	123,512	123,512	123,512
Federal Contributions								
	1,096,210	1,270,000	1,270,000	1,270,000	1,270,000	1,270,000	1,270,000	1,270,000
93667 Social Services Block Grant	0	56,900	56,900	56,900	56,900	56,900	56,900	56,900
TOTAL - All Funds	33,850,230	36,682,167	39,824,088	40,986,607	39,373,369	40,408,268	40,976,261	41,202,052

Management Services

AGENCY FINANCIAL SUMMARY - GENERAL FUND

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Personal Services</i>						
Permanent Fulltime Positions	177,021,626	187,691,667	205,575,727	207,831,216	206,231,530	210,520,022
Other Positions	29,610,185	32,321,797	32,064,065	30,765,701	32,064,065	30,765,701
Other	8,200,753	7,594,238	7,608,031	8,906,395	7,603,243	8,906,395
Overtime	42,958,714	39,752,589	39,752,589	39,752,589	39,752,589	39,752,589
TOTAL-Personal Services Gross	257,791,278	267,360,291	285,000,412	287,255,901	285,651,427	289,944,707
Less Reimbursements	0	-193,330	-193,330	-193,330	-193,330	-193,330
Less Turnover	0	0	-7,500,000	-7,500,000	-7,500,000	-7,500,000
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	257,791,278	267,166,961	277,307,082	279,562,571	277,958,097	282,251,377
	0	0	250,953	264,906	256,474	264,906
<i>Other Expenses-Contractual Services</i>						
Dues and Subscriptions	11,809	12,107	11,769	12,113	12,029	12,113
Utility Services	1,990,474	1,984,692	1,944,935	1,987,141	1,998,336	1,987,141
Rentals, Storage and Leasing	1,914,527	1,453,158	1,433,644	1,476,066	1,465,184	1,476,066
Telecommunication Services	697,538	714,068	694,758	714,949	710,044	714,949
General Repairs	1,711,367	1,752,333	1,801,916	1,857,139	1,841,403	1,857,139
Motor Vehicle Expenses	2,433,439	2,492,393	2,424,565	2,495,416	2,477,905	2,495,416
Fees for Outside Professional Services	1,143,706	1,767,596	1,481,229	1,751,168	1,514,471	1,752,568
Fees for Non-Professional Services	526,934	539,864	525,183	540,532	536,736	540,532
DP Services, Rentals and Maintenance	616,630	631,691	1,286,750	632,471	1,315,059	632,471
Postage	127,986	131,035	127,470	131,197	130,273	131,197
Travel	494,837	427,904	416,299	428,433	429,459	427,433
Other Contractual Services	391,172	393,824	384,861	394,313	393,325	393,913
Advertising	19,441	19,763	19,226	19,788	19,649	19,788
Printing & Binding	17,454	17,880	17,394	17,959	17,777	17,959
<i>Other Expenses-Commodities</i>						
Agriculture, Horticulture, Dairy & Food	4,164,426	4,252,471	4,331,720	4,469,358	4,427,017	4,495,393
Books	21,924	21,781	21,192	21,812	21,659	21,812
Clothing and Personal Supplies	474,900	486,502	493,220	508,158	504,067	508,158
Maintenance and Motor Vehicle Supplies	2,333,636	2,386,632	3,222,952	3,327,359	3,295,488	3,327,359
Medical Supplies	923,623	947,744	971,907	1,001,626	993,286	1,001,626
Fuel	1,886,449	1,927,375	1,887,920	1,929,754	1,938,894	1,929,754
Office Supplies	400,564	409,066	398,048	409,679	406,803	409,679
Refunds of Expenditures Not Otherwise Classified	1,608	1,642	1,630	1,644	1,666	1,644
Highway Supplies	9,143	9,367	9,112	9,378	9,312	9,378
<i>Other Expenses-Sundry</i>						
Sundry - Other Items	205	210	25,203	211	25,205	211
TOTAL-Other Expenses Gross	22,313,792	22,781,098	24,183,856	24,402,570	24,741,521	24,428,605
Less Reimbursements	0	-18,850	-18,850	-18,850	-18,850	-18,850
TOTAL-Other Expenses Net	22,313,792	22,762,248	24,165,006	24,383,720	24,722,671	24,409,755
<i>Other Current Expenses</i>						
Human Resource Development	219,777	231,358	234,399	231,358	239,614	231,358
Family Support Grants	993,062	3,280,095	3,322,739	3,280,095	3,395,843	3,280,095
Pilot Program for Client Services	2,261,347	2,288,133	2,327,613	2,390,115	2,378,820	2,390,115
Cooperative Placements Program	13,991,285	17,687,732	19,624,790	19,290,424	23,398,128	19,308,407
Clinical Services	4,362,375	4,362,653	5,011,604	4,828,373	5,252,188	4,828,373
Early Intervention	23,723,641	22,652,724	24,312,000	23,582,677	24,846,864	23,582,677
Temporary Support Services	204,973	0	0	0	0	0
Community Temporary Support Services	67,315	67,315	68,191	67,315	69,691	67,315
Community Respite Care Programs	330,345	330,345	334,639	330,345	342,001	330,345
Workers' Compensation Claims	13,820,211	13,061,604	12,344,328	13,344,328	12,731,446	13,731,446
New Placements	3,999,671	6,000,000	6,078,001	6,000,000	6,211,717	6,000,000
TOTAL-Other Current Expenses	63,974,002	69,961,959	73,658,304	73,345,030	78,866,312	73,750,131

Budget-in-Detail

Pmts to Other Than Local Govts

Rent Subsidy Program	3,001,357	2,674,126	3,477,939	2,856,001	3,935,181	3,040,240
Respite Care	2,079,810	0	0	0	0	0
Family Reunion Program	137,900	137,900	139,693	137,900	142,766	137,900
Employment Opportunities & Day Svcs	115,364,324	121,225,010	137,435,500	135,326,629	147,394,078	142,850,219
Family Placements	1,853,307	1,876,013	1,910,517	1,959,303	1,952,548	1,959,303
Emergency Placements	3,662,228	3,707,097	3,768,543	3,869,751	3,851,451	3,869,751
Community Residential Services	248,679,073	264,990,950	293,677,434	301,582,233	315,034,868	316,172,543
TOTAL-Pmts to Other Than Local Govts	374,777,999	394,611,096	440,409,626	445,731,817	472,310,892	468,029,956

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	257,791,278	267,166,961	277,307,082	281,177,157	279,562,571	277,958,097	281,850,624	282,251,377
Other Expenses Net	22,313,792	22,762,248	24,165,006	24,667,892	24,383,720	24,722,671	25,225,557	24,409,755
Capital Outlay	0	1,000	803,713	777,113	1,000	842,659	804,859	1,000
Other Current Expenses	63,974,002	69,961,959	73,658,304	73,878,440	73,345,030	78,866,312	76,838,864	73,750,131
Payments to Other Than Local Governments	374,777,999	394,611,096	440,409,626	434,312,443	445,731,817	472,310,892	475,138,071	468,029,956
TOTAL-General Fund Net	718,857,071	754,503,264	816,343,731	814,813,045	823,024,138	854,700,631	859,857,975	848,442,219
<u>Additional Funds Available</u>								
Bond Funds	165,736	1,855,332	0	0	2,000,000	0	0	2,000,000
Federal Contributions	6,370,625	9,894,760	7,481,932	7,426,932	7,426,932	7,481,932	7,426,932	7,426,932
Private Contributions	108,583	123,912	123,512	123,512	123,512	123,512	123,512	123,512
TOTAL-All Funds Net	725,502,015	766,377,268	823,949,175	822,363,489	832,574,582	862,306,075	867,408,419	857,992,663

FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures

DEPARTMENT OF MENTAL HEALTH & ADDICTION SERVICES

AGENCY DESCRIPTION

[HTTP://WWW.DMHAS.STATE.CT.US/](http://www.dmhas.state.ct.us/)

The Department of Mental Health and Addiction Services is responsible for improving the quality of life for the people of Connecticut and for promoting recovery from psychiatric and substance use disorders by providing an integrated network of comprehensive, effective and efficient mental health and addiction services that foster self-sufficiency, dignity and respect.

The Service and Management Objectives are to: develop comprehensive, accessible, and locally based recovery-oriented systems of care; promote input from people receiving services, families and advocates; address the special needs of people needing care in Connecticut's urban centers; emphasize public safety and risk management; promote an interactive system of public and private care; apply state-of-the-art models to care management and delivery; assure accountability for both state and private providers; manage services in the context of healthcare and welfare reform; and enhance strategic planning and collaboration across state agencies.

Energy Conservation Statement

Planned energy conservation efforts for fiscal year 2005 include chiller modifications and replacement of roof top evaporator at Connecticut Mental Health Center and repair to leaking underground steam lines at Connecticut Valley Hospital. Fiscal year 2006 plans include an upgrade to the hvac system at Capital Region Mental Health Center and Greater Bridgeport Community Mental Health Center, installation of an energy management system/heat controls at Connecticut Mental Health Center, installation of an automated boiler and heating controls as well as replacement of utility lines at Cedarcrest Hospital, lighting replacement, balancing the hvac system, and installation of heating controls at Capital Region Mental Health Center, power plant upgrades, campus electric utility distribution upgrades, and a new boiler at Connecticut Valley Hospital, lighting upgrades at Greater Bridgeport Community Mental Health Center, and design for an upgrade to the hvac system for River Valley Services.

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RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-4,421,807	-10,004,865
• Transfer Equipment to CEPF	-2,193,046	-1,627,978
• Carryforward FY05 Funds	-1,541,713	0
• Cap Administrative and General Costs of Private Providers	0	-2,332,111
<i>This proposal caps administrative costs for grantees at 18% in FY 07. As a result, providers will be expected to manage administrative and indirect costs as efficiently and effectively as possible while at the same time maximizing funding for direct services.</i>		
• Fund Non-ERIP Accruals through the RSA account	-605,369	-605,369
• Reduce Compensation Increases for Managers & Confidentials in FY07	0	-374,529
<i>Provide 2% general wage increase and delay PARS by 6 months.</i>		
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees	-9,237	-21,433
<i>Limit increases to 3% in FY06 and 2% in FY07.</i>		
• Consolidate Administrative Structures at Cedarcrest Hospital and Connecticut Valley Hospital	-250,000	-500,000
<i>6 positions and related funding are being eliminated to reflect administrative efficiencies from the consolidation of the administrative structures at Cedarcrest and Connecticut Valley Hospitals.</i>		

Budget-in-Detail

- Eliminate Funding for Partial Hospitalization Programs Under The GA Behavioral Health Program -838,103 -838,103
Savings will result through the use of intensive outpatient programs instead of partial hospital programs where clinically appropriate.
- Reduce Community Based Substance Abuse Services -250,000 -250,000
Reduce lower priority items funded in the Grants for Substance Abuse Services account.

Reallocations or Transfers

- Reallocate Funding for Substance Abuse Residential Services 0 0
\$305,000 is being reallocated from the Medicaid Adult Rehabilitation Option account to the Grants for Substance Abuse Services account to reflect the administration's decision not to pursue the Medicaid Rehabilitation Option related to substance abuse residential services.
- Return IT Positions to Agencies 1,681,862 1,682,865
Returning unionized IT personnel to their original agencies per the Governor's decision.

New or Expanded Services

- | | <u>2005-2006</u> | <u>2006-2007</u> | <u>2007-2008</u> |
|---|------------------|------------------|------------------|
| • Fund 4% COLA for Private Grant-Funded Providers
<i>A 4% cost of living adjustment is proposed for grant-funded private providers under DMHAS, DMR, DCF, DOC, CTF and Judicial. This COLA is linked to successful imposition of a nursing home provider tax, through which nursing homes will receive a significant Medicaid rate increase, and which will generate increased federal Medicaid reimbursement. The new federal reimbursement will be used to support nursing home rate increases as well as 4% rate increases for: residential care homes, home health services, home care waiver services, state-funded home care, intermediate care facilities for the mentally retarded, personal care attendants, assisted living services agencies, and private grant-funded providers.</i> | 6,370,897 | 6,370,897 | 6,370,897 |
| • Fund Mental Health Community Initiatives
<i>\$5 million is being recommended to continue important services and provide additional support for new programs that support the discharge of individuals from inpatient care and the diversion of individuals at risk of admission/readmission into inpatient care. Specifically, funding will continue "second initiatives" programs currently funded out of the Community Mental Health Strategy Board; create a discharge fund to support community services for difficult to place clients; support additional contracts with general hospitals for acute care services and support an interactive, comprehensive Web Based Inventory of services to assist individuals with locating services.</i> | 5,000,000 | 5,000,000 | 5,000,000 |
| • Increase Commitment to Supportive Housing
<i>Funding is recommended to provide wrap around services for 150 additional clients (in FY'06) and another 25 (in FY'07) with mental illness/substance abuse disorders who are homeless or at risk of becoming homeless who will be provided supportive housing over the biennium</i> | 750,000 | 1,562,500 | 3,500,000 |
| • Increase Agency Authorized Position Count
<i>The authorized position count is being increased 120 positions to provide relief for chronic staffing shortages by permitting the conversion from over-reliance on overtime and durational staffing to the use of permanent full time positions.</i> | 0 | 0 | 0 |

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	2,948	372	-181	3,139	3,057	3,225	3,057	3,219
Federal Contributions	5	2	2	9	5	7	5	6
Private Contributions	9	0	0	9	9	9	9	9
<i>Other Positions Equated to Full Time</i>			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			173	173	173	173	173	173
Private Contributions			1	1	1	1	1	1

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Community Treatment Services</i>								
Emergency / Crisis	22,119,052	22,547,032	23,529,070	23,505,572	23,196,817	24,082,268	23,914,439	23,353,554
Outpatient	58,495,017	60,741,110	60,243,259	60,449,749	64,245,737	60,386,375	60,429,956	62,394,236
Special Programs	33,577,686	33,665,457	34,494,730	34,015,170	33,626,494	35,438,126	34,435,610	33,344,406
TOTAL Program	114,191,755	116,953,599	118,267,059	117,970,491	121,069,048	119,906,769	118,780,005	119,092,196
<i>Residential Services</i>								
Residential Treatment	31,484,004	42,590,434	40,101,845	40,911,080	40,428,458	39,071,299	40,394,075	38,239,406
Housing	50,719,557	55,606,948	57,837,872	59,015,165	58,887,734	59,146,862	61,325,980	58,678,686
TOTAL Program	82,203,561	98,197,382	97,939,717	99,926,245	99,316,192	98,218,161	101,720,055	96,918,092
<i>Inpatient Services</i>								
Mental Health Inpatient Services	78,747,930	85,530,728	87,736,251	89,655,222	88,848,869	89,318,313	90,719,066	88,455,776
Forensic Inpatient Services	49,571,639	53,943,047	55,287,319	56,413,225	55,688,823	56,315,819	57,047,472	55,887,164

Substance Abuse Inpatient Services	31,228,985	34,705,144	35,551,385	36,344,793	35,770,714	36,175,188	36,740,005	35,896,146
TOTAL Program	159,548,554	174,178,919	178,574,955	182,413,240	180,308,406	181,809,320	184,506,543	180,239,086
Recovery Support Services								
Case Management	60,115,360	65,453,165	65,706,524	66,403,962	66,342,387	66,067,815	67,075,612	66,041,269
Rehabilitation	26,678,124	25,043,126	25,341,769	26,058,117	25,879,973	25,823,371	27,073,800	25,563,412
Ancillary Services	1,984,821	4,544,359	4,606,563	4,764,915	4,654,337	4,694,579	5,010,214	4,732,422
TOTAL Program	88,778,305	95,040,650	95,654,856	97,226,994	96,876,697	96,585,765	99,159,626	96,337,103
Prevention and Health Promotion								
Advocacy and Prevention	11,449,480	13,535,506	10,781,526	10,850,038	10,562,451	8,909,112	9,023,289	8,602,751
Prevention Education and Training	1,647,397	1,981,839	1,994,653	2,006,824	1,987,665	2,010,100	2,016,115	1,990,700
Prevention Research	5,589,516	7,223,224	4,359,674	4,396,027	4,363,465	4,217,770	3,568,769	3,507,655
TOTAL Program	18,686,393	22,740,569	17,135,853	17,252,889	16,913,581	15,136,982	14,608,173	14,101,106
Agency Management Services	29,816,059	31,461,226	32,056,007	34,910,129	33,398,828	30,182,121	33,233,725	33,483,593
General Assistance	63,827,221	64,858,234	65,701,392	69,154,535	65,823,464	67,146,824	74,230,522	67,789,860
Disproportionate Share Payments	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000	-77,640,000
TOTAL Agency Programs - All Funds Gross	479,411,848	525,790,579	527,689,839	541,214,523	536,066,216	531,345,942	548,598,649	530,321,036
Less Turnover	0	0	-7,640,283	-5,235,573	-5,235,573	-7,696,011	-5,235,573	-5,235,573
TOTAL Agency Programs - All Funds Net	479,411,848	525,790,579	520,049,556	535,978,950	530,830,643	523,649,931	543,363,076	525,085,463
<i>Summary of Funding</i>								
General Fund Net	419,941,710	458,785,016	466,957,557	482,886,951	477,738,644	479,253,788	499,642,825	481,365,212
Special Funds, Non-Appropriated	655,212	655,000	566,000	566,000	566,000	0	0	0
Bond Funds	4,396,704	2,146,814	1,405,000	1,405,000	1,405,000	1,405,000	1,405,000	1,405,000
Federal Contributions	39,520,592	50,582,951	37,778,667	37,778,667	37,778,667	29,715,763	29,048,621	29,048,621
Private Contributions	14,897,630	13,620,798	13,342,332	13,342,332	13,342,332	13,275,380	13,266,630	13,266,630
TOTAL Agency Programs - All Funds Net	479,411,848	525,790,579	520,049,556	535,978,950	530,830,643	523,649,931	543,363,076	525,085,463

COMMUNITY TREATMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450 and 17a-453a, 17a-476, 17a-676

Statement of Need and Program Objectives

To promote the recovery of adults with mental illnesses, to avoid unnecessary and costly hospitalizations through timely evaluation, diagnosis and treatment in community-based mental health settings and to enable adults with mental illnesses to live in their own communities through use of natural supports and participation in needed residential, vocational and social support services.

To promote the recovery of adults with substance use disorders and to reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abusing behavior through a system which is responsive to the individual's needs, by increasing the number of individuals seeking care, by increasing the participation of family and significant others in treatment, and by funding a range of direct care services that are responsive to individual needs.

Program Description

Community mental health services focus on three areas: 1) individuals recently discharged from inpatient settings, 2) persons with currently heightened psychiatric symptoms already living in the community and 3) individuals who need continued assistance to sustain and improve the process of recovery. Community mental health services use naturally occurring supports, as well as clinical and support programs to help a individuals recover from the disabling effects of mental illnesses. Community substance abuse services provide treatment and rehabilitation for individuals, regardless of ability to pay, in a variety of community settings. These services assist the recovery process by offering a continuum of care that affords an individual a progression of appropriate levels of care in four primary treatment settings: residential detoxification, methadone maintenance and ambulatory drug detoxification, alcohol and drug outpatient services, and residential rehabilitation.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	426	11	15	452	433	467	433	467
Private Contributions	6	0	0	6	6	6	6	6
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Other Positions Equated to Full Time</i>			Actual	Estimated	Requested	Recommended	Requested	Recommended
General Fund			31	31	31	31	31	31
Private Contributions			1	1	1	1	1	1

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	29,883,482	31,839,785	33,605,883	33,382,153	33,085,980	34,153,252	33,603,295	33,228,438
Other Expenses	3,507,754	3,252,735	3,145,133	3,296,115	3,251,759	3,218,265	3,370,573	3,251,759
Capital Outlay	0	0	200,585	173,400	0	114,490	97,811	0
Other Current Expenses	38,368,227	37,124,195	38,576,461	38,202,859	41,966,954	40,202,359	39,381,929	41,901,648
Pmts to Other Than Local Governments	27,255,113	28,423,634	29,617,427	29,794,394	29,642,785	31,039,063	31,147,057	29,531,011
TOTAL-General Fund	99,014,576	100,640,349	105,145,489	104,848,921	107,947,478	108,727,429	107,600,665	107,912,856
Additional Funds Available								
Bond Funds	1,140,532	378,962	218,294	218,294	218,294	218,294	218,294	218,294
Federal Contributions	8,728,905	9,994,139	7,160,750	7,160,750	7,160,750	5,289,812	5,289,812	5,289,812
Private Contributions	5,307,742	5,940,149	5,742,526	5,742,526	5,742,526	5,671,234	5,671,234	5,671,234
TOTAL Agency Programs - All Funds Net	114,191,755	116,953,599	118,267,059	117,970,491	121,069,048	119,906,769	118,780,005	119,092,196

Community Treatment Services

EMERGENCY/CRISIS SERVICES

Statutory Reference

C.G.S. Section 17a-476

Statement of Need and Program Objectives

To assess and treat adults and families in acute emotional crisis in order to stabilize their condition and prevent hospitalization when possible and to arrange for further treatment when necessary.

Program Description

Available 24-hours-a-day, 7-days-a-week, emergency mental health workers rapidly assess and treat individuals and

families through face-to-face and hotline telephone contacts. These services emphasize reducing suicide risk, risk of harm to others, and likelihood of hospitalization. Emergency services are provided in crisis intervention centers, in general hospital emergency departments, walk-in clinics or by mobile crisis teams. Crisis intervention centers also include short-term crisis beds and short-term respite beds. Follow-up treatment is arranged as necessary.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Crisis Counseling:				
Unduplicated clients	5,947	5,900	5,900	5,900
Crisis/Respite beds:				
Beds	105	105	105	105
Unduplicated clients	574	574	574	574
Median time from request for an evaluation to disposition determination (hrs)	2	2	2	2
Median time from request for a request for an evaluation to the actual face-to-face evaluation	0.8	0.8	0.8	0.8

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	178	2	0	180	178	180	178	180
<i>Other Positions Equated to Full Time</i>								
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			8	8	8	8	8	8

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	12,001,854	12,791,131	13,500,633	13,410,753	13,291,770	13,720,530	13,499,593	13,349,000
Other Expenses	1,214,171	1,076,062	1,041,881	1,091,897	1,077,203	1,066,107	1,116,562	1,077,203
Capital Outlay								
Equipment	0	0	62,790	54,280	0	41,645	35,578	0
Other Current Expenses								
Managed Service System	643,550	676,837	705,264	707,565	707,565	739,117	739,688	697,908
Connecticut Mental Health Center	109,192	0	0	0	0	0	0	0
Capitol Region Mental Health Center	12,325	12,495	12,657	13,020	12,495	12,935	13,645	12,495
Professional Services	24,424	22,081	23,009	23,008	22,081	24,113	24,112	22,081
Jail Diversion	0	25,528	25,860	28,360	29,312	26,429	28,970	25,405

Behavioral Health Medications	111,047	88,290	91,999	91,998	88,290	96,415	96,414	88,290
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	84,613	85,628	89,224	89,516	8,112	93,507	93,580	89,464
Grants for Mental Health Services	5,637,461	5,712,512	5,952,438	5,971,860	5,936,674	6,238,155	6,242,982	5,968,393
TOTAL-General Fund	19,838,637	20,490,564	21,505,755	21,482,257	21,173,502	22,058,953	21,891,124	21,330,239
<i>Additional Funds Available</i>								
Bond Funds	51,004	23,153	0	0	0	0	0	0
Private Contributions	595,000	595,000	595,000	595,000	595,000	595,000	595,000	595,000
Federal Contributions								
	1,634,411	1,438,315	1,428,315	1,428,315	1,428,315	1,428,315	1,428,315	1,428,315
TOTAL - All Funds	22,119,052	22,547,032	23,529,070	23,505,572	23,196,817	24,082,268	23,914,439	23,353,554
Emergency / Crisis								

OUTPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-676

Statement of Need and Program Objectives

To improve or maintain the psychological functioning of adults who require ongoing clinical treatment through individual or group and family therapy and medication support when necessary.

Program Description

Health professionals evaluate, diagnose and treat individuals or families through medication and regularly scheduled therapy visits as needed. Treatment helps to improve or sustain the level of functioning of adults who might otherwise

require hospitalization. Outpatient services also focus on the special needs of the communities in which they are located to best serve persons who are elderly, are members of minorities, are poor or are persons with prior hospitalizations. The treatment plan is developed by the service recipient and therapist and is tailored to the recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for problem gambling, partial hospitalization, intensive outpatient, ambulatory detoxification and methadone maintenance.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Outpatient:				
Unduplicated clients	21,915	21,900	21,900	21,900
Percent of individuals who will maintain or improve their level of functioning as measured by GAF (%)	87	87	87	87
Partial Hospitalization Services:				
Unduplicated clients	407	407	407	407
Methadone Maintenance:				
Number of Slots	7,173	7,173	7,173	7,173
Clients Served	10,823	10,823	10,823	10,823
Ambulatory Drug Detox:				
Number of Slots	41	41	41	41
Clients Detoxed	239	239	239	239
Substance Abuse Outpatient:				
Number of Slots	6,020	6,020	6,020	6,020
Clients Served	15,125	15,100	15,100	15,100
Intensive Outpatient:				
Number of Slots	524	524	524	524
Clients Served	3,129	3,129	3,129	3,129

Personnel Summary

	As of 06/30/2004	2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	216	8	15	239	222	254	222	254
Private Contributions	6	0	0	6	6	6	6	6

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Other Positions Equated to Full Time						
General Fund	21	21	21	21	21	21
Private Contributions	1	1	1	1	1	1

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	15,648,882	16,662,789	17,587,045	17,469,960	17,314,963	17,873,501	17,585,691	17,389,516
Other Expenses	1,929,190	1,824,753	1,766,658	1,851,466	1,826,551	1,807,737	1,893,290	1,826,551
Capital Outlay								
Equipment	0	0	52,395	45,294	0	16,345	13,964	0
Other Current Expenses								
Managed Service System	4,481,051	4,722,933	4,921,296	4,937,354	4,937,354	5,157,518	5,161,510	4,869,966
Connecticut Mental Health Center	2,785,714	992,158	1,033,829	1,033,829	992,158	1,083,452	1,083,453	992,158
Capitol Region Mental Health Center	1,946	1,973	1,999	2,056	1,973	2,043	2,155	1,973
Professional Services	813,048	735,057	765,929	765,929	735,057	802,693	802,694	735,057
Behavioral Health Medications	1,457,209	1,158,586	1,207,247	1,207,247	1,158,586	1,265,195	1,265,195	1,158,586
Community Mental Health Strategy Board	0	2,500,000	2,532,500	2,605,000	5,575,178	2,588,215	2,729,234	5,575,178
Discharge and Diversion Services	0	0	0	0	1,207,322	0	0	1,289,822
Pmts to Other Than Local Governments								
Grants for Substance Abuse Services	9,573,173	10,388,976	10,825,313	10,940,962	10,995,714	11,344,928	11,437,680	10,898,523
Grants for Mental Health Services	11,959,866	12,236,518	12,750,452	12,792,056	12,702,285	13,362,473	13,372,815	12,574,631
TOTAL-General Fund	48,650,079	51,223,743	53,444,663	53,651,153	57,447,141	55,304,100	55,347,681	57,311,961
Additional Funds Available								
Bond Funds	1,087,085	355,809	218,294	218,294	218,294	218,294	218,294	218,294
Private Contributions	1,960,100	1,353,345	1,147,867	1,147,867	1,147,867	1,068,486	1,068,486	1,068,486
Federal Contributions								
99999 Miscellaneous Federal Programs	6,797,753	2,756,474	1,833,440	1,833,440	1,833,440	1,256,496	1,256,496	1,256,496
93243 Substance Abuse & MH Services	0	2,295,750	2,327,000	2,327,000	2,327,000	2,327,000	2,327,000	2,327,000
93959 Prevent/Treat Substance Abuse	0	2,755,989	1,271,995	1,271,995	1,271,995	211,999	211,999	211,999
TOTAL - All Funds	58,495,017	60,741,110	60,243,259	60,449,749	64,245,737	60,386,375	60,429,956	62,394,236

Outpatient

SPECIAL PROGRAMS

Statutory Reference

C.G.S. Sections 17a-450, 17a-476, 17a-560, 17a-576

Statement of Need and Program Objectives

This subprogram consists of several distinct services serving different populations. These services are designed to assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

To overcome communication barriers in treating persons who are deaf and hearing impaired who suffer from mental illness by operating diagnostic outpatient treatment services with staff specially trained in treating these individuals.

To provide mental health services to young adults with specialized needs at the level of service required.

To provide appropriate community-based services to persons with Acquired Brain Injury or Traumatic Brain Injury (ABI/TBI) upon discharge from DMHAS facilities or to divert them from unnecessary inpatient admissions.

To reduce incarceration of persons with behavioral health disorders by providing courts with clinical alternatives to incarceration when appropriate.

Program Description

Forensic Services are provided through court clinics located in New Haven, Bridgeport, Newington and Norwich. The service recipient and therapist design the treatment plan for outpatient services which is tailored to the service recipient's needs. Outpatient treatment (including prescription and monitoring of medication) for persons with prolonged mental illness may be of an extended duration. Service types include traditional outpatient services, intake and evaluation, treatment for compulsive gambling, partial hospitalization,

intensive outpatient, ambulatory methadone detoxification and methadone maintenance. The court clinics are responsible for assessments as required by statute. In addition, court clinics provide consultations to public defenders, judges, and criminal justice and correctional personnel as well as instruction and supervision to medical students, residents, and social work and nursing students. Forensic Services also employs forensic psychiatrists for the purpose of providing expert advice to DMHAS about competency restoration and services for insanity acquittees and other high risk individuals with severe mental illness.

Mentally Ill/Deaf and Hearing Impaired Outpatient Services are provided by specially trained staff. In addition, the agency trains professionals to provide services, operates a network of consultative services, and develops intermediate care programs and housing for individuals who have been discharged from state hospitals.

Young Adult Services are provided to young adults who may have been referred from the Department of Children and Families (DCF) and, per a clinical assessment, have been determined to require ongoing mental health services. These services may be provided in residential or outpatient settings and may include intensive supervision.

Community Services for people with ABI/TBI consist primarily of case management services and residential supports and are closely linked to the neuropsychiatry service at CT Valley Hospital and in coordination with the ABI services of the Department of Social Services.

Jail Diversion Programs are provided statewide to all geographical area courts. These programs are provided on site at the court to identify, diagnose, refer into treatment and monitor defendants with behavioral health treatment needs, thus reducing the need for incarceration, and facilitating access to treatment.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Special Populations/Transitional Youth				
Unduplicated Clients	297	351	431	517
NOTE: Programs for Special Populations and Transitional Youth are no longer differentiated.	0			

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	32	1	0	33	33	33	33	33
Other Positions Equated to Full Time								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			2	2	2	2	2	2

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	2,232,746	2,385,865	2,518,205	2,501,440	2,479,247	2,559,221	2,518,011	2,489,922
Other Expenses	364,393	351,920	336,594	352,752	348,005	344,421	360,721	348,005
<u>Capital Outlay</u>								
Equipment	0	0	85,400	73,826	0	56,500	48,269	0
<u>Other Current Expenses</u>								
Managed Service System	140,328	126,041	127,680	131,763	131,763	130,488	137,745	129,965
Connecticut Mental Health Center	387,925	675,148	703,504	703,504	675,148	737,272	737,272	675,148
Professional Services	156,581	141,561	147,507	147,507	141,561	154,587	154,587	141,561
Regional Action Councils	259,151	0	0	0	0	0	0	0
Special Populations	16,141,482	19,989,802	20,889,343	20,416,221	20,170,173	21,829,364	20,852,046	20,163,368
TBI Community Services	4,306,519	1,806,083	1,829,562	1,861,838	1,866,022	1,869,812	1,936,390	1,835,990
Transitional Youth	3,407,688	0	0	0	0	0	0	0
Jail Diversion	3,129,047	3,449,622	3,557,276	3,526,660	3,514,916	3,682,711	3,616,819	3,486,697
TOTAL-General Fund	30,525,860	28,926,042	30,195,071	29,715,511	29,326,835	31,364,376	30,361,860	29,270,656
<u>Additional Funds Available</u>								
Bond Funds	2,443	0	0	0	0	0	0	0
Private Contributions	2,752,642	3,991,804	3,999,659	3,999,659	3,999,659	4,007,748	4,007,748	4,007,748
Federal Contributions								
99999 Miscellaneous Federal Programs	296,741	747,611	300,000	300,000	300,000	66,002	66,002	66,002
TOTAL - All Funds	33,577,686	33,665,457	34,494,730	34,015,170	33,626,494	35,438,126	34,435,610	33,344,406
Special Programs								

RESIDENTIAL SUPPORT SERVICES*Statutory Reference*

C.G.S. Sections 17a-453a, 17a-476, 17a-676

Statement of Need and Program Objectives

To promote the recovery of persons with serious and prolonged mental illness by ensuring that they learn or relearn the skills necessary for independent living and to reside in clean, safe, affordable dwellings.

Program Description

Mental health residential services offer a variety of housing opportunities, including group homes, family-style community

residences (funded by other public agencies), and supervised/supported apartment programs. Some individuals may need support for an extended period while others can live independently after shorter periods of support. These services, which vary in intensity with the independence of the living environment, include supervision, counseling and follow-up to assure that residents receive needed community support and psychiatric services.

Substance abuse residential services include: long-term care and rehabilitation, intensive and intermediate residential treatment and halfway houses.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	57	3	0	60	60	60	60	60
Other Positions Equated to Full Time								
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>

Budget-in-Detail

General Fund 25 25 25 25 25 25

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	5,081,545	5,388,610	5,687,507	5,649,643	5,599,518	5,780,145	5,687,069	5,623,627
Other Expenses	928,109	840,169	739,802	775,316	764,883	757,004	792,830	764,883
Capital Outlay	0	0	1,845	1,595	0	1,845	1,576	0
Other Current Expenses	18,767,855	33,022,857	33,630,974	34,707,707	34,031,625	34,401,060	36,292,250	33,827,498
Pmts to Other Than Local Governments	35,893,095	36,029,489	37,038,246	37,950,641	38,078,823	38,005,379	39,673,602	37,429,356
TOTAL-General Fund	60,670,604	75,281,125	77,098,374	79,084,902	78,474,849	78,945,433	82,447,327	77,645,364
<u>Additional Funds Available</u>								
Bond Funds	2,036,841	707,388	311,706	311,706	311,706	311,706	311,706	311,706
Federal Contributions	14,035,068	16,370,760	14,691,528	14,691,528	14,691,528	13,122,913	13,122,913	13,122,913
Private Contributions	5,461,048	5,838,109	5,838,109	5,838,109	5,838,109	5,838,109	5,838,109	5,838,109
TOTAL Agency Programs - All Funds Net	82,203,561	98,197,382	97,939,717	99,926,245	99,316,192	98,218,161	101,720,055	96,918,092

Residential Services

RESIDENTIAL TREATMENT

Statutory Reference

C.G.S. Sections 17a-476, 17a-453a, 17a-676

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and or addiction disorders by ensuring that clean, safe and affordable living environments are available for learning and re-learning rehabilitative skills necessary for independent living.

Program Description

Mental Health residential services offer a wide variety of housing opportunities, including group homes, family-style community residences, supervised and supported apartment programs and transitional residential programs. Some individuals may need support for an extended period while others can live independently after shorter periods of rehabilitated supports. Services, which vary in intensity with

the independence of the living environment, include staff supervision, counseling, and follow-up support to assure that residents receive needed community support and psychiatric services.

Substance abuse residential services offer a range of treatment and ongoing living opportunities. Residential detoxification programs offer medical management of the withdrawal from alcohol and drugs. Substance abuse counseling is an integral part of the daily treatment process. Residential rehabilitation programs offer recovery-oriented treatment services in a structured, therapeutic environment for individuals who require supports in order to maintain a drug free lifestyle. Services include recovery houses, halfway houses, and residential drug-free programs, including a range of intensive residential programs. The range of services represents a continuum of care that affords an individual a progression through appropriate levels of care.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Group Homes:				
Beds	205	205	205	205
Unduplicated clients	310	310	310	310
Community Based Residential Rehabilitation: (Intensive, Intermediate, Long Term Tx & Rehab)				
Number of Beds	2,069	2,069	2,069	2,069
Occupancy Ratio (%)	88	88	88	88
Clients Served	7,272	7,272	7,272	7,272
Residential Detoxification:				
Number of Beds	142	142	142	142
Occupancy Ratio (%)	100	100	100	100
Clients Served	8,324	8,324	8,324	8,324
Long Term Care (LTC)				
Number of Beds	50	50	50	50
Occupancy Ratio (%)	100	100	100	100
Clients Served	181	181	181	181
Average Length of Stay (ALOS)	145	145	145	145

Personnel Summary		As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		55	3	0	58	58	58	58	58
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		3,753,879	4,017,826	4,240,688	4,212,456	4,175,082	4,309,760	4,240,361	4,193,058
Other Expenses		798,087	736,996	713,576	747,831	737,768	730,168	764,724	737,768
<u>Other Current Expenses</u>									
Managed Service System		2,842,516	3,403,781	3,448,030	3,558,313	3,558,313	3,523,887	3,719,860	3,509,747
Connecticut Mental Health Center		0	1,099,215	1,145,382	1,145,382	1,099,215	1,200,360	1,200,360	1,099,215
Professional Services		1,665	1,505	1,569	1,568	1,505	1,644	1,643	1,505
General Assistance Managed Care		0	419,200	424,650	460,041	443,927	433,992	479,777	442,978
Special Populations		0	2,974,195	3,012,860	3,109,223	3,042,699	3,079,142	3,250,382	3,060,789
TBI Community Services		0	3,407,795	3,459,014	3,562,509	3,535,977	3,535,112	3,705,161	3,502,067
Jail Diversion		0	25,680	26,014	28,180	29,253	26,586	29,020	25,383
Behavioral Health Medications		17,890	14,224	14,821	14,821	14,224	15,533	15,532	14,224
Medicaid Adult Rehabilitation Option		0	2,555,000	2,588,215	2,696,920	2,250,000	2,645,156	2,826,372	2,250,000
<u>Pmts to Other Than Local Governments</u>									
Grants for Substance Abuse Services		6,005,831	6,393,433	6,618,075	6,683,695	6,923,115	6,895,889	6,987,135	6,661,816
Grants for Mental Health Services		8,508,221	8,678,691	8,791,514	9,072,704	8,999,943	8,984,927	9,484,605	9,051,713
TOTAL-General Fund		21,928,089	33,727,541	34,484,408	35,293,643	34,811,021	35,382,156	36,704,932	34,550,263
<u>Additional Funds Available</u>									
Bond Funds		1,184,631	430,332	162,695	162,695	162,695	162,695	162,695	162,695
Private Contributions		1,540,513	1,929,664	1,929,664	1,929,664	1,929,664	1,929,664	1,929,664	1,929,664
Federal Contributions									
99999 Miscellaneous Federal Programs		6,830,771	2,744,894	1,692,538	1,692,538	1,692,538	1,166,361	1,166,361	1,166,361
93243 Substance Abuse & MH Services		0	112,500	150,000	150,000	150,000	150,000	150,000	150,000
93959 Prevent/Treat Substance Abuse		0	3,645,503	1,682,540	1,682,540	1,682,540	280,423	280,423	280,423
TOTAL - All Funds		31,484,004	42,590,434	40,101,845	40,911,080	40,428,458	39,071,299	40,394,075	38,239,406
Residential Treatment									

HOUSING

Statutory Reference

C.G.S. Sections 17a-453a, 17a-476, 17a-676

Statement of Need and Program Objectives

To promote the recovery of adults suffering from severe and prolonged mental illnesses and/or substance use disorders by ensuring that recovery oriented support services are available

to support individuals through their recovery process. By successfully engaging individuals in a variety of community-based support services, individuals increase their ability to plan and manage their lives. These services include supervised and supported housing, the PILOTS Supportive Housing program, the federally funded Shelter Plus Care program, and Recovery Houses.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Shelters:				
DMHAS funded shelters	1	1	1	1
DMHAS funded beds	13	13	13	13
Clients Served (bed days)	3,160	3,160	3,160	3,160
Supervised/Supportive Apartments:				
Beds/Capacity	2,264	2,264	2,264	2,264
Unduplicated clients	2,996	3,000	3,000	3,000

Personnel Summary

As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
<i>Permanent Full-Time Positions</i>		<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>
General Fund		2	0	0	2	2	2

Budget-in-Detail

	2003-2004	2004-2005	2005-2006	2005-2006	2005-2006	2006-2007	2006-2007	
<i>Other Positions Equated to Full Time</i>	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	
General Fund	25	25	25	25	25	25	25	
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,327,666	1,370,784	1,446,819	1,437,187	1,424,436	1,470,385	1,446,708	1,430,569
Other Expenses	130,022	103,173	26,226	27,485	27,115	26,836	28,106	27,115
Capital Outlay								
Equipment	0	0	1,845	1,595	0	1,845	1,576	0
Other Current Expenses								
Housing Supports and Services	4,144,544	4,910,339	4,991,515	5,155,335	5,155,335	5,101,328	5,392,115	5,155,335
Managed Service System	11,761,240	11,237,567	11,478,317	11,851,381	11,851,381	11,730,841	12,389,434	11,689,626
General Assistance Managed Care	0	587,569	595,207	626,609	604,025	608,302	672,476	621,716
Special Populations	0	2,355,972	2,407,942	2,462,933	2,410,237	2,460,916	2,574,750	2,424,566
Jail Diversion	0	30,815	37,438	34,492	35,534	38,261	35,368	30,347
Pmts to Other Than Local Governments								
Grants for Substance Abuse Services	787,096	740,973	851,483	774,612	775,015	890,290	809,781	772,013
Grants for Mental Health Services	20,591,947	20,216,392	20,777,174	21,419,630	21,380,750	21,234,273	22,392,081	20,943,814
TOTAL-General Fund	38,742,515	41,553,584	42,613,966	43,791,259	43,663,828	43,563,277	45,742,395	43,095,101
Additional Funds Available								
Bond Funds	852,210	277,056	149,011	149,011	149,011	149,011	149,011	149,011
Private Contributions	3,920,535	3,908,445	3,908,445	3,908,445	3,908,445	3,908,445	3,908,445	3,908,445
Federal Contributions								
99999 Miscellaneous Federal Programs	7,204,297	7,045,601	7,386,079	7,386,079	7,386,079	7,386,079	7,386,079	7,386,079
14238 Shelter Plus Care	0	648,462	1,606,571	1,606,571	1,606,571	1,966,250	1,966,250	1,966,250
93243 Substance Abuse & MH Services	0	2,173,800	2,173,800	2,173,800	2,173,800	2,173,800	2,173,800	2,173,800
TOTAL - All Funds	50,719,557	55,606,948	57,837,872	59,015,165	58,887,734	59,146,862	61,325,980	58,678,686

Housing

INPATIENT HOSPITAL SERVICES

Statutory Reference

C.G.S. Sections 17a-458, 17a-560-576 and 17a-635(4)

Statement of Need and Program Objectives

To reduce acute psychiatric symptoms and improve the level of functioning of adults gravely disabled by mental illness and those dangerous to self or others, in order to and enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

To promote recovery and reduce negative consequences associated with alcohol and drug use and abuse by increasing the number of individuals seeking care, increasing family and significant others participation in treatment, and reducing drug and alcohol abusing behavior through the funding of a range of direct care services.

To protect the individual and society by operating a maximum-security facility that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other Department of Mental Health and Addiction Services facilities or Department of Correction facilities.

To assist the courts in diagnosing the mental status of individuals being processed through the criminal justice system and to provide ongoing psychiatric care and treatment to individuals found not competent to stand trial or not guilty by reason of insanity.

Program Description

There are three components of inpatient hospital services: Mental Health Inpatient Services; Substance Abuse Inpatient Services; and Forensic Inpatient Services.

Personnel Summary

Permanent Full-Time Positions

	As of 06/30/2004	2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>
General Fund	1,773	95	30	1,898	1,864	1,928	1,864

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	79	79	79	79	79	79

Financial Summary (Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	130,469,733	139,557,032	147,307,103	146,326,410	145,028,176	149,706,422	147,295,758	145,152,616
Other Expenses	13,975,862	15,925,666	15,387,726	16,126,414	15,909,399	15,745,530	16,490,703	15,909,399
Capital Outlay	0	0	551,840	477,051	0	408,902	349,332	0
Other Current Expenses	13,991,135	17,379,872	13,999,256	18,115,537	17,952,624	14,628,749	18,982,090	17,929,970
Pmts to Other Than Local Governments	979,285	1,197,463	1,213,030	1,251,828	1,302,207	1,239,717	1,308,660	1,167,101
TOTAL-General Fund	159,416,015	174,060,033	178,458,955	182,297,240	180,192,406	181,729,320	184,426,543	180,159,086
<u>Additional Funds Available</u>								
Special Funds, Non-Appropriated	40,131	40,000	36,000	36,000	36,000	0	0	0
Bond Funds	87,408	73,886	75,000	75,000	75,000	75,000	75,000	75,000
Private Contributions	5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
TOTAL Agency Programs - All Funds Net	159,548,554	174,178,919	178,574,955	182,413,240	180,308,406	181,809,320	184,506,543	180,239,086

Inpatient Services**MENTAL HEALTH HOSPITAL INPATIENT SERVICES***Statutory Reference*

C.G.S. Section 17a-458

Statement of Need and Program Objectives

To improve the mental health (level of functioning) of adults gravely disabled by mental illness and those dangerous to self or others in order to enable them to live in a less restrictive treatment setting through the provision of inpatient treatment programs.

Program Description

There are four facilities offering inpatient services operated by the Department of Mental Health and Addiction Services, each

providing services to a wide range of psychiatrically disabled adults. One facility provides specialized services to individuals involved with the criminal justice system. In addition, some acute care services are provided under contract by general hospitals. All DMHAS inpatient facilities provide a range of therapeutic programs designed to meet the treatment needs of adults in the most cost-effective manner possible. Specialty services address Geriatrics, Traumatic/Acquired Brain Injury, Cognitive Rehabilitation and Dialectical Behavior Training.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Southwest Connecticut Mental Health System - Bridgeport Mental Health Division:				
Beds	42	42	42	42
Average Daily Census	41.5	41.5	41.5	41.5
Admissions	349	350	350	350
Discharges	350	350	350	350
Unduplicated Clients	303	303	303	303
Cedarcrest Hospital - Mental Health Division				
Beds	105	105	105	105
Average Daily Census	104.8	104.8	104.8	104.8
Admissions	460	460	460	460
Discharges	450	450	450	450
Unduplicated Clients	399	400	400	400
Connecticut Mental Health Center				
Beds	29	29	29	29
Average Daily Census	21.6	21.6	21.6	21.6
Admissions	289	289	289	289
Discharges	289	289	289	289
Unduplicated Clients	283	283	283	283
Connecticut Valley Hospital - Mental Health Division				
Beds	205	205	205	205
Average Daily Census	212.	205.	205	205
Admissions	264	264	264	264
Discharges	275	275	275	275
Unduplicated Clients	670	670	670	670

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	853	59	15	927	910	942	910	936

Budget-in-Detail

		2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007		
<i>Other Positions Equated to Full Time</i>		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>		
General Fund		53	53	53	53	53	53		
Financial Summary		2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)		<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services		63,603,715	67,789,626	71,558,882	71,082,480	70,451,824	72,724,423	71,553,371	70,255,165
Other Expenses		6,060,144	6,300,208	6,084,261	6,376,336	6,290,529	6,225,736	6,520,375	6,290,529
<u>Capital Outlay</u>									
Equipment		0	0	316,929	273,977	0	231,440	197,723	0
<u>Other Current Expenses</u>									
Managed Service System		1,161,667	1,210,800	1,226,540	1,265,770	1,265,770	1,253,524	1,323,236	1,248,494
Legal Services		397,000	401,864	407,088	421,666	419,646	416,044	440,810	414,268
Connecticut Mental Health Center		493,776	1,636,616	1,705,354	1,705,354	1,636,616	1,787,211	1,787,211	1,636,616
Professional Services		3,453,520	4,314,659	3,253,374	4,494,545	4,314,659	3,409,536	4,711,677	4,314,659
Behavioral Health Medications		2,553,616	2,820,956	2,118,528	2,939,436	2,820,956	2,220,219	3,080,529	2,820,956
Discharge and Diversion Services		0	0	0	0	500,000	0	0	500,000
<u>Pmts to Other Than Local Governments</u>									
Grants for Mental Health Services		902,805	937,113	949,295	979,658	1,032,869	970,180	1,024,134	895,089
TOTAL-General Fund		78,626,243	85,411,842	87,620,251	89,539,222	88,732,869	89,238,313	90,639,066	88,375,776
<u>Additional Funds Available</u>									
Special Funds, Non-Appropriated		40,131	40,000	36,000	36,000	36,000	0	0	0
Bond Funds		76,556	73,886	75,000	75,000	75,000	75,000	75,000	75,000
Private Contributions		5,000	5,000	5,000	5,000	5,000	5,000	5,000	5,000
TOTAL - All Funds		78,747,930	85,530,728	87,736,251	89,655,222	88,848,869	89,318,313	90,719,066	88,455,776
Mental Health Inpatient Services									

FORENSIC HOSPITAL INPATIENT SERVICES

Statutory Reference

C.G.S. Sections 17a-560 through 17a-576

Statement of Need and Program Objectives

To protect the individual and society by operating a maximum-security hospital that provides tertiary-level psychiatric care and treatment of adults with forensic involvement who have presented diagnostic and/or severe behavioral management problems for other Department of Mental Health and Addiction Services facilities or Department of Correction facilities.

To perform court ordered evaluations of adults with forensic involvement. To provide ongoing psychiatric care and treatment to individuals found not guilty by reason of insanity.

Program Description

The Whiting Forensic Division of the Connecticut Valley Hospital consists of the state's sole maximum-security hospital, as well as intermediate level inpatient services. The division accepts referrals of men and women 18 years of age or older from both psychiatric and correctional institutions, as well as persons committed by the superior court for evaluation and treatment. Services provided include psychiatric and nursing care, occupational therapy, education services, vocational assessment and recreational activities. The division also provides assessments of dangerous offenders, research, teaching and training services in the field of forensic psychiatry.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Connecticut Valley Hospital - Forensic Division				
Beds	212	212	212	212
Average Daily Census	212	212	212	212
Admissions	224	224	224	224
Discharges	208	208	208	208
Unduplicated Clients	300	300	300	300

Personnel Summary

	As of 06/30/2004	2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007	
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	557	25	15	597	580	612	580	612

Other Positions Equated to Full Time General Fund	2003-2004		2004-2005	2005-2006	2005-2006	2006-2007	2006-2007	
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	
	6	6	6	6	6	6	6	
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	41,269,399	44,330,315	46,789,242	46,477,744	46,065,385	47,551,339	46,785,638	46,263,726
Other Expenses	4,769,131	5,432,625	5,264,843	5,517,582	5,443,331	5,387,264	5,642,222	5,443,331
<u>Capital Outlay</u>								
Equipment	0	0	71,983	62,227	0	64,224	54,868	0
<u>Other Current Expenses</u>								
Professional Services	2,061,905	2,557,141	1,942,410	2,664,541	2,557,141	2,035,646	2,792,439	2,557,141
Behavioral Health Medications	1,471,204	1,622,966	1,218,841	1,691,131	1,622,966	1,277,346	1,772,305	1,622,966
TOTAL-General Fund	49,571,639	53,943,047	55,287,319	56,413,225	55,688,823	56,315,819	57,047,472	55,887,164

Forensic Inpatient Services**SUBSTANCE ABUSE HOSPITAL INPATIENT***Statutory Reference*

C.G.S. Section 17a-635(4)

Statement of Need and Program Objectives

To reduce the negative consequences associated with alcohol and other drugs by reducing alcohol and other drug abusing behavior through a system that is responsive to the individual's needs, increasing the number of individuals seeking care, increasing the participation of family and significant others in treatment, and funding a range of direct care services that are responsive to individuals' varying levels of dysfunction.

Program Description

Treatment and rehabilitation is provided for individuals, regardless of ability to pay, through the direct operation by

DMHAS of substance abuse inpatient beds. There are two primary treatment settings:

Residential Medically Managed Detoxification Services involve 24 hour medically directed evaluation, care and treatment of substance abusing adults, within a medically managed inpatient setting that includes 24 hour physician and nursing coverage.

Intensive Medically Monitored Inpatient Treatment Services offer an organized service, staffed by designated addiction treatment personnel, including physicians, that provides a planned regimen of 24-hour professionally directed evaluation, care and treatment in an inpatient setting. These services are provided to adults whose subacute biomedical and emotional/behavioral problems are sufficiently severe to require inpatient care.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Southwest Connecticut Mental Health System - Bridgeport Addiction Services Division:				
Number of Beds (Detox)	20	20	20	20
Patient Days (Detox)	4,546	5,000	5,000	5,000
Unduplicated Clients (Detox)	448	500	500	500
Utilization Ratio (%)	62	68	68	68
Cedarcrest Hospital - Blue Hills Substance Abuse Services Division				
Number of Beds (Detox and Rehab)	21	21	21	21
Patient Days (Detox and Rehab)	11,364	6,899	7,000	7,000
Unduplicated Clients (Detox and Rehab)	1,035	980	980	980
Utilization Ratio (%)	90	90	90	90
Connecticut Valley Hospital - Addiction Services				
Number of Beds (Detox and Rehab)	140	140	140	140
Patient Days (Detox and Rehab)	43,255	43,255	43,255	43,255
Unduplicated Clients (Detox and Rehab)	1,818	1,818	1,818	1,818
Utilization Ratio (%)	84	84	84	84

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	363	11	0	374	374	374	374	374

Other Positions Equated to Full Time General Fund	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
	20	20	20	20	20	20

Budget-in-Detail

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	25,596,619	27,437,091	28,958,979	28,766,186	28,510,967	29,430,660	28,956,749	28,633,725
Other Expenses	3,146,587	4,192,833	4,038,622	4,232,496	4,175,539	4,132,530	4,328,106	4,175,539
<u>Capital Outlay</u>								
Equipment	0	0	162,928	140,847	0	113,238	96,741	0
<u>Other Current Expenses</u>								
Professional Services	1,233,401	1,529,644	1,161,921	1,593,889	1,529,644	1,217,693	1,670,396	1,529,644
Behavioral Health Medications	1,165,046	1,285,226	965,200	1,339,205	1,285,226	1,011,530	1,403,487	1,285,226
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	76,480	260,350	263,735	272,170	269,338	269,537	284,526	272,012
TOTAL-General Fund	31,218,133	34,705,144	35,551,385	36,344,793	35,770,714	36,175,188	36,740,005	35,896,146
<u>Additional Funds Available</u>								
Bond Funds	10,852	0	0	0	0	0	0	0
TOTAL - All Funds	31,228,985	34,705,144	35,551,385	36,344,793	35,770,714	36,175,188	36,740,005	35,896,146

Substance Abuse Inpatient Services

RECOVERY SUPPORT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-453a, 17a-476, 17a-676

Statement of Need and Program Objectives

Mental health and substance abuse recovery support services offer a wide variety of community-based services that are designed to assist individuals in sustaining their recovery and achieving satisfactory levels of quality of life.

Program Description

A wide variety of specifically designed case management programs are available to individuals depending on their

specific needs. Overall, case managers ensure that individuals are actively involved in the development of a comprehensive service plan that identifies his/her needs and goals and matches them with community-based recovery support services designed to achieve these goals. Rehabilitation services, such as vocational, social and peer-to-peer rehabilitation support services offer individuals opportunities to learn social, vocational and educational skills necessary for independent living. Peer engagement services offer consumers the opportunity to engage other consumers in understanding their issues and their local systems of care.

Personnel Summary

<i>Permanent Full-Time Positions</i>	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	423	5	15	443	428	458	428	458
Federal Contributions	1	1	0	2	0	0	0	0
Private Contributions	2	0	0	2	2	2	2	2
<i>Other Positions Equated to Full Time</i>								
General Fund			2003-2004 <u>Actual</u>	2004-2005 <u>Estimated</u>	2005-2006 <u>Requested</u>	2005-2006 <u>Recommended</u>	2006-2007 <u>Requested</u>	2006-2007 <u>Recommended</u>
			22	22	22	22	22	22

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	29,177,218	31,148,978	32,876,759	32,657,883	32,368,136	33,412,251	32,874,227	32,507,502
Other Expenses	4,377,229	4,100,818	3,969,913	4,160,488	4,104,501	4,062,224	4,254,472	4,104,501
Capital Outlay	0	0	153,968	133,101	0	108,478	92,674	0
Other Current Expenses	9,694,655	14,972,579	15,275,525	15,715,436	16,080,461	15,712,762	16,563,450	16,887,455
Pmts to Other Than Local Governments	37,143,283	37,646,146	38,211,678	39,393,073	39,156,586	39,088,016	41,181,519	38,644,361
TOTAL-General Fund	80,392,385	87,868,521	90,487,843	92,059,981	91,709,684	92,383,731	94,966,342	92,143,819
<u>Additional Funds Available</u>								
Bond Funds	366,621	189,278	0	0	0	0	0	0
Federal Contributions	6,859,469	6,429,447	4,613,609	4,613,609	4,613,609	3,648,630	3,648,630	3,648,630
Private Contributions	1,159,830	553,404	553,404	553,404	553,404	553,404	544,654	544,654
TOTAL Agency Programs - All Funds Net	88,778,305	95,040,650	95,654,856	97,226,994	96,876,697	96,585,765	99,159,626	96,337,103

Recovery Support Services

CASE MANAGEMENT

Statutory Reference

C.G.S. Sections 17a-450, 17a-476, PA 95-194 and PA 96-268

Statement of Need and Program Objectives

To promote the recovery of persons with psychiatric disabilities by helping them to remain in the community using individualized planning, linkage to other services, support, monitoring and advocacy.

Program Description

This program provides a person with a psychiatric illness with a clinical case manager who ensures that the person is actively involved in the services he or she requires to achieve satisfactory level of quality of life in the community. Adults are assigned to one of three levels of case management

depending upon their assessed need for services. "Assertive Community Treatment" (ACT, the most intensive), "standard", or "monitoring" (the least intensive). Case managers identify required services by assessing an individual's needs and developing a service plan with that person and with others to meet those needs. Case management includes activities such as linkage to services and community supports, monitoring the service recipient's progress and appropriateness of services, advocacy, crisis prevention and intervention, and outreach. Case management may also be provided by residential programs.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Case Management:				
Unduplicated clients	11,303	11,300	11,300	11,300

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	395	5	15	415	400	430	400	430
Federal Contributions	1	1	0	2	0	0	0	0

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	19	19	19	19	19	19

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	27,105,352	28,976,396	30,583,667	30,380,057	30,110,520	31,081,810	30,581,312	30,240,165
Other Expenses	3,801,166	3,558,927	3,445,317	3,610,709	3,562,120	3,525,430	3,692,274	3,562,120

Capital Outlay

Equipment	0	0	121,025	104,623	0	80,335	68,631	0
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Other Current Expenses

Housing Supports and Services	1,086,982	959,650	972,125	1,003,218	1,723,284	993,512	1,048,764	2,447,507
Managed Service System	3,002,467	2,953,700	2,992,098	3,087,798	3,087,798	3,057,924	3,227,984	3,045,654
Connecticut Mental Health Center	1,891,358	2,599,795	2,708,986	2,708,986	2,599,795	2,839,018	2,839,017	2,599,795
Capitol Region Mental Health Center	321,495	325,940	330,177	330,177	325,940	337,441	337,441	325,940
Professional Services	300,352	271,541	282,946	282,946	271,541	296,527	296,527	271,541
General Assistance Managed Care	0	2,268,919	2,298,415	2,419,671	2,332,460	2,348,980	2,596,788	2,400,774
Behavioral Health Medications	1,066,931	848,287	883,915	883,915	848,287	926,343	926,343	848,287

Pmts to Other Than Local Governments

Grants for Substance Abuse Services	1,317,773	1,272,023	1,325,448	1,329,773	1,326,287	1,389,069	1,390,145	1,320,002
Grants for Mental Health Services	15,157,004	15,462,669	15,664,990	16,164,674	16,056,940	16,010,840	16,898,550	15,807,648
TOTAL-General Fund	55,050,880	59,497,847	61,609,109	62,306,547	62,244,972	62,887,229	63,903,776	62,869,433

Additional Funds Available

Bond Funds	88,771	42,065	0	0	0	0	0	0
Private Contributions	438,054	8,750	8,750	8,750	8,750	8,750	0	0

Federal Contributions

99999 Miscellaneous Federal Programs	4,537,655	2,092,693	1,210,168	1,210,168	1,210,168	959,991	959,991	959,991
93243 Substance Abuse & MH Services	0	2,078,515	2,078,515	2,078,515	2,078,515	2,078,515	2,078,515	2,078,515
93959 Prevent/Treat Substance Abuse	0	1,733,295	799,982	799,982	799,982	133,330	133,330	133,330
TOTAL - All Funds	60,115,360	65,453,165	65,706,524	66,403,962	66,342,387	66,067,815	67,075,612	66,041,269

Case Management**REHABILITATION****Statutory Reference**

C.G.S. Sections 17a-453a, 17a-476, 17a-676, 17a-635

Statement of Need and Program Objectives

To assist persons with psychiatric and substance abuse disabilities to successfully engage in community-based

Budget-in-Detail

employment through the provision of specialized work related services and supports and to participate in community life by teaching them pre-vocational and daily living skills, improving their interpersonal skills, and increasing their ability to plan and manage their lives.

Program Description

Specialized vocational services and supports that enable persons with prolonged mental illness or substance abuse disabilities to participate successfully in the competitive labor market include: vocational counseling and assessment, the development of specific occupational skills, job seeking and retention training, assistance with job search, self esteem building, life planning and relapse prevention. In addition to competitive employment, a variety of supported work settings such as transitional employment, individual placement, mobile work crews, enclaves, and small enterprises are also available.

Follow-up and ongoing support through one-to-one counseling and peer support groups are made available as needed.

In accordance with individual needs, recovery-oriented rehabilitation services provided may include the following:

Daily living skills: Training in hygiene, cooking and nutrition, budgeting, and housekeeping to enable the person to live with the maximum possible independence.

Interpersonal skills: Training in relating to other individuals and groups within the community.

Life management skills: Training to use personal, social and recreation time more effectively and to increase self-esteem.

Pre-vocational skills: Training to learn and master appropriate work-related behaviors such as punctuality, regular attendance, perseverance, the ability to interact with co-workers, and the ability to follow directions.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Vocational Services:				
Unduplicated clients	2,747	2,750	2,750	2,750
Social Rehabilitation Services:				
Unduplicated clients	6,356	6,350	6,350	6,350

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	24	0	0	24	24	24	24	24
<i>Other Positions Equated to Full Time</i>								
General Fund			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
			3	3	3	3	3	3

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,789,413	1,902,471	2,007,998	1,994,630	1,976,933	2,040,704	2,007,843	1,985,445
Other Expenses	540,286	511,257	494,974	518,735	511,755	506,483	530,453	511,755
<i>Capital Outlay</i>								
Equipment	0	0	32,943	28,478	0	28,143	24,043	0
<i>Other Current Expenses</i>								
Managed Service System	1,331,726	1,372,512	1,390,355	1,434,824	1,434,824	1,420,942	1,499,965	1,415,241
Professional Services	10,452	9,449	9,846	9,846	9,449	10,319	10,319	9,449
Behavioral Health Medications	6,918	5,500	5,731	5,731	5,500	6,006	6,006	5,500
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	480,946	491,544	497,934	513,860	512,850	508,889	537,189	511,062
Grants for Mental Health Services	10,249,216	10,308,743	10,442,757	10,776,760	10,702,466	10,672,497	11,266,025	10,577,505
Employment Opportunities	9,588,074	9,758,243	9,923,037	10,239,059	10,190,002	10,141,344	10,703,913	10,059,411
TOTAL-General Fund	23,997,031	24,359,719	24,805,575	25,521,923	25,343,779	25,335,327	26,585,756	25,075,368
<i>Additional Funds Available</i>								
Bond Funds	277,850	147,213	0	0	0	0	0	0
Private Contributions	117,775	11,250	11,250	11,250	11,250	11,250	11,250	11,250
Federal Contributions								
99999 Miscellaneous Federal Programs	2,285,468	524,944	524,944	524,944	524,944	476,794	476,794	476,794
TOTAL - All Funds	26,678,124	25,043,126	25,341,769	26,058,117	25,879,973	25,823,371	27,073,800	25,563,412

Rehabilitation

ANCILLARY SERVICES

Statutory Reference

C.G.S. 17a-676

Statement of Need and Program Objectives

Ancillary support services are available to further enhance local systems of care.

Program Description

They include transportation services and basic needs vouchers (food, clothing, toiletries). Also available are laboratory services, pharmacy, special education and nursing home screening services; all designed to provide enhanced access to local systems of care for service recipient consumers.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	4	0	0	4	4	4	4	4
Private Contributions	2	0	0	2	2	2	2	2

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	282,453	270,111	285,094	283,196	280,683	289,737	285,072	281,892
Other Expenses	35,777	30,634	29,622	31,044	30,626	30,311	31,745	30,626
<i>Other Current Expenses</i>								
Managed Service System	155,896	229,053	232,031	239,452	239,452	237,135	250,323	236,184
General Assistance Managed Care	0	2,638,759	2,673,063	2,814,083	2,712,657	2,731,870	3,020,073	2,792,109
Nursing Home Screening	520,078	489,474	495,837	494,789	489,474	506,745	503,900	489,474
<i>Pmts to Other Than Local Governments</i>								
Grants for Substance Abuse Services	350,270	352,924	357,512	368,947	368,041	365,377	385,697	368,733
TOTAL-General Fund	1,344,474	4,010,955	4,073,159	4,231,511	4,120,933	4,161,175	4,476,810	4,199,018
<i>Additional Funds Available</i>								
Private Contributions	604,001	533,404	533,404	533,404	533,404	533,404	533,404	533,404
Federal Contributions								
99999 Miscellaneous Federal Programs	36,346	0	0	0	0	0	0	0
TOTAL - All Funds	1,984,821	4,544,359	4,606,563	4,764,915	4,654,337	4,694,579	5,010,214	4,732,422

Ancillary Services**PREVENTION AND HEALTH PROMOTION***Statutory Reference*

C.G.S. Sections 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

Statement of Need and Program Objectives

To prevent or delay the age of first use of alcohol, tobacco and other drugs.

To reduce the probability that individuals at some time will need intervention or treatment services because of substance use.

To reduce progression of alcohol, tobacco and other drug problems through identification and referral (early intervention).

To foster the involvement of all segments of the community and major societal systems in prevention related activities.

To promote mental health by designing and implementing comprehensive health education programs that focus on prevention, early detection and access to treatment and support resources in partnership with families, school systems, academic institutions, faith communities and other professionals.

Program Description

Prevention and intervention services use a capacity building and direct service model to support comprehensive, accessible programming for a broad range of populations. Prevention strategies include information and public awareness; education and skills development, alternative activities; community development, capacity building and system change; community mobilization and social policy initiatives; and early intervention services.

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	51	1	0	52	52	52	52	52
Federal Contributions	2	0	-1	1	0	1	0	1
Private Contributions	1	0	0	1	1	1	1	1

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	5	5	5	5	5	5

Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	3,549,581	3,710,682	3,907,125	3,881,112	3,846,677	3,970,763	3,906,824	3,863,239
Other Expenses	1,147,863	946,591	913,446	957,296	944,414	934,686	978,920	944,414
Capital Outlay	0	0	8,700	7,521	0	3,700	3,161	0
Other Current Expenses	2,580,001	1,330,989	1,335,561	1,389,825	1,370,171	1,377,622	1,454,191	1,359,284
Pmts to Other Than Local Governments	1,953,246	1,645,606	1,666,939	1,713,053	1,448,237	1,703,611	1,785,619	1,454,711
TOTAL-General Fund	9,230,691	7,633,868	7,831,771	7,948,807	7,609,499	7,990,382	8,128,715	7,621,648
<u>Additional Funds Available</u>								
Bond Funds	3,514	0	0	0	0	0	0	0
Federal Contributions	8,543,165	13,851,534	8,132,089	8,132,089	8,132,089	5,972,607	5,305,465	5,305,465
Private Contributions	909,023	1,255,167	1,171,993	1,171,993	1,171,993	1,173,993	1,173,993	1,173,993
TOTAL Agency Programs - All Funds Net	18,686,393	22,740,569	17,135,853	17,252,889	16,913,581	15,136,982	14,608,173	14,101,106

Prevention and Health Promotion

ADVOCACY AND PREVENTION

Statutory Reference

C.G.S. Sections 17a-451, 17a-459, 17a-476, 17a-560-576, 17a-635(4), 17a-636(a)(4) and (9), 17a-637(c) and 54-56g(d)

Statement of Need and Program Objectives

To reduce those factors that are known to cause illness and problem behaviors and promoting those factors that buffer individuals and promote good health;

To reduce the probability that individuals at some time will need intervention or treatment for psychiatric or substance abuse disabilities; and to limit the further development/progression of psychiatric and substance abuse problems by identifying individuals with such problems and referring them to appropriate treatment (early intervention).

Program Description

There are three components of advocacy and prevention: Research; Substance Abuse Prevention and Intervention; and Consultation, Education and Training.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Prevention Programs:				
Best Practices:				
Number of Programs	12	12	12	12
Number of Events	3,731	3,731	3,731	3,731
Number of Participants	13,020	13,020	13,020	13,020
Resource Links: SSDAs & RACs				
Number of Programs	16	16	16	16
Number of Events	741,985	741,985	741,985	741,985
Number of Participants	631,021	631,021	631,021	631,021
Governor's Prevention Initiative for Youth (GPIY):				
Number of Programs	0	0	0	0
Number of Events	0	0	0	0
Number of Participants	0	0	0	0
Children of Substance Abusing Parents (COSAP):				
Number of Programs	0	0	0	0
Number of Events	0	0	0	0
Number of Participants	0	0	0	0
Other Prevention Programs:				
State Incentive Grant - Enhancement				
Number of Programs	5	5	5	0
Number of Events	0	300	300	0
Number of Participants	0	57,651	57,651	0
Other Prevention Programs:				
Positive Futures Program (Mentoring)				
Number of Programs	1	1	0	0
Number of Events	750	750	0	0
Number of Participants	159	159	0	0

Other Prevention Programs:

Youth Violence Prevention Initiative

Number of Programs	1	1	0	0
Number of Events	15	60	0	0
Number of Participants	8	40	0	0

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
<i>Permanent Full-Time Positions</i>								
General Fund	12	1	0	13	13	13	13	13
Federal Contributions	2	0	-1	1	0	1	0	1

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Other Positions Equated to Full Time</i>						
General Fund	2	2	2	2	2	2

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
(Net of Reimbursements)								
Personal Services	932,193	924,757	976,052	969,554	960,952	991,949	975,977	965,089
Other Expenses	94,448	89,884	86,948	91,122	89,896	88,970	93,180	89,896
<u>Other Current Expenses</u>								
Managed Service System	770,986	763,041	772,961	797,683	797,683	789,966	833,898	786,796
Connecticut Mental Health Center	461,350	308,171	321,114	321,114	308,171	336,528	336,527	308,171
<u>Pmts to Other Than Local Governments</u>								
Grants for Substance Abuse Services	1,037,674	1,170,963	1,186,125	1,224,125	967,834	1,212,219	1,279,699	968,850
Gov's Partnership-Protect CT Workforce	221,445	224,200	227,115	227,115	224,200	232,112	232,221	224,200
Grants for Mental Health Services	591,631	250,443	253,699	261,813	256,203	259,280	273,699	261,661
TOTAL-General Fund	4,109,727	3,731,459	3,824,014	3,892,526	3,604,939	3,911,024	4,025,201	3,604,663
<u>Additional Funds Available</u>								
Private Contributions	605,493	849,255	849,993	849,993	849,993	851,993	851,993	851,993
Federal Contributions								
99999 Miscellaneous Federal Programs	6,734,260	3,262,739	1,294,131	1,294,131	1,294,131	442,466	442,466	442,466
93243 Substance Abuse & MH Services	0	2,806,676	3,481,676	3,481,676	3,481,676	3,481,676	3,481,676	3,481,676
93959 Prevent/Treat Substance Abuse	0	2,885,377	1,331,712	1,331,712	1,331,712	221,953	221,953	221,953
TOTAL - All Funds	11,449,480	13,535,506	10,781,526	10,850,038	10,562,451	8,909,112	9,023,289	8,602,751

Advocacy and Prevention**PREVENTION, EDUCATION AND TRAINING***Statutory Reference*

C.G.S. Section 17a-476

Statement of Need and Program Objectives

To improve the understanding of mental illness and substance use disorders by citizens, service providers and government employees who come into contact with people with these disorders by increasing the availability of information, education, training and consultation opportunities.

To provide continuing development and training for professional and para-professional staff in support of the knowledge and technical skills required to perform the prevention, diagnostic, treatment and rehabilitation and recovery-oriented tasks necessary to operate DMHAS' programs.

Program Description

DMHAS currently provides a full spectrum of education and training services through a public/private training model to a wide range of people including mental health professionals, substance abuse counselors, direct care workers, addiction prevention professionals, criminal justice staff, managers, supervisors, administrators, staff from other state agencies,

concerned citizens, consumers and families. Education, training, prevention, academic and resource linkages are offered through a wide array of sources. Consultation and education services are available to the general public, as well as in-service training for professional groups. The DMHAS Office of Community Education is involved with advocating on behalf of people using DMHAS services and in providing Connecticut residents with helpful information about mental health, mental illness and substance use disorders and how to obtain treatment and support services.

Comprehensive training is also available for professionals and volunteers in the field of substance abuse prevention, intervention and treatment through the DMHAS Human Resources Education and Training Division as well as the Prevention Training Collaborative. Education and training is based on a scope and sequence of skills and knowledge that encompass all facets of treatment, cultural competence, women's issues and prevention. This ensures that counselors, prevention specialists, and other helping professionals can receive the course work necessary for (re)certification and ongoing professional development.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	12	0	0	12	12	12	12	12
Private Contributions	1	0	0	1	1	1	1	1
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	639,427	678,833	716,487	711,717	705,402	728,157	716,432	708,437
Other Expenses	389,330	389,909	377,466	395,586	390,263	386,243	404,522	390,263
Capital Outlay								
Equipment	0	0	8,700	7,521	0	3,700	3,161	0
Pmts to Other Than Local Governments								
Grants for Substance Abuse Services	102,496	0	0	0	0	0	0	0
TOTAL-General Fund	1,131,253	1,068,742	1,102,653	1,114,824	1,095,665	1,118,100	1,124,115	1,098,700
Additional Funds Available								
Private Contributions	196,288	322,000	322,000	322,000	322,000	322,000	322,000	322,000
Federal Contributions								
99999 Miscellaneous Federal Programs	319,856	216,097	195,000	195,000	195,000	195,000	195,000	195,000
16579 Byrne Formula Grant Program	0	375,000	375,000	375,000	375,000	375,000	375,000	375,000
TOTAL - All Funds	1,647,397	1,981,839	1,994,653	2,006,824	1,987,665	2,010,100	2,016,115	1,990,700

Prevention Education and Training**PREVENTION RESEARCH***Statutory Reference*

C.G.S. Sections 17a-451 and 17a-459

Statement of Need and Program Objectives

To improve the prevention, treatment and rehabilitation methods used in providing mental health and substance abuse services by carrying out basic, epidemiologic and clinical research activities through partnership agreements with Yale University and the University of Connecticut.

Program Description

The department, through its partnership with Yale University, provides support for research at the Connecticut Mental Health Center. Current studies include: basic research into brain mechanisms operative in the treatment and pathophysiology of schizophrenia, depression, panic and anxiety and epidemiological research into the incidence and prevalence of mental illnesses; clinical research into the diagnosis and treatment of depression, schizophrenia and

panic disorders; research into the prevention of mental disorders and complications resulting from treatment; and the study of the use of medication in treating various mental illnesses. Funds provided to Yale are used as matching funds to garner additional research funding from the federal government and private foundations.

The department also obtains federal funding for research through the work of two DMHAS divisions: Research and the Office of Planning, Program Analysis and Support. These divisions work closely with the University of Connecticut and Yale University to research a wide range of topics. Current studies are investigating Assertive Community Treatment for persons with co-occurring substance use and mental health disorders; criminal justice diversion programs; supported housing; homeless families affected by addictive disorders; consumer-operated service programs and assessment of need for treatment and prevention of addictive disorders.

Personnel Summary	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<i>Permanent Full-Time Positions</i>								
General Fund	27	0	0	27	27	27	27	27
<i>Other Positions Equated to Full Time</i>								
General Fund								
			2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
			<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund			3	3	3	3	3	3
Financial Summary	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	1,977,961	2,107,092	2,214,586	2,199,841	2,180,323	2,250,657	2,214,415	2,189,713
Other Expenses	664,085	466,798	449,032	470,588	464,255	459,473	481,218	464,255
Other Current Expenses								
Housing Supports and Services	57,315	100,000	75,000	104,540	104,540	76,650	109,286	104,540
Connecticut Mental Health Center	1,106,788	0	0	0	0	0	0	0
Professional Services	126,888	114,717	119,534	119,535	114,717	125,272	125,273	114,717
Behavioral Health Medications	56,674	45,060	46,952	46,953	45,060	49,206	49,207	45,060

TOTAL-General Fund	3,989,711	2,833,667	2,905,104	2,941,457	2,908,895	2,961,258	2,979,399	2,918,285
<i>Additional Funds Available</i>								
Bond Funds	3,514	0	0	0	0	0	0	0
Private Contributions	107,242	83,912	0	0	0	0	0	0
Federal Contributions								
	1,489,049	3,686,878	787,428	787,428	787,428	589,370	589,370	589,370
93243 Substance Abuse & MH Services	0	618,767	667,142	667,142	667,142	667,142	0	0
TOTAL - All Funds	5,589,516	7,223,224	4,359,674	4,396,027	4,363,465	4,217,770	3,568,769	3,507,655
Prevention Research								

AGENCY MANAGEMENT SERVICES

Statutory Reference

C.G.S. Sections 17a-450, 17a-451, 17a-480, 17a-636 and 17a-637

Statement of Need and Program Objectives

To ensure that the Department of Mental Health and Addiction Services provides appropriate, efficient and effective programs and services to Connecticut's adults who suffer from psychiatric or substance use disabilities, within the resources available. To lead the state's community-based prevention, intervention and treatment efforts through the development of a comprehensive policy and the assurance of the quality and appropriate range of services provided by the statewide network of grant funded programs. To plan for, integrate, coordinate, support and direct the delivery of programs and services to individuals through systems of care.

Program Description

The Management and Support Program has five major functions: conducts comprehensive statewide planning,

research, data collection and policy analysis to support the development of programs that address psychiatric and substance use disabilities; supports administrative and financial management of the agency's programs including the operation of state programs by performing such functions as accounting, budget coordination, grants/contracts management, field audits and personnel services; ensures responsible program management and the provision of quality services through on-site monitoring of grantees, program evaluation, training and technical assistance and informs the general public (through press releases and television public service announcements), community organizations, municipalities, legislators and other state agencies about psychiatric and substance use disabilities and available resources in the state. In response to the federal Nursing Home Reform Act, the department is also involved in determining the appropriateness of nursing home care and the need for active treatment for persons suffering with mental illness.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Management and Support Services:				
Human Service Contracts	384	305	305	305
Personal Service Agreements	407	407	407	407
Property Leases	14	14	14	14
Audits Reviewed	175	170	170	170
General Assistance Audits Conducted	0	5	5	5

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	218	257	-241	234	220	260	220	260
Federal Contributions	2	1	3	6	5	6	5	5

Other Positions Equated to Full Time

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
General Fund	11	11	11	11	11	11

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services	12,671,823	13,197,473	13,929,815	15,509,702	15,372,097	14,156,702	15,611,606	17,102,026
Other Expenses	4,532,186	3,813,527	3,776,521	3,957,814	3,904,550	3,864,336	4,047,220	3,904,550
<i>Capital Outlay</i>								
Equipment	1,000	1,000	1,621,072	1,401,378	1,000	1,269,348	1,084,424	1,000
<i>Other Current Expenses</i>								
Housing Supports and Services	47,619	98,674	103,607	103,154	103,154	108,788	107,837	103,154

Budget-in-Detail

Clinical Work Stations	21,718	0	0	0	0	0	0	0
Managed Service System	27,830	27,830	28,192	29,093	112,244	28,812	30,414	29,338
Professional Services	272,702	246,543	256,898	249,748	246,543	269,229	255,242	246,543
Workers' Compensation Claims	7,455,340	8,697,839	7,797,911	9,117,249	9,117,249	7,969,465	9,581,541	9,581,541
TOTAL-General Fund	25,030,218	26,082,886	27,514,016	30,368,138	28,856,837	27,666,680	30,718,284	30,968,152
<i>Additional Funds Available</i>								
Special Funds, Non-Appropriated	615,081	615,000	530,000	530,000	530,000	0	0	0
Bond Funds	761,788	797,300	800,000	800,000	800,000	800,000	800,000	800,000
Private Contributions	2,054,987	28,969	31,300	31,300	31,300	33,640	33,640	33,640
Federal Contributions								
99999 Miscellaneous Federal Programs	1,353,985	2,057,716	1,238,459	1,238,459	1,238,459	70,369	70,369	70,369
16529 Ed/Trng Violence Against Women	0	175,000	175,000	175,000	175,000	0	0	0
93243 Substance Abuse & MH Services	0	1,689,355	1,767,232	1,767,232	1,767,232	1,611,432	1,611,432	1,611,432
93283 Centers-Disease Control/Prevent	0	15,000	0	0	0	0	0	0
TOTAL - All Funds	29,816,059	31,461,226	32,056,007	34,910,129	33,398,828	30,182,121	33,233,725	33,483,593
Agency Management Services								

GENERAL ASSISTANCE

Statutory Reference

C.G.S. Section 17a-453a, PA 97-8

Statement of Need and Program Objectives

To operate a publicly managed system of behavioral health care for persons eligible for State Administered General Assistance (SAGA) who have behavioral health disorders, that assures the delivery of appropriate, cost-effective treatment and support services. To promote recovery by ensuring access to a full continuum of behavioral health, ancillary and supportive services that address the treatment needs of General Assistance recipients. To provide targeted interventions for identified persons with special treatment needs (people who require care/case management services) that facilitate movement toward recovery and self-sufficiency. To make efficient use of program resources allowing for reinvestment of resources within the treatment system.

Program Description

DMHAS has developed the General Assistance Behavioral Health Program (GABHP) for the provision of all mental health and addiction services to persons on General Assistance. The

GABHP is operated and managed through a process involving continuous evaluation of service recipient, provider and systems outcomes. An individual's eligibility for State Administered General Assistance (SAGA) is determined by the Department of Social Services. Administrative functions of the program are managed through the use of an Administrative Services Organization (ASO). The ASO is responsible for utilization management, claims payment, credentialing, provider and service recipient relations, and other administrative functions. The Department has expanded treatment options by offering access to state operated and private providers representing the full continuum of behavioral health care. The quality of services delivered is maximized through a system of credentialing, monitoring and audit. Transitional basic needs supports (e.g. housing, transportation, clothing, personal care, etc.), provided through the Basic Needs Program, assist individuals in maintaining their engagement and involvement in treatment and movement toward self-sufficiency. In addition to the direct treatment and case management services, ancillary services such as laboratory, pharmacy and transportation are provided through the GABHP.

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
<i>Other Current Expenses</i>								
General Assistance Managed Care	63,827,221	64,858,234	65,701,392	69,154,535	65,823,464	67,146,824	74,230,522	67,789,860
TOTAL-General Fund	63,827,221	64,858,234	65,701,392	69,154,535	65,823,464	67,146,824	74,230,522	67,789,860
General Assistance								

DISPROPORTIONATE SHARE PAYMENTS

Statutory Reference

C.G.S. Chapter 302, Part IV

Statement of Need and Program Objectives

To allow the Department of Social Services to make disproportionate share payments for Department of Mental Health and Addiction Services' psychiatric hospitals.

Program Description

Under federal law (OBRA-90), Medicaid is allowed to reimburse the state for the cost of care for uninsured low-income persons in certain state-operated psychiatric facilities. The cost of such care must be paid by the Department of Social Services in order to qualify for federal reimbursement. A grant account in the Department of Social Services exists for this purpose.

Financial Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
(Net of Reimbursements)	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>

Personal Services	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000	-75,040,000
Other Expenses	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
TOTAL-General Fund	<u>-77,640,000</u>	<u>-77,640,000</u>	<u>-77,640,000</u>	<u>-77,640,000</u>	<u>-77,640,000</u>	<u>-77,640,000</u>	<u>-77,640,000</u>

Disproportionate Share Payments**AGENCY FINANCIAL SUMMARY – GENERAL FUND**

<i>Current Expenses by Minor Object</i>	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
<u>Personal Services</u>						
Permanent Fulltime Positions	160,179,109	170,859,770	180,937,507	182,794,347	182,260,258	184,971,211
Other Positions	13,677,219	13,545,213	14,154,749	12,674,029	14,791,716	12,674,029
Other	-64,953,721	-62,828,365	-62,298,433	-63,433,734	-61,727,722	-63,433,734
Overtime	26,890,775	28,763,021	30,033,560	28,763,021	31,385,070	28,763,021
TOTAL-Personal Services Gross	<u>135,793,382</u>	<u>150,339,639</u>	<u>162,827,383</u>	<u>160,797,663</u>	<u>166,709,322</u>	<u>162,974,527</u>
Less Reimbursements	0	-537,079	-553,191	-537,079	-569,787	-537,079
Less Turnover	0	0	-7,640,283	-5,235,573	-7,696,011	-5,235,573
TOTAL-Personal Services Net	<u>135,793,382</u>	<u>149,802,560</u>	<u>154,633,909</u>	<u>155,025,011</u>	<u>158,443,524</u>	<u>157,201,875</u>
	291,867	248,177	251,403	248,177	256,934	248,177
<u>Other Expenses-Contractual Services</u>						
Dues and Subscriptions	96,886	100,816	102,125	100,816	104,370	100,816
Utility Services	3,814,511	4,099,818	3,978,365	4,099,818	4,089,029	4,099,818
Rentals, Storage and Leasing	3,493,651	3,913,714	3,572,930	3,913,714	3,651,534	3,913,714
Telecommunication Services	1,249,313	1,504,473	1,356,883	1,504,473	1,386,730	1,504,473
General Repairs	1,209,506	1,134,817	1,207,511	1,134,817	1,234,076	1,134,817
Motor Vehicle Expenses	964,538	1,025,019	1,005,929	1,025,019	1,028,059	1,025,019
Insurance	7,791	6,650	6,736	6,650	6,885	6,650
Fees for Outside Professional Services	2,449,338	2,155,777	2,167,512	2,155,777	2,232,460	2,155,777
Fees for Non-Professional Services	1,175,130	1,194,086	1,181,244	1,194,086	1,207,231	1,194,086
DP Services, Rentals and Maintenance	1,934,816	2,429,911	2,461,497	2,429,911	2,515,650	2,429,911
Postage	263,013	245,977	249,176	245,977	254,655	245,977
Travel	262,853	259,632	263,005	259,632	268,792	259,632
Other Contractual Services	2,242,750	1,854,539	1,846,226	1,854,539	1,886,839	1,854,539
Advertising	346,868	379,922	384,863	379,922	393,330	379,922
Printing & Binding	125,346	118,521	126,533	118,521	129,316	118,521
Disproportionate Share OE	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000	-2,600,000
<u>Other Expenses-Commodities</u>						
Agriculture, Horticulture, Dairy & Food	2,187,642	2,166,319	2,301,939	2,166,319	2,352,581	2,166,319
Books	143,670	120,775	122,344	120,775	125,037	120,775
Clothing and Personal Supplies	252,217	218,922	221,769	218,922	226,647	218,922
Maintenance and Motor Vehicle Supplies	1,704,603	2,043,184	1,468,794	2,043,184	1,501,803	2,043,184
Medical Supplies	1,019,949	1,050,530	995,302	1,050,530	1,017,199	1,050,530
Fuel	694,972	793,860	748,538	793,860	768,750	793,860
Office Supplies	2,374,756	2,087,280	2,201,800	2,087,280	2,250,239	2,087,280
Refunds of Expenditures Not Otherwise Classified	22,053	19,823	20,081	19,823	20,523	19,823
<u>Other Expenses-Sundry</u>						
Sundry - Other Items	140,964	308,951	310,082	308,951	312,022	308,951
TOTAL-Other Expenses Gross	<u>25,869,003</u>	<u>26,881,493</u>	<u>25,952,587</u>	<u>26,881,493</u>	<u>26,620,691</u>	<u>26,881,493</u>
Less Reimbursements	0	-601,987	-620,046	-601,987	-638,646	-601,987
TOTAL-Other Expenses Net	<u>25,869,003</u>	<u>26,279,506</u>	<u>25,332,541</u>	<u>26,279,506</u>	<u>25,982,045</u>	<u>26,279,506</u>
<u>Other Current Expenses</u>						
Housing Supports and Services	5,336,460	6,068,663	6,142,247	7,086,313	6,280,278	7,810,536
Clinical Work Stations	21,718	0	0	0	0	0
Managed Service System	26,319,257	26,724,095	27,322,764	28,124,147	28,070,154	27,658,919
Legal Services	397,000	401,864	407,088	419,646	416,044	414,268
Connecticut Mental Health Center	7,236,103	7,311,103	7,618,169	7,311,103	7,983,841	7,311,103
Capitol Region Mental Health Center	335,766	340,408	344,833	340,408	352,419	340,408

Budget-in-Detail

Professional Services	8,454,938	9,943,898	7,964,943	9,943,898	8,347,259	9,943,898
Regional Action Councils	259,151	0	0	0	0	0
General Assistance Managed Care	63,827,221	70,772,681	71,692,727	71,916,533	73,269,968	74,047,437
Workers' Compensation Claims	7,455,340	8,697,839	7,797,911	9,117,249	7,969,465	9,581,541
Nursing Home Screening	520,078	489,474	495,837	489,474	506,745	489,474
Special Populations	16,141,482	25,319,969	26,310,145	25,623,109	27,369,422	25,648,723
TBI Community Services	4,306,519	5,213,878	5,288,576	5,401,999	5,404,924	5,338,057
Transitional Youth	3,407,688	0	0	0	0	0
Jail Diversion	3,129,047	3,531,645	3,646,588	3,609,015	3,773,987	3,567,832
Behavioral Health Medications	7,906,535	7,889,095	6,553,234	7,889,095	6,867,793	7,889,095
Community Mental Health Strategy Board	0	2,500,000	2,532,500	5,575,178	2,588,215	5,575,178
Medicaid Adult Rehabilitation Option	0	2,555,000	2,588,215	2,250,000	2,645,156	2,250,000
Discharge and Diversion Services	0	0	0	1,707,322	0	1,789,822
TOTAL-Other Current Expenses	155,054,303	177,759,612	176,705,777	186,804,489	181,845,670	189,656,291
<i>Pmts to Other Than Local Govts</i>						
Grants for Substance Abuse Services	19,816,352	21,156,814	22,014,849	22,146,306	22,969,705	21,862,475
Gov's Partnership-Protect CT Workforce	221,445	224,200	227,115	224,200	232,112	224,200
Grants for Mental Health Services	73,598,151	73,803,081	75,582,319	77,068,130	77,732,625	76,080,454
Employment Opportunities	9,588,074	9,758,243	9,923,037	10,190,002	10,141,344	10,059,411
TOTAL-Pmts to Other Than Local Govts	103,224,022	104,942,338	107,747,320	109,628,638	111,075,786	108,226,540

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Personal Services Net	135,793,382	149,802,560	154,633,909	157,131,330	155,025,011	158,443,524	158,703,206	157,201,875
Other Expenses Net	25,869,003	26,279,506	25,332,541	26,673,443	26,279,506	25,982,045	27,334,718	26,279,506
Capital Outlay	1,000	1,000	2,538,010	2,194,046	1,000	1,906,763	1,628,978	1,000
Other Current Expenses	155,054,303	177,759,612	176,705,777	186,785,143	186,804,489	181,845,670	196,879,466	189,656,291
Payments to Other Than Local Governments	103,224,022	104,942,338	107,747,320	110,102,989	109,628,638	111,075,786	115,096,457	108,226,540
TOTAL-General Fund Net	419,941,710	458,785,016	466,957,557	482,886,951	477,738,644	479,253,788	499,642,825	481,365,212

Additional Funds Available

Special Funds, Non-Appropriated	655,212	655,000	566,000	566,000	566,000	0	0	0
Bond Funds	4,396,704	2,146,814	1,405,000	1,405,000	1,405,000	1,405,000	1,405,000	1,405,000
Federal Contributions	39,520,592	50,582,951	37,778,667	37,778,667	37,778,667	29,715,763	29,048,621	29,048,621
Private Contributions	14,897,630	13,620,798	13,342,332	13,342,332	13,342,332	13,275,380	13,266,630	13,266,630
TOTAL-All Funds Net	479,411,848	525,790,579	520,049,556	535,978,950	530,830,643	523,649,931	543,363,076	525,085,463

Note: FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures.

PSYCHIATRIC SECURITY REVIEW BOARD

AGENCY DESCRIPTION

[HTTP://WWW.DMHAS.STATE.CT.US/PSRB/](http://www.dhhas.state.ct.us/psrb/)

The Psychiatric Security Review Board (PSRB) has jurisdiction over all persons acquitted of a crime by reason of mental disease or defect who are committed by Superior Court. The six-member, autonomous Board consists of a psychiatrist,

psychologist, parole/probation expert, a citizen, a victim services advocate and an attorney appointed by the Governor in accord with the General Assembly.

RECOMMENDED SIGNIFICANT CHANGES

Reductions to Current Services

	<u>2005-2006</u>	<u>2006-2007</u>
• Remove Inflation	-1,050	-2,549
• Transfer Equipment to CEPF	-6,600	-4,000
• Reduce Compensation Increases for Exempt, Appointed & Unclassified Employees <i>Limit increases to 3% in FY06 and 2% in FY07</i>	-2,569	-6,037
• Reduce Compensation Increases for Managers & Confidentials in FY07 <i>Provide 2% general wage increase and delay PARS by 6 months</i>	0	-2,037

AGENCY PROGRAMS

Personnel Summary

	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	<u>Filled</u>	<u>Vacant</u>	<u>Change</u>	<u>Total</u>	<u>Requested</u>	<u>Recommended</u>	<u>Requested</u>	<u>Recommended</u>
Permanent Full-Time Positions								
General Fund	4	0	0	4	4	4	4	4

Agency Programs by Total Funds (Net of Reimbursements)

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>	<u>Requested</u>	<u>Services</u>	<u>Recommended</u>
Psychiatric Security Review Board	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230
TOTAL Agency Programs - All Funds Gross	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230
Less Turnover								
TOTAL Agency Programs - All Funds Net	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230
<i>Summary of Funding</i>								
General Fund Net	263,640	336,615	356,881	356,880	346,661	367,854	367,853	353,230
Bond Funds	7,491	0	0	0	0	0	0	0
TOTAL Agency Programs - All Funds Net	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230

PSYCHIATRIC SECURITY REVIEW

Statutory Reference

C. G. S. Sections 17a-580 through 17a-603, Section 53a-169, PA 98-111

Statement of Need and Program Objectives

The PSRB carries out statutorily mandated functions to protect public safety by the oversight of insanity acquittees. The agency, through an administrative hearing process, determines the level of supervision, treatment and placement of an acquittee that is required to protect the public.

Program Description

The Psychiatric Security Review Board reviews cases and holds periodic hearings which may result in any of the

following orders: maximum security confinement, confinement in a hospital, temporary leave from the hospital or conditional release to the community. The Board may recommend to the court either discharge from the Board or continued confinement to the Board beyond the original commitment term. The Board monitors the acquittees in the community and those in institutions through mandated reporting requirements. In addition, the Board registers sex offenders per Connecticut law and registers all acquittees with the Department of Public Safety for enforcement of the gun control laws.

Program Measure

	2003-2004	2004-2005	2005-2006	2006-2007
	<u>Actual</u>	<u>Estimated</u>	<u>Projected</u>	<u>Projected</u>
Psychiatric Security Review Board hearings	198	225	250	250
Cases under Psychiatric Security Review Board jurisdiction	176	200	210	210
Psychiatric Security Review Board hearings decisions rendered	183	250	275	275
Acquittees being monitored in the community	30	40	45	45
Acquittees who had new criminal charges brought against them while confined	1	0	0	0
Acquittees in community who had new criminal charges brought them while on conditional release	0	0	0	0

Budget-in-Detail

Personnel Summary

Permanent Full-Time Positions General Fund	As of 06/30/2004		2004-2005	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Filled	Vacant	Change	Total	Requested	Recommended	Requested	Recommended
	4	0	0	4	4	4	4	4

Financial Summary

(Net of Reimbursements)	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services	227,055	286,093	298,709	298,708	296,139	310,783	310,782	302,708
Other Expenses	36,585	50,522	51,572	51,572	50,522	53,071	53,071	50,522
Capital Outlay								
Equipment	0	0	6,600	6,600	0	4,000	4,000	0
TOTAL-General Fund	263,640	336,615	356,881	356,880	346,661	367,854	367,853	353,230
Additional Funds Available								
Bond Funds	7,491	0	0	0	0	0	0	0
TOTAL - All Funds	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230

Psychiatric Security Review Board

AGENCY FINANCIAL SUMMARY - GENERAL FUND

Current Expenses by Minor Object

	2003-2004	2004-2005	2005-2006	2005-2006	2006-2007	2006-2007
	Actual	Estimated	Requested	Recommended	Requested	Recommended
Personal Services						
Permanent Fulltime Positions	218,072	261,203	271,819	269,249	283,893	275,818
Other	8,983	24,890	24,890	24,890	24,890	24,890
Overtime	0	0	2,000	2,000	2,000	2,000
TOTAL-Personal Services Gross	227,055	286,093	298,709	296,139	310,783	302,708
Less Reimbursements						
Less Turnover						
Less Unsettled Collective Brg Contract Costs						
Less Early Retirement Plan Accruals						
TOTAL-Personal Services Net	227,055	286,093	298,709	296,139	310,783	302,708

Other Expenses-Contractual Services

Fees for Outside Professional Services	21,581	28,499	29,261	28,499	30,270	28,499
Travel	3,280	11,099	11,243	11,099	11,490	11,099
Other Contractual Services	6,600	7,600	7,699	7,600	7,868	7,600
Printing & Binding	450	1,000	1,013	1,000	1,035	1,000

Other Expenses-Commodities

Agriculture, Horticulture, Dairy & Food	447	700	711	700	727	700
Books	165	224	227	224	232	224
Office Supplies	4,062	1,400	1,418	1,400	1,449	1,400
TOTAL-Other Expenses Gross	36,585	50,522	51,572	50,522	53,071	50,522
Less Reimbursements						
TOTAL-Other Expenses Net	36,585	50,522	51,572	50,522	53,071	50,522

Character & Major Object Summary

	2003-2004	2004-2005	2005-2006	Current	2005-2006	2006-2007	Current	2006-2007
	Actual	Estimated	Requested	Services	Recommended	Requested	Services	Recommended
Personal Services Net	227,055	286,093	298,709	298,708	296,139	310,783	310,782	302,708
Other Expenses Net	36,585	50,522	51,572	51,572	50,522	53,071	53,071	50,522
Capital Outlay	0	0	6,600	6,600	0	4,000	4,000	0
TOTAL-General Fund Net	263,640	336,615	356,881	356,880	346,661	367,854	367,853	353,230
Additional Funds Available								
Bond Funds	7,491	0	0	0	0	0	0	0
TOTAL-All Funds Net	271,131	336,615	356,881	356,880	346,661	367,854	367,853	353,230

FY2004 Actual Expenditure data to be published in the Comptroller's Annual Report was not available when the budget went to print; data in this column may not agree with final figures