



## STATE OF CONNECTICUT OFFICE OF STATE ETHICS

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### **Former Department of Transportation Employee Pays the Office of State Ethics \$1,500 Penalty for ‘Revolving Door’ Violation**

*Hartford* – Former Department of Transportation (DOT), employee, Dennis King, a Waterbury resident, entered a stipulation and consent order in which he agreed to pay a \$1,500 penalty to the Office of State Ethics.

Within one year of his departure from state service, King was compensated by a private livery company located in Danbury, CT (the “Danbury Company”), to appear at a DOT hearing, on the Company’s behalf. The purpose of the DOT hearing at which King appeared on behalf of the Danbury Company was to determine whether the Danbury Company was in violation of the requirement to have its vehicle inspected and whether the Danbury Company was operating a vehicle that was not approved for the service authorized.

Under Section 1-84b (b) of the Code, no former state employee shall, for one year after leaving state service, represent anyone, other than the state, for compensation before the department, agency, board, commission, council or office in which he served at the time of his termination of service, concerning any matter in which the state has a substantial interest.

In this case, the state had, and has, a substantial interest in the enforcement of state statutes and regulations that govern livery service.

In his defense, King stated that he did not intentionally violate the Code of Ethics and he did not realize any financial gain from the violation. King fully cooperated with the Enforcement Division’s investigation and has no prior history of ethics violations.

“Even after a state employee leaves his state position, it is important for the individual to remember that the Code of Ethics has lifetime and one-year bans that may prevent certain activities.” said Executive Director Carol Carson. “It is critical to seek advice if you are a former state employee and considering employment that could bring you in contact with your former agency.”

View: [Stipulation and Consent Order 2015-15](#)

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*The Connecticut Office of State Ethics mission is to ensure honesty, integrity and accountability in state government through education, interpretation and enforcement of the State of Connecticut Code of Ethics. To contact us please visit our website at [www.ct.gov/ethics](http://www.ct.gov/ethics) or call us at (860) 263-2400.*