



STATE OF CONNECTICUT

STATE ETHICS COMMISSION

DOCKET NUMBER 98-9) STATE ETHICS COMMISSION
IN THE MATTER OF A) 20 TRINITY STREET
COMPLAINT AGAINST) HARTFORD, CT 06106-1660
ANA-MARIA GARCIA) NOVEMBER 6, 1998

STIPULATION AND ORDER

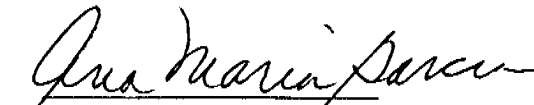
Pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent Ana-Maria Garcia agree to settle this matter in the manner described below:

1. The Commission finds that the Respondent violated the Code of Ethics for Public Officials as alleged in paragraphs one through fifteen of the attached Complaint dated June 23, 1998. However, the Commission finds that the violations relating to the acceptance of her position with the Hartford School System were unintentional.
2. Based on the weight of the evidence provided, the Commission finds that the Respondent either did not owe or had a good faith belief that she did not owe more than \$10,000 to any one creditor during calendar years 1996 and 1997. Therefore, the Commission dismisses the allegations contained in paragraphs sixteen through twenty-two of the attached Complaint.
3. The Respondent acknowledges that she violated the Code of Ethics, as alleged, but states that any violation was unintentional. She further states that her acceptance of the position with the Hartford school system was done in conjunction with and at the request of the State Board of Trustees who counseled her that the arrangement was permissible, under the law.

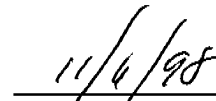
4. The Respondent waives any rights she may have under Conn. Gen. Stat. §§1-82, 1-82a, 1-87 and 1-80, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and Ana-Maria Garcia agrees to, the following orders in lieu of any other action it is authorized to take with regard to this Complaint:

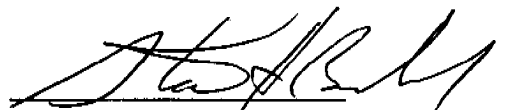
The State Ethics Commission declines to fine the Respondent for the violations relating to her acceptance of the position with the Hartford School System in recognition of the Respondent's subsequent resignation as the Chief Administrative Officer.



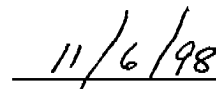
Ana-Maria Garcia



Dated



Stanley Burdick
Chairperson, State Ethics Commission



Dated