

STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 97-2

STATE ETHICS COMMISSION

IN THE MATTER OF A

20 TRINITY STREET

COMPLAINT AGAINST

HARTFORD, CT 06106

JAMES P. SANDLER

MARCH 7, 1997

STIPULATION AND ORDER

Pursuant to Conn. Gen. Stat. §4-177(c), the State Ethics Commission and the Respondent, James P. Sandler, agree to settle this matter in the manner described below:

1. The Commission finds that the Respondent violated the Code of Ethics for Lobbyists in the manner alleged in the attached Complaint, dated February 26, 1997. The Commission further finds that the Respondent's actions as described in said Complaint demonstrate a reckless disregard for the Code of Ethics for Lobbyists.

2. The Respondent denies the allegations contained in the Complaint, but does not contest the findings and has agreed to settle the Complaint as provided herein in the interest of, among other things, avoiding the costs of contesting same.

3. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-93, 1-93a, 1-98 and 1-80, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

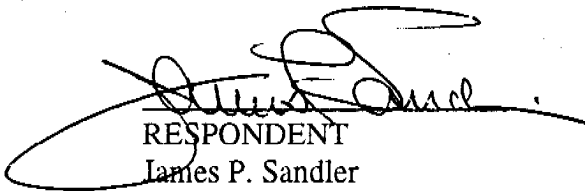
4. The Respondent further agrees to cooperate fully with the Ethics Commission in connection with any other matter arising from the events described in the Complaint.

WHEREFORE, the State Ethics Commission enters, and James P. Sandler agrees to, the following orders in lieu of any other action it is authorized to take with regard to this Complaint:

1. The Respondent is ordered to pay a civil penalty of \$100,000, payable in twenty monthly installments of \$5000.00. The first installment is due within thirty days of the date of this Stipulation and Order.

2. The Commission further orders, and the Respondent agrees to allow the Ethics Commission staff to review any and all records relevant to lobbying and/or to activities in furtherance of lobbying, for a period of three years from the dated of the acceptance of this Stipulation and Order by the Commission. Such review may take place without prior notice, at the Respondent's office.

3. The Commission further orders, and the Respondent agrees, to comply with the state Codes of Ethics.


RESPONDENT
James P. Sandler

March 7, 1997
DATED


STATE ETHICS COMMISSION
BY ITS CHAIRPERSON
Maurice FitzMaurice

3/7/97
DATED

JPSst