



DOCKET NUMBER 2003-12 ) OFFICE OF STATE ETHICS  
 )  
IN THE MATTER OF A ) 18-20 TRINITY STREET  
 )  
COMPLAINT AGAINST ) HARTFORD, CT 06106  
 )  
ROBERT V. MATTHEWS ) NOVEMBER 16, 2008

### STIPULATION AND ORDER

Pursuant to the Code of Ethics, General Statutes sections 1-79, et seq., the State Ethics Commission ("SEC") issued a Complaint against the respondent Robert V. Matthews ("Matthews" or "Respondent") for violations of the Code of Ethics, Connecticut General Statutes sections 1-79 *et seq.* and 1-91 *et seq.* Based on the investigation by the SEC and by the Enforcement Division of the Office of State Ethics ("OSE"), the Ethics Enforcement Officer believes there is probable cause to believe that the Respondent violated the Code of Ethics as pleaded in the Amended Complaint.

### I. FINDINGS

The Office of State Ethics finds that the Respondent violated the Code of Ethics for Public Officials, General Statutes sections 1-79 *et seq.* and the Code of Ethics for Lobbyists, General Statutes sections 1-91 *et seq.*, as alleged in the Complaint.

### II. RESPONDENT'S POSITION

1. Respondent states that he has been friends with former Governor Rowland for approximately twenty five years and did not know that there were any legal impediments to any of his actions.

2. Respondent states that he is no longer a resident and citizen of the State of Connecticut.

### III. JURISDICTION

1. The Ethics Enforcement Officer is authorized to investigate the conduct alleged in the Complaint, to issue a Complaint against the Respondent, and to enter into this Consent Order on behalf of the Office of State Ethics.

2. The provisions of this Consent Order apply to and are binding upon the Respondent.

3. The Respondent hereby waives all objection and defenses to the jurisdiction of the Ethics Enforcement Officer and the Office of State Ethics over matters addressed in this Consent Order.

4. The Respondent waives any rights she may have under General Statutes sections §§ 1-80, 1-82, 1-82a, 1-87 and 1-88, including the right to a hearing or appeal in this case, and agrees with the Ethics Enforcement Officer to an informal disposition of this matter.

5. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut seeks to enforce this Consent Order. The Respondent recognizes that the Connecticut Superior Court has the authority to specifically enforce the provisions of this Consent Order, including the authority to impose sanctions, issue contempt citations, and award equitable relief.

### IV. REPRESENTATION

The Respondent understands that he has the right to counsel and has been represented by counsel of his choice.

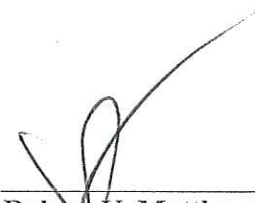
V. ORDER

NOW THEREFORE, pursuant to General Statutes section 4-177(c), the Office of State Ethics hereby **ORDERS** that:

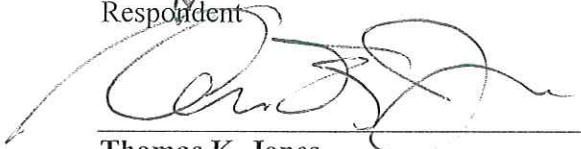
1. The Respondent shall pay a civil penalty to the State in the amount of two thousand dollars (\$2,000.00); and,
2. The Respondent shall henceforth comply with the Codes of Ethics for Public Officials and Lobbyists.

WHEREFORE, the Office of State Ethics and the Respondent hereby execute this Consent Order dated November 16, 2008.

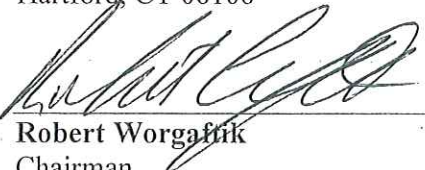
Dated: 11/16/08

  
\_\_\_\_\_  
**Robert V. Matthews**  
Respondent

Dated: 11/27/08

  
\_\_\_\_\_  
**Thomas K. Jones**  
Ethics Enforcement Officer,  
Office of State Ethics  
18-20 Trinity Street  
Hartford, CT 06106

Dated: 12/18/08

  
\_\_\_\_\_  
**Robert Worgafruk**  
Chairman,  
Citizen's Ethics Advisory Board  
Office of State Ethics  
18-20 Trinity Street  
Hartford, CT 06106