

93-5



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

August 2, 1994

P R E S S R E L E A S E

On December 20, 1993, State Ethics Commission Staff Attorney Rachel S. Rubin filed a complaint (Docket No. 93-5) against Mr. William Sawicki. Mr. Sawicki was a Sanitarian in the Department of Health Services' Environmental Health Section. A copy of the complaint is attached.

On July 29, 1994, the State Ethics Commission and the Respondent settled this matter by entering into a Stipulation and Order, a copy of which is attached. As part of the agreement, the Respondent must pay a civil penalty of \$1,000.00.

FOR FURTHER INFORMATION CALL:

Rachel S. Rubin, Esq.
State Ethics Commission
566-4472

000411



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

DOCKET NUMBER 93-5) STATE ETHICS COMMISSION
IN THE MATTER OF A) 97 ELM STREET (REAR)
COMPLAINT AGAINST) HARTFORD, CT 06106
WILLIAM SAWICKI) JUNE 28, 1994

STIPULATION AND ORDER

1. The Commission finds that the Respondent violated Conn. Gen. Stat. §§1-84(b) and 1-84(c), as alleged in the attached Complaint dated December 20, 1993.

2. For purposes of this Stipulation, the Respondent does not contest the allegations but denies any intentional violation of the Code of Ethics. The Respondent admits, however, that he exercised poor judgment regarding his relationship with Asbestos Removal and Containment, Inc.

3. This Finding is made solely on the basis of the Commission's staff investigation into this matter, and is not admissible in, nor shall it be deemed to prejudice, any subsequent civil or criminal proceeding against the Respondent or any other person.

4. The Respondent waives any rights he may have under Conn. Gen. Stat. §§1-80, 1-82, 1-82a, and 1-87, including the right to a hearing or appeal in this case, and agrees with the Commission to an informal disposition of this matter as authorized by Conn. Gen. Stat. §4-177(c).

5. The Commission waives its right under §1-88(c) to report its findings to the Chief State's Attorney.

NOW THEREFORE, pursuant to Connecticut General Statutes §4-177(c), the State Ethics Commission and the Respondent William Sawicki agree to settle this matter in the manner described below:

1. The Ethics Commission orders and the Respondent agrees to pay to the Commission a civil penalty of \$1,000.00 within thirty days; and (2) the Respondent agrees to henceforth comply with the Code of Ethics for Public Officials, Chapter 10, Part I, Connecticut General Statutes.

Handwritten signature of William Sawicki over the printed name 'Respondent'.

Handwritten date 'July 1, 1994' over the printed label 'Date'.

Handwritten signature of Chairperson over the printed name 'Chairperson, Ethics Commission' and phone number '(203) 566-4472'.

Handwritten date '7/29/94' over the printed label 'Date'.



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 93-5) STATE ETHICS COMMISSION
IN THE MATTER OF A) 97 ELM STREET (REAR)
COMPLAINT AGAINST) HARTFORD, CT 06106
WILLIAM SAWICKI) December 20, 1993

COMPLAINT

1. At all times relevant to the complaint herein, William Sawicki (hereinafter "the respondent") was a state employee subject to the requirements of the Code of Ethics for Public Officials, Chapter 10, Part I, General Statutes.
2. The Respondent was a Sanitarian in the Department of Health Services' Environmental Health Section and had regulatory authority regarding the removal of asbestos.
3. Asbestos Removal and Containment, Inc. (hereinafter "ARC"), owned by James Butler, conducted asbestos removal projects in the State of Connecticut.
4. The Respondent had a financial arrangement with ARC.
5. The Respondent worked on various asbestos removal projects for ARC.
6. In response to inquiries from members of the public who called the Department of Health Services (hereinafter referred to as "DHS") for information regarding asbestos removal companies, the Respondent would recommend ARC.
7. On certain asbestos removal projects, ten days prior to the project starting, the asbestos removal company must send in a notification on a DHS form with the proper fees. DHS would date stamp the notification form when received.
8. In order to bypass the notification system described in paragraph seven above, the Respondent supplied ARC with blank notification forms which were already date stamped and/or approved of applications for emergency removals for ARC.

9. The Respondent's actions described in paragraphs four and five above, constituted acceptance of outside employment which impaired his independence of judgment as to his official duties in violation of Conn. Gen. Stat. §1-84(b).

10. As a result of the financial arrangement described in paragraph four above, the actions described in paragraph six above, were a use of office for financial gain in violation of Conn. Gen. Stat. §1-84(c).

11. As a result of the financial arrangement described in paragraph four above, the actions described in paragraph eight above were a use of office for financial gain in violation of Conn. Gen. Stat. §1-84(c).

Dec. 20, 1993
Date

Rachel S. Rubin
Rachel S. Rubin
Staff Attorney

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