



STATE OF CONNECTICUT
STATE ETHICS COMMISSION

84-9

press release

November 14, 1989

P R E S S R E L E A S E

On July 17, 1989 Ethics Commission Staff Attorney Lisa Doyle Moran filed a complaint (Docket No. 89-9) against the Connecticut Soft Drink Association. The complaint alleged that the organization failed: 1) to report its fundamental terms of contract with Sullivan & LeShane Public Relations, Inc. for the production and placement of radio advertisements regarding the proposed tax on soft drinks and 2) to report approximately \$45,000 in expenditures for the advertisements.

On November 13, 1989 the Ethics Commission and the Respondent settled this matter by entering into a Stipulation and Order (copies of the Complaint and Stipulation are attached). Under the Stipulation, the Respondent admitted violating the Code of Ethics for Lobbyists and agreed to pay a civil penalty of \$1,500.

FOR FURTHER INFORMATION CALL:

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State Ethics Commission
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STATE OF CONNECTICUT

STATE ETHICS COMMISSION

C O N F I D E N T I A L

DOCKET NUMBER 89-9) STATE ETHICS COMMISSION
IN THE MATTER OF A) 97 ELM STREET (REAR)
COMPLAINT AGAINST) HARTFORD, CT. 06106
CONNECTICUT SOFT DRINK ASSOC.) NOVEMBER 6, 1989

STIPULATION AND ORDER

1. The Commission finds that the Respondent, the Connecticut Soft Drink Association, violated Conn. Gen. Stat. §1-96 in: 1) failing to report its fundamental terms of contract with Sullivan & LeShane Public Relations, Inc. for the production and placement of radio advertisements regarding the proposed tax on soft drinks and 2) failing to report its expenditures for the advertisements.

2. The Respondent, through its lobbyist Sullivan & LeShane, Inc., notified the Commission that it had entered into a contract for media relations, crisis management, strategic planning and municipal relations, the precise terms of which were not spelled out, with Sullivan & LeShane Public Relations, Inc. on April 24, 1989.

After several discussions between the Respondent and the Commission, the Respondent desires a swift resolution of this matter and admits violating Conn. Gen. Stat. §1-96, as described in paragraph one.

3. The Respondent waives any rights it may have under Conn. Gen. Stat. §§1-93, 1-93a and 1-80 and agrees with the State Ethics Commission to an informal disposition of this matter as permitted by Conn. Gen. Stat. §4-177(c).

WHEREFORE, the State Ethics Commission enters, and the Connecticut Soft Drink Association agrees to, these orders: In lieu of any other action it is authorized to take with respect to this matter, the Commission orders the respondent to (1) pay a civil penalty of \$1,500 within thirty days; and (2) henceforth, comply with the reporting requirements of the Code of Ethics for Lobbyists.

[Signature]
Connecticut Soft Drink Assoc.
c/o Pepsi-Bottlers
201 Terryville Road
Bristol, Ct. 06010

11/9/89
Dated

[Signature]
Chairperson
State Ethics Commission

11/13/89
Dated

COMPLAINT

THE STATE ETHICS COMMISSION ISSUES A COMPLAINT ALLEGING A VIOLATION

_____ THE CODE OF ETHICS FOR PUBLIC OFFICIALS AND STATE EMPLOYEES, CHAPTER 10, PART I, GENERAL STATUTES

XX _____ THE CODE OF ETHICS FOR LOBBYISTS, CHAPTER 10, PART II, GENERAL STATUTES

TIME AND DATE MATTERS COMPLAINED OF OCCURRED:

January - June 1989

PLACE VIOLATION OCCURRED:

Hartford, Connecticut

PERSONS INVOLVED:

Connecticut Soft Drink Association.

WITNESSES:

List to be provided prior to any hearing on this matter

CIRCUMSTANCES WHICH INDICATE THAT THE CODE OF ETHICS DESIGNATED ABOVE WAS VIOLATED ARE AS FOLLOWS (A SHORT, PLAIN STATEMENT ALLEGING VIOLATION OF CHAPTER 10, GENERAL STATUTES):

(see attached)

(OVER)

418000

Lisa Doyle Moran
SIGNATURE

July 17, 1989
DATE

COMPLAINANT'S NAME AND ADDRESS: STATE ETHICS COMMISSION
97 ELM STREET (REAR)
HARTFORD, CT 06106

COMPLAINANT'S TELEPHONE NUMBER: 566-4472

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It is hereby alleged that:

1. The Connecticut Soft Drink Association (hereinafter the Respondent) is a registrant as that term is defined in Conn. Gen. Stat. §1-91(q).
2. Between January and June 1989, Respondent made expenditures, as that term is defined in Conn. Gen. Stat. §1-91(f), for solicitations and/or paid communications regarding the proposed tax on soft drinks.
3. Respondent failed to report these expenditures on its lobbyist financial reports.
4. Respondent's action described in paragraph three violated Conn. Gen. Stat. §1-96.
5. Under Conn. Gen. Stat. §1-96(b), registrants must report the fundamental terms of contracts to make expenditures in furtherance of lobbying on the monthly or quarterly report next following the entering into of such contract.
6. Respondent failed to report the fundamental terms of the contracts made regarding the expenditures described in paragraph two.
7. Respondent's action described in paragraph six violated Conn. Gen. Stat. §1-96.