



Form TPM-1

Certification of Compliance and Affidavit by Nonparticipating Manufacturer

Cigarettes Sold to Consumers Within Connecticut During Calendar Year 2013

(Rev. 03/14)

Complete Form TPM-1 in blue or black ink only. Read the additional instructions on the back of this form.

An authorized agent of the nonparticipating manufacturer must complete and sign Part I before a notary public. The nonparticipating manufacturer is also responsible for having an authorized agent of

the financial institution complete and sign Part II before a notary public and for filing Form TPM-1 with the Office of the Attorney General on or before **April 30, 2014**.

Attach **Form TPM-3, Brand Families Unit Sales Schedule**, to this form.

Part I – To be completed by a nonparticipating manufacturer and signed before a notary public.

Name of nonparticipating manufacturer			Telephone number ()
Address	Number and street	PO Box	Facsimile (Fax) number ()
City, town, or post office		State or province	Country
Email address			

1. Financial institution holding the qualified escrow fund for Connecticut	2. Account and sub-account numbers of the escrow fund for Connecticut
--	---

3a. Enter the quantity (sticks) of cigarettes sold to consumers within Connecticut. Enter amount from <i>Schedule A</i> , Line 1.	3a.	
3b. Enter the quantity (sticks) of roll-your-own cigarette tobacco sold to consumers within Connecticut. Enter amount from <i>Schedule B</i> , Line 1	3b.	
3. Add Line 3a and Line 3b.	3.	
4. Amount required to be placed into the qualified escrow fund for Connecticut sales: Multiply Line 3 by \$0.0299790.	4.	
5. Amount placed into the qualified escrow fund for Connecticut (attributable to Connecticut sales reported on Line 3)	5.	
6. Account balance of the qualified escrow fund for Connecticut, including amount reported on Line 5.	6.	

Declaration: I declare under the penalty of false statement that I have examined Part I of this form and, to the best of my knowledge and belief, it is true, complete, and correct. The penalty for false statement is imprisonment not to exceed one year, a fine not to exceed \$2,000, or both. I authorize the financial institution named above to verify the balance in the bank account identified above, and any deposits thereto and withdrawals therefrom, for the State of Connecticut, Office of the Attorney General, upon request by that office.

Signature of authorized agent of nonparticipating manufacturer	Printed or typed name of authorized agent	Title of authorized agent
--	---	---------------------------

Subscribed and sworn to before me this _____ day of _____, 20 _____

Signature of notary public	My commission expires: _____
----------------------------	------------------------------

Part II – To be completed by financial institution holding qualified escrow fund and signed before a notary public.

Name of financial institution holding the qualified escrow fund			Telephone number ()
Address	Number and street	PO Box	Fax number ()
City, town, or post office		State or province	ZIP code
Email address			

Declaration: I declare under penalty of false statement that the nonparticipating manufacturer named above has placed money into the bank account identified above and that I have examined Part II of this form and, to the best of my knowledge and belief, it is true, complete, and correct. The penalty for false statement is imprisonment not to exceed one year, a fine not to exceed \$2,000, or both. In accordance with the authorization given by the nonparticipating manufacturer mentioned above, I agree to verify the balance in the account identified above, and any deposits thereto and withdrawals therefrom, for the State of Connecticut, Office of the Attorney General, upon request by that office.

Signature of authorized agent of financial institution	Printed or typed name of authorized agent	Title of authorized agent
--	---	---------------------------

Subscribed and sworn to before me this _____ day of _____, 20 _____

Signature of notary public	My commission expires: _____
----------------------------	------------------------------

Schedules A and B – To be completed by a nonparticipating manufacturer

Schedule A - Cigarettes (sticks) sold to consumers within Connecticut

Enter brand, purchaser's name, and the number of cigarettes (sticks) sold to consumers within Connecticut, whether directly or through a distributor, dealer, or similar intermediary or intermediaries, during calendar year 2013. Also report the purchaser's "stamper" status to the right of Column B. Attach additional sheet(s) as necessary to provide a complete response.

Column A Brand	Column B Name of Purchaser of Cigarettes and Stamper Status	Stamper	Column C Number of Cigarettes (sticks)
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
		Yes <input type="checkbox"/> No <input type="checkbox"/>	
1. Total from Column C: enter here and on Part I, Line 3a.			1.

Schedule B - Roll-your-own cigarette tobacco (converted to sticks) sold to consumers within Connecticut

Enter brand, purchaser's name, and the quantity of roll-your-own cigarette tobacco (in sticks) sold to consumers within Connecticut, whether directly or through a distributor, dealer, or similar intermediary or intermediaries, during calendar year 2013. Each nine one-hundredths of an ounce (0.09) of roll-your-own cigarette tobacco constitutes one stick. Attach additional sheet(s) as necessary to provide a complete response.

Column A Brand	Column B Name of Purchaser of Roll-your-own Cigarette tobacco	Column C Ounces Converted to Sticks
1. Total from Column C: enter here and on Part I, Line 3b.		1.

Form TPM-1 - Instructions

Who Must File

Each nonparticipating manufacturer selling cigarettes to consumers within Connecticut, whether directly or through a distributor, dealer, or similar intermediary or intermediaries, must establish a qualified escrow fund for the benefit of the State of Connecticut and other settling states, place the required amount of money into a qualified escrow fund, and file **Form TPM-1, Certification of Compliance and Affidavit by Nonparticipating Manufacturer**. For more information on completing this form, see **Announcement 2014(4), Annual Revision of Forms TPM-1, TPM-2, and TPM-3**.

When and Where to File

On or before **April 15, 2014**, the nonparticipating manufacturer must place the required amount of money into a qualified escrow fund.

On or before **April 30, 2014**, the nonparticipating manufacturer must send Form TPM-1, **Form TPM-3, Brand Families Unit Sales Schedule**, and a copy of the escrow agreement signed by the nonparticipating manufacturer and the financial institution to the following address:

Office of the Attorney General
Finance Department
PO Box 120
Hartford CT 06141-0120

For further information contact:

Office of the Attorney General
State of Connecticut
860-808-5270