Department of Revenue Services Public Services Unit 450 Columbus Blvd Ste 1 Hartford CT 06103-1837 (Rev. 02/17)

Form AU-967 Request for Certificate of Compliance

Purpose: An unverified nonresident subcontractor must submit Form AU-967 to request a Certificate of Compliance when **all** work on the project is complete including change orders and punch list items. A Certificate of Compliance from the Department of Revenue Services (DRS) authorizes full or partial release of held back monies from the contractor who withheld the 5% from the unverified subcontractor.

Name Connecticut Tax Registration No. Address (Street or PO Box, City, State, and ZIP Code) Address (Street or PO Box, City, State, and ZIP Code) Type of Organization:	Part I: Nonresident Unverified Subcontractor Information			
Type of Organization: Image: Initial liability company (LLC) Image: Corporation Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship <td>Name</td> <td colspan="3">Connecticut Tax Registration No.</td>	Name	Connecticut Tax Registration No.		
Type of Organization: Image: Initial liability company (LLC) Image: Corporation Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship Image: Sole proprietorship Image: Initial liability company (LLC) Image: Sole proprietorship <td></td> <td></td> <td></td>				
Limited liability company (LLC) Corporation Sole proprietorship Single member LLC (SMLLC) S Corporation Other (explain): Part II: Contractor Holding Back Payment from Unverified Nonresident Subcontractor	Address (Street or PO Box, City, State, and ZIP Code)			
Limited liability company (LLC) Corporation Sole proprietorship Single member LLC (SMLLC) S Corporation Other (explain): Part II: Contractor Holding Back Payment from Unverified Nonresident Subcontractor				
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Single member LLC (SMLLC) S Corporation Other (explain): Part II: Contractor Holding Back Payment from Unverified Nonresident Subcontractor		Corporation	Sole proprietorship	
		-	☐ Other (explain):	
	Part II: Contractor Holding Back Payment from Unverified Nonresident Subcontractor			
	Name	Connecticut Tax Registration No.		
Address (Street or PO Box, City, State, and ZIP Code)	Address (Street or PO Box, City, State, and ZIP Code)			
Port III. Project Information				
Part III: Project Information Physical Location of Project (Street, City or Town) Name of Project		Name of Project		
Commencement Date for Subcontractor Completion Date for Subcontractor	Commencement Date for Subcontractor	Completion Date for Subcontractor		
Subcontractor's Contract Amount with Contractor in Part II Withheld Amount	Subcontractor's Contract Amount with Contractor in Part II	Withheld Amount		
Conditions				
Subcontractor's work on this project is complete.				
Subcontractor is registered for all applicable taxes with DRS.				
• Subcontractor has filed all tax returns related to this project including sales and use tax and Connecticut withholding tax.				
Subcontractor Declaration: I, an authorized agent of the nonresident unverified subcontractor, declare under the penalty of law that				
I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand the penalty				
for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more than five				
years, or both. Print Name Title		Title		
	Finitivanie	The		
Authorized Signature Date	Authorized Signature	Date		
Prime or Constructor Declaration I on outborized egent of the prime or general contractor, declare under the penalty of				
Prime or General Contractor Declaration: I, an authorized agent of the prime or general contractor, declare under the penalty of law that I have examined Form AU-967 and, to the best of my knowledge and belief, it is true, complete, and correct. I understand				
the penalty for willfully delivering a false document or return to DRS is a fine of not more than \$5,000, or imprisonment for not more				
than five years, or both. Print Name Title	-	Title		
Authorized Signature Date	Authorized Signature	Date		