

Connecticut Department of Public Health Electronic Laboratory Reporting Local Implementation Guide HL7 Version 2.5.1: ORU^R01 (CT ELR Local Guide)

Connecticut Electronic Laboratory Reporting

Contents

Εl	ectronic Laboratory Reporting in Connecticut	4
So	cope of This Document	4
R	esources	5
	Health Level Seven (HL7) Standard	5
	HL7 2.5.1 Implementation Guide for ELR	5
	Guidance for Object Identifiers (OIDs)	5
	Logical Observation Identifiers Names and Codes (LOINC)	6
	Systematized Nomenclature of Medicine Clinical Terms (SNOMED CT)	6
	PHIN – Vocabulary Access and Distribution System (VADS)	7
	'Required' by law versus 'required' in the ELR message	7
U	se of the CT ELR Guide	8
St	ructuring the ELR HL7 2.5.1 Message for CT ELR	9
	HL7 Terminology and Data Usage	9
	Table 1. HL7 Terminology	
	The CT ELR HL7 2.5.1 Message	
	Table 2. Segments required in the CT ELR HL7 2.5.1. Message	
	Table 3. Usage for CT ELR	
	Differences in Usage and Additional Constraints for the CT ELR HL7 2.5.1 message	
	Table 4. Differences between the CT ELR Guide and the National ELR Guide.	
	MSH – Message Header Segment	
	SFT – Software Segment	. 21
	PID – Patient Identification Segment	. 22
	NK1 – Next of Kin Segment	. 25
	ORC – Common Order Segment	. 27
	OBR – Observation Request Segment	. 30
	OBX – Observation/Result Segment	. 34
	NTE – Notes and Comments Segment	. 39
	SPM - Specimen Segment	40

Sending and Receiving the HL7 2.5.1 Message for CT ELR	42
Electronic Message Transport	42
PHINMS Overview How PHINMS Works	42
Batch Messaging	43
FHS – File Header Segment	43
BHS – Batch Header Segment	44
BTS – Batch Trailer Segment	45
FTS – File Trailer Segment	45
Receipt Acknowledgment of the ORU Message	45
Appendix A – Value Sets	46
User-Defined Table 0001 Administrative Sex	46
User-Defined Table 0005 Race	46
HL7 Table 0008 Acknowledgment Code	46
User-Defined Table 0189 Ethnic Group	46
User-Defined Table Connecticut Town Names	47
User Defined Table 0078 (HL70078)	48
User Defined Table 0363 (HL70363) Assigning Authority	48

Revision History	Issue Date	Summary of Changes		
1.0	Nov. 30, 2015	Initial release by CT ELR team.		
1.1 July 18, 2019		Revised links for updated webpages		

Electronic Laboratory Reporting in Connecticut

Thank you for your interest in Health Level Seven (HL7) electronic data exchange with the Connecticut Electronic Laboratory Reporting (CT ELR) project. Receiving timely and accurate information is critical for Public Health disease surveillance and improving population health.

In Connecticut, licensed laboratories are required to report to the Department of Public Health (CTDPH) and local health jurisdictions, all test results indicative of and specific for the diseases, infections, microorganisms, and conditions as required by Connecticut General Statutes Chapter 368e Section 19a-215¹ and Sections 19a-2a and 19a-36-A2 of the Public Health Code². Under Section 19a-215 "A clinical laboratory that reports an average of more than thirty findings per month shall make such reports electronically in a format approved by the commissioner." In addition, certain laboratory significant findings can only be submitted electronically. Laboratory reporting requirements are published annually in the *Connecticut Epidemiologist* newsletter and posted on the CTDPH website³.

Scope of This Document

This local implementation guide, the CT ELR Guide, is designed for use by analysts and developers who must understand and implement elements of the HL7 version 2.5.1 Unsolicited Observation Message for submission to CT ELR. Construction and submission of other HL7 message types are beyond the scope of this document. For example, this document does not cover querying of patient demographics or laboratory results.

Standardized HL7 messaging is the preferred format for ELR in Connecticut, and to meet current federal Meaningful Use requirements, HL7 version 2.5.1 is the only acceptable message format for ELR. This guide does not address Meaningful Use attestation. However, messages constructed using this guide and transmitted to CT ELR will be appropriate for meeting Meaningful Use. For more information on Meaningful Use attestation in Connecticut, please visit the Meaningful Use page of the CTDPH website⁴.

Within this guide, footnotes or <u>underlines</u> are used to indicate links to external URLs; links within this document are also underlined. Items of special importance are indicated in **bold type**.

CTDPH strongly encourages the submission of ELR messages in HL7 2.5.1 format, especially for hospital laboratories. If your laboratory needs to discuss using HL7 2.3.1 or other format for ELR, please contact the CTDPH ELR team at DPH.ELR@CT.GOV.

¹ http://www.cga.ct.gov/2011/pub/chap368a.htm

² http://www.ct.gov/dph/cwp/view.asp?a=3118&q=397982

³ http://www.ct.gov/dph/lib/dph/infectious_diseases/pdf_forms_/reportablediseases.pdf

⁴ http://www.ct.gov/dph/cwp/view.asp?a=3936&q=462960&dphNav=|&dphNav GID=1993

Resources

The following resources will be valuable as you create your ELR messages.

Health Level Seven (HL7) Standard

The ANSI HL7 standards are widely used for data exchange in the health care industry. The full *HL7 Messaging Standard Version 2.5.1* is quite lengthy, covering a variety of situations in patient care and health care finance. No single application is likely to use all of its content.

This CT ELR Guide covers the subset of HL7 2.5.1 that will be used for generation of messages suitable for ELR of reportable conditions in Connecticut. It is expected that laboratories and their information system vendors educate themselves on the HL7 2.5.1 standards as needed for ELR. For information on HL7 and complete descriptions of message construction, please visit www.hl7.org.

HL7 2.5.1 Implementation Guide for ELR

The full *HL7 Version 2.5.1 Implementation Guide: Electronic Laboratory Reporting to Public Health, Release 1 (US Realm)* HL7 Informative Document published in February 2010 can be obtained from CTDPH. In this document, it will be referred to as the National ELR Guide. This document, the CT ELR Guide, is based on the National ELR Guide but includes only the information relevant to CT ELR requirements. In addition, several clarification and errata documents have been published⁵,⁶,⁷. To request copies of the National ELR Guide and clarification documents, or for answers to other questions, please email DPH.ELR@CT.GOV. This CT ELR Guide will be updated as appropriate when new releases of the National ELR Guide are made.

Guidance for Object Identifiers (OIDs)

An OID is a globally unique International Organization for Standardization (ISO) identifier. OIDs represented in HL7 models consists only of numbers and dots (e.g., 2.16.840.1.113883.3.1) and are created by a Registration Authority. OIDs are the preferred scheme for unique identifiers in HL7 and should be used unless a different scheme is specified or allowed in this guide (e.g., use of a CLIA number instead of an OID in MSH-4).

CT DPH is a registration authority for public health reporting measures for HL7 version 2.5.1 messaging and has obtained from HL7 International a unique OID root that it is using to create the

⁵ HL7 v2.5.1 IG: Electronic Laboratory Reporting to Public Health (US Realm) Release 1 Errata and Clarifications 9/29/2011.

⁶ HL7 v2.5.1 IG: Electronic Laboratory Reporting To Public Health (US Realm) Release 1 ELR 2.5.1 Clarification Document for EHR Technology Certification V1.2, March 22, 2013.

⁷ Additional Errata and Clarifications, 5/20/2013.

OID tree structure for public health electronic data exchange with external partners. If an organization wishes to receive an OID under the CTDPH root, CTDPH will assign all the appropriate OIDs required to support the public health reporting measure requested if appropriate. There is no cost.

Laboratories and facilities participating in ELR with CTDPH can request to be assigned an organizational OID for their laboratory, if they do not already have one. At this time, CT ELR will allow the use of CLIA IDs in the ELR HL7 2.5.1 message as allowed in the message specification. In addition, CTDPH has OIDs that **must** be used in particular message segments (e.g., MSH-5, MSH-6, etc.) and may be different for testing vs. production messaging.

CTDPH has established a strict control mechanism for OID assignment. For further information or to requesting an OID under the CTDPH root, please contact Diane Fraiter through the DPH.ELR@ct.gov email with "OID Request" in the subject line.

Logical Observation Identifiers Names and Codes (LOINC)

LOINC is a universal standard for identifying medical laboratory observations. The Meaningful Use certification and standards criteria⁸ refer to the National ELR Guide that strongly recommends the use of LOINC codes for OBR-4 (ordered test) and lists LOINC as the coding system for OBX-3 (observation identifier), unless no LOINC code is available. CT ELR is further constraining these fields to **require** the use of LOINC codes.

CT ELR prefers the use of LOINC codes that include at least the following LOINC elements: Component-Scale-System-Method-Property. Where LOINC is required in the message, the field should be constructed as follows: LOINC^LongName Text^LN (i.e., 45335-7^Bacteria Identification [Presence] in Isolate by Culture^LN). If a methodless LOINC is used, the method must be included in OBX.17.

CTDPH will review all LOINCs used for messaging with the sending laboratory during the testing and on-boarding process. We suggest that laboratories start transitioning away from local lab codes to LOINCs before working with CTDPH. The Regenstrief Institute has developed several on-line utilities to facilitate mapping laboratory tests and results to the appropriate LOINC code; the easiest to use is http://search.loinc.org. Registration for use is free. The complete LOINC database can be downloaded at http://loinc.org.

Systematized Nomenclature of Medicine Clinical Terms (SNOMED CT)

SNOMED CT is a structured collection of coded medical terms, including diseases and organisms that are isolated from laboratory specimens. The Meaningful Use certification and standards

⁸ http://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/downloads/Stage2 HospitalCore 14 SubLabResults.pdf

criteria (see footnote 8) refer to the National ELR Guide that states "SNOMED CT shall be used when code exists; otherwise it's a local code" (Table 5-13)⁹.

CT ELR strongly prefers the use of SNOMED CT codes where indicated, however, ELR messages will be accepted without SNOMED CT codes. However, eligible hospitals who wish to attest for Meaningful Use Stage 2 for ELR when CTDPH declares readiness, **must** follow the National ELR Guide as described above. For the purposes of this CT ELR guide, when use of a SNOMED CT code is specified, the field should be constructed as follows: SNOMED^Text^SCT (78181009^Giardia lamblia (organism)^SCT).

There are several SNOMED CT browsers available. You can request a free license to access the SNOMED CT browser available through the U.S. National Library of Medicine UMLS Terminology Services at https://uts.nlm.nih.gov/home.html. HL7 vocabulary, SNOMED CT, and National Drug file domains can also be found on the National Cancer Institute Enterprise Vocabulary Services: https://evs.nci.nih.gov/.

PHIN - Vocabulary Access and Distribution System (VADS)

The main purpose of the Centers for Disease Control and Prevention (CDC) PHIN VADS is to distribute the value sets associated with HL7 message implementation guides. PHIN VADS is a web-based enterprise vocabulary system for accessing, searching, and distributing vocabularies used in public health and clinical care practice. Users can access and view vocabularies in the context of public health with file download options for Value Sets, Value Set Concepts, Views and Groups available in a tab-delimited text format and also in Microsoft Excel format. All value sets associated with HL7 2.5.1 ELR messaging can be downloaded from the PHIN VADS site https://phinvads.cdc.gov/vads/SearchVocab.action. Relevant value sets are included (and hyperlinked) in the segment attribute tables that follow (e.g., *Value set: HL70005*).

When using PHIN VADS, it is recommended that laboratories review the National ELR Guide clarification and errata documents as referenced in footnotes 5-7 above.

'Required' by law versus 'required' in the ELR message

'Required' is a term used in both the public health and information technology domains. In the public health domain, 'required' refers to the legal obligation of providing certain information such as a legally reportable laboratory result. It may also further refer to the actual data elements that must be included with a reportable laboratory result. The Connecticut Public Health Code Section 19a-36-A4¹⁰ outlines what information shall be included in a laboratory report of significant findings, specifically: the name, address, age sex, and, if known, race/ethnicity of the

⁹ HL7 v2.5.1 IG: Electronic Laboratory Reporting to Public Health (US Realm) Release 1; p. 158.

¹⁰ The Connecticut Public Health Code can be browsed at: http://www.ct.gov/dph/cwp/view.asp?a=3118&q=397982

person affected, the name and address of the attending physician, the identity of the infectious agent or other reportable laboratory findings, and the method of identification.

In the information technology domain, 'required' typically means that a component must be present or the next step in the process cannot be performed. Absence of a required element stops the entire process. For example, an information system may require that a patient's name be present before the record can be saved in the system. Without the name, this necessary step cannot be made and all subsequent processing is halted until the name is provided.

Differences in data element usage and other constraints for the CT ELR HL7 2.5.1 message are described in the section "<u>Differences in Usage and Additional Constraints for the CT ELR HL7 2.5.1 message</u>" below.

Use of the CT ELR Guide

As mentioned above, this document was developed as the Connecticut specific companion to the *HL7 International Version 2.5.1 Implementation Guide: Electronic Laboratory Reporting to Public Health, Release1 (US Realm)* Informative Document. This local guide represents the minimum expectation for message construction and submission to CT ELR.

The following sections will cover the ORU^R01 public health laboratory reporting message including details for message segments and examples, and, sending and receipt of the ORU^R01 ELR message. There is one appendix, A, Value Sets, that lists a subset of some of the value set tables used in the HL7 2.5.1 ELR message.

The CT ELR Guide will be updated as appropriate and as changes are adopted for the ELR national guide. For more information on CT ELR, please contact DPH.ELR@CT.GOV or visit the CTDPH website at https://portal.ct.gov/DPH/Epidemiology-and-Emerging-Infections/Electronic-Laboratory-Reporting.

Structuring the ELR HL7 2.5.1 Message for CT ELR

HL7 Terminology and Data Usage

This guide will use the HL7 Terminology as described in Table 1. Please note that there has been a recent change in delimiters with the addition of the # (truncation character). Submitting laboratories are advised to add this 5^{th} delimiter.

Table 1. HL7 Terminology

Term	Definition					
Message	The basic unit of information transferred between systems. For CT ELR, multiple messages are typically batched and sent in a single transmission. A message is comprised of a series of segments in a defined sequence.					
Segment	A segment is a logical grouping of data fields. Segments within a defined message may be required or optional, and may occur only once or may be allowed to repeat. Each segment is named and identified by a segment ID; a unique 3-character code (e.g., OBX). This guide only includes segments needed to construct an ORU-R01 message type.					
Field A field is a string of characters delimited by field separators (). Each field has element name and is identified by the segment it is in and its sequence within segment. Usage and cardinality requirements are defined in the Segment Def field is referenced by the 3-character segment code, followed by the field pos OBX-5).						
Component	A component is an element within a composite field and is delimited within the field by component separators (^). Within a field having several components, not all components may be required. Leading empty components must be represented by a delimiter (^); trailing empty components may be eliminated from the field. A component is referenced by the 3-character segment code, followed by the field position, and the component position within that field (e.g., OBX-5.2 denotes the second component of the fifth field of the OBX segment).					
Data Type	A data type restricts the contents and format of the data field. Data types are given a 2-or 3- letter code specified by HL7. Some data types are composite types and include several components. The applicable HL7 data type is listed in each field definition. For additional information on data types, please see the National ELR Guide or the HL7 Messaging Standard version 2.5.1.					
Delimiters	Delimiter values in MSH-1 () and MSH-2 (^&~\#) are used throughout the message. Field Separator (ASCII 124) ^ Component Separator (ASCII 094) & Sub-Component Separator (ASCII 038) ~ Repetition Separator (ASCII 126) \ Escape Character (ASCII 091) # Truncation Character (ASCII 035)					

The CT ELR HL7 2.5.1 Message

The CT ELR HL7 2.5.1 message is based on the National ELR HL7 2.5.1 ORU^RO1 message, i.e., the unsolicited observation result message. Table 1 shows the general construction of the ORU^RO1 message for CT ELR. Note that not all segments from the National ELR message need to be included for ELR messaging purposes in CT. Laboratories may include or populate other segments if they wish, but these will not be processed or included in the CT ELR message testing system. Please note that laboratories are responsible for demonstrating they can include and populate segments required under Meaningful Use specifications, even if those segments are not currently used by CTDPH.

For CT ELR, segments displayed without braces are required (e.g., MSH). Segments enclosed in curly braces are required and may repeat (e.g., {SFT}). Segments enclosed in both square and curly braces are optional, but if included these segments may repeat (e.g., [{NTE}]).

All CT ELR messages must have at least a one instance of the MSH, SFT, and PID segments; zero or more NK1 segments; one ORC segment; one OBR segment with at least one OBX (that may or may not include a NTE segment) and one SPM segment per grouping.

Table 2. Segments required in the CT ELR HL7 2.5.1. Message

Segment	Name	Description
MSH	Message Header	Includes information on message delimiters, sender, receiver, message type, and time stamp of the message.
{SFT}	Software Segment	A minimum of one SFT segment is required by the original sending facility. CT ELR ignores multiple SFT segments.
{	Patient Result Begin	Only 1 (one) patient result group can be received per message.
PID	Patient Identification	Demographic data on the subject of the test (i.e., the patient).
[{NK1}]	Next of Kin/Associated Party	Used to document next of kin or associated party (employer, guardian, etc.). Required when reporting blood lead results for children.
{	Order Observation Begin	The order group is required and can repeat. Multiple ordered tests may be performed on a single specimen.
[ORC]	Order Common	Information about the order including who placed it and when it was placed, etc. This segment is only required for the first order observation group.
OBR	Observation Request	Information about the test being performed; linked to subsequent results.

Segment	Name	Description
{ OBX	Observation related to OBR	Information regarding a single result.
[{NTE}] }	Notes regarding the OBX	CT ELR only expects NTEs associated with OBX segments. The contents of the NTE segment are primarily intended for human use and should not be used to relay relevant clinical or laboratory information.
SPM }	Specimen information related to the OBR	Characteristics of a single sample such as specimen number, specimen type, collection date, collection site, collection location, and who collected the specimen.

The pages that follow describe how to construct each segment for the CT ELR message starting with a description of the purpose of the segment, followed by an example of that segment, and concluding with an attribute table that defines how each data element should be composed.

Segment attribute tables are derived from the National ELR Guide attribute tables and contain the following columns:

- **Sequence** (Seq), the number of the sequence for that message segment.
- Type is the overall data type for that data element. Details on data types used in this
 guide can be found in Chapter 2 of the National ELR Guide, or in the HL7 messaging
 standard version 2.5.1 document. CTDPH has one change in data type conformance for
 the EI data type in that CTDPH will allow use of either an OID or CLIA for Universal ID and
 Universal ID Type.
- Usage is the CT ELR usage for that sequence. Differences in use from the National ELR Guide are indicated in **bold** and also noted in the **Guidance** column. Table 3 includes a summary on usage in this guide. Note that CT ELR is pre-adopting the HL7 version 2.7 usage for conditional elements. This is defined in Table 3. In addition, CTDPH, working with NIST, has added a usage element of "I" for "Indifferent". This indicates data elements that CT ELR currently does not support or process. Laboratories are reminded that even though CTDPH does not process a particular data element, labs may have to be able to demonstrate support for certain elements.
- Name is the HL7 standard name for that sequence.
- Guidance contains required literal values or values required by CTDPH (bold), vocabulary standards (italics), value sets (hyperlinked italics), and is where examples are defined.
 Certain value sets specific for CT ELR are listed in Appendix A. Data sequences that are currently not supported by CT ELR are indicated by N/A in shaded cells under Guidance.

• **Cardinality.** This guide does not include cardinality for all sequences and data elements except where a change in data type or usage specific for CTDPH requirements causes a change in cardinality. Otherwise, cardinality follows the National ELR Guide.

Examples for each segment are provided <u>only</u> to show a sample construction for each segment (delimited by pipes | |), sequence components, and when needed, sequence subcomponents (both delimited by carets ^), including blank pipes or carets for sequences or components that are not currently supported or required by CT ELR but that need to be accommodated in the message construction. Please refer to the National ELR Guide for complete information for each segment. Standard data element information for a segment may also be found in the *HL7 Messaging Standard Version 2.5.1 An Application Protocol for Electronic Data Exchange in Healthcare Environments*. ¹¹

Information on submission of the HL7 2.5.1 message to CT ELR, including transport options, batch message requirements, headers, trailers, and errors, are described in the section "Sending and Receiving the HL7 2.5.1 Message for CT ELR".

¹¹ www.hl7.org

Usage

To better assist laboratories and their vendors in preparing HL7 2.5.1 ELR messages, we are providing the following table (Table 3) that defines usage. For easier reading, we are using "Submitting Laboratory" to mean the conforming sending application and "CTDPH" to mean the conforming receiving application.

Table 3. Usage for CT ELR

Usage Code	Interpretation	Comment for Submitting Laboratory	CTDPH Comment
R	Required	The Submitting Laboratory SHALL populate all "R" elements with a nonempty value. CTDPH expects these to be populated.	CTDPH SHALL process or ignore the information conveyed by required elements. CTDPH must NOT raise an error due to the presence of a required element, but MAY raise an error due to the absence of a required element. CTDPH will contact submitting laboratories by email or other methods to let them know if required elements are missing.
RE	Required but may be empty	The element may be missing from the message, but it MUST be sent by the Submitting Laboratory IF there is relevant data. A Submitting Laboratory should be capable of providing all "RE" elements. If the Submitting Laboratory knows the required values for the element, then it MUST send that element. If the Submitting Laboratory does not know the required values, then that element will be omitted.	CTDPH will be expected to process data contained in the element, but MUST be able to successfully process the message if the element is omitted (no error message should be generated because the element is missing).
х	Not Supported	e indicated with an "X". In a piperted elements retain their field component or repeat delimiters (~, tion is if the "X" elements are at the ements designated as usage R, C, may be deleted from the message.	

Usage Code	Interpretation	Comment for Submitting Laboratory	CTDPH Comment			
22.00		CTDPH is pre-adopting the notation for conditional statements from v2.7.1, Section 2.B.7.9, to ensure greater clarity about the usage when the condition is met and when the condition is not met:				
C(a/b) C(R/X) C(RE/X) C(R/RE) C(R/O)	Conditional	"An element with a conditional usage concentrated that determines the operation the element. If the condition predicate associated with rules for which (the first sub-element) and If the condition predicate associated with rules for (the second sub-element) by which is replacing "C" and "CE" with with the following interpretations: 1) C(R/X) is interpreted as follows. If the element is true then the usage for the element is X – Not Supported. 2) C(RE/X) is interpreted as follows. If the with the element is true then the usage but may be empty. If the condition predicate associated with the with the element is true then the usage but may be empty. If the condition predicate associated with the element is true then the usage the condition predicate associated with for the element is RE – Required but may be the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is true then the usage the condition predicate associated with for the element is 0 – Optional.	ode has an associated condition hal requirements (usage code) of the the element is true , follow the shall be one of "R", "RE", "O" or X". In the element is false , follow the ich shall be one of "R", "RE", "O" or d by the message profile." C(R/X), C(RE/X), C(R/RE) or C(R/O) condition predicate associated with the element is R - Required . If the element is false then the usage for the element is RE - Required dicate associated with the element is X - Not Supported . The element is R - Required . If the element is False then the usage for the element is R - Required . If the element is False then the usage the empty. It is condition predicate associated for the element is False then the usage the empty. It is condition predicate associated for the element is False then the usage the empty. It is condition predicate associated for the element is False then the usage the empty. It is a condition predicate associated for the element is False then the usage the empty. It is a condition predicate associated for the element is False then the usage the empty. It is a condition predicate associated for the element is False then the usage the empty. It is a condition predicate associated for the element is False then the usage the empty.			
0	Optional	This element may be present if specified in the local profile. Local partners may develop profiles that support or forbid use of this element. In the absence of a local profile, Submitting Laboratories will not send the element (i.e., it is assumed to be not-supported).	CTDPH will ignore the element if it is sent, unless the local profile specifies otherwise. CTDPH may not raise an error if it receives an unexpected optional element.			
I	Indifferent	CTDPH is using "I" (indifferent) to indicate those elements that CTDPH currently does not support, i.e., does not currently process. It is important to note that even if CTDPH does not process these data elements, some of these are required by the National ELR guide. Sending systems should make every effort to support these	CTDPH will not process the element, but will not raise an error if the element is present.			

Usage Interpretation Code		Comment for Submitting Laboratory	CTDPH Comment
		nationally required elements, as they also form the standard for meaningful use ELR reporting. The CTDPH modified NIST tool will issue an alert (not an error) when these "I" elements are not present in a message. CTDPH will ignore the data for these elements if present.	

Differences in Usage and Additional Constraints for the CT ELR HL7 2.5.1 message

There are several differences in usage, data type, constraints and requirements between the National ELR HL7 2.5.1 message and the CT ELR HL7 2.5.1 message that are described in Table 4. Again, laboratories should be able to support required data elements based on the National ELR Guide even if CT ELR does not currently process these data elements.

CTDPH has some additional conformance changes that differ from the National ELR Guide. These changes will impact laboratories when they try testing their HL7 2.5.1 messages using the National Institute of Standards and Testing (NIST) ELR message validation testing tool. CTDPH is working with NIST to provide a version of the context free validation tool that is specific for CT available on the NIST website¹². There is only one data type that has been changed to meet a CT conformance – the EI datatype. This change reflects that CTDPH will accept either an OID **OR** a CLIA ID for the following data elements: PID-3.4, ORC-2.3, ORC-3.3, ORC-4.3, OBR-2.3, OBR-3.3, and, SPM-2.3. For ORC-2.4, ORC-3.4, ORC.4.4, OBR-2.4, OBR-3.4, SPM-2.4, Universal ID Type should be valued "ISO" or "CLIA" depending on which Universal ID (OID or CLIA) was used.

15

¹² http://hl7v2-cf-validator.nist.gov/cf-validator/#/home

Table 4. Differences between the CT ELR Guide and the National ELR Guide.			
Segment-Sequence	National ELR Usage	CT ELR Usage	Comments/Constraints
Message Header (MS	SH) Segmen	t	
MSH-5 Receiving Application	R	R	CTDPH requires using the following literal values. Testing/Staging: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.2^ISO Production: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO These OIDs are specific for ELR HL7 2.5.1 Release 1.
MSH-6 Receiving Facility	R	R	CTDPH requires using the following literal value which is the same for testing and production: CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO This OID is specific for ELR HL7 2.5.1 Release 1.
MSH-15 Accept Acknowledgment Type	C(R/RE)	I	CTDPH is <u>not processing this data element</u> at this time. CTDPH will inform the sending facility by email of any errors found in the message.
MSH-16 Accept Application Type	C(R/RE)	I	CTDPH is <u>not processing this data element</u> at this time. CTDPH will inform the sending facility by email of any errors found in the message.
MSH-21 Message Profile Identifier	R	R	MSH-21 (Message Profile Identifier) is specific for the version of HL7 2.5.1 implementation. CT is using ELR HL7 2.5.1 Release 1 and CTDPH recommends populating this data element with: PHLabReport-NoAck^^2.16.840.1.113883.3.5609.9.2.1^ISO
Patient Identification	n (PID) Segm	nent	
PID-3 Patient Identifier List	R	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).
PID-11 Patient Address	RE	R	CTDPH requires the following address information as a minimum: street or mailing address, city, state, zip code (at least first five digits). Note: use of the 169 CT town names is preferred, but not required.
PID-35 Species Code	RE	ı	CTDPH is <u>not processing this data element</u> at this time.

Table 4. Differences between the CT ELR Guide and the National ELR Guide.				
Segment-Sequence	National ELR Usage	CT ELR Usage	Comments/Constraints	
Next of Kin (NK 1) Se	gment			
NK1-4 Address	RE	RE	If information available, please follow requirements for PID-11 above. Next of kin may be required for certain diseases reports, e.g., blood lead findings in children.	
Common Order (ORG	C) Segment			
ORC-2 Placer Order Number	C(R/RE)	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).	
ORC-3 Filler Order Number	R	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).	
ORC-4 Placer Group Number	RE	ı	CTDPH is not processing this data element at this time, but laboratories should be able to populate this. If element is populated, please follow the CT-ELR-003 and 004 constraints as described above.	
ORC-12 Ordering Provider	C(R/X)	R	Populated with the same values as OBR-16. CTDPH requires at least the first and last names of the ordering provider in OBR-16.	
ORC-14 Order Callback Phone Number	C(R/X)	R	Populated with the same values as OBR-17. CTDPH requires a contact number for the ordering provider in OBR-17.	
ORC-24 Ordering Provider Address	RE	R	CTDPH requires that an address for the ordering provider be sent. The address must follow the minimum elements as for PID-11.	
Observation Request (OBR) Segment				
OBR-2 Placer Order Number	R	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).	

Table 4. Differences between the CT ELR Guide and the National ELR Guide.			
Segment-Sequence	National ELR Usage	CT ELR Usage	Comments/Constraints
OBR-3 Filler Order Number	R	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).
OBR-16 Ordering Provider	RE	R	CTDPH requires at least the first and last names of the ordering provider be provided. ORC-12 is populated with the same values.
OBR-17 Order Callback Phone Number	RE	R	CTDPH requires that a contact number for the ordering provider be sent in OBR-17. ORC-14 is populated with the same values.
OBR-32 Principal Result Interpreter	RE	ı	CTDPH is <u>not processing this data element</u> at this time.
Observation/Result	(OBX) Segm	ent	
OBX-2 Value Type	C(R/X)	R	CTDPH requires that OBX-2 Value Type be populated. The OBX-5 (Observation Value), -6 (Units) and -7 (References Ranges) must be consistent with the OBX-2 Value Type.
Specimen (SPM) Seg	ment		
SPM-2 Specimen ID	R	R	CTDPH will allow either an OID or a CLIA ID for the Universal ID and the appropriate designation for Universal ID Type (CLIA or ISO). These are CT specific ELR conformances CT-ELR-003 (Universal ID) and CT-ELR-004 (Universal ID Type).
SPM-6 Specimen Additives	RE	I	CTDPH is <u>not processing this data element</u> at this time. Laboratories should be able to demonstrate they can populate this data element.
SPM-12 Specimen Collection Amount	RE	ı	CTDPH is <u>not processing this data element</u> at this time. Laboratories should be able to demonstrate they can populate this data element.
SPM-21 Specimen Reject Reason	RE	ı	CTDPH is not processing this data element at this time. Laboratories should be able to demonstrate they can populate this data element.

MSH - Message Header Segment

The MSH segment contains information about how to parse and process the message. *Example:*

DPH^2.16.840.1.113883.3.5609.4.1^ISO|20151004154300-

0400||ORU^R01^ORU_R01|2015100415431901507|P|2.5.1||||USA||||PHLabReport-

NoAck^^2.16.840.1.113883.3.5609.9.2.1^ISO

	MSH – Message Header Segment						
Seq	Туре	Usage	Name	Guidance			
1	ST	R	Field Separator	Literal value:			
2	ST	R	Encoding Characters	Literal value: ^~\&#			
3	HD	R	Sending Application	Name and OID for the sending application. Example: HealthSentry^2.16.840.1.113883.3.13.2.2.1^ISO			
4	HD	R	Sending Facility	Name and CLIA ID for the sending facility. <i>Example:</i> The Hospital of Central Connecticut at New Britain^07D0092913^CLIA Note: Either an OID or CLIA ID is acceptable.			
5	HD	R	Receiving Application	Testing/Staging: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.2^ISO Production: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO			
6	HD	R	Receiving Facility	CTDPH requires using the following literal value which is the same for testing and production: CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO			
7	TS	R	Date/Time of Message	Example: 20151004154300-0400 Note: GMT offset required.			
8		0	Security	CTDPH is not processing this data element at this time.			
9	MSG	R	Message Type	Literal value: ORU^R01^ORU_R01			
10	ST	R	Message Control ID	Unique message identifier generated by the sending application; MSH-3 plus MSH-10 must be globally unique. Example: 2015100415431901507			
11	PT	R	Processing ID	Denotes whether the message is for testing (T), debugging (D), or production (P); data element currently ignored by CT ELR. Example: P			
12	VID	R	Version ID	Literal value: 2.5.1			

	MSH – Message Header Segment						
Seq	Туре	Usage	Name	Guidance			
13-14	vary	0	Sequence Number/Continuation Pointer	CTDPH is not processing this data element at this time.			
15	ID	I	Accept Acknowledgment Type	CTDPH is not processing this data element at this time. CTDPH will inform the sending facility by email of any errors found in the message.			
16	ID	I	Application Acknowledgment	CTDPH is not processing this data element at this time. CTDPH will inform the sending facility by email of any errors found in the message.			
17	ID	0	Country Code	Value Set: <u>PHVS Country ISO 3166-1</u> Example: USA			
18	ID	0	Character Set	CTDPH is not processing this data element at this time.			
19	CWE	0	Principal Language of Message	CTDPH is not processing this data element at this time.			
20	ID	0	Alternate Character Set Handling Scheme	CTDPH is not processing this data element at this time.			
21	EI	R	Message Profile Identifier	DPHLabReport- NoAck^^2.16.840.1.113883.3.5609.9.2.1^ISO			

SFT – Software Segment

The SFT segment provides information about the sending application or other applications that manipulate the message. The Laboratory Result Sender is required to populate the first SFT segment. Any other application that transforms the message must add an SFT segment for that application. CT ELR does not evaluate multiple SFT segments.

Example:

SFT|Cerner

	SFT – Software Segment						
Seq	Туре	Usage	Name	Guidance			
1	XON	R	Software Vendor Organization	Example: Cerner Corporation^D^^^HealthSentry&2.16.840.1.113883.3.13 .2.2.1&ISO^XX^^^2168401113883313221			
2	ST	R	Software Version or Release Number	Example: 20101001			
3	ST	R	Software Product Name	Example: HealthSentry			
4	ST	R	Software Binary ID	Example: 0100100001010011			
5	TX	0	Software Product Information	Example: HealthSentry			
6	TS	RE	Software Install Date	Example: 201010010800			

PID – Patient Identification Segment

The PID segment is used to provide basic demographics regarding the subject of the testing. This segment contains permanent patient identifying and demographic information that, for the most part, is not likely to change frequently.

Example:

PID|1||999QQ1234z^^^The Hospital of Central Connecticut at New Britain&07D0092913&CLIA^MR^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO~15493225^^^The Hospital of Central Connecticut at New Britain&07D0092913&CLIA^PI^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO||Patient^Test^A^]r^^^L|
MaidenLast^MomFirst^MomMI^^^^M |19380510040000|M||2028-9^Asian^CDCREC^^^^2.5.1|
426 Somewhere St^^NEW BRITAIN^CT^06052^USA^C||^PRN^PH^^^860^9999999|
^WPN^PH^^1^860^44444444^B ||||||||U^Unknown^HL70189^^^2.5.1

PID – Patient Identification Segment						
Seq	Туре	Usage	Name	Guidance		
1	SI	R	Set ID – PID	Literal value: 1		
2	СХ	Х	Patient ID	Deprecated. See PID-3.		
3	CX	R	Patient Identifier List	This field contains the list of identifiers (one or more) used by the healthcare facility to <u>uniquely</u> identify a patient. Patient identifiers may include: medical record number, billing number, etc. Up to 4 identifiers separated with ~ may be sent. The example shows 2 patient identifiers. Please note: in Connecticut use of a social security number in the PID as the only identifier is not allowed. Example: 999QQQ1234z^^^The Hospital of Central Connecticut at New Britain&07D0092913&CLIA^MR^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO~15493225^^^The Hospital of Central Connecticut at New Britain&07D0092913&CLIA^PI^The Hospital of Central Connecticut at New Britain&07D0092913&CLIA^PI^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO		
4	СХ	х	Alternate Patient ID	Deprecated. See PID-3.		
5	XPN	R	Patient Name	This field contains the names of the patient, the primary or legal name of the patient is reported first. The name type code should reflect name type, e.g., "L - Legal". Value sets: <u>HL70200 Name Type</u> , <u>HL70360</u> <u>Degree, License, Certificate</u> Example: for patient Test A. Patient, Jr. Patient^Test^A^Jr^^^L		

	PID – Patient Identification Segment						
Seq	Туре	Usage	Name	Guidance			
6	XPN	RE	Mother's Maiden Name	Value sets: <u>HL70200</u> , <u>HL70360</u> Example: MaidenLast^MomFirst^MomMI^^^M			
7	TS	R	Date/Time of Birth	This field is required by CTDPH with minimum format YYYYMMDD. If birth time unknown, use 0000 (HHSS) Example: 19380510040000			
Note:	PID-7 is a	require	ed field in CT ELR so min	imum cardinality is 1.			
8	IS	RE	Administrative Sex	<u>User-Defined Table 0001</u> (see Appendix A) Female (F), Male (M), Other (O), or Unknown (U) Example: M			
9	XPN	х	Patient Alias.	Deprecated. See PID-5.			
10	CWE	RE	Race	One or more race codes; multiple entries delimited with ~ Value set: <u>User-Defined Table 0005</u> (see Appendix A) <u>HL70396 Coding System</u> Example: 2028-9^Asian^CDCREC^^^2.5.1			
11	XAD	R	Patient Address	CTDPH requires address information for the following components: street address or mailing address, city, state, zip code (at least first 5 digits). Value sets: HL70190 Address Type, PHVS State FIPS 5-2 For the City component for Connecticut cities and towns, CTDPH strongly prefers the use of the 169 town names. See the User Defined Table Connecticut Town Names in Appendix A. Example: 426 Somewhere St^NEW BRITAIN^CT^06052^USA^C			
Note:	Note: PID-11 is a required field in CT ELR so minimum cardinality is 1.						
12	IS	Х	County Code	Deprecated. See PID-11, component 9.			
13	XTN	RE	Phone Number – Home	CTDPH requests at least one phone number for the patient is provided if available. Value sets: HL70201 Telecommunication Use Code, HL70202 Telecommunication Equipment Type Example: ^PRN^PH^^^860^9999999^^H			

	PID – Patient Identification Segment						
Seq	Туре	Usage	Name	Guidance			
14	XTN	RE	Phone Number – Business	Value sets: <u>HL70201</u> , <u>HL70202</u> Example: ^WPN^PH^^1^860^4444444^^B			
15-18	CWE	0	Optional	CTDPH is not processing these data elements at this time (Primary Language, Marital Status, Religion).			
19	ST	Х	SSN Number- Patient	Deprecated.			
20	DLN	Х	Driver's License Number - Patient	Deprecated. See PID-3.			
21	СХ	0	Mother's Identifier	CTDPH is not processing this data element at this time.			
22	CWE	RE	Ethnic Group	Hispanic (H), Not Hispanic (N), or Unknown (U) Value sets: <u>User Defined Table 0189</u> , (see Appendix A) <u>HL70396 Coding System</u>			
				Example: U^Unknown^HL70189^^^2.5.1			
23-27		0	Optional	CTDPH is not processing these data elements at this time (Birth Place, Multiple Birth Indicator, Birth Order, Citizenship).			
28	CWE	Х	Nationality	Deprecated.			
29	TS	RE	Patient Death Date and Time	Example: 201302060827-0400 (not shown above)			
30	ID	RE	Patient Death Indicator	If PID-29 is populated then PID-30 must be Y.			
31	ID	RE	Identity Unknown Indicator	CTDPH is not processing this data element at this time, although Lab Result Senders should be able to populate this element, if information available.			
32	IS	0	Identity Reliability Code	CTDPH is not processing this data element at this time.			
33	TS	RE	Last Update Date/Time	Example: 201302061133-0500 (not shown above)			
34	HD	C(R/O)	Last Update Date Facility	This field is required if PID-33 is populated. Example: New Britain General Campus^2.16.840.1.113883.4.6^ISO			
35	CWE	ı	Species Code	CTDPH is not processing this data element at this time			
36-39	vary	0	Optional	CTDPH is not processing these data elements at this time.			

NK1 – Next of Kin Segment

The NK1 segment used to document information about a party associated with the patient. This is particularly important for blood lead testing of minors, since the NK1 is used to document information about the parent or guardian. CTDPH recommends providing next of kin information, if available, only for blood lead testing at this time.

Example:

 $NK1|1|Patient^Mother^M^^^L|MTH^Mother^HL70063|410\ Capitol\ Avenue^^Hartford^CT^06106^USA^H|^PRN^PH^^1^860^8888888^C$

	NK1 – Next of Kin Segment						
Seq	Туре	Usage	Name	Guidance			
1	SI	R	Set ID – NK1	Sequential number for each repeat of the NK1 segment, must start with 1 for the first sequence, 2 for the second, etc.			
2	XPN	C(R/X)	Name	Name of the patient's next of kin (use NK1-13 if the associated party is an organization) Value sets: <u>HL70200</u> , <u>HL70360</u> Example: Patient^Mother^M^^^L			
3	CWE	RE	Relationship	The associated party's relationship to the patient Value sets: <u>HL70063</u> , <u>HL70396</u> Example: MTH^Mother^HL70063			
4	XAD	RE	Address	Address of the associated party. CTDPH strongly prefers the use of the 169 town names. See <i>User Defined</i> Table Connecticut Town Names in Appendix A. Value sets: <i>HL70190, PHVS State FIPS 5-2</i> Example: 410 Capitol Avenue^^Hartford^CT^06106^USA^H			
5	XTN	RE	Phone Number	Telephone number(s) of associated party Value sets: <u>HL70201</u> , <u>HL70202</u> Example: ^PRN^PH^^1^860^8888888^^C			
6-12		Х	Not Supported	N/A			
13	XON	C(R/X)	Organization Name – NK1	Use when the associated party is an organization Value sets: <u>HL70204</u> , <u>HL70203</u> Example: Family Care Organization^L (not shown above)			
14-19		Х	Not Supported	N/A			

	NK1 – Next of Kin Segment						
Seq	Туре	Usage	Name	Guidance			
20	CWE	0	Primary Language	CTDPH is not processing this data element at this time.			
21-29		Х	Not Supported	N/A			
30	XPN	C(R/X)	Contact Person's Name	Use if NK1-13 is populated Value sets: <u>HL70200</u> , <u>HL70360</u> Example: Patient^Mother^M^^^L (not shown above)			
31	XTN	RE	Contact Person's Telephone Number	Use if NK1-13 is populated Value sets: <u>HL70201</u> , <u>HL70202</u> Example: ^PRN^PH^^1^860^8888888^^B (not shown above)			
32	XAD	RE	Contact Person's Address	Use if NK1-13 is populated Value sets: <u>HL70190</u> , <u>PHVS State FIPS 5-2</u> Example: 410 Capitol Avenue^^Hartford^CT^06106^USA^H (not shown above)			
33-39		Х	Not Supported	N/A			

ORC - Common Order Segment

The ORC segment includes identifiers related to ordering the specimen (i.e., who placed the order, when it was placed, what action to take regarding the order, etc.). This segment is important for documenting additional information about the ordering provider.

Example:

5

ID

0

Order Status

ORC|RE|236532410075810000020152760003282471179^EHR^07D0092913^CLIA|201599887755^EHR^07D0092913^CLIA||||||||Anydoctor^Adam^A^Jr^Dr^^^^L|Outpatient Test

Center|^WPN^PH^^^860^9995661||||||New Britain General Campus^L|

100 Grand Street^^New Britain^CT^06050^^B^^09003|^^PH^^^860^9995011|

62 Seymour Ave^^New Britain^CT^06052^USA^B

	ORC – Common Order Segment					
Seq	Туре	Usage	Name	Guidance		
1	ID	R	Order Control	Literal value: RE		
2	EI_CT	C(R/X)	Placer Order Number	This field is the placer application's order number. Must contain the same value as OBR-2 if populated (i.e., identifier assigned to the placer of the specific order). CTDPH will allow either an OID or a CLIA ID for the Universal ID subcomponent of this sequence. The Universal ID Type will be "ISO" or "CLIA", respectively. See User defined Table 0363 Assigning Authority. Example: 98765432112345678900^EHR^2 2.16.840.1.113883.19.3.2.3^ISO if OID is used Example: 236532410075810000020152760003282471179^EHR^07D0092913^CLIA if CLIA ID is used		
3	EI_CT	R	Filler Order Number	This field is the order number associated with the filling application. Must contain the same value as OBR-3 (the identifier assigned to the test by the organization performing the test). CTDPH will allow either an OID or a CLIA ID for the Universal ID subcomponent of this sequence. The Universal ID Type will be "ISO" or "CLIA", respectively. Example: 201599887755^EHR^07D0092913^CLIA		
1	For ORC-2 and ORC-3, the data type is designated EI_CT to accommodate the use of OID or CLIA in these data elements.					
4	EI_CT	ı	Placer Group Number.	CTDPH will not process this information but laboratories should be able to support and populate		

this data element.

CTDPH is not processing this data element at this time.

	ORC – Common Order Segment					
Seq	Туре	Usage	Name	Guidance		
6	ID	0	Response Flag	CTDPH is not processing this data element at this time.		
7	TQ	Х	Not supported.	N/A		
8-11	varies	0	Optional	CTDPH is not processing these data elements at this time (Parent, Date/Time of Transaction, Entered by, Verified by).		
12	XCN	R	Ordering Provider	CTDPH requires at least last and first names of the ordering provider. This field must contain the same value as OBR-16, the provider that ordered the test. If provided, please separate prefixes and suffixes from names. Value sets: HL70200, HL70203 Identifier Type, HL70360 Example: Minimal information ^Anydoctor^Adam^A^Jr^Dr^^^^L Example: Fully specified 1234567^Anydoctor^Adam^A^Jr^DR^PHD^ADT01^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO^L^4^NPI^MD		
Note:	ORC-12 is	a requi	red field in CT ELR so min	nimum cardinality is 1.		
13	PL	0	Enterer's Location	This information is optional, but CTDPH does capture it to assist in identifying the Ordering Provider's location. Example: Outpatient Test Center		
14	XTN	R	Call Back Phone Number	CTDPH requires a call back number. Must contain the same value as OBR-17 (contact number of ordering provider). Value sets: <u>HL70201</u> , <u>HL70202</u> Example: ^WPN^PH^^^860^9995661		
Note:	ORC-14 is	a requi	red field in CT ELR so min	nimum cardinality is 1.		
15-19	vary	0	Optional	CTDPH is not processing these data elements at this time (Order Effective Date/Time, Order Control Code Reason, Entering Organization, Entering Device, Action By).		
20	CWE	Х	Not Supported	N/A		

	ORC – Common Order Segment						
Seq	Туре	Usage	Name	Guidance			
21	XON	R	Ordering Facility Name	This field contains the name of the facility placing the order. Value sets: HL70204, HL70203 Example: New Britain General Campus^L Note: for this data type (XON) if populate component 10 (assigning authority), then component 6 (assigning authority) and 7 (organization identifier) must be present (and vice versa).			
22	XAD	R	Ordering Facility Address	Value sets: <u>HL70190</u> , <u>PHVS State FIPS 5-2</u> Example: 100 Grand Street^^New Britain^CT^06050^^B^^09003			
23	XTN	R	Ordering Facility Phone Number	Value sets: <u>HL70201</u> , <u>HL70202</u> Example: ^^PH^^^860^2245011			
24	XAD	R	Ordering Provider Address	This field is required by CTDPH. This field contains the address of the care provider requesting the order. CTDPH requires address information for the following components: street address or mailing address, city, state, zip code (at least first 5 digits). Value sets: HL70190, PHVS State FIPS 5-2 Example: 62 Seymour Ave^^New Britain^CT^06052^USA^B			
25	CWE	0	Order Status Modifier	CTDPH is not processing this data element at this time.			
26	CWE	Х	Not Supported	N/A			
27-30	Vary	0	Optional	CTDPH is not processing these data elements at this time (Filler's Expected Availability Date/Time, Confidentiality Code, Order Type, Enterer Authorization Mode).			

OBR - Observation Request Segment

The OBR identifies the type of testing to be performed on the specimen and links that information to the testing order.

Example:

OBR|1|236532410075810000020152760003282471179^EHR^07D0092913^CLIA|201599887755^EHR^0 7D0092913^CLIA|22327-1^Hepatitis C Antibody (Anti HCV)^LN^^^2.26||| 20151003061900-0500|||||None|||^Anydoctor^Adam^A^Jr^Dr^^^^L|^WPN^PH^^860^9995661| ||||20151003083100-0400||LAB|F

	OBR – Observation Request Segment						
Seq	Туре	Usage	Name	Guidance			
1	SI	R	Set ID – OBR	Sequential number for each repeat of the OBR segment, must start with 1 for the first sequence, 2 for the second, etc.			
2	EI_CT	R	Placer Order Number	Identifier assigned to the placer of the specific order; must contain the same value as ORC-2. CTDPH will allow either an OID or a CLIA ID for the Universal ID subcomponent of this sequence. The Universal ID Type will be "ISO" or "CLIA", respectively.			
				Example: 236532410075810000020152760003282471179^EHR ^07D0092913^CLIA			
3	EI_CT	R	Filler Order Number	This field is the order number associated with the filling application and is a permanent identifier for an order and its associated observations. Must uniquely identify the order from other orders in the same filling application (e.g., clinical laboratory). It is the same value as ORC-3. CTDPH will allow either an OID or a CLIA ID for the Universal ID subcomponent of this sequence. The Universal ID Type will be "ISO" or "CLIA", respectively. Example: 201599887755^EHR^07D0092913^CLIA			

Note: In the circumstance where some of the lab results are generated by the lab but others are performed by a reference lab, the sending lab can choose what filler order number to use. Whichever filler order number is used, the sending lab is expected to be able to trace all observations in the lab result back to the appropriate source lab based on the filler order number provided in OBR-3.

	OBR – Observation Request Segment					
Seq	Туре	Usage	Name	Guidance		
4	CWE	R	Universal Service Identifier	Vocabulary standard: <i>LOINC (LN)</i> CTDPH requires the use of LOINC codes in OBR-4. Local codes are not acceptable unless a LOINC is unavailable. OBX-3 should be used to provide an unambiguous, specific test name and OBX-5 should provide the result to the test. Example: 22327-1^Hepatitis C Antibody (Anti HCV)^LN^^^2.26		
5-6		х	Not Supported	N/A (both deprecated)		
7	TS	R	Observation Date/Time	Date and time the specimen was collected or obtained. Must contain the same value as OBX-14 and SPM-17. Example: 20151003061900-0500		
8	TS	C(R/X)	Observation End Date/Time	For specimen-based observations where the specimen was collected over a period of time, this represents the end point in time when the specimen was collected. Must contain the same value as SPM-17.2. CTDPH will only process this data element for reportable conditions that need this information.		
9		Х	Not Supported	N/A.		
10-12	vary	0	Optional	CTDPH is not processing these data elements at this time (Collection Volume, Collector Identifier, Specimen Action Code, Danger Code).		
13	ST	RE	Relevant Clinical Information	CTDPH is not processing this data element at this time; however, labs may be required to demonstrate they can populate this RE data element. Example: None		
14-15		Х	Not Supported	N/A		

	OBR – Observation Request Segment					
Seq	Туре	Usage	Name	Guidance		
16	XCN	R	Ordering Provider	CTDPH requires at least the first and last names of the ordering provider. Provider who ordered the test; must be the same as ORC-12. If provided, please separate prefixes, e.g., Dr., and suffixes, e.g., MD, from names. Value sets: HL70200, HL70203, HL70360 Example: Minimal information ^Anydoctor^Adam^A^Jr^Dr^^^^L Example: Fully specified 1234567^Anydoctor^Adam^A^Jr^DR^PHD^ADT01^The Hospital of Central Connecticut at New Britain&2.16.840.1.113883.3.13.2.2.1&ISO^L^4^NPI^MDMD		
Note:	OBR-16	is a requ	ired field in CT ELR so m	ninimum cardinality is 1.		
17	XTN	R	Order Callback Phone Number	CTDPH requires this information. Contact number for the ordering provider; same as ORC-14. Value sets: HL70201, HL70202 Example: ^WPN^PH^^^860^9995661		
Note: (OBR-17	is a requ	ired field in CT ELR so m	ninimum cardinality is 1.		
18-21		0	Optional	CTDPH is not processing these data elements at this time (Place Field 1 and 2, Filler Field 1 and 2).		
22	TS	R	Results Report/Status Change – Date/Time	This field is used to indicate the date and time that the results are composed into a report and released, or that a status is entered or changed. Time zone is assumed to be that of the sender. GMT offset is required. Example: 20151003083100-0400		
23	мос	0	Charge to Practice	CTDPH is not processing this data element at this time.		
24	ID	0	Diagnostic Services Section ID	Value set: <u>HL70074</u> Example: MB		
25	ID	R	Result Status	CTDPH only supports final results of a test, unless otherwise specified in the Laboratory Report of Significant Findings (OL-15C). Corrections to final results may be sent. F = Final Results C = Correction to Results Example: F		

	OBR – Observation Request Segment				
Seq	Туре	Usage	Name	Guidance	
26	PRL	CE	Parent Result*	Used with OBR-29 (Parent); allows linkages with a specific OBX segment associated with another OBR. This field is required when linking child sensitivities to the parent culture. Example: (not shown above) 625-4^identified:Prid:Pt:Stool:Nom:Culture&LN ^1^Campylobacter jejuni	
27		Х	Not Supported	N/A	
28	XCN	0	Not Supported	CTDPH is not processing this data element at this time.	
29	EIP	CE	Parent*	Used to link this OBR with a parent OBR; commonly used with microbiology results to link a susceptibility result with the parent culture that identified the organism. OBR-2 and OBR-3 must uniquely identify the parent OBR; required if OBR-24 is 'MB' and OBR-4 indicates culture and sensitivity. This means that the same Filler Number cannot be used to identify multiple OBRs. Example: not shown above 23456&NewBritainGeneral_EHR&2.16.840.1.113883.43.19&IS O^56789PHL222&NewBritainGeneral_PHL_LIMS&2.16.840.1.114222.4.1.10412&ISO	
30		Х	Not Supported	N/A	
31	CWE	RE	Reason for Study	The reason for study is indicated as ICD-9 codes and can contain multiple values using the ~ delimiter. Value sets: PHVS_AdministrativeDiagnosis_CDC_ICD-9CM, HL70396 Example: 787.91^DIARRHEA^I9CDX (not shown above)	
32	NDL	ı	Principal Result Interpreter	CTDPH is not processing this data element at this time – used for pathology results.	
33-36	vary	0	Optional	CTDPH is not processing these data elements at this time.	
37-38		Х	Not Supported	N/A	
39	CWE	0	Collector's Comment	CTDPH is not processing this data element at this time.	
40-43		Х	Not Supported	N/A	
44-50	vary	0	Optional	CTDPH is not processing this data element at this time.	

^{*}See Appendix A, Section A.4. Linking Parent and Child Results of the *HL7 Version 2.5.1* Implementation Guide: Electronic Laboratory Reporting to Public Health, Release 1 (US Realm).

OBX – Observation/Result Segment

The OBX contains information regarding a single observation (result) related to a single test (OBR) or specimen (SPM) (including the specific type of observation, the result for the observation, when the observation was made, etc.).

Example:

OBX|1|NM|48159-8^HEPATITIS C VIRUS AB

	OBX – Observation/Result Segment					
Seq	Туре	Usage	Name	Guidance		
1	SI	R	Set ID – OBX	Sequential number for each repeat of the OBX segment, must start with 1 for the first sequence, 2 for the second, etc.		
2	ID	R	Value Type	This field identifies the data type used for OBX-5. The allowed value types for ELR are given in this value set: <u>HL70125</u> but the most commonly used for ELR are CWE, NM (numeric), SN (structured numeric), and ST (string data), OBX-6 must be populated if Value Type is NM or SN. If data type is CWE (coded with exceptions), use SNOMED CT in OBX-5. Example: NM		
3	CWE	R	Observation Identifier	This is a Required field. CTDPH requires the use of LOINC codes. Local codes are not acceptable. Missing OBX-3 will trigger a rejection of the message if OBX-5 is also missing. Vocabulary standard: LOINC For example above, OBX-2 NM: Example: 48159-8^HEPATITIS C VIRUS AB SIGNAL/CUTOFF:RELACNC:PT:SER/PLAS:QN:EIA^LN^236 5^Hepatitis C Signal Cutoff Ratio^L^2.26^2002.01.31 For example of OBX-2 CWE: Example: 600-7^BACTERIA IDENTIFIED:PRID:PT:BLD:NOM:CULTURE^LN^76^Blood Culture^L^2.26^2002.01.31		

	OBX – Observation/Result Segment					
Seq	Туре	Usage	Name	Guidance		
4	ST	C(R/RE)	Observational Sub-ID	Required if there is more than one OBX with the same OBX-3. Typically a sequential number (1, 2, 3, etc.). Example: 1		
5	Var	C(RE/X)	Observation Value	Value must correspond to the data type entered in OBX-2. When OBX-2 is CWE, use SNOMED CT in OBX 5; if no SNOMED CT code is available, may use a suitable LOINC or other description. Either OBX-5 or OBX-8 (Abnormal flags) must be present in the message.		
				Vocabulary standard: SNOMED CT (SCT)		
				Example: 31.8 Note: for HCV signal to cut off example above Example: 5595000^Salmonella typhi^SCT Note: for OBX-2 CWE and OBX-3 LOINC 600-7		
6	CWE	C(R/X)	Units	If OBX-2 is NM or SN then this field is required. Units must follow UCUM standards. See loinc.org/usage/units for further information. Value sets: PHVS UnitsOfMeasure CDC, HL70396 Example:		
				{Copies}/mL^Copies per Milliliter^UCUM^^^1.9		
		RE	References Range	Interpretation range that applies to OBX-5; should be enough information to understand abnormal flags in OBX-8.		
				Example: ^0^0.990000		
				Recommended Formats:		
				Description Code Example Lower limit to (-) '3.5-4.5'		
7	ST			upper limit		
				>lower limit '>10' (if no upper limit)		
				<up><up><up><up><up><up><up><up><up><up></up></up></up></up></up></up></up></up></up></up>		
				(if no lower limit)		
				For alphabetical values, the normal value may be reported in OBX-7 as well. For instance, the normal result for an assay may be 'pink'.		

	OBX – Observation/Result Segment					
Seq	Туре	Usage	Name	Guidance		
8	CWE	C(R/X)	Abnormal Flags	Indicates the normalcy of OBX-5. Cardinality indicates the possible need for multiple abnormal flags. Required if OBX-5 is empty. Value sets: <u>HL70078</u> (see Appendix A), <u>HL70396</u> Example: H^High^HL70078^^^2.5.1		
9-10		0	Optional	CTDPH is not processing these data elements at this time (Probability, Nature of Abnormal Test).		
11	ID	R	Observation Result Status	Indicates the status of the observation result. CTDPH accepts final (F), or corrected (C) results only. Example: F		
12-13		0	Optional	CTDPH is not processing these data elements at this time (Effective Date of Reference Range, User-Defined Access Checks).		
14	TS	R	Date/Time of the Observation	CTDPH requires this information. Specimen collection date/time; must be the same as OBR-7 and SPM-17.1. Follows ELR Condition predicate in National ELR Guide. Example: 20151003061900-0500		
Note: (Note: OBX-14 is a required field in CT ELR so minimum cardinality is 1.					
15	CWE	0	Producer's ID	CTDPH is not processing these data elements at this time.		
16	XCN	0	Responsible Observer	CTDPH is not processing this data element at this time.		
17	CWE	RE	Observation Method	Method of testing used by the laboratory. If the LOINC code in OBX-3 is methodless, this field shall be populated. Value sets:		

	OBX – Observation/Result Segment				
Seq	Туре	Usage	Name	Guidance	
23	XON	R	Performing Organization Name	This field specifies the laboratory that produced the test result described in this OBX segment. If the test result was performed at an outside reference lab, that lab should be listed here. The CLIA identifier is used in component 10. Value sets: HL70204, HL70203 Example: Cent CT NewBritn Gen^^^^CLIA&2.16.840.1.113883.4.7&ISO^XX^^^07D 0092913	
24	XAD	R	Performing Organization Address	Address of the lab that performed the test. Value sets: <u>HL70190</u> , <u>PHVS State FIPS 5-2</u> Example: 100 Grand Street^^New Britain^CT^06050^USA^B^^09003	
25	XCN	RE	Performing Organization Medical Director	Medical Director of the lab that performed the test. Value sets: HL70200, HL70203, HL70360 Example: 9876543^Slide^Stan^^^^NPPES& 2.16.840.1.113883.19.4.6.5 &ISO^L^^^NPI (not shown above)	

Table 5-13 is excerpted from the *HL7 Version 2.5.1 Implementation Guide: Electronic Laboratory Reporting to Public Health, Release 1*, and describes the relationship between observation identifiers, observation values, interpretations, and comments. Refer to the guide for more information.

	Table 5-13 Observation Identifiers						
Testing Situation Discussion	OBX.2 Observation Type	OBX.3 Observation Identifier: LOINC part = scale	OBX.5 Observation value	OBX.6 Units	OBX.8 Abnormal Flags	OBX.7 Reference Range	NTE Segment
Numeric result along with interpretation	NM	QN	number	UCUM Units required	May be populated with codes from HL7 table 0078	May be populated	May be populated with comments, not clinical findings.
Numerical intervals, ratios, inequalities	SN	QN	structured numeric	UCUM Units required	May be populated with codes from HL7 table 0078	May be populated	May be populated with comments, not clinical findings.

	Table 5-13 Observation Identifiers						
Testing	OBX.2	OBX.3 Observation Identifier:	OBX.5		OBX.8	OBX.7	
Situation	Observation	LOINC part	Observation	ОВХ.6	Abnormal	Reference	
Discussion	Туре	= scale	value	Units	Flags	Range	NTE Segment
			Ordinal as a code. SNOMED CT shall be used when code exists; otherwise it's a local code. Sending ordinals as				
Conveys			codes is the		May be		May be
ordinal value			preferred		populated with	N/av ba	populated with
and interpretation	CWE	ORD	ELR approach.	[empty]	codes from HL7	populated	comments, not clinical findings.
Conveys ordinal value and interpretation	SN	ORD	Ordinal as structured numeric	[empty]	May be populated with codes from HL7 table 0078	Required	May be populated with comments, not clinical findings.
Conveys observation and interpretation	CWE	NOM	Coded observation. SNOMED CT shall be used when code exists; otherwise it's a local code.	[empty]	May be populated with codes from HL7 table 0078	May be populated	May be populated with comments, not clinical findings.
Conveys observation and interpretation	FT, TX or ST	NAR	text	[empty]	May be populated with codes from HL7 table 0078	May be populated	May be populated with comments, not clinical findings.
Conveys observation and interpretation	FT, TX or ST	MULTI	text	[empty]	May be populated with codes from HL7 table 0078	May be populated	May be populated with comments, not clinical findings.

NTE – Notes and Comments Segment

The NTE is used to convey additional information regarding the associated segment. While one or more NTE segments can be associated with PID and OBR segments, CT ELR only expects NTEs associated with OBX segments. The contents of the NTE segment are primarily intended for human use and therefore **should not be used to relay relevant clinical or laboratory information**.

Example: NTE|1|L|This a comment|RE^Remark^HL70364

	NTE – Notes and Comments Segment					
Seq	Туре	Usage	Name	Guidance		
1	SI	R	Set ID – NTE	Sequential number for each repeat of the NTE segment, must start with 1 for the first sequence, 2 for the second, etc.		
2	ID	RE	Source of Comment	Specifies where the comment came from: Ancillary source (L), the orderer or provider (P), or other source (O) Example: L		
3	FT	R	Comment	Example: This is a comment		
4	CWE	RE	Comment Type	Value set: <u>HL70364</u> Example: RE^Remark^HL70364		

SPM – Specimen Segment

The SPM segment carries information regarding the type of specimen, where and how it was collected, who collected it, and some basic characteristics of the specimen. It differs from the intent of the OBR in that the OBR addresses order-specific information.

Example:

SPM|1|201599887755^201599887755&EHR&07D0092913&CLIA|| 119297000^Blood^SCT^^^^20140131^^Blood|||VENIP^Venipuncture^HL70488^^^2.5.1|||||||| 20151003061900-0500|20151003062500-0500

	SPM – Specimen Segment					
Seq	Туре	Usage	Name	Guidance		
1	SI	R	Set ID – SPM	Sequential number for each repeat of the SPM segment, must start with 1 for the first sequence, 2 for the second, etc.		
2	EIP	R	Specimen ID	Unique identifier for the specimen as referenced by the Placer application, the Filler application or both. Note that the specimen id is not the same thing as the placer/filler order number. Order numbers identify the specific test to be performed on a specimen. A particular specimen may be associated with multiple orders (and multiple placer/filler order numbers). The Specimen ID may be the same as an accession number, depending on how the particular lab assigns accession numbers. Example: 201599887755^201599887755&EHR&07D0092913&CLIA		
3	EIP	0	Specimen Parent IDs	CTDPH is not processing this data element at this time.		
4	CWE	R	Specimen Type	A nationally recognized coding system is to be used for this field. Valid coding sources include HL7 table 0487, SNOMED CT, etc. The first link below is one version of table 0487; the other link provides a list of other value sets. Value set: PHVS SpecimenType HL7 2x , HL70396 Example: 119297000^Blood^SCT^^^20140131^^Blood		
5	CWE	RE	Specimen Type Modifier	Use when SPM-4 is a SNOMED CT code. Value sets: PHVS ModifierOrQualifier CDC, HL70396		
6	CWE	ı	Specimen Additives	CTDPH is not processing this data element at this time; however, labs may have to demonstrate they can fill this data element.		

	SPM – Specimen Segment						
Seq	Туре	Usage	Name	Guidance			
7	CWE	RE	Specimen Collection Method	Method used to collect the specimen. Value sets: PHVS SpecimenCollectionMethod HL7 2x, HL70396 Example: VENIP^Venipuncture^HL70488^^^2.5.1			
8	CWE	RE	Specimen Source Site	For environmental samples, this may describe the location of the source specimen; for biological samples, it may represent the anatomical site from which the specimen was collected. SNOMED CT values could be used or can use HL7 table 0070. Value sets: PHVS BodySite HITSP, HL70396 Example: 127949000^Elbow^SCT^121^Elbow^L^20140131^2.5.1 ^Elbow (not shown above)			
9	CWE	RE	Specimen Source Site Modifier	Only used if SPM-8 is a SNOMED CT code. Value sets: PHVS ModifierOrQualifier CDC, HL70396			
10	CWE	0	Specimen Collection Site	CTDPH is not processing this data element at this time.			
11	CWE	ı	Specimen Role	CTDPH is not processing this data element at this time; however, labs may have to demonstrate they can fill this data element.			
12	CQ	ı	Amount of Specimen Collection	CTDPH is not processing this data element at this time; however, labs may have to demonstrate they can fill this data element.			
13-16	Vary	0	Optional	CTDPH is not processing these data elements at this time (Grouped Specimen Count, Specimen Description, Specimen Handling Code, Specimen Risk Code).			
17	DR	R	Specimen Collection Date/Time	Component 1 must match OBR-7 and OBX-14, component 2 must match OBR-8. Example: 20151003061900-0500			
18	TS	R	Specimen Received Date/Time	The time the specimen was received at the laboratory – may correspond to time logged into the system. Example: 20151003062500-0500			
19-20		0	Optional	CTDPH is not processing these data elements at this time (Specimen Expiration Date/Time, Specimen Availability).			
21	CWE	-	Specimen Reject Reason	CTDPH is not processing this data element at this time, however, labs should be able to demonstrate they can populate			
22-29	vary	0	Optional	CTDPH is not processing these data elements at this time.			

Sending and Receiving the HL7 2.5.1 Message for CT ELR

Electronic Message Transport

Currently, the Public Health Information Network Message System (PHINMS) is the only allowed transport system for public health reporting to CTDPH. An overview of PHINMS is provided below on the CDC website at CDC PHINMS webpage. For additional questions, please contact CTDPH at <a href="https://creativecommons.org/length="personal-report of the contact public between the contact p

PHINMS Overview

CTDPH needs to reliably exchange critical and sensitive data with and between public health partners and their data systems. These messages are comprised of standard content and vocabulary, and are implemented in a range of formats, including HL7, XML, image files, CSV files, and so on. The effective exchange of these messages necessitates a bi-directional and secure messaging platform that can provide a common approach to security requirements (such as encryption and authentication), as well as a standard method for addressing and routing content. Such exchanges also require auditing capability and a consistent way to send and receive data exchange confirmations.

PHINMS is the CDC-provided software that fills this critical need for public health. Employing Electronic Business using Extensible Markup Language (ebXML) technology, PHINMS can securely send and receive any message type over the Internet, facilitating interoperability among myriad public health information systems.

How PHINMS Works

A message is composed and inserted into a transport queue table at the sender site. The PHINMS Sender reads the transport queue, creates an ebXML message, encrypts it using the Receiver's public SDN key, and then posts this message to the URL of the CDC RNR (Route-Not-Read) Hub PHINMS receiver. The CDC RNR Hub works as a proxy between PHINMS Senders and Receivers to facilitate secure transport. The PHINMS receiver will periodically query the CDC RNR Hub and acquire any new messages.

Batch Messaging

CT ELR will accept batch messaging for ELR. The following describes the message header and trailer segments to be used for batch messaging. Examples, as appropriate, are included in the segment attribute tables as well. Please note: the count of messages in a batch must agree with the value in the Batch Trailer Segment. Any invalid batches will be removed from further processing.

FHS - File Header Segment

The FHS segment marks the beginning of a file containing one or more batches of messages. Example: FHS|^~\&|HealthSentry^2.16.840.1.113883.3.13.2.2.1^ISO|Cerner Corp|CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO|CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO|201511181828

	FHS – File Header Segment						
Seq	Туре	Usage	Name	Guidance			
1	ST	R	File Field Separate	1			
2	ST	R	File Encoding Characters	Literal Value: ^~\&#			
3	HD	0	File Sending Application	Field that may be used to identify the sending application. Example: HealthSentry^2.16.840.1.113883.3.13.2.2.1^ISO			
4	HD	R	File Sending Facility	Name of originating facility; if appropriate, their CLIA unique identifier; the type of unique identifier. Example: Cerner Corp If it were a hospital could see: New Britain General Hospital^07D0092913^CLIA			
5	HD	0	File Receiving Application	Testing/Staging: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.2^ISO Production: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO			
6	HD	R	File Receiving Facility	CTDPH requires using the following literal value which is the same for testing and production: CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO			
7	TS	R	File Creation Date/Time	Date/Time message was created by the sending system. Example: 201511181828			
8		Х	Not supported	N/A			
9	ST	0	File Name/ID	CTDPH is not processing this data element at this time.			
10-12		Х	Not supported	N/A			

BHS – Batch Header Segment

The BHS segment marks the beginning of a batch of messages. *Example:*

BHS|^~\&|HealthSentry^2.16.840.1.113883.3.13.2.2.1^ISO|Cerner Corp|CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO|CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO|201511181828

	BHS – Batch Header Segment					
Seq	Туре	Usage	Name	Guidance		
1	ST	R	Batch Field Separator	1		
2	ST	R	Batch Encoding Characters	^~\&#		
3	ST	0	Batch Sending Application	Field that may be used to identify the sending application. Example: HealthSentry^2.16.840.1.113883.3.13.2.2.1^ISO		
4	ST	R	Batch Sending Facility	Name of originating facility; if appropriate, their CLIA unique identifier; the type of unique identifier. Example: Cerner Corp in above for hospital may see New Britain General Hospital^07D0092913^CLIA		
5	ST	0	Batch Receiving Application	Testing/Staging: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.2^ISO Production: CT^2.16.840.1.113883.3.5609.4.1.1.3.2.1^ISO		
6	ST	0	Batch Receiving Facility	CTDPH requires using the following literal value which is the same for testing and production: CTA-DPH^2.16.840.1.113883.3.5609.4.1^ISO		
7	TS	R	Batch Creation Date/Time	Date/Time batch was created by the sending system. <i>Example:</i> 201511181828		
8		Х	Not supported	N/A		
9	ST	0	Batch Name/ID/Type	CTDPH is not processing this data element at this time.		
10-12		Х	Not supported	N/A		

BTS – Batch Trailer Segment

The BTS segment defines the end of a batch of messages.

Example: BTS|11|||

	BTS – Batch Trailer Segment						
Seq	Туре	Usage	Name	Guidance			
1	ST	R	Batch Message Count	Number of messages (MSH segments) or messages contained in batch. Note: This count must match the number of messages in the batch. Any invalid batches will be removed from further processing.			
2	ST	0	Batch Comment	Not Supported			
3	NM	0	Batch Totals	Not Supported			

FTS - File Trailer Segment

The FTS segment defines the end of a file of batches.

Example: FTS|1||

	FTS – File Trailer Segment						
Seq	Туре	Usage	Name	Guidance			
1	ST	0	File Batch Count	The Number of batches contained in this file. Since this interface is constrained to one batch per file, this Number should always be 1			
2	ST	х	File Comment	Not Supported			

Receipt Acknowledgment of the ORU Message

CT ELR is not sending any message acknowledgements at this point as we do not have the capability to do so. Any errors found during message validation and processing will be submitted by email or fax to the submitting laboratory in a timely manner based on the process to be established with CT ELR.

Appendix A - Value Sets

For a complete list of HL7 tables and values sets, please see the HL7 Messaging Standard Version 2.5.1 Appendix A (available at www.hl7.org). The value sets below are for reference for this local guide only.

User-Defined Table 0001 Administrative Sex

Value	Description
F	Female
M	Male
0	Other
U	Unknown

User-Defined Table 0005 Race

Value	Description
1002-5	American Indian or Alaska Native
2028-9	Asian
2054-5	Black or African American
2076-8	Native Hawaiian or Other Pacific Islander
2106-3	White
2131-1	Other Race

HL7 Table 0008 Acknowledgment Code

These codes will be used once this function is implemented.

VALUE	DESCRIPTION
AA	Original mode: Application Accept - Enhanced mode: Application acknowledgment: Accept
AE	Original mode: Application Error - Enhanced mode: Application acknowledgment: Error
AR	Original mode: Application Reject - Enhanced mode: Application acknowledgment: Reject

User-Defined Table 0189 Ethnic Group

Value	Description
Н	Hispanic or Latino
N	Not Hispanic or Latino
U	Unknown

Facilities are encouraged to follow CTDPH published guidelines for the collection of race and ethnicity data. Documents can be provided on request.

User-Defined Table Connecticut Town Names

CT ELR prefers the use of the standard 169 town names for all addresses located in Connecticut.

Andover	Colebrook	Greenwich	Monroe	Portland	Thompson
Ansonia	Columbia	Griswold	Montville	Preston	Tolland
Ashford	Cornwall	Groton	Morris	Prospect	Torrington
Avon	Coventry	Guilford	Naugatuck	Putnam	Trumbull
Barkhamsted	Cromwell	Haddam	New Britain	Redding	Union
Beacon Falls	Danbury	Hamden	New Canaan	Ridgefield	Vernon
Berlin	Darien	Hampton	New Fairfield	Rocky Hill	Voluntown
Bethany	Deep River	Hartford	New Hartford	Roxbury	Wallingford
Bethel	Derby	Hartland	New Haven	Salem	Warren
Bethlehem	Durham	Harwinton	New London	Salisbury	Washington
Bloomfield	Eastford	Hebron	New Milford	Scotland	Waterbury
Bolton	East Granby	Kent	Newington	Seymour	Waterford
Bozrah	East Haddam	Killingly	Newtown	Sharon	Watertown
Branford	East Hampton	Killingworth	Norfolk	Shelton	West Hartford
Bridgeport	East Hartford	Lebanon	North Branford	Sherman	West Haven
Bridgewater	East Haven	Ledyard	North Canaan	Simsbury	Westbrook
Bristol	East Lyme	Lisbon	North Haven	Somers	Weston
Brookfield	East Windsor	Litchfield	North Stonington	South Windsor	Westport
Brooklyn	Easton	Lyme	Norwalk	Southbury	Wethersfield
Burlington	Ellington	Madison	Norwich	Southington	Willington
Canaan	Enfield	Manchester	Old Lyme	Sprague	Wilton
Canterbury	Essex	Mansfield	Old Saybrook	Stafford	Winchester
Canton	Fairfield	Marlborough	Orange	Stamford	Windham
Chaplin	Farmington	Meriden	Oxford	Sterling	Windsor Locks
Cheshire	Franklin	Middlebury	Plainfield	Stonington	Windsor
Chester	Glastonbury	Middlefield	Plainville	Stratford	Wolcott
Clinton	Goshen	Middletown	Plymouth	Suffield	Woodbridge
Colchester	Granby	Milford	Pomfret	Thomaston	Woodbury
					Woodstock

User Defined Table 0078 (HL70078)

VALUE	DESCRIPTION	
L	Below low normal	
Н	Above high normal	
LL	Below lower panic limits	
НН	Above high panic limits	
<	Below absolute low-off instrument scale	
>	Above absolute high-off instrument scale	
N	Normal (applied to non-numeric results)	
А	Abnormal (applies to non-numeric results)	
AA	Very abnormal (applies to non-numeric units, analogous to panic limits for numeric units	
Null	No range defined, or normal ranges don't apply	
U	Significant change up	
D	Significant change down	
В	Better – use when direction not relevant	
W	Worse – use when direction not relevant	
S	Susceptible. Indicates for microbiology susceptibilities only.	
R	Resistant. Indicates for microbiology susceptibilities only.	
1	Intermediate. Indicates for microbiology susceptibilities only.	
MS	Moderately susceptible. Indicates for microbiology susceptibilities only.	
VS	Very susceptible. Indicates for microbiology susceptibilities only.	

User Defined Table 0363 (HL70363) Assigning Authority

Value	Description	Comment
OID	Object Identifier	OID for submitting organization; HL7 registered or assigned by CTDPH.
CLIA	Clinical Laboratory Improvements Amendment	CLIA ID as assigned by CLIA to the laboratory.