# STATE OF CONNECTICUT

DEPARTMENT OF PUBLIC HEALTH

Renée D. Coleman-Mitchell, MPH Commissioner



Ned Lamont Governor Susan Bysiewicz Lt. Governor

Office of Emergency Medical Services

Date: April 22, 2019

To: All Licensed and Certified Emergency and Non-Emergency Transporting Service Providers

From: Renee Holota, Office Supervisor

Re: **Short-Form Rate Application Package for Requesting 2020 Rates** 

The Short Form Rate Application Package for 2020 emergency and non-emergency service rates are available on the DPH/OEMS website. You will find the document at www.ct.gov/dph/ems. After you log onto the OEMS website scroll down the homepage and you will find the application. Please download and complete the application and spreadsheet, and then mail it to OEMS or send it electronically to renee.holota@ct.gov by the due date specified below. You will not receive an application in the mail.

The Short-Form Rate Application Package should be used for requesting emergency and non-emergency service rates for 2020. All providers that received a 2019 Rate Schedule from the Office of Emergency Medical Services must complete the 2020 Rate application, as detailed below. Those providers that have not yet begun to impose approved charges should complete the "Non-Charging Service Certification Statement for 2020".

#### The 2019 Rate Application package contains the following:

- Proposed Statewide Rate Schedule of Maximum Allowable Rates for 2020
- **Waiver Form A**, to be signed by providers that accepted the increase to the 2019 Statewide Rate Schedule. All providers that plan to continue charging in 2020, including those that have not yet begun to impose approved charges must sign and return one of the enclosed Waiver forms.
- **Waiver Form B**, to be signed by providers requesting a rate increase to their 2020 rates that does not exceed 2%. The spreadsheet for each of the eligible providers also incorporates the 2% CPI increase to their 2020 rates. Only providers that submitted either a Long Form or a Waiver B last year may submit a Waiver B in 2020.
- **Certification Form**, which must to be completed, notarized and submitted with all Rate Applications



Phone: (860) 509-7975 Fax: (860) 730-8384 Telecommunications Relay Service 7-1-1 410 Capitol Avenue, P.O. Box 340308 Hartford, Connecticut 06134-0308 www.ct.gov/dph Affirmative Action/Equal Opportunity Employer



- **Instructions** for Preparing the Short-Form Rate Application for 2020
- Short-Form Rate Application Spreadsheet
- A Rate Application **Checklist**

If you wish to request more than the 2% CPI increase in 2020 for any of your approved 2019 rate categories, contact Renee Holota at (860) 509-8103 or renee.holota@ct.gov for a 2020 Full Rate Application package.

#### **Filing Requirements and Due Date:**

The Office of Emergency Medical Services (OEMS) must receive in Hartford by no later than **the close of business (4:30 p.m.) on August 31, 2019** the following:

- Notarized Certification form;
- One of the following as appropriate;
  - o Waiver form A
  - o Waiver form B
  - o Non-charging certification form
- Short-Form Rate Application Spreadsheet if charging; and
- Rate Application Checklist form

#### SCHEDULE OF MAXIMUM ALLOWABLE RATES

EFFECTIVE January 1, 2020 through December 31, 2020

#### PROPOSED RATE SCHEDULE FOR 2018

Basic Life Support (BLS) Rate	\$758.00			
Advance Life Support Level 1 Non-ER				
Advance Life Support Level 1 ER				
Advance Life Support Level 2				
Paramedic Intercept				
Basic Life Support (BLS) Helicopter Assist				
Advance Life Support (ALS) Helicopter Assist				
Advance Life Support (ALS) Assessment				
Specialty Care Transport (SCT)	\$1646.00			
ANCILLARY CHARGES				
Waiting Time Charge	\$201.00			
Per Mile Charge				
Special Attendant Charge	\$148.00			
INVALID COACH RATE SCHEDULE	_       ) )			
Base Rate	\$132.00			
Two Patients				
ANCILLARY CHARGES				
Per Mile Charge	\$11.45			
Second Attendant Charge				
Waiting Time Charge	\$112.00			
All charges must be in conformance with the definitions on the subsequent pages entitled Implementation of the 2020 Schedule of Maximum Allowable Rates," which are attache Schedule.  Certified to be the maximum allowable rates by:  Date Certified:	d to, and become part of, the Rate			

## EXPLANATORY NOTES FOR THE IMPLEMENTATION OF THE 2019 SCHEDULE OF MAXIMUM ALLOWABLE RATES

<u>Not Applicable or "N/A"</u> – indicates that charges are not applicable in this category for the provider named on page one of this Schedule. Connecticut issues rates consistent with the provider's certification/licensure level.

<u>Basic Life Support</u> – means transportation by ground ambulance vehicle and supplies and services, plus the provision of BLS ambulance services. The ambulance must be staffed by an individual who is qualified in accordance with State and local laws as an emergency medical technician (EMT).

An emergency response by a certified or licensed ambulance provider, when no transportation is provided due to the fact that the patient is pronounced/presumed dead by an individual authorized by the State to make such pronouncement/presumption after the ambulance is called. No ancillary fees, including mileage, may be added to this rate for patients that are pronounced dead.

The ambulance service and personnel must comply with all relevant CT General Statutes and DPH Regulations, including, but not limited to, the minimal vehicle standards and staffing requirements specified, and cited, in DPH Regulations Section 19a-179-10 (b) "Basic Ambulance Service." Basic life support level services are those performed by personnel certified in Connecticut as Emergency Medical Technicians (EMT).

Advanced Life Support Level 1 Non-Emergency (ALS Non-ER) — the maximum charge, in addition to applicable ancillary fees, that may be assessed a patient who is transported in a ground ambulance vehicle by a licensed provider for the purposes of receiving, non-emergency, ambulance services at the Advanced Life Support Level 1. The ambulance service and personnel must comply with all relevant CT General Statutes and DPH Regulations, including, but not limited to, the minimal vehicle standards and staffing requirements specified, and cited, in DPH Regulations Section 19a-179-10 (c) "Mobile Intensive Care-Intermediate Level (MIC-I) Service, AEMT Level Service is the same." Advanced Life Support Level 1 services are those performed by personnel certified in Connecticut as an Advanced Emergency Medical Technician (AEMT) or Paramedic.

Non-emergency ALS services may include, but are not limited to the following:

- 1. Inter-facility transport to/from a hospital, skilled nursing facility or the patient's home and/or
- 2. Round trip transportation to a hospital or non-hospital based out patient facility to obtain necessary diagnostic and/or therapeutic services such as a CT scan, radiation therapy or dialysis for renal disease.

Advanced Life Support Level 1, Emergency (ALS 1, ER) – means transportation by ground ambulance vehicle, supplies and services and either an ALS assessment by ALS personnel or the provision of at least one ALS intervention, in compliance with the CGS and DPH Regulations, in the context of an emergency response to a 911 call or equivalent. An emergency response is defined as responding immediately at the ALS 1 level of service to a 911 call or equivalent. An immediate response is one in which the ambulance provider begins as quickly as possible to take the steps necessary to respond to the call.

Advanced Life Support Level 1 (ALS 1) services include an assessment by ALS personnel or the provision of at least one ALS intervention. An ALS assessment is performed by an ALS crew as part of an emergency response that was necessary because the patient's reported condition at the time of dispatch was such that only an ALS crew was qualified to perform the assessment to determine whether ALS interventions were needed, or may be needed, during transport. An ALS assessment does not necessarily result in a determination that the patient requires an ALS level of service, or that ALS personnel accompany the patient during transport. It is incumbent on the ALS Service to verify that the call was dispatched as an ALS call according to Emergency Medical Dispatch (EMD) protocols pursuant to Public Act 00-151. The transporting BLS service is entitled to the BLS Rate in the ALS Assessment billing process.

An ALS provider is defined as a provider whose staff includes an individual trained and authorized at the Advanced EMT or Paramedic level. An ALS assessment charge is only relevant and reimbursable in an emergency response. The ALS 1 category replaced the Intermediate Surcharge definition used for the 2003 Rate Schedule.

Advanced Life Support Level 2 (ALS 2) – means either transportation by ground ambulance vehicle, supplies and services, and the administration of at least three medications by intravenous push/bolus or by continuous infusion excluding crystalloid, hypotonic, isotonic, and hypertonic solutions (Dextrose, Normal Saline, Ringer's Lactate); or transportation, medically necessary supplies and services, and the provision of at least one of the following ALS procedures:

- (1) Manual defibrillation/cardioversion.
- (2) Endotracheal intubation.
- (3) Central venous line.
- (4) Cardiac pacing.
- (5) Chest decompression.
- (6) Surgical airway.
- (7) Intraosseous line.

ALS 2 services are those performed by personnel licensed in Connecticut as Paramedics pursuant to the provisions in CGS Section 20-206jj - 206nn. The ambulance service and personnel must comply with all other relevant CT General Statutes and DPH Regulations, including, but not limited to, the minimal vehicle standards and staffing requirements specified, and cited, in DPH Regulations Section 19a-179-10, (d) "Mobile Intensive Care-Paramedic Level (MIC-P)."

<u>Paramedic Intercept</u> – means Paramedic services furnished by an entity that does not furnish the ground ambulance transport. The provider must be able to document that:

- 1. Paramedic/ALS services were provided in accordance with medical direction and oversight.
- 2. The paramedic accompanied the patient to the hospital in the patient transport vehicle

<u>BLS Helicopter Assist</u> – Indicates the maximum charge that may be assessed a patient, including applicable ancillary fees, for a Basic Life Support Ambulance Service providing care at the scene to such patient when such patient is ultimately transported by a state certified or licensed air ambulance.

<u>ALS Helicopter Assist</u> - Indicates the maximum charge that may be assessed a patient, including applicable ancillary fees, for an Advanced Life Support Service providing care at the scene to such patient when such patient is ultimately transported by a state certified or licensed air ambulance.

**Specialty Care Transport (SCT)** - means inter-facility transportation of a critically injured or ill beneficiary by a ground ambulance vehicle, including supplies and services, at a level of service beyond the scope of the Paramedic. SCT is necessary when a beneficiary's condition requires ongoing care that must be furnished by one or more health professionals in an appropriate specialty area, for example, nursing, emergency medicine, respiratory care, cardiovascular care, or a paramedic with additional training.

To assess the SCT charge a provider must be authorized at the paramedic level and must provide such care in accordance with medical direction and/or authorized protocols and applicable Connecticut statutes and DPH Regulations, including, but not limited to, those specified above in ALS 2.

<u>Waiting Time Charge</u> –may be assessed, in addition to the applicable ground ambulance transport charge, on the basis of a minimum wait of one hour. When waiting time exceeds one hour, additional time shall be charged in quarter hour increments.

<u>Per Mile Charge</u> – may be assessed, in addition to the applicable ambulance transport charge, from the point of origin to the point where the patient is transported. Mileage reimbursement shall be based on the number of actual miles the patient is transported.

**Special Attendant Charge**— may be assessed, in addition to the applicable ambulance transport charge, for the use of attendants with characteristics specifically requested by or on behalf of the patient. Such special characteristics

include, but are not limited to, special training or experience or an attendant of a specific gender. There shall be no additional charge if an attendant with the requested characteristics has already been scheduled by the provider.

<u>Bundle Billing</u> – It is permissible for a BLS ambulance service to bill for ALS assessment and interventions provided that the ALS care is rendered by an EMS service, authorized at the paramedic level, which has entered into a bundle billing agreement with the BLS service that submits the bill.

#### WAIVER A FOR 2020 RATES

As the Chief Executive Officer of the licensed or certified service provider identified below, I am aware of the right to a hearing regarding the annual establishment of maximum allowable rates for emergency or non-emergency services pursuant to Section 19a-179-21 (h) (1) (A) of the Regulations of Connecticut State Agencies.

I willingly waive the right to such hearing regarding the establishment of maximum allowable rates for these services for the calendar year beginning January 1, 2020 through December 31, 2020 pursuant to Section 19a-179-21 (h) (1) (B) of the Regulations of Connecticut State Agencies.

I hereby accept the applicable maximum allowable rates for emergency or non-emergency services contained in the Statewide Rate Schedule for 2020, which have been approved by the Commissioner of the Department of Public Health to be effective for the calendar year January 1, 2020 through December 31, 2020

Name of Licensed or Certified Service Provider
Name and Title of Chief Executive Officer
Signature of Chief Executive Officer
Business Phone Number
Date

#### **WAIVER B FOR 2020 RATES**

As the Chief Executive Officer of the licensed or certified service provider identified below, I am aware of the right to a hearing regarding the annual establishment of maximum allowable rates for emergency or non-emergency services pursuant to Section 19a-179-21 (h) (1) (A) of the Regulations of Connecticut State Agencies.

I willingly waive the right to such hearing regarding the establishment of maximum allowable rates for these services for the calendar year beginning January 1, 2020 through December 31, 2020 pursuant to Section 19a-179-21 (h) (1) (B) of the Regulations of Connecticut State Agencies.

I hereby declare that the licensed or certified service provider identified below, for the calendar year January 1, 2020 through December 31, 2020, will not increase its maximum allowable rates for emergency and non-emergency services more than 2% from its currently approved schedule of maximum allowable rates, as noted in the attached rate application form.

Name of Licensed or Certified Service Provider
Name and Title of Chief Executive Officer
Signature of Chief Executive Officer
Date.
Date
Business Phone Number

#### CERTIFICATION TO BE COMPLETED IN THE PRESENCE OF A NOTARY PUBLIC

#### 2019 Application for 2020 Schedule of Rates

STATEMENT: I solemnly swear that the statements and information contained in this Rate Application for 2020 rates, including the Rate Model, financial statements and all supporting schedules and documentation, are to the best of my knowledge and belief, true and accurate, and that only business expenses related to regulated ambulances and/or invalid coaches are included in the financial and statistical data submitted.

	Printed Name of Service Provider
	License or Certification Number
	Address of Service Provider
	Printed Name and Title of Chief Executive Officer
	Signature of Chief Executive Officer
	Business Telephone Number
	Business E-mail address
Subscribed and sworn to me	e on
Printed Name of Notary Pu	blic / Commissioner of Superior Court
Signature of Notary Public	/ Commissioner of Superior Court

All service providers that charge for services rendered must sign and submit this Certification with their completed Rate Application to the Office of Emergency Medical Services no later than close of business (4:30 p.m.) on August 31, 2019. The Rate Application shall be deemed incomplete unless ALL required information including this Certification form and any applicable Waiver form are completed and submitted to OEMS.

# Department of Public Health Instructions for Preparing the Short-Form Rate Application

- 1. SUBMISSION DUE DATE Ensure that your Short-Form Rate application, Waiver Form, and notarized Certification, are physically received by the Office of Emergency Medical Services in Hartford by no later than the close of business (4:30 p.m.) on August 31, 2019.
- 2. **SERVICE INFORMATION** Provide the name, address and fiscal year of the service provider as well as the name, title, telephone number and e-mail address of the contact person responsible for completing the rate application.
- 3. **2017 REVENUE INFORMATION** Line 18 of the spreadsheet (**Total Revenue**) is the only information required for this section. The additional fields are voluntary.
- 4. **2017 EXPENSE INFORMATION** Line 41 of the spreadsheet (**Total Expenses**) is the only information required for this section. The additional fields are voluntary.
- 5. **BASE CALL VOLUME** Line 66 of the spreadsheet (**Total Ambulance Base Calls**) is the only information required for this section. The additional fields are voluntary.
- 6. **CANCELLED AMBULANCE BASE CALLS** Provide the number of cancelled base calls.
- 7. **2017 REQUESTED RATES** Indicate "Yes" if you are requesting the Statewide Rate Schedule for 2020. Indicate "Yes" if you are requesting an increase in your currently approved maximum allowable rates that does not exceed 2.3% as calculated and presented on the spreadsheet for those providers that submitted a Waiver B form last year.

## 2020 Short-Form Rate Application Checklist

	Provider:				
	Ensure the following items are included in your rate application submission.  Return the signed, completed Checklist with your rate application submission:				
20	20 Short-Form Rate Application				
1.	Completed spreadsheet				
Ce	ertification Form				
2.	Certification form signed by the Chief Executive Officer and notarized				
W	aiver Form				
3.	Waiver Form signed by the Chief Executive Officer				
•	Waiver A – accept Statewide Rate Schedule, or				
•	Waiver B – request higher rate increases (long form)				
 Na	ame and Title of Preparer Date				
Sią	gnature of Preparer				

**Email Address of Preparer** 

**Phone Number of Preparer** 

# State of Connecticut Department of Public Health Office of Emergency Medical Services

### NON-CHARGING SERVICE CERTIFICATION STATEMENT For 2020

I certify that		
Name of	<sup>c</sup> Provider	
approved Rate Schedule the provisions of Section	for the provision of any emergency medical services rendered issued by the Department of Public Health (DPH). I also cern 19a-179-21(e) of Connecticut DPH Regulations, the above provided in the future unless it applies for, and is granted, an	tify that in adherence to referenced provider will
	License or Certification Number	
	Name and Title of Authorized Person	
	Signature of Person Named Above	
	Business Telephone Number	
	Business E-mail Address	
	Data	•
	Date	

All providers that do not charge for emergency medical services rendered and did not submit a 2018 Rate application must sign and return the completed Certification, <u>by mail</u>, to: Renee Holota at the Office of Emergency Medical Services, **by August 31, 2019.** 

CT Department of Public Health Office of Emergency Medical Services 410 Capitol Avenue, MS # 12 EMS P.O. Box 340308 Hartford, CT 06134-0308