CT Department of Public Health (DPH) TB, HIV, STD, & Viral Hepatitis Program HIV Prevention Program

Beta v1.4



HIV Case Reporting Guidance

October 2015

Introduction

The reporting of newly and previously diagnosed positive cases to the CT DPH is

essential in the planning and implementation of HIV prevention and care interventions.

This guidance provides CT DPH funded programs with the process on how to

accurately report HIV cases in a timely manner. It also includes copies of the required

forms necessary for reporting to DPH, and information regarding where and to whom

the forms must be sent. We hope that this document will assist you with the necessary

information needed to report all newly or previously diagnosed HIV positive cases to the

CT DPH HIV Prevention Program.

Respectfully yours,

Makuchell'

Marianne Buchelli, MPH, MBA

CT DPH Health Program Supervisor

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CT Department of Public Health (DPH)

TB, HIV, STD, & Viral Hepatitis Program

HIV Prevention Program

Procedure for Reporting **Newly or Previously Confirmed HIV Positive Cases** to **DPH**:

- a. Complete <u>EvaluationWeb 2015 HIV Test Template Forms Parts 1, 2 and 3 for all confirmed HIV positive results (See Appendix A).</u>
- b. Submit all completed confirmed **HIV positive EvaluationWeb 2015 HIV Test Template Forms Parts 1, 2 and 3** to the CT DPH HIV Prevention Program.
 - Outreach, Testing and Linkage (OTL) programs should mail all confirmed HIV
 positive Test Forms to DPH, attention to Susan Major. A confirmatory email
 will be sent to programs submitting HIV Test Forms to ensure the receipt of
 the forms.
 - ii. Expanded Testing Initiative (ETI) programs (i.e., directly and non-directly funded) should mail all confirmed positive HIV Test Forms to DPH, attention to Dulce Dones-Mendez. A confirmatory email will be sent to programs submitting HIV Test Forms to ensure the receipt of the forms.
 - iii. All programs should contact Partner Services to report HIV Positive case.
- c. Report to the CT DPH HIV Surveillance Program all confirmed HIV positive results via:
 - 1) Phone:

CT DPH HIV Surveillance Program

860-509-7900

OR

2) Mail:

Using the 'Adult HIV/AIDS Confidential Case Report Form'

Instructions on how to complete the Adult HIV/AIDS Confidential Case Report Form

(See Appendix B) and mail it to: Connecticut Department of Public Health 410 Capitol Ave MS# 11ASV P.O Box 340308 Hartford, CT 06134

d. For HIV Testing sites not using the CT DPH State Laboratory:

If an Outreach Testing, and Linkage (OTL) or Expanded Testing Initiative (ETI) (directly or non-directly funded) site **is not using** the CT DPH State Laboratory for HIV Testing confirmatory results, providers must submit proof of confirmatory result along with the Adult HIV/AIDS Confidential Case Report Form to the CT DPH HIV Surveillance Program.

e. For HIV Testing sites using the CT DPH State Laboratory:

If an Outreach, Testing, and Linkage (OTL) or Expanded Testing Initiative (ETI) (directly or non-directly funded) site **is using** the CT DPH State Laboratory for HIV Testing Confirmatory results, providers must submit one tube of whole blood, serum or plasma. Use of Orasure has been discontinued by the CT DPH Lab. (See Appendix C)

Note. Copies of the HIV Test Forms for both positive and negative test events must be kept on file at the site and secured in a locked file cabinet.

Reporting Do's and Don'ts

Do's:

- ✓ Send Parts 1,2, and 3 of the 2015 HIV Test Forms
- ✓ Ensure that forms are completed appropriately
- ✓ Mail forms as soon as possible
- ✓ Include name and return address on envelopes
- ✓ Use the most current HIV Test Forms
- ✓ Make copies of the HIV Test Forms Parts 1, 2 and 3 for your records
- ✓ Contact DPH HIV Prevention and HIV Surveillance Programs, if you have any questions regarding submitting all required information

Don'ts:

- Mail confidential personal health information (PHI) to the HIV Prevention Program that includes any demographic information such as name, date of birth, address, gender, etc.
- Wait more than 15 days upon receipt of confirmation to submit HIV Test Forms to DPH
- Submit any HIV Test Forms without Form ID Labels

APPENDICES

APPENDIX A

Enter or adhere form ID						Sample Date	M M D D Y Y	Y	M	M D D	YYY	Y	мм	D	YY	Y
Session Date	A	A M E	D	YY	YY		HIV Test 1			HIV T	est 2			HIV.	Test 3	
Program Announcement (select or	nly one)		_		-	Worker ID		П	П			П	Т	П	П	
PS12-1201 Category A PS12-1201 Category B PS12-1201 Category B	PS11-111 PS11-111 PS10-100 PS08-803 MSM Test	3 Cate 3 Cate	gory t	B-YIC	SM S	Test Election	Anonymously Confidentially Test Not Offe	red		nonymo confiden rest Not peclined	tially Offere	d B	_ Co	nfide	ously ntially t Offer d Testi	
Agency Name/ID Number	S-02 (18 d d d)	_				Test Technology	Conventional Rapid NAAT/RNA Te	esting	8	Conventi Rapid MAAT/RN Other		ing	Ra NA	pid	tional NA Tes	tir
Directly Funded CBO Agency ID (For CDC directly funded CBOs only)						Test Result	Positive/Read			Positive/ Regative Indeterminyalid	inate		Ne In	gativ	minate	
				_			☐ No Result			lo Result	:	_		valid Resu	lt	
Site Type (enter type code from page 3) Site ZIP Code		F	Н	# .	# #	Result Provided	No Yes Yes, client obtained resurrom another agency	lts		lo /es /es, clie obtained rom and igency	nt results ther	- 1	O Ye	5	ent d resul other	ts
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EVALUATIONWEB® 2015 HIV TEST TEMPLATE

CDC requires the following in	Local Use Fields							
Was the client referred to H	L5	#	#	#	Ħ	#		
□ No>	Reason the client not referred to HIV M	Medical Care?	L6	#	#	#	#	4
	Client Declined Care	Client Already in Care Client Declined Care						
□ Yes →	Did the client attend the first appointm	Did the client attend the first appointment?						
Don't Know	☐ Pending		L9	#	#	#	#	1
	☐ Confirmed: Accessed Service — ☐ Confirmed: Did Not Access Service	First medical appointment within 90 days of the HIV test?	L10	#	#	#	#	-
	□ Lost to Follow-Up □ No Follow-Up □ Don't Know	□ No	L11	tt.	#	#	#	100
	□ Don't Know	Yes Don't Know	L12	#	#	#	#	1
Was the client referred to/o	ontacted by Partner Services?		L13	#	#	Ħ	#	1
□ No			L14	#	#	#	#	1
□ Yes →	Was the client interviewed for Partner	Services?		_	_		_	
☐ Don't Know	□ No		L15	tt.	#	#	#	-
	☐ Yes → □ Don't Know	Was the client interview within 30 days of receiving their result?		#	#	#	#	4
		□ No	L17	#	#	#	#	1
		No Ses Don't Know						
Was the client referred to H	IV Prevention Services?		CDC Use Fields					
□ No			C3	#	#	#	#	-
□ Yes →	Did the client receive HIV Prevention S	Services?	C4	#	#	#	#	-
□ Don't Know	□ No	No Yes Don't Know						-0
	Don't Know							40
What was the client's most s	severe housing status in the past 12 months (d	heck only one)?	C7	#	#	#	#	-
Literally Homeless		□ Not Asked	C8	#	#	#	#	4
☐ Literally Homeless ☐ Unstably Housed or At Risk ☐ Stably Housed	of Losing Housing	Declined to Answer Don't Know	C9	#	#	#	#	-
If female, is the client pregn	nant?		Not	es:				
□ No			 —					_
□ Yes →	Is the client in prenatal care?		1 —					_
☐ Don't Know ☐ Declined	□ No Vec	No						
☐ Not Asked	Don't Know							
Prior to the client testing po surveillance department as I	sitive during this testing event, was she/he pr being HIV-positive?	reviously reported to the jurisdiction's						_
□ No □ Yes	1 (See 1) (See 1) ()	□ Don't Know	-					_
□ Yes		□ Not Checked	J —					_

EVALUATIONWED® 2015 HIV TEST TEMPLATE

		22	Agenerase (amprenavir)
IIV Incidence (if required by health department)		30	Aptivus (tipranavir, TPV)
Date the client reported information	M M D D Y Y Y	32	Atripla (efavirenz/emtricitabine/tenofo
		24	Combivir (lamivudine/zidovudine, 3TC/A
las the client ever had a previous positive HIV test?		38	Complera (emtricitabine, rilpivirine/
Yes			tenofovir DF, FTC/RPV/TDF)
No Yes Don't Know Declined		06	Crixivan (indinavir, IDV)
Pate of first positive HIV test	M M D D Y Y Y	37	Edurant (rilpivirine, RPV)
	m m 0 0 1 1 1 1 1	11	Emtriva (emtricitabine, FTC)
las the client ever had a negative HIV test?		03	Epivir (lamivudine, 3TC)
No Yes Don't Know		28	Epzicom (abacavir/lamivudine, ABC/3TC
Don't Know Declined		25	Fortovase (saquinavir, SQV)
		10	Fuzeon (enfuvirtide, T20)
ate of last negative HIV test	M M D D Y Y Y	19	Hepsera (adefovir)
lumber of negative HIV tests within 24 months before the curre	ent Don't Know	02	Hivid (zalcitabine, ddC)
or first positive) HIV test	ent # # Don't Know	23	Hydroxyurea
as the client used or is client currently using antiretroviral medic	ration (ARV)?	18	Invirase (saquinavir, SQV)
l No		34	Intelence (etravirine)
Yes Specify antiretroviral medications		36	Isentress (raltegravir)
	des from right-hand column)	16	Kaletra (lopinavir, ritonavir)
Source Manual (See Co.)	les from right-hand column)	31	Lexiva (fosamprenavir, 908)
Declined 2 # # 4 # #	\leftarrow	07	Norvir (ritonavir, RTV)
		33	Prezista (darunavir,DRV)
ate ARV began	M M D D Y Y Y	09	Rescriptor (delavirdine, DLV)
Date of last ARV use	M M D D Y Y Y	26	Retrovir (zidovudine, ZDV, AZT)
		15	Reyataz (atazanavir, ATV)
Votes:		08	Saquinavir (Fortavase, Invirase)
		35	Selzentry (maraviroc)
		39	Stribild (elvitegravir/cobicistat/tenofovi emtricitabine)
		21	Sustiva (efavirenz, EFV)
		40	Tivicay (dolutegravir)
		13	Trizivir (abacavir/lamivudine/zidovudine ABC/3TC, AZT)
		27	Truvada (tenofovir DF/emtricitabine, TD FTC)
		01	Videx (didanosine, ddl)
		14	Videx EC (didanosine, ddl)
		17	Viracept (nelfinavir, NFV)
		05	Viramune (nevirapine, NVP)
		12	Viread (tenofovir DF, TDF)
		04	Zerit (stavudine, d4T)
		20	Ziagen (abacavir, ABC)
		88	Other
		99	Unspecified

APPENDIX B

Keeping Connecticut Healthy	Adult HIV/A		nfidentia s years of age at time		Repor	t Forn	1		
DPH USE ONLY		(Fauento 213	years or age at time	oi ulagilosis)				_	_
Date of HIV test	Surveillance Method	Source	STATE#	HARMS #	WEEK	YEAR	P	1	LN
/ /20	DA DP DF DU					20			
. PATIENT IDENTIFIE	R INFORMATION MR#			SSN#					
Patient Name:				Phone:	().	-		_	
Address:		_ City:	Co	unty:	State:	Zip:			,
	ATION								
	Program which is a conservation of the Conserv								
Facility:		Ci	ty:		State:	Zip:			
FORM INFORMATIO	N								
Date Completed:	/_/_/ Person reporting	g:		Phone:	()_				
. DEMOGRAPHIC INF		***************************************				•••••	••••••		
Diagnostic Status:	Date of Birth:	Current	Status:	Date of Dea	th:	State/Ten	Death	:	_
☐ HIV Infection ☐ AIDS						100000000000000000000000000000000000000	- Cuil		
	Race: (s	elect one or n						_	_
The State of the S	offy: (select one)	or African Am	☐ White ☐ As	ian Cou	intry of Bir	rth:			
	Hispanic or Latino	an Indian/Alask	kan Native her Pacific Islander	ПО			_ = 1	Jnk	
	sis: Same as CURRENT a County: FFLD			ND WIND S	tate:	Zip:	_		_
	Spin Colored		positive HIV test,	this patient ha	nd:				
Facility Name:		check all that	apply)				Y	N	U
□ Inpatient □ Out	patient 🗆	 Sex wit 					-		-
City		 Injecte 	d drugs						
City:	— н		clotting factor relations with the fo	llowing:			100 0		
State/Country:		• IDU					\perp		
Identification Metho			al male (applies to fer with hemophilia/ coa						
□ Lab Report □ La		 Transfi 	usion recipient w/ doc	umented HIV infe	ection		\perp		
□ Viral Load □ O	R	eceived transfu	with AIDS or docum sion Date 1*:			рестеа			
☐ Paper, field ☐ P	aper, mailed Disk	eceived transpl					-		
☐ Paper, faxed ☐ P		O IDENTIFIED		and the same of th					
7. HIV TESTING AN	D TREATMENT HISTORY				4.		**		
	Interview		Did the patie	ent ever use	antiretro			UNI	
Date patient answ		_/	If 'YES', list r	medications h	ere:				
Ever had a previou	IS <u>positive</u> HIV test?	DUNKN	First date of	ARV use:					_
Date of first position	ve HIV test:		Date of last	ARV use:					_
Has the patient eve	r had a <u>negative</u> HIV test?	UNKN	Has the pati	ent received	PCP pro			UNK	(N
Date of the last ne	gative HIV test:/_		Why was the	e patient test	ted for HI		toms/F) v 141	CI
Number of <u>negative</u>	HIV tests in the past 2 year	s:		w/HIV D'Ju		, ,			51

HIV ANTIBODY TEST	S AT DI	AGNOS	IS:				Closest to current diagnostic status:		Mo	Day	Y
(Indicate FIRST test)	JAI DI		SULT	ΙТ	EST DA	TE	CD4 count cells/ul (%)			
Pos			Neg	Мо	Day	Yr	CD4 count cells/ul (%)			\vdash
HIV-1 EIA 1			0								
HIV1/HIV2 EIA 1			0	\vdash	\vdash	-	FIRST <200 or <14% of total lymphoc	-	_		_
		_	-	\vdash	\vdash	-	CD4 count cells/ul (_%)			L
		1	0	_	_	_	CD4 count cells/ul (_%)			
		. 1	0	├	_		PHYSICIAN DIAGNOSIS:				
SPECIMEN TYPE: Ora					Seru	ım	If HIV lab tests were not available, is HIV	1	Yes	No	U
VIRAL LOAD TEST:			ST & MOS	ST RE	CENT)	_	diagnosis documented by a physician?		10000	-	
Test Type:	COPI	ES/mL:		Mo	Day	Yr	If 'YES', provide date of physician	-	Мо	Day)
11 NASBA							documentation:				上
12 RT-PCR (ST) 12 RT-PCR (UL)	1										_
13 bDNA (V2) 13 bDNA (V3)	+			-	-	\vdash	TB/HIV co-infection is reportable!				
Date of 1 st Resistance	Tost.	Lab:					Date of last tuberculin skin test:		_/_	-	_
/ /	e rest.	Lab.					Results:	Pos DN	leg 🗆	Not do	ne
							40 70547454740504050 0555	DD 41			_
. CLINICAL STATU	5				(check	one)	10. TREATMENT/SERVICES REFE	RRAL			
Clinical Record Revie	ewed?		Initia	.	2	Φ.	Detication and of his flooring ation	2 5750			18.11
□YES □ NO			Dx Dat		g	itis	Patient informed of his/her infection	I LITES		0 0	INI
LIES LINO			(mo/day		Presumptive	Definitive	This patient's partners will be notified				_
AIDS INDICATOR DIS	EASES:	_			LL.		about their HIV exposure and	This patient's medical treatment is primarily reimbursed by:			
Candidiasis, bronchi, trachea	, or lungs			$=\downarrow$	_	_	counseled by:				
Candidiasis, esophageal				-+	\rightarrow		□ Physician/provider				
Cervical cancer, Invasive		\rightarrow		\rightarrow	\rightarrow	_	Patient	☐ Medic			
Coccidioldomycosis, dissemi extrapulmonary	nated or			_			Unknown		care te insurance/HM0		
Cryptococcosis, extrapulmon	ary			_			Health care providers can	☐ No coverage			
Cryptosportdiosis, chronic int	testinal				-		request assistance for notification of potentially	Other			
Cytomegalovirus disease (or spieen, or nodes)	ther than IIV	ver,		_			exposed partners. Would you	☐ Clinic trial/progra ☐ Unknown			
Cytomegalovirus retinitis (wit	h loss of vis	sion)			\neg		like this assistance from DPH?	L Olikin			
HIV encephalopathy		,			\neg		☐ YES PLEASE ☐ NO THANKS				
Herpes simplex: chronic ulo	ers; or bron	chitis,					Is patient enrolled in a clinical trial?	Yes	N	0	Ur
pneumonitis, or esophagitis Histopiasmosis, diss. or extra	nulmonary	,	1 1	\rightarrow	\rightarrow	\dashv	If 'YES', name:				
Isosporiasis, chronic intestina			1 1		$\overline{}$	$\overline{}$					_
Kaposi's sarcoma				=+	\dashv		Is patient receiving or been referred for				
Lymphoma, Burkitt's (or equi	valent)		_/_/		\dashv		V2. 1: 0 L 2 2		П	T	
Lymphoma, Immunoblastic (nt)					HIV related medical services:				
Lymphoma, primary in brain				_			Colored about the state of the			\neg	_
Mycobacterium avium compi	ex or M. ka	nsasil,					Substance abuse treatment services:				
diss. or extrapulmonary M. tuberculosis, pulmonary		$\overline{}$			\dashv	\neg	44 FOR WOMEN				
M. tuberculosis, diss. or extra	apulmonary				\dashv	-	11. FOR WOMEN				_
Mycobacterium of other or ur	nidentified		1 1		\neg		Is patient receiving or been referred				
species, diss. or extrapulmor				$=$ \downarrow	\rightarrow		for OB/GYN services?	D Y			
Pneumocystis carinii pneumo	onia	_	<u> </u>	= $+$	-		Is this patient currently pregnant?		Y DN DU		
Pneumonia, recurrent		-th-					If 'YES', when is the due date?		/		-
Progressive multifocal leukos		athy	 _	=+	-		Has the patient delivered any infants?		ON		
Salmonella septicemia, recur	rent	_	<u> </u>	=+	-	_	If "YES", child's date of birth:	_	/	/	-
Toxoplasmosis of brain Wasting syndrome due to Hi		_		=+	-		Hospital of birth:	State			•
VALUE OF SAME PARTY OF THE 20 HIS	V	- 1			1	- 1	Ony.				40

APPENDIX C



STATE OF CONNECTICUT

Dr. Katherine A. Kelley Public Health Laboratory Connecticut Department of Public Health 395 West Street Rocky Hill, CT 06067

April 20, 2015

To: Users of Connecticut Department of Public Health (CTDPH) HIV Laboratory Testing Services.

Effective April 27, 2015, the Dr. Katherine A. Kelley Public Health Laboratory will implement a new HIV laboratory testing algorithm based on updated recommendations issued by the Centers for Disease Control and Prevention (Laboratory Testing for the Diagnosis of HIV Infection: Updated Recommendations). The recommended algorithm has several advantages over previous recommendations, including more accurate laboratory diagnosis of acute HIV-1 infection, equally accurate laboratory diagnosis of established HIV-1 infection, and more accurate laboratory diagnosis of HIV-2 infection, fewer indeterminate results, and faster turnaround time for most test results. Briefly, this test algorithm will include initial testing with an FDA-approved antigen /antibody combination (4th generation) enzyme immunoassay (Genetic Systems HIV-1/HIV-2 Ag/Ab Combo EIA) followed by an antibody differentiation test (the Multispot HIV-1/HIV-2 Rapid Test that can differentiate HIV-1 from HIV-2 antibodies) that is performed when the initial 4th generation EIA is repeatedly reactive. HIV-1 Nucleic Acid testing (HIV-1 NAT) will also be available as a send-out referral test when indicated (e.g. initial 4th generation EIA is repeatedly reactive/Multispot negative or indeterminate). Since the 4th generation antigen/antibody combo EIA detects HIV-1 p24 antigen, in addition to HIV-1 and HIV-2 antibody, the updated algorithm, also utilizing the HIV-1 NAT when indicated, will allow detection of HIV infection earlier during seroconversion.

Please note the following changes associated with the implementation of the updated HIV test algorithm:

- Testing of oral fluid specimens (Orasure® HIV-1 Oral Specimen Collection Device) will be discontinued as
 of June 1, 2015. One tube of whole blood, serum or plasma will be the only specimens accepted for testing. Please
 submit a separate second tube when requesting multiple additional tests (e.g. Syphilis Serology and HCV
 Antibody). After specimen collection, refrigerate specimens at 2-8°C prior to shipment to the laboratory.
 Specimens should be received by the laboratory within 7 days of collection.
- HIV-1 Western blot testing is no longer part of the recommended algorithm and will be discontinued.
 Supplemental testing will be performed using the Multispot HIV-1/HIV-2 Rapid Test.
- Since no further testing is required for specimens that are nonreactive on the initial 4th generation EIA, supplemental testing with the Multispot HIV-1/HIV-2 Rapid Test will only be performed when the initial 4th generation EIA is found to be repeatedly reactive (presumptive positive) by the CTDPH laboratory.

Please call 860-920-6662 with any questions or concerns as needed.

Anthony Muyombwe/PhD, HCLD (ABB) Bioscience Laboratory Division Director

Dr. Katherine A. Kelley Public Health Laboratory

Connecticut Department of Public Health

395 West Street Rocky Hill, CT 06067

E-mail: anthony.muyombwe@ct.gov

CT Department of Public Health (DPH)

TB, HIV, STD, & Viral Hepatitis Program

HIV Prevention Program

If you have any questions regarding the reporting of HIV positives cases to the CT DPH, please contact:

OTL Forms:

Susan Major, OTL Quality Improvement (QI) Coordinator

Tel: 860-509-7821

Email: susan.major@ct.gov

Routine Testing Forms (ETI Programs):

Dulce Dones-Mendez, Expanded Testing Initiative (ETI) Coordinator

Tel: 860-509-8054

Email: <u>dulce.dones-mendez@ct.gov</u>