



**Connecticut Department of  
Energy & Environmental Protection**

**Applicant Compliance Information**

<b>DEEP ONLY</b>
App. No. _____
Co./Ind. No. _____

<b>Applicant Name:</b>		
Mailing Address:		
City/Town:	State:	Zip Code:
Business Phone:	ext.:	
Contact Person:	Phone:	ext.
*E -mail:		
If you answer yes to any of the questions below, you must complete the Table of Enforcement Actions on the reverse side of this sheet as directed in the instructions for your permit application.		
A. During the five years immediately preceding submission of this application, has the applicant been convicted in any jurisdiction of a criminal violation of any environmental law?		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
B. During the five years immediately preceding submission of this application, has a civil penalty been imposed upon the applicant in any state, including Connecticut, or federal judicial proceeding for any violation of an environmental law?		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
C. During the five years immediately preceding submission of this application, has a civil penalty exceeding five thousand dollars been imposed on the applicant in any state, including Connecticut, or federal administrative proceeding for any violation of an environmental law?		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
D. During the five years immediately preceding submission of this application, has any state, including Connecticut, or federal court issued any order or entered any judgement to the applicant concerning a violation of any environmental law?		
<input type="checkbox"/> Yes <input type="checkbox"/> No		
E. During the five years immediately preceding submission of this application, has any state, including Connecticut, or federal administrative agency issued any order to the applicant concerning a violation of any environmental law?		
<input type="checkbox"/> Yes <input type="checkbox"/> No		

### Table of Enforcement Actions

(1) Type of Action	(2a) Date Commenced	(2b) Date Terminated	(3) Jurisdiction	(4) Case/Docket/ Order No.	(5) Description of Violation

Check the box if additional sheets are attached. Copies of this form may be duplicated for additional space.