# Connecticut State Board of Accountancy Meeting Agenda

# Thursday, September 6, 2012 - 8:30 A.M.

Second Floor Conference Room 30 Trinity St., Hartford, CT 06106 860-509-6179 sboa@ct.gov

#### OFFICE AND BOARD OPERATIONS AND ADMINISTRATION

**1. Action required** - Approve Minutes of the August 2, 2012 Board Meeting **EXAM AND LICENSING** 

- 2. Review Certificates, Registrations, Licenses and Permit applications approved by the Interim Manager between dates of August 2, 2012 September 6, 2012.
- 3. PCAOB Inspection Reports Received member comment on review
- Review August 10, 2012 Report on Freed Maxick CPAs, P.C.

# Whittlesey & Hadley, P.C.

- **4. Action required** Industry, Government, or self employed experience, and other applications
- Kyle Nemchek application for Initial Certificate & Initial CPA License non-public experience and public experience.
- (Add On) Gary Stephen Farber application for Initial Certificate & Certificate Registration non-public experience

### **REGULATORY ACTIVITY**

5. NASBA Activities

NASBA Regional Directors' Focus Questions

Enforcement Resources Committee—Report by Len Romaniello

Dan Dustin to attend our October 4<sup>th</sup> meeting. Discuss topics/questions for his visit

#### **OTHER BUSINESS**

6. Old Business -

- Q& A House Bill 5025
- Penalty Fees Request vote to create administrative fee schedule

**Enforcement Cases** 

Open/Pending enforcement cases

Actions Requested:

Recommending Dismissal:

- 2009035-3209 Adiletta
- 2009155-3331 Totilo
- Recommending Settlement:
- 2007056-3056 Faraci,
- 2008002-3659 Delucia,
- 2010186-3539 Witts,

## Recommending Hearing/ETC:

- 2009047-3221 Thibodeau
- 2009137-3311 Smerigilo,
- 2012011-3760 Ciccarelli
- 2012010-3758 Crawford,

Applications tabled

Other

New certificate production

#### **PUBLIC COMMENT**

7. Opportunity for members of the public to address the Board

#### **EXECUTIVE SESSION**

Personnel Matters

Next two scheduled meetings:

- Thursday, October 4, 2012, 2012 8:30 AM 2nd floor conference Rm. 30 Trinity St. Hartford, CT
- Thursday, November 1, 2012 8:30 AM 2nd floor conference Rm. 30 Trinity St. Hartford, CT