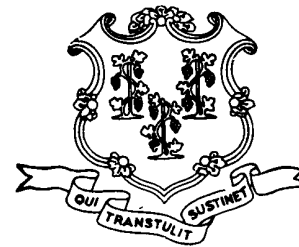


STATE OF CONNECTICUT  
DEPARTMENT OF CONSUMER PROTECTION  
Liquor Control Division

Telephone: (860) 713-6210

Email: [dcp.liquorcontrol@ct.gov](mailto:dcp.liquorcontrol@ct.gov)

Web Site: [www.ct.gov/dcp/liquorcontrol](http://www.ct.gov/dcp/liquorcontrol)



**INSTRUCTIONS AND INFORMATION:**

**Manufacturer Farm Winery or Manufacturer Farm Brewery Liquor Permit  
Application or Manufacturer Farm Distillery**

**PLEASE READ ALL INSTRUCTIONS AND INFORMATION BEFORE COMPLETING  
APPLICATION. APPLICATION WILL NOT BE ACCEPTED IF INCOMPLETE OR IF ANY  
REQUIRED DOCUMENT IS MISSING.**

**Fees and Form of Payment:**

The total filing fee of \$400.00 for Manufacturer Farm Winery or \$400.00 for Manufacturer Farm Brewery or \$400.00 for Manufacturer Farm Distillery is required for successful submission of this application. Checks and/or money orders should be made payable to "Treasurer, State of Connecticut" and must accompany this application. The application filing fee of \$100.00 is included in the total filing fee and is not refundable. If you are filing the application in person with the department, cash may be accepted. You may not submit cash via mail.

**The Application Process**

**\*\*Once we are in receipt of your complete and correctly executed application and filing fee, a Liquor Placard will be mailed to you with additional instructions for public notification. The placarding process is critical to application approval. A placarding error can delay a permit approval at least two additional months. A Liquor Control Agent will then be assigned to your file and will contact you to begin the remainder of the new application process. If for any reason a Final Liquor Permit is not issued within a period of one year, you will be required to file another application.\*\***

**Definitions**

**Permittee** – The permittee is the applicant for the liquor permit. The permittee is a person designated as the representative of the backer for the permit premises. The permittee must be able to read and understand English. The permittee can be the owner/backer of the business that holds the permit.

**Backer** – An individual or legal business entity that owns the business to which the liquor permit is issued.

**Authorized Backer Representative** – An individual who is legally authorized by the nature of the position held (i.e. corporate officer) in the business, or through a power of attorney to sign documents and make decisions related to the liquor permit.

**\*\*Section 30-45** of the Connecticut General Statutes prohibits the following individuals and officer holders from obtaining a liquor permit. Section 30-45 appears below and the prohibited individuals are noted. If you are a prohibited individual, you may not apply for a liquor permit.

CT General Statutes, Section 30-45: The department of consumer protection shall refuse permits for the sale of alcoholic liquor to the following persons (1) Any sheriff, deputy sheriff, judge of any court, prosecuting officer or member of any police force, (2) a minor, and (4) any constable who performs criminal law enforcement duties and is considered a peace officer by town ordinance pursuant to the provisions of subsection (a) of section 54-1f, any constable who is certified under the provisions of sections 7-294a to 7-294e, inclusive, who performs criminal law enforcement duties pursuant to the provisions of subsection (c) of section 54-1f, or any special constable appointed pursuant to section 7-92. This section shall not apply to out-of-state shippers', boat and airline permits. As used in this section, "minor" means a minor as defined in section 1-1d or as defined in section 30-1, whichever age is older.

# APPLICATION INSTRUCTIONS AND DOCUMENTS REQUIRED FOR MANUFACTURER FARM WINERY, MANUFACTURER FARM BREWERY OR MANUFACTURER FARM DISTILLERY PERMIT APPLICATION TO BE ACCEPTED

## APPLICATION FOR MANUFACTURER FARM WINERY OR MANUFACTURER FARM BREWERY OR MANUFACTURER FARM DISTILLERY LIQUOR PERMIT

Complete all four pages of the application. Every question must be answered, and all necessary approvals obtained (zoning, fire marshal, town clerk) If left blank, the application will not be accepted. If the question does not apply, enter the word “none”.

### Completing the Application

#### Section A: Business Information

**Item #1; #3 through #9** – Complete this section with type of permit selected, trade name and business information. Include any entertainment you may have at your premises. After your permit is issued, entertainment cannot be changed until your yearly permit renewal.

**Item #2** - If you are applying for a provisional permit, please complete the Provisional Permit Agreement form included in this application package (see attached instructions and explanation)

#### Section B: Approval of Local Officials

**Items #10 through #12** need to be signed and completed by your local public officials. No applications will be accepted without local zoning approval and town clerk certification.

#### Section C: Permittee Applicant Information

**Item #13 through #17** Please enter name, address and contact information for permittee.

#### Section D: Preferred Mailing Address

**Item #18 and #19** Indicate mailing address for all correspondence. If you would like correspondence mailed to an address other than the business or permittee home address, specify in #19 and #20.

#### Section E: Backer Information

**Item #20 through #26** – Provide correct backer name in #21. Backer name is the **name** of the Limited Liability Company or Corporation that is registered with the Secretary of the State or the individual name of the Sole Owner that has not formed a LLC or Corporation or the individual names of a Partnership that has not formed a LLC or Corporation, etc.

#### Section F: Current or Previous Liquor Permits Held By Permittee or Backer

**Item #27 through #29** – List current or previously held liquor permits. Include any permits held by permittee, backer; sole proprietor, partner or a member of a partnership organization, corporation, limited liability company etc. If there are none, check “NO” in #27a and #28b.

#### Section G: Certifications Required from Federal and State Agencies

Provide a copy of the approval as a manufacturer obtained from the Alcohol and Tobacco Tax and Trade Bureau (TTB). Also, provide a copy of the Alcoholic Beverages Distributor License from the Connecticut Department of Revenue Services.

#### Section H: Certification of Permittee Applicant and Backer or Authorized Representative of Backer

**Items #30 and #31** - The permittee listed in Section C #13 of the application must sign #30. The backer/owner listed in Section E or authorized backer representative must sign #31.

1. **FEE AND FORM OF PAYMENT:**

The total filing fee of \$400.00 for Manufacturer Farm Winery or \$400.00 for Manufacturer Farm Brewery or \$400.00 for Manufacturer Farm Distillery is required for successful submission of this application. Checks and/or money orders should be made payable to “*Treasurer, State of Connecticut*” and must accompany this application. **The application filing fee of \$100.00 is included in the total filing fee and is not refundable.** **If you are filing the application in person with the department, cash may be accepted. You may not submit cash via mail.**

2. **PROVISIONAL PERMIT AGREEMENT Form (If Applicable)**

If you check ‘Yes’ to #2 in the initial application, please complete all sections of this form. This form will need to be submitted and completed before a provisional permit can be approved and authorized by the Liquor Control Commission. (Please see “Provisional Permit explanation” form included in this application packet for questions regarding the provisional permit.

3. **SKETCH**

A diagram, sketch, plan or blueprint of the layout of the premises, including patios, **must be 8 ½” x 11”** in size showing all dimensions, height of separations, outside measurements of bars, measurement of doorways separating the barroom from the other rooms, the manufacturing room, all rooms labeled (e.g., dining room, lockable storage area, barroom and kitchen), and any other areas considered to be part of your permit premises. **A diagram, sketch, plan or blueprint larger than 8 ½” x 11” will not be accepted. If needed, you may submit additional 8 ½” x 11” pages.**

4. **SALES TAX NUMBER**

Submit copy of Connecticut Sales and Use Tax Permit, or copy of receipt as proof of filing from the Connecticut Department of Revenue Services.

5. **FEDERAL PERMIT APPROVAL (TTB)**

Provide a copy of federal approval as a Manufacturer by the Alcohol and Tobacco Tax and Trade Bureau (TTB).

6. **ALCOHOL BEVERAGES DISTRIBUTOR LICENSE**

Submit a copy of your Alcohol Beverages Distributor License from the Connecticut Department of Revenue Services as proof that a proper tax bond has been posted.

7. **LEASE / DEED / EVIDENCE OF RIGHT TO OCCUPY**

Provide a copy of the lease. The tenant listed on the lease needs to be the backer entity indicated on the application. Any assignment needs to be the backer entity indicated on the application with written consent of the landlord. If the backer entity is the owner of the property, provide a copy of the deed or a town property record card for the property. If you cannot provide a lease or deed at the time of application, the Department would accept other documentation showing evidence of the intention of the right to occupy the property.

8. **AGGREGATE ACREAGE REQUIREMENT (FARM WINERY ONLY)**

To qualify for the farm winery permit, you must be able to show proof of a minimum of having an aggregate acreage of not less than five (5) acres in this state. Provide a copy of a plot map of the property that the farm winery will be located on, with the areas that will be used to grow crops for the production of wine highlighted, and the total amount of acres used for growing the crops indicated on the plot map. In the event that the farm winery will consist of more than one property for growing crops, then a plot map of all properties being farmed should be included.

***\*There is no minimum acreage requirement for either the Manufacturer Permit for Farm Brewery or the Manufacturer Permit for Farm Distillery.***

9. **AUTHORIZATION FOR RELEASE OF FINANCIAL INFORMATION AND STATEMENT OF PERSONAL HISTORY**

The applicant/permittee and backers (individuals, partners, general partner and limited partners in a limited partnership, officers, directors and limited liability company (LLC) manager/members in a LLC, corporate officers and stockholders) must complete an authorization for release of financial information and statement of personal history.

10. **CRIMINAL CONVICTION WORKSHEET**

If the permittee or any member of the backer has a felony conviction, complete the Criminal Conviction Worksheet, pursuant to Section 46a-80(b) of Connecticut General Statutes. (*DCPLC-CHRO*)

11. **AUTHORIZATION OF THE BACKER LEGAL ENTITY FOR RELEASE OF FINANCIAL INFORMATION**

Only authorized individuals of the backer may sign on behalf of the entity.

12. **BACKER'S FINANCIAL STATEMENT**

Complete this form which is attached to the application.

13. **CORPORATIONS & L.L.C.**

Provide proof of filing of organization papers with the Connecticut Secretary of State.

14. **PARTNERSHIP**

Provide partnership agreement if backer/owner is a formal partnership or limited partnership. If no agreement exists, provide a letter to that effect that there is no such agreement.

15. **FRANCHISE OR MANAGEMENT AGREEMENT**

Provide any franchise or management agreement if applicable.

16. **PHOTOGRAPHS**

Provide 8"x 10" in size (any photos smaller than this required size **will not be accepted**).

a) 8" x 10" Photos of the completed and furnished interior rooms

b) One 8" x 10" photo taken from a position directly across the street or highway

Applicants must furnish photographs showing the full interior of the barroom, manufacturing room, lockable liquor storage and kitchen, where applicable.

**\*\*Please Note\*\***

- **Photos must be 8"x 10". The applicant's name, business address and date photo taken shall be on the back of all photographs.**
- **For premises which has not been constructed, the submission of photographs are not required for the acceptance of a new application. (see #19)**

17. **MANUFACTURING EQUIPMENT LIST**

Provide a list of all equipment to be used in the manufacturing process of the wine, beer or liquor. (i.e.- fruit crushers, presses, fermentation tanks, stills and/or bottling equipment, etc.)

16. **ADDITIONAL CONSUMER BAR(S)**

If the premises will have more than one consumer bar, complete the Patio/Extension of Use/Additional Consumer Bar application.

18. **EXTENSION OF USE FORM**

Complete Patio/Extension of Use/Additional Consumer Bar application if you plan to serve or allow alcohol at any outside areas.

19. **SIGNED STIPULATION FOR NEW CONSTRUCTION**

If you are applying for a permit for a building that has not been constructed, you shall provide to the Department a signed stipulation setting forth a time limit for the construction and occupancy for the proposed permit premises. Please note that the date of filing an application, as defined in section 30-39(a) CGS, to the date of the issuance of a final permit shall not exceed one year, regardless of whether a provisional permit has been applied for, approved, or issued. After one year, a new liquor application will be required.

**ONCE THE APPLICATION IS RECEIVED AND ACCEPTED BY THE DEPARTMENT OF CONSUMER PROTECTION, ADDITIONAL DOCUMENTS AND/OR INFORMATION MAY BE REQUIRED OF YOU BY A LIQUOR CONTROL AGENT AS PART OF THE REVIEW AND INVESTIGATION PROCESS.**

20. **AFFIDAVIT OF SELLER'S UNPAID OBLIGATIONS**

If a business or equipment was purchased from the previous permit holder, this form must be signed at the closing. This form can be found on our website <http://www.ct.gov/dcp/liquorcontrol>

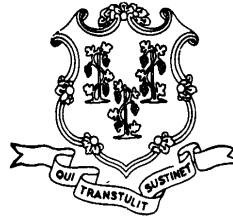
21. **ABANDONMENT AFFIDAVIT**

If the premises were abandoned by the previous permit holder/backer and did not receive any consideration from you, whether direct or indirect, this form will need to be completed. This form can be found on our website <http://www.ct.gov/dcp/liquorcontrol>

22. **FIRE MARSHAL APPROVAL**

This form can be found on our website <http://www.ct.gov/dcp/liquorcontrol>

STATE OF CONNECTICUT  
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**APPLICATION FOR MANUFACTURER FARM WINERY OR  
 MANUFACTURER FARM BREWERY OR MANUFACTURER FARM DISTILLERY  
 LIQUOR PERMIT**

<input type="checkbox"/> <b>Manufacturer Farm Winery (LFW) \$400.00</b>	<input type="checkbox"/> <b>Manufacturer Farm Brewery (LBF) \$400.00</b>	<input type="checkbox"/> <b>Manufacturer Farm Distillery (LDF) \$400.00</b>
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Checks and/or money orders should be made to “*Treasurer, State of Connecticut*” and must accompany this application. The application fee is included in the above fees and is non-refundable. Return your completed application, documentation and appropriate fee to: **Department of Consumer Protection, 450 Columbus Blvd, Suite 801, Hartford, CT 06103**

**Section A: BUSINESS INFORMATION**

**ADDRESS AT WHICH BUSINESS WILL BE CONDUCTED**

1. Trade Name (DBA Name)		2. Are you requesting a Provisional Permit? <input type="checkbox"/> YES <input type="checkbox"/> NO	
3. Business Address		City	State
3. Business Address		Zip Code	
4. Business Telephone Number	5. Business Fax Number	6. Business Email Address	
7. Is there currently a liquor permit at the proposed premises? <input type="checkbox"/> YES <input type="checkbox"/> NO	<i>If yes, current permit number</i>	8. Patio? (If yes, complete attached patio request form) <input type="checkbox"/> YES <input type="checkbox"/> NO	
9. Type of Live Entertainment: <input type="checkbox"/> YES <input type="checkbox"/> NO (If yes, please check (✓) all that apply below)			
<input type="checkbox"/> Acoustics - (Not Amplified)	<input type="checkbox"/> Disc Jockeys	<input type="checkbox"/> Live Bands	<input type="checkbox"/> Comedians
<input type="checkbox"/> Concerts	<input type="checkbox"/> Karaoke	<input type="checkbox"/> Plays/Shows	<input type="checkbox"/> Exotic Dancers
		<input type="checkbox"/> Sporting Event(s)	<input type="checkbox"/> Magicians

**Section B: APPROVAL/CERTIFICATION OF LOCAL OFFICIALS**

**10. Zoning Authority Approval:** I certify that I am familiar with the zoning ordinances and bylaws of the city/town identified in item #4 of this application and they do not prohibit the sale of alcoholic beverages under the type of liquor permit/establishment identified in this application and/or entertainment listed in #9.

Signature of Zoning Official **X** \_\_\_\_\_ Print Name \_\_\_\_\_  
 Title of Official \_\_\_\_\_ Date \_\_\_\_/\_\_\_\_/\_\_\_\_

**11. Fire Marshal’s Approval:** I certify that the premises identified in items #3 of this application is physically constructed in a manner that is safe for the type of business that will be operated there.

Signature of Fire Marshal **X** \_\_\_\_\_ Print Name \_\_\_\_\_  
 Title of Official \_\_\_\_\_ Date \_\_\_\_/\_\_\_\_/\_\_\_\_

**12. Certification of Town Clerk:** The town in which the business identified in item # 3 of this application is to be operated, has no ordinance restricting the hours of sale of alcoholic liquors beyond those set forth in State law except as indicated in the box below. (If none, please enter “NONE”)

Additional Restrictions:

Signature of Town Clerk **X** \_\_\_\_\_ Date \_\_\_\_/\_\_\_\_/\_\_\_\_

**Section C: PERMITTEE APPLICANT INFORMATION**

13. Permittee Name (First, Middle, Last)				
14. Permittee Residence Street Address		City	State	Zip Code
15. Permittee Telephone Number	16. Permittee Fax Number	17. Permittee Email Address		

**Section D: PREFERRED MAILING ADDRESS**

Check (✓) one box below and enter address if different than Business or Permittee Address

**BUSINESS ADDRESS**
                         
  **PERMITTEE ADDRESS**
                         
  **ADDRESS BELOW**

18. Name				
19. Address		City	State	Zip Code

**Section E: BACKER INFORMATION**

\* **Each backer individual** must also complete the “**Authorization for Release of Financial Information & Statement of Personal History**” form that accompanies this application

20. Backer: Please select the type of Backer (individual or legal entity that owns the business) below Please check (✓) only one					
<input type="checkbox"/> <b>Sole Proprietorship/ Owner</b>	<input type="checkbox"/> <b>Corporation</b>	<input type="checkbox"/> <b>Limited Liability Company</b>	<input type="checkbox"/> <b>Partnership</b>	<input type="checkbox"/> <b>Limited Liability Partnership</b>	<input type="checkbox"/> <b>Unincorporated Association</b>
21. Name of Corporation, LLC, Partnership, Sole Proprietorship, etc.					
22. Street Address		City	State	Zip Code	
23. Backer Telephone Number	24. Backer Fax Number	25. Backer Email Address			
26. Backers: List individuals below (for example; sole owner, corporate officers, members, etc.) Attach additional sheet if needed.					
a. Name (First, Middle, Last)			Title	% of ownership or # of shares	
b. Name (First, Middle, Last)			Title	% of ownership or # of shares	
c. Name (First, Middle, Last)			Title	% of ownership or # of shares	
d. Name (First, Middle, Last)			Title	% of ownership or # of shares	

**Section F: CURRENT OR PREVIOUS LIQUOR PERMITS HELD BY PERMITTEE OR BACKER**

This section applies to the permittee applicant, and to each backer who is a sole proprietor, partner or a member of a partnership organization, corporation, and members of a limited liability organization or unincorporated associations. \*Attach a separate sheet if needed.

27a. Does any Permittee or Backer currently hold a liquor permit? <input type="checkbox"/> YES <input type="checkbox"/> NO			
27b. Has any Permittee or Backer held a liquor permit in the past? <input type="checkbox"/> YES <input type="checkbox"/> NO			
<i>If yes, please complete the permit information for each past or present permit below</i>			
28a. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
28b. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
28c. Type of liquor permit (e.g., cafe)	Liquor permit #	State in which issued	Name of business
Name of backer or permittee for the permit		Were/Are you a backer or permittee of the permit? <input type="checkbox"/> Backer <input type="checkbox"/> Permittee	Dates held
29. Have any of the permits listed above been revoked, suspended or denied in CT or any other state? <input type="checkbox"/> YES <input type="checkbox"/> NO			If <u>yes</u> , attach a statement detailing the enforcement action(s) taken including violation(s), date(s), and the circumstance(s) involved.

**Section G: CERTIFICATIONS REQUIRED FROM FEDERAL AND STATE AGENCIES**

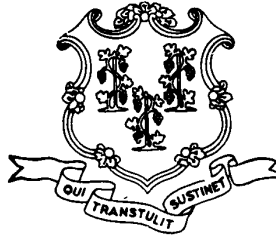
<b>Provide a copy of approval as a Manufacturer by the Alcohol and Tobacco Tax and Trade Bureau (TTB).</b>
<b>Provide a copy of your Alcoholic Beverages Distributor License from the CT Department of Revenue Services.</b>

**Section H: CERTIFICATION OF PERMITTEE APPLICANT AND BACKER OR AUTHORIZED REPRESENTATIVE OF BACKER**

<p><b>30. Permittee Certification</b> (To be signed by permittee applicant, identified in "Section A" of this application) I certify that the information provided in this application is true to the best of my knowledge.</p>	<p>Signed by Permittee Applicant</p> <p><b>X</b> _____</p>	<p>Date</p>
<p><b>31. Backer Certification</b> (To be signed by backer or the authorized representative of the backer) I certify that the information provided in this application is true to the best of my knowledge and that the permittee applicant identified in "Section A" of this application is designated as my principal representative on the premises for which this application is being submitted.</p>	<p>Signed by Backer or Authorized Representative of Backer</p> <p><b>X</b> _____</p> <p>_____</p> <p>Print Name and Title</p>	<p>Date</p>



STATE OF CONNECTICUT  
**DEPARTMENT OF CONSUMER PROTECTION**  
**LIQUOR CONTROL DIVISION**  
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 Website: [www.ct.gov/dcp/liquorcontrol](http://www.ct.gov/dcp/liquorcontrol)



**PROVISIONAL PERMIT AGREEMENT FORM**

**A. PERSONAL/BUSINESS INFORMATION:**

Permittee/Authorized Representative of the Backer	Trade Name of Proposed Premises		
Proposed Premises Street Address	City	State	Zip Code
Backer Legal Entity Name:			

**B. REQUEST AND STIPULATED AGREEMENT FOR PROVISIONAL:**

I have submitted an application for a liquor permit to the Department of Consumer Protection and hereby request a Provisional Permit pursuant to Sec. 30-35b, Connecticut General Statutes. In the event my request is granted, I understand there will be an additional fee of \$500 associated with the issuance of such provisional permit.

I also agree with the Department of Consumer Protection that if for any reason I am not approved for a liquor permit within 90 days of this date, that my provisional permit will give no right or authority to sell alcohol, unless I request, and the Liquor Control Commission, grants an extension of my provisional permit. I understand that my application must be investigated and that I must provide the department all documentation required to process my application. I also understand that if a remonstrance is filed within the time prescribed by statute, the Liquor Control Commission is required to conduct a hearing regarding the suitability of person or place.

**Sec. 30-35b. Ninety-day provisional permit.**

A ninety-day provisional permit shall allow the retail sale of alcoholic liquor by any applicant and his backer, if any, who has made application for a liquor permit pursuant to section 30-39 and may be issued at the discretion of the Liquor Control Commission. If said applicant or his backer, if any, causes any delay in the investigation conducted by the Department of Consumer Protection pursuant to said section, the ninety-day provisional permit shall cease immediately. Only one such permit shall be issued to any applicant and his backer, if any, for each location of the club or place of business which is to be operated under such permit and such permit shall be nonrenewable but may be extended due to delays not caused by the applicant. The fee for such ninety-day permit shall be five hundred dollars.

**C. CREDIT WAIVER REQUEST:** I do hereby request approval by the Department of Consumer Protection to allow wholesalers to extend credit while I am operating under a provisional liquor permit, pursuant to Section 30-6-A36(b) of the Regulations of Connecticut State Agencies.  YES  NO

*(If YES, please provide proof that the backer is fiscally responsible. This can be demonstrated by submitting a complete financial statement and any supporting documentation.)*

**Sec. 30-6-A36(b). Period of credit.**

No wholesaler shall provide credit to a permittee while under a provisional permit, unless otherwise approved by the department.

I certify, under penalty of law that the information provided in this statement is the truth to the best of my knowledge.

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
 Signature of Applicant, Permittee, Backer, Backer / Print Name / Date  
 Member or Partner completing this statement

## PROVISIONAL PERMIT

If you are applying for a liquor permit, please realize that the Department needs to investigate the submitted application and documents to ensure that the location and the applicant are suitable. In most instances, a final liquor permit may be issued by the Department between 60 to 90 days from the time it is filed with the Department.

If you are applying for a liquor permit, and you anticipate opening your business in less than the projected timeframe for review, you may wish to consider requesting a provisional liquor permit at the time that you file your application with the Department. You may file for a provisional permit at any point during the application investigation/review process by notifying your assigned agent, in writing, of the request for a provisional permit.

A provisional permit may be requested during the investigation and public notification of your application for liquor permit. The Liquor Commission has the discretion to grant or deny your provisional permit request. The fee shall be five hundred dollars (\$500.00) after granting approval and upon issuance of this permit.

If approved, you will be required to make cash payment for alcoholic liquor on any delivery from a wholesaler or manufacturer. However, the Department has the discretion to waive this requirement and allow wholesalers to extend credit to you while operating under a provisional permit. If you decide to request a provisional permit and wish to request a C.O.D. payment, please attach a letter of such request when you submit your application for your liquor permit.

Your provisional permit is effective for 90 days, but may be extended by the Liquor Control Commission, as long as you did not cause the delay. As your expiration date of the provisional permit approaches, fax us a written request to extend the provisional permit, along with providing a copy of the provisional permit. Faxes may be sent to the Department at (860) 713-7235.

Below is the relevant statute and regulation.

**Sec. 30-35b. Ninety-day provisional permit.** A ninety-day provisional permit shall allow the retail sale of alcoholic liquor by any applicant and his backer, if any, who has made application for a liquor permit pursuant to section 30-39 and may be issued at the discretion of the Liquor Control Commission. If said applicant or his backer, if any, causes any delay in the investigation conducted by the Department of Consumer Protection pursuant to said section, the ninety-day provisional permit shall cease immediately. Only one such permit shall be issued to any applicant and his backer, if any, for each location of the club or place of business which is to be operated under such permit and such permit shall be nonrenewable but may be extended due to delays not caused by the applicant. The fee for such ninety-day permit shall be five hundred dollars.

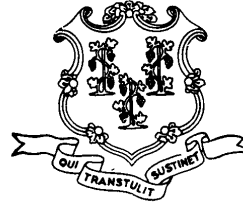
**Sec. 30-6-A36 (b). Period of credit** No wholesaler shall provide credit to a permittee while under a provisional permit, unless otherwise approved by the department.

STATE OF CONNECTICUT  
 DEPARTMENT OF CONSUMER PROTECTION  
 LIQUOR CONTROL DIVISION

Telephone: (860) 713-6210

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Website: [www.ct.gov/dcp/liquorcontrol](http://www.ct.gov/dcp/liquorcontrol)



**AUTHORIZATION FOR RELEASE OF FINANCIAL INFORMATION & STATEMENT OF PERSONAL HISTORY**

All spaces must be completed – **please print or type**. This statement must be completed by the permittee and each person who is a backer member or partner for this liquor permit. Please attach a separate sheet if necessary.

**A. PERSONAL/BUSINESS INFORMATION:**

Last Name		First Name		Middle Name
Business Title	Relationship to Liquor Permit <input type="checkbox"/> Permittee <input type="checkbox"/> Backer	% Interest / # of Shares	Aliases, Other names known by, Maiden name	
Residence Street Address (no P.O. Boxes):		City or Town:	State:	Zip Code:
Telephone Number (Home):	Telephone Number (Cell):	Fax Number:	E-mail Address:	
Motor Vehicle Driver's License Number		State of Issue:	Sex: <input type="checkbox"/> Male <input type="checkbox"/> Female	
Date of Birth	Place of Birth	Are you a US Citizen? <input type="checkbox"/> Yes <input type="checkbox"/> No	If No, Alien Reg Number:	Date & Place of Naturalization

**B. EMPLOYMENT OF PUBLIC OFFICES:** Please indicate below any public offices held by the applicant, individual backers, shareholders, corporate officers, LLC members, etc. *\*Please attach a separate sheet if necessary*

Name	Title	Place	Town, City, State or Federal Agency

If NONE, check here  **NONE**

**C. CRIMINAL HISTORY:** Have you had any prior felony convictions?  YES  NO  
*(If YES, please complete the "CHRO-Review of Criminal Convictions Worksheet")*

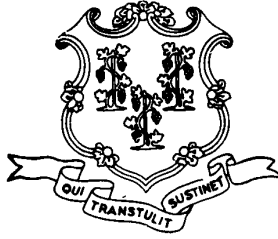
**D. AUTHORIZATION:**

- I authorize any agent from the State of Connecticut, Department of Consumer Protection to obtain any information related to me from criminal justice agencies, past or present employers, financial or lending institutions, credit bureaus, consumer reporting agencies and retail business establishments or individuals. This information may include, but is not limited to, my residential, personal, and criminal history records and financial and credit information.
- I authorize criminal justice agencies to release records concerning my criminal history to the Department of Consumer Protection for the purpose of determining my suitability, as a permittee or backer; or
  - I agree that no individual or entity shall be held liable for use of this authorization to determine my suitability as a permittee or backer

I certify, under penalty of law that the information provided in this statement is the truth to the best of my knowledge.

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
 Signature of Applicant, Permittee, Backer, Backer      Print Name      Date  
 Member or Partner completing this statement

**STATE OF CONNECTICUT**  
**DEPARTMENT OF CONSUMER PROTECTION**  
**LIQUOR CONTROL DIVISION**  
 Telephone: (860) 713-6210  
 Email: [dcp.liquorcontrol@ct.gov](mailto:dcp.liquorcontrol@ct.gov)  
 Web Site: [www.ct.gov/dcp/liquorcontrol](http://www.ct.gov/dcp/liquorcontrol)



**Authorization of the Proposed Backer Legal Entity for Release of Financial Information**

This form must be completed by a duly authorized representative of the backer identified in Section D of the new application:

**A. BUSINESS INFORMATION**

1. Name of Backer Business Entity:			
2. Address of Backer Business Entity: (street & number)	City:	State:	Zip code:
3. Name of Authorized Representative: (last, first, middle)		4. Business Title of Representative:	
5. Address of Authorized Representative: (street & number)	City:	State:	Zip code:
6. Telephone Number of Authorized Representative:	7. Fax Number:	8. Email Address	

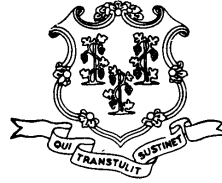
**B. AUTHORIZATION:**

1. I authorize any agent from the State of Connecticut, Department of Consumer Protection to obtain any information related to the business entity identified in item #1 above from financial or lending institutions, credit bureaus, consumer reporting agencies, licensing agencies and retail business establishments or individuals.
2. I agree that no individual or entity shall be held liable for use of this authorization to determine my suitability for a liquor permit.

**C. PERSONAL CERTIFICATION:**

I certify, under penalty of law that the information provided in this authorization is true to the best of my knowledge.	
_____	_____
Signature of duly authorized representative of the backer	Date

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## BACKER'S FINANCIAL STATEMENT

Name of Backer or Authorized Representative of the Backer:			
Street Address:	City:	State:	Zip Code:

***\*\*Please Note: The following sections should document the expenses involved in establishing your business and the sources of the funds to pay for these expenses. The total dollar amount in Section A should equal the total dollar amount in Section B. Additional documents may be required by the Department.\*\****

**Section A – Cost/Expenses:**

1. PURCHASE/SALE PRICE OF YOUR BUSINESS:	\$	
2. COST OF BUILDING: (If real estate is being transferred)	\$	
3. LEASEHOLD/SECURITY DEPOSIT:	\$	
4. RENOVATIONS/ALTERATIONS:	\$	
5. EXISTING BEER, WINE, AND/OR LIQUOR INVENTORY:	\$	
6. FURNITURE, FIXTURES, EQUIPMENT, ETC:	\$	
7. OTHER EXPENSES: (Please Specify)	\$	
<b>TOTAL FUNDS FOR ALL COSTS/EXPENSES:</b> (add 1-7 above)	<b>\$</b>	

**Section B - Sources of Funds:**

8. PERSONAL ACCOUNTS: (Savings, Checking, Certificate of Deposit-CD's)	\$	
9. CASH ON HAND:	\$	
10. PROMISSORY NOTES & LOANS: (Specify Other Source Types)	\$	
<b>TOTAL FUNDS FOR ALL SOURCES:</b> (add 8-10 above)	<b>\$</b>	

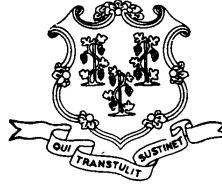
I certify under penalty of law that the information provided in this financial statement is true to the best of my knowledge:

Signature of Backer or Authorized Representative of Backer:

**X** \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name of Backer or Authorized Representative:	Title:
--	--------

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**REVIEW OF CRIMINAL CONVICTION**

DEAR APPLICANT:

Pursuant to Section 46a-80(b) of the Connecticut General Statutes, if your application indicates that you have had a prior felony conviction, the specifics of your felony background must be documented for review in order to determine your eligibility for a license. **IF APPLICABLE:**

- 1. Complete the Criminal Conviction Application Worksheet below.
- 2. Attach copies of your conviction, sentencing, parole and probation documents.
- 3. Attach a letter from your Probation Officer attesting to compliance with your Probation Order or details regarding non-compliance with your Probation Order.
- 4. If Probation has been satisfied, attach a letter from your Probation Officer stating when you completed your probationary period.
- 5. Attach a letter from your Parole Officer attesting to compliance with your Parole Order or details regarding non-compliance with your Parole Order.
- 6. If Parole has been satisfied, attach a letter from your Parole Officer stating when you completed your parole. If Parole has not been completed, provide the date on which it will be completed.

**CRIMINAL CONVICTION APPLICATION WORKSHEET**  
Pursuant to CHRO Criteria --SECTION 46a-80

*Please Print Clearly*  
**APPLICANT:** \_\_\_\_\_

**DATE OF BIRTH:** \_\_\_\_\_ **SOCIAL SECURITY #:** \_\_\_\_\_

**CHECK ONE:**  NEW APPLICANT  RENEWAL  REINSTATEMENT **DATE OF APPLICATION** \_\_\_\_\_

**LICENSE TYPE:** \_\_\_\_\_ **LICENSE #:** \_\_\_\_\_

**DATE OF CRIME** \_\_\_\_\_ **DATE OF CONVICTION** \_\_\_\_\_

**SIGNATURE OF APPLICANT:** \_\_\_\_\_ **DATE** \_\_\_\_\_

*Official Use Only*

Nature of Crime: \_\_\_\_\_

What is relationship of crime to the license for which the person has applied? \_\_\_\_\_

What is the degree of rehabilitation? \_\_\_\_\_

What is the time lapsed since conviction or release? \_\_\_\_\_

**DIVISION DIRECTOR:**  Approval  Denial  Refer to Legal Division  Refer to Board or Commission

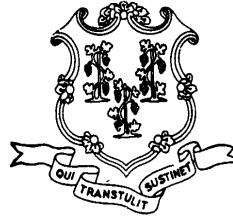
Signature \_\_\_\_\_ Date \_\_\_\_\_

Instructions for Processing \_\_\_\_\_

Additional Information Required \_\_\_\_\_

**THIS FORM IS TO REMAIN WITH LICENSEE'S FILE AS PART OF THE RECORD**

STATE OF CONNECTICUT  
 DEPARTMENT OF CONSUMER PROTECTION  
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For Official Use Only

**APPLICATION FOR PATIO, EXTENSION OF USE and/or ADDITIONAL CONSUMER BAR**

<input type="checkbox"/> <b>PATIO</b> <i>(Restaurants &amp; Cafes ONLY)</i>	<input type="checkbox"/> <b>EXTENSION OF USE</b> <i>(All other permit types)</i>	<input type="checkbox"/> <b>ACB (Additional Consumer Bar)</b> # of ACB's: _____ (FEE: \$190.00 each)
--	---	--

**Section A: BUSINESS INFORMATION**

1. Trade Name (DBA Name)		2. Permit Number	
3. Permittee Name (First, Middle, Last)			
4. Backer Name (Corporation, LLC, Partnership, Sole Proprietorship, etc.)			
5. Business Address		City	State
6. Business Telephone Number		7. Business Fax Number	8. Business Email Address
9. Type of Request? <input type="checkbox"/> Permanent <input type="checkbox"/> Temporary		<i>If <b>TEMPORARY</b> is checked, List Specific Dates Below:</i>	

**Section B: APPROVAL/CERTIFICATION OF LOCAL OFFICIALS**

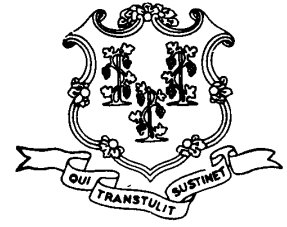
10. <b>Zoning Authority Approval:</b> I certify that I am familiar with the zoning ordinances and bylaws of the city/town identified in Section A and on the sketch provided with this application, they do not prohibit the sale of alcoholic beverages under the type of liquor permit/establishment identified in this application.
Signature of Zoning Official X _____ Print Name _____
Title of Official _____ Date ____/____/____
11. <b>Fire Marshal's Approval:</b> I certify that the premises identified in Section A and on the sketch of this application is safe for this type of request.
Signature of Fire Marshal X _____ Print Name _____
Title of Official _____ Date ____/____/____
12. <b>Local Health Approval: (Patio Requests ONLY)</b> I certify that the Patio at the premises identified in Section A and on the sketch of this application meets local health approval.
Signature of Health Official X _____ Print Name _____
Title of Official _____ Date ____/____/____

**Section C: CERTIFICATION OF BACKER OR AUTHORIZED REPRESENTATIVE OF BACKER**

13. <b>Backer Certification</b> (To be signed by backer or the authorized representative of the backer)  I certify that the information provided in this application is true to the best of my knowledge and that the permittee applicant identified in "Section A" of this application is designated as my principal representative on the premises for which this application is being submitted.	Signed by Backer or Authorized Representative of Backer  X _____  Print name of Backer or Representative	Date: _____  Title of Backer or Representative
---	--	--

**\*Attach a Sketch of the current premises, identifying the proposed Patio, Extension of Use area and/or ACB\***

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**AFFIDAVIT OF SELLER UNPAID OBLIGATIONS**  
**THIS FORM IS TO BE EXECUTED BY THE SELLER**

The undersigned permittee, backer or authorized representative of the backer:

Name: (Last, First, Middle)		
Address: (Street Address & Number)	State:	Zip code:

Representing: Name of Backer:
----------------------------------

BEING DULY SWORN DEPOSES AND SAYS:

I AM OVER EIGHTEEN YEARS OF AGE AND BELIEVE IN THE OBLIGATION OF AN OATH.

I AM THE BACKER, OR DULY AUTHORIZED REPRESENTATIVE OF THE BACKER, FOR THE PERMITTED LIQUOR PREMISES OPERATING UNDER THE BUSINESS NAME:

Name of Permitted Liquor Business:		
Address: (Street Address & Number)	State:	Zip code:

Operating with CT liquor permit number: Liquor Permit Number:
--

*Pursuant to 30-48(c) of the Connecticut General Statutes, I attest that:*

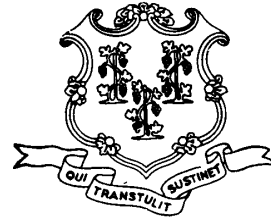
**ALL OBLIGATIONS OF THE PREDECESSOR PERMITTEE FOR THE PURCHASE OF ALCOHOLIC LIQUOR AT SUCH PERMIT PREMISES HAVE BEEN PAID OR THAT SUCH APPLICANT DID NOT RECEIVE DIRECT OR INDIRECT CONSIDERATION FROM THE PREDECESSOR PERMITTEE.**

I do hereby affirm that the information contained in this affidavit is true to the best of my knowledge.	
Signature of permittee, backer or authorized representative of the backer:	
X _____	Date: _____
Subscribed and affirmed before me:	
Signed X _____	Date _____
(Commissioner of Superior Court, Notary Public, Justice of Peace)	



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**ABANDONMENT AFFIDAVIT**

**Date:** \_\_\_\_\_

**Permittee:** \_\_\_\_\_

**Trade Name:** \_\_\_\_\_

**Address:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Neither I, \_\_\_\_\_, nor the backer  
\_\_\_\_\_, purchased anything from the previous  
permit holder/backer.

Neither I, \_\_\_\_\_, nor the backer  
\_\_\_\_\_, received any benefit from the predecessor  
for the abandonment of permittee/backer.

I do hereby affirm that the information contained in this affidavit is true to the best of my knowledge.

*Signature of permittee, backer or authorized representative of the backer:*

**X** \_\_\_\_\_ Date: \_\_\_\_\_

*Subscribed and affirmed before me:*

Signed **X** \_\_\_\_\_ Date \_\_\_\_\_  
(Commissioner of Superior Court, Notary Public, Justice of Peace)