STATE OF CONNECTICUT



DEPARTMENT OF CONSUMER PROTECTION

HOD#

Dear Heating Fuel Dealer:

The Department of Consumer Protection is conducting an audit of heating oil and propane dealer's guaranteed price plan and prepaid guaranteed price plan contracts during the 20 __-20__ heating season. These contracts include, but are not limited to, buy ahead, prebuy, prebought, guaranteed plan, fixed price, full price, lock in, capped, or price cap. On the back of this letter there is an audit statement that must be completed in its entirety, notarized, and returned electronically (by email or fax) to Consumer Protection.

Audit statements will **not** be accepted by mail.

The audit statement on the back of this letter must be completed, signed, notarized, and returned. **ALL HOD dealers are required to return a notarized copy of the audit statement, whether they offer contracts or not.** If you do offer guaranteed price plan and prepaid guaranteed price plan contracts, you must acknowledge that you comply with Connecticut General Assembly Statute 16a-23n. Failure to respond will result in enforcement action by this department. This may include, but is not limited to, fines of \$500 or more and action concerning your home heating fuel dealer registration. If at any point during the 2017-18 heating season you decide to offer guaranteed price plan contracts you must send a notarized statement electronically (by email or fax) to Consumer Protection within 5 (five) days of making the offer.

The Department of Consumer Protection will conduct random audits of HOD companies during the heating season to confirm compliance with CGS 16a-23n. Companies that are not in compliance will be subject to fines of \$500 or more and action by Consumer Protection regarding your HOD registration. This audit statement satisfies dealer's requirements to notify Consumer Protection of the details of their prepaid contract and how the contract will be secured.

If you have any questions, please contact Consumer Protection by e-mail, fax, or phone below. Please retain a copy of the audit statement you send to Consumer Protection. The notarized statement **must be faxed or emailed** to:

Audit Email: DCP.FuelAudit@ct.gov Audit Fax: (860) 706-5403 Phone: (860) 713-6160

Audit on Back Side - Turn Over



Heating Fuel Audit Statement

Name:				HOD#:
Address:				
Email:			Phone:	
		ral Statute 16a-23n, for aid guaranteed price plan	_	
Yes, we have sold contracts No, we have not			e have not sold co	ontracts
•	, , , , , , , , , , , , , , , , , , ,	olease complete and no (1), please skip to (3) be		
Al	l HOD dealers are re	equired to notarize and re	eturn this audit state	ment.
*	nilar instruments hav	acts have been sold and we been purchased to back		
**Futures gallo		ld and dollars of prepaid solo erwise this document is consi		
	Dollars Sold	Gallons Sold to	Futures Gallons	Bond Amoun
	(for prepay)	Consumers	Purchased	(for prepay)
Prepay				
Fixed/Capped	XXXXXXXXX			
3)		<u>Certification</u>		
Connecticut Gener	ral Statutes, Sec. 53a- 157	provides "A person is guilty of	of false statement when he	e intentionally makes
a false written state	ement under oath or pursu	ant to a form bearing notice, a	authorized by law, to the	effect that false
statements made th	nerein are punishable, whi	ch he does not believe to be tr	rue and which statement is	s intended to mislead
a public servant in	the performance of his of	ficial function."		
		Notarized Statement		
I CERTIFY, UND	ER PENALTY OF LAW	(SEC. 53a-157, CLASS A M	ISDEMEANOR). THAT	THE ABOVE
		JMENTATION IS THE TRU		
Signature		Date		
Printed Name		Title		
Subscribed and swor	n before me this d	lay of 20		